### Edgar Filing: JMP Group Inc. - Form 4

JMP Group										
January 09, 2	Λ						NGE			APPROVAL
. •	ΓES SECUI Wa	RITIES A shington,		N OMB Number:	3235-0287					
Check th if no long subject to Section 1 Form 4 of Form 5 obligation may com See Instr 1(b).	ger STA 16. or Filed <sup>ns</sup> Section	FEMEN7 l pursuant 17(a) of 3(	Expires: Estimated burden he response	•						
(Print or Type ]	Responses)									
1. Name and A Jolson Josej	Address of Repo ph A	rting Persor	Symbol	r Name <b>and</b> coup Inc.		Tradir	ıg	5. Relationship o Issuer		
(Last)	(First)	(Middle)		f Earliest Ti				(Che	eck all applica	ble)
600 MONT SUITE 110	GOMERY S' 0	TREET,	(Month/I 12/31/2	-				Director Officer (giv below)		0% Owner Other (specify
SAN FRAN	(Street)	94111		endment, Da nth/Day/Year	-					Person
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative	Secur	ities Ac	Person equired, Disposed	of, or Benefic	ially Owned
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Y	ear) Exec any		3. Transactio Code (Instr. 8)	4. Securit on(A) or Dis (D)	ies Ac sposed 4 and 5 (A) or	quired of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect
Common Stock				Code v	Amount	(D)	riice	75,000	I	By Foundation
Common Stock								1,548,936	I	By Jolson 1991 Trust (2)
Common Stock								1,280,687	I	By Jolson 1996 Trust (3)
Common Stock	12/31/2013			М	12,019 (4)	A	\$0	61,421	D	

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Common	12/31/2013	F	5,273 (7)	Л	\$ 7.4	56,148	Л
Stock		Г		D			D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. l De Sec (In
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	\$ 0	12/31/2013		М		12,019 (5)	(6)	(6)	Common Stock	12,019	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Jolson Joseph A 600 MONTGOMERY STREET, SUITE 1100 SAN FRANCISCO, CA 94111			CEO				
Signatures							
Scott Solomon on behalf of Joseph A. Jolson	01/09	/2014					

## **Explanation of Responses:**

\*\*Signature of Reporting Person

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reflects shares of common stock held by The Jolson Family Foundation, of which Mr. Jolson is President and Treasurer. Mr. Jolson disclaims beneficial ownership of the shares.

Date

- (2) Reflects shares of common stock held by the Joseph A. Jolson 1991 Trust, of which Mr. Jolson is the trustee.
- (3) Reflects shares of common stock held by the Joseph A. Jolson 1996 Trust dtd 3/7/96, of which Mr. Jolson is a trustee.
- (4) Acquisition of common stock resulting from the vesting of deferred restricted stock units on December 31, 2013.

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- (5) Reflects the vesting of 50% of the deferred restricted stock units granted on February 11, 2013.
- (6) Each unit represents a right to receive one share of common stock or an amount equal to the fair market value of one share of common stock on the applicable vesting date.
- (7) Disposition of common stock resulting from the withholding of securities for the payment of tax liability relating to the vesting of the deferred restricted stock units described above.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.