Branigan Douglas R. Form 4 April 24, 2019

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* Branigan Douglas R.

2. Issuer Name and Ticker or Trading

Symbol

Habit Restaurants, Inc. [HABT]

3. Date of Earliest Transaction

(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

C/O THE HABIT RESTAURANTS.

(First)

(Middle)

(Zip)

04/23/2019

Director X\_ Officer (give title

10% Owner Other (specify

below)

below) Chief Development Officer

INC., 17320 RED HILL AVENUE, SUITE 140

(State)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

**IRVINE**, CA 92614

(City)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially (D) or Owned Following (Instr. 4)

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Indirect (I) Ownership (Instr. 4)

Reported

Transaction(s)

Price Code V Amount (D)

(A) (Instr. 3 and 4)

Class A

Common 04/23/2019 Stock

 $A^{(1)}$ 5,000

A \$0 9,700 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(9-02)

#### Edgar Filing: Branigan Douglas R. - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | Conversion or Exercise Price of Derivative | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount Underlying Securitie (Instr. 3 and 4) |                                 |
|---|--|---|---|-------------------------------|--|--|--------------------|---|---------------------------------|
|   | Security                                   |   |   | Code V                        | (Instr. 3, 4, and 5)  (A) (D)  | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amour<br>or<br>Numbe<br>of Shar |
| Nonstatutory<br>Stock Option<br>(right to buy)      | \$ 10.1                                    | 04/23/2019                              |   | A                             | 20,000   | (2)  | 04/23/2029         | Class A<br>Common<br>Stock                                | 20,00                           |

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Branigan Douglas R. C/O THE HABIT RESTAURANTS, INC. 17320 RED HILL AVENUE, SUITE 140 IRVINE, CA 92614

Chief Development Officer

### **Signatures**

/s/ Ira Fils,

Attorney-in-Fact

04/24/2019

\*\*Signature of Reporting

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of restricted stock granted under the Company's 2014 Omnibus Incentive Plan, as amended. The awards vest in equal installments over four years, with one-fourth of the total award vesting on the first, second, third and fourth anniversary date.
- The Nonstatutory Stock Option is exercisable in the following cumulative installments prior to the Expiration date: 5,000 shares on or after April 23, 2020; an additional 5,000 shares on or after April 23, 2021; an additional 5,000 shares on or after April 23, 2022; and an additional 5,000 shares on or after April 23, 2023.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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