

Simons James R.
Form 4
November 02, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Simons James R.

2. Issuer Name and Ticker or Trading Symbol
QUINSTREET, INC [QNST]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
950 TOWER LANE, 6TH FLOOR

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
10/31/2018

Director 10% Owner
 Officer (give title below) Other (specify below)

FOSTER CITY, CA 94404

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	10/31/2018		M ⁽¹⁾		21,780 (1)	A	\$ 11.26 43,401 ⁽²⁾ ⁽³⁾ D
Common Stock	10/31/2018		S ⁽¹⁾		21,780	D	\$ 15.1667 ⁽⁴⁾ 21,621 ⁽²⁾ ⁽³⁾ D
Common Stock	11/02/2018		M ⁽⁵⁾		25,000 (5)	A	\$ 6.9 46,621 ⁽²⁾ ⁽³⁾ D
Common Stock	11/02/2018		M ⁽⁵⁾		25,000 (5)	A	\$ 9.24 71,621 ⁽²⁾ ⁽³⁾ D
Common Stock	11/02/2018		M ⁽⁵⁾		25,000 (5)	A	\$ 4.31 96,621 ⁽²⁾ ⁽³⁾ D

Edgar Filing: Simons James R. - Form 4

Common Stock	11/02/2018	M ⁽⁵⁾	25,000 ⁽⁵⁾	A	\$ 5.8	121,621 ⁽²⁾ <u>(3)</u>	D	
Common Stock	11/02/2018	S ⁽⁵⁾	100,000	D	\$ 17.0737 <u>(6)</u>	21,621 ⁽²⁾ <u>(3)</u>	D	
Common Stock	11/02/2018	S	872	D	\$ 17.0737 <u>(6)</u>	0	I	SPVC Affiliates Fund ⁽⁷⁾
Common Stock	11/02/2018	S	18	D	\$ 17.0737 <u>(6)</u>	0	I	SPVC V, LLC ⁽⁸⁾
Common Stock						34,841	I	By Trust ⁽⁹⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable Expiration Date	Title	Amount or Number of Shares
Nonstatutory Stock Option (right to buy)	\$ 11.26	10/31/2018		M ⁽¹⁾	21,780 ⁽¹⁾	⁽¹⁰⁾ 11/02/2018	Common Stock	21,780 ⁽¹⁾
Nonstatutory Stock Option (right to buy)	\$ 6.9	11/02/2018		M ⁽⁵⁾	25,000 ⁽⁵⁾	⁽¹⁰⁾ 10/25/2019	Common Stock	25,000 ⁽⁵⁾
Nonstatutory Stock Option (right to buy)	\$ 9.24	11/02/2018		M ⁽⁵⁾	25,000 ⁽⁵⁾	⁽¹⁰⁾ 10/27/2020	Common Stock	25,000 ⁽⁵⁾
Nonstatutory Stock Option (right to buy)	\$ 4.31	11/02/2018		M ⁽⁵⁾	25,000 ⁽⁵⁾	⁽¹⁰⁾ 10/28/2021	Common Stock	25,000 ⁽⁵⁾

Edgar Filing: Simons James R. - Form 4

except to the extent of any pecuniary interest therein. This report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.

- (9) Represents securities held directly by the James Rexroad Simons Trust, which the reporting person is the trustee. The reporting person disclaims beneficial ownership of the reported securities, except to the extent of any pecuniary interest therein. This report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.

- (10) This option is fully vested and exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.