**MOSAIC CO** Form 4 July 20, 2016

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Bodine Bruce M. Issuer Symbol MOSAIC CO [MOS] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify C/O THE MOSAIC 07/18/2016 below) COMPANY, 3033 CAMPUS Senior Vice President - Potash DRIVE, SUITE E490 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting PLYMOUTH, MN 55441

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership (Instr. 4) (Instr. 4) Following Reported (A) Transaction(s) (Instr. 3 and 4) Price Code V Amount (D) Common 07/18/2016 \$0 M 3,085 A 4,334 D Stock Common 07/18/2016  $A^{(1)}$ 712 \$0 5,046 D A Stock Common  $F^{(2)}$ 07/18/2016 1,968 D 3,078 D 29.28 Stock Common By 401(k) Ι 629.697

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Plan

### Edgar Filing: MOSAIC CO - Form 4

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SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 40.03						(3)	08/02/2017	Common Stock	894
Stock Option (Right to Buy)	\$ 127.21						(3)	07/31/2018	Common Stock	219
Stock Option (Right to Buy)	\$ 52.72						(3)	07/27/2019	Common Stock	553
Stock Option (Right to Buy)	\$ 44.93						(3)	07/27/2020	Common Stock	577
Stock Option (Right to Buy)	\$ 70.62						(3)	07/21/2021	Common Stock	678
Restricted Stock Units	\$ 0	07/18/2016		M		3,085	07/18/2016	<u>(4)</u>	Common Stock	3,085
Restricted Stock Units	\$ 0						03/07/2017	<u>(4)</u>	Common Stock	4,022
Restricted	\$ 0						03/05/2018	<u>(4)</u>	Common	3,966

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Stock Stock

Units

Stock

Buy)

Option (Right to \$2

\$ 28.49

 $\frac{(5)}{2}$  03/03/2026  $\frac{C_0}{2}$ 

Common Stock 17,921

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Bodine Bruce M.

C/O THE MOSAIC COMPANY 3033 CAMPUS DRIVE, SUITE E490

Senior Vice President - Potash

Signatures

PLYMOUTH, MN 55441

Mark J. Isaacson, Attorney-in-Fact for Bruce M. Bodine

07/20/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares issued to reporting person upon the vesting of a performance unit award granted to reporting person on July 18, 2013. The performance unit award was not a derivative security.
- (2) Shares sold to cover tax liability incurred as a result of most recent vesting of Restricted Stock Units and Performance Units.
- (3) This Stock Option is 100% exercisable.
- (4) Not Applicable
- (5) Grant Date 03/03/2016; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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