#### Edgar Filing: STEVENS ANNA - Form 3

STEVENS ANNA Form 3 November 20, 2018

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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**SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement HD Supply Holdings, Inc. [HDS]  **STEVENS ANNA** (Month/Day/Year) 11/15/2018 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 3400 CUMBERLAND BLVD (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting Officer Other Person (give title below) (specify below) ATLANTA, GAÂ 30339 Form filed by More than One VP-HR & Chief Prople Officer Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common Stock 1,402 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

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				Shares		(I) (Instr. 5)	
Restricted Stock (1)	(2)	(2)	Common Stock	1,071	\$ 0	D	Â
Restricted Stock (1)	(3)	(3)	Common Stock	1,159	\$ 0	D	Â
Restricted Stock (1)	(4)	(4)	Common Stock	3,924	\$ 0	D	Â
Restricted Stock (1)	(5)	(5)	Common Stock	6,681	\$ 0	D	Â
Stock Options (right to buy) (1)	(6)	03/09/2026	Common Stock	6,092	\$ 27.88	D	Â
Stock Options (right to buy) $\underline{^{(1)}}$	(7)	02/28/2027	Common Stock	15,945	\$ 43	D	Â
Stock Options (right to buy) (1)	(8)	03/08/2028	Common Stock	19,252	\$ 36.54	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
STEVENS ANNA 3400 CUMBERLAND BLVD ATLANTA, GA 30339	Â	Â	VP-HR & Chief Prople Officer	Â			

## **Signatures**

Rita L. Fadell, Attorney-in-Fact for Anna Stevens 11/20/2018

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Stock options and restricted stock awards granted under the Issuer Omnibus Incentive Plan vest in four equal annual installments beginning on the first anniversary of the grant date, subject to continued employment through the vesting date. Upon retirement at or after age 62 with five years of continuous service, the awards continue to vest as scheduled, subject to the agreement of the reporting person not to engage in solicitation or competitive activity with the Company.
- (2) Award of 4,284 restricted shares on March 10, 2015. 3,213 shares are fully vested and 1,071 shares will vest on March 10, 2019.
- (3) Award of 2,318 restricted shares on March 9, 2016. 1,159 shares are fully vested and 579 shares will vest on March 9, 2019 and 580 shares will vest on March 9, 2020.
- (4) Award of 5,232 restricted shares on February 28, 2017. 1,308 shares are fully vested and 1,308 shares and will vest on each of February 28, 2019, 2020 and 2021.
- (5) Award of 6,681 restricted shares on March 8, 2018. 1,670 shares will vest on each of March 8, 2019, 2020 and 2021; and 1,671 shares will vest on March 8, 2022.

**(6)** 

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Award of 6,092 stock options on March 9, 2016. 3,046 option shares are fully vested and 1,523 option shares will vest on each of March 9, 2019 and 2020.

- (7) Award of 15,495 stock options stock options on February 28, 2017. 3,873 option shares are fully vested and 3,874 option shares will vest on each of February 28, 2019, 2020 and 2021.
- (8) Award of 19,252 stock options on March 8, 2018. 4,813 option shares will vest on each of March 8, 2019, 2020, 2021 and 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.