COLUMBUS MCKINNON CORP

Form 4 April 05, 2011

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

OMB APPROVAL

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

burden hours per response...

5. Relationship of Reporting Person(s) to

D

D

57,256

60,778

20.0119

\$ 10

Α

1(b).

Common

Common

Stock

Stock

04/01/2011

04/04/2011

(Print or Type Responses)

1. Name and Address of Reporting Person *

HOWARD KAREN L			Symbol COLUMBUS MCKINNON CORP [CMCO]					Issuer (Check all applicable)			
(Last) (First) (Middle) 140 JOHN JAMES AUDUBON PARKWAY			3. Date of Earliest Transaction (Month/Day/Year) 04/01/2011					Director 10% Owner Officer (give title Other (specify below) VP-Finance & CFO			
	AMHERS	(Street) T, NY 14228			nendment, I onth/Day/Ye	ŭ	nal		6. Individual or Jo Applicable Line) _X_ Form filed by O Form filed by N Person	One Reporting l	Person
	(City)	(State)	(Zip)	Ta	ble I - Non	-Derivativ	e Seci	urities Acqu	uired, Disposed of	f, or Benefici	ally Owned
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution I any (Month/Da	Date, if	3. Transactic Code (Instr. 8)	onor Dispos (Instr. 3, 4	ed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
	Common Stock								51,078 (1)	D	
	Common Stock	04/01/2011			M	26,478	A	\$ 10	77,556	D	
	Common Stock	04/01/2011			S	11,500	D	\$ 19.8321	66,056	D	

S

M

8,800

3,522

Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

Common Stock	04/04/2011	S	2,700	D	\$ 20	58,078	D	
Common Stock						2,650 (2)	D	
Common Stock						736,265	I	Additional shares held by ESOP; reporting person is 1 of 2 trustees; DISCLAIMS beneficial ownership.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Options (Right to Buy)	\$ 10					08/20/2002	08/19/2011	Common Stock	6,500
Non-Qualified Stock Options (Right to Buy)	\$ 10					08/20/2002	08/19/2011	Common Stock	8,500
Incentive Stock Options (Right to Buy)	\$ 5.46					05/17/2005	05/16/2014	Common Stock	20,000
Non-Qualified Stock Options	\$ 28.45					05/19/2009	05/18/2018	Common Stock	3,380

Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

(Right to Buy)					
Non-Qualified Stock Options (Right to Buy)	\$ 13.27	05/18/2010	05/17/2019	Common Stock	11,063
Non-Qualified Stock Options (Right to Buy)	\$ 18.24	05/17/2011	05/16/2020	Common Stock	8,129

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
HOWARD KAREN L 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228			VP-Finance & CFO				

Signatures

By: Mary C. O'Connor, Power of Attorney for Karen L.
Howard

04/05/2011

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - Includes 10,492 shares of restricted stock issued to reporting person under the Columbus McKinnon Corporation 2006 Long Term Incentive Plan dated as of May 4, 2006, subject to forfeiture in whole or part; 4,429 shares become fully vested and non-forfeitable
- (1) 33.33% per year for three years beginning 5/18/2011 and the remaining 1,784 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/19/2011, if reporting person remains an employee of issuer and the remaining 4,279 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/17/201, if reporting person remains an employee of issuer.
- (2) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- Originally a 20,000 incentive stock option grant (incorrectly reported as a 40,500 incentive stock option grant). Reporting person exercised 11,915 options on 4/1/2011 and 1,585 options on 4/4/2011. The remaining 6,500 options are fully exercisable, subject to IRS limitations
- Originally a 25,000 non-qualified stock option grant (incorrectly reported as a 4,500 non-qualified stock option grant). Reporting person exercised 14,563 options on 4/1/2011 and 1,937 options on 4/4/2011. The remaining 8,500 options are fully exercisable, subject to IRS limitations
- (5) All exercisable, subject to IRS limitations.
- (6) Exercisable 25% per year for four years, beginning 5/19/09, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3