Edgar Filing: Banks Robert J. - Form 4

Banks Robert J. Form 4											
February 15, 20	1		SECUDIT	TEC AN	DEVCI	T A NIZ		MMISSION		PROVAL	
	- UNITED SI	IAIESS		IES AN ngton, D			JE CU		OMB Number:	3235-0287	
Check this be if no longer subject to Section 16. Form 4 or Form 5 obligations may continue <i>See</i> Instruction 1(b).	STATEME Filed pursu Section 17(a)	CHANGI Si ection 16(a	ES IN BI ECURIT	Expires: January 31, 2005 Estimated average burden hours per response 0.5							
(Print or Type Resp	oonses)										
1. Name and Address of Reporting Person <u>*</u> Banks Robert J.			8					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Mid	ldle) 3	3. Date of Earliest Transaction (Che						k all applicable)		
16825 NORTH SUITE 400		(Month/Day/Year) 02/13/2013					Director 10% Owner Officer (give title Other (specify below) Executive VP and COO				
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
HOUSTON, T							P	erson	sie than one req	porting	
(City)	(State) (Z			- Non-Der			-	red, Disposed of,		y Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Executi any	emed on Date, if /Day/Year)	Code (Instr. 8)	4. Securi or(A) or D (Instr. 3, Amount	isposed 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
SFY Common Stock-401(k)								2,751 <u>(1)</u>	Ι	Through 401(k)	
SFY Cmmn Stock-ESOP Holding								390 <u>(2)</u>	Ι	Through ESOP	
Robert J. Banks 2012 GRAT								33,431 <u>(3)</u>	I	GRAT	
Swift Energy Common Stock	02/13/2013			F	2,435	D	\$ 15.73	65,356	D		

Edgar Filing: Banks Robert J. - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

9. Nu	8. Price of	. Title and	cisable and	6. Date Exer	5.	4.	3A. Deemed	3. Transaction Date	2.	1. Title of
Deriv	Derivative	mount of	ate	Expiration D	onNumber	Transacti	Execution Date, if	(Month/Day/Year)	Conversion	Derivative
Secu	Security	Inderlying	/Year)	(Month/Day/	of	Code	any		or Exercise	Security
Bene	(Instr. 5)	ecurities		e	Derivative	(Instr. 8)	(Month/Day/Year)		Price of	(Instr. 3)
Owne		nstr. 3 and 4)			Securities				Derivative	
Follo					Acquired				Security	
Repo					(A) or					
Trans					Disposed					
(Instr					of (D)					
					(Instr. 3,					
					4, and 5)					
		Amount								
			Expiration	Date						
			Date	Exercisable Date						
					(Λ) (D)	Code V				
]		Amount or itle Number of Shares	•		Disposed of (D) (Instr. 3,	Code V				

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Banks Robert J. 16825 NORTHCHASE DRIVE, SUITE 400 HOUSTON, TX 77060			Executive VP and COO				
Signatures							
Laurent A. Baillargeon, POA for Robert J. Banks	02/15	5/2013					

Explanation of Responses:

**Signature of Reporting Person

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Based on statement dated 12/31/2012.
- (2) Based on statement dated 12/31/2011.
- (3) On August 1, 2012, Mr. Banks funded the Robert J. Banks Grantor Retained Annuity Trust with 33,341 shares of the stock of the issuer previously reported as directly owned. Mr. Banks is the trustee of the referenced trust.

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.