### Edgar Filing: LEAP WIRELESS INTERNATIONAL INC - Form 4

### LEAP WIRELESS INTERNATIONAL INC

Form 4

January 21, 2014

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

**OMB APPROVAL** 

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* LAPENTA ROBERT V

2. Issuer Name and Ticker or Trading

INTERNATIONAL INC [LEAP]

Symbol

(Month/Day/Year)

Filed(Month/Day/Year)

Code

(Instr. 8)

01/16/2014

LEAP WIRELESS

Issuer

5. Relationship of Reporting Person(s) to

(Check all applicable)

below)

(Last)

(First)

(Middle)

3. Date of Earliest Transaction

X\_ Director Officer (give title

10% Owner Other (specify

C/O LEAP WIRELESS INTERNATIONAL, INC., 5887

COPLEY DRIVE

4. If Amendment, Date Original

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(D) or

Indirect (I)

6. Individual or Joint/Group Filing(Check

SAN DIEGO, CA 92111 (City)

(Street)

(State) (Zip)

(Month/Day/Year)

2. Transaction Date 2A. Deemed

Execution Date, if

(Month/Day/Year)

3. 4. Securities

TransactionAcquired (A) or Disposed of (D)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 5. Amount of Securities Ownership

7. Nature of Indirect Form: Direct Beneficial

> Ownership (Instr. 4)

Following Reported

Beneficially

Owned

(Instr. 4)

Transaction(s) (Instr. 3 and 4)

(D) Code V Amount

Price

(Instr. 3, 4 and 5)

D

Common Stock

1.Title of

Security

(Instr. 3)

01/16/2014

\$0 45,291 Α 287 Α

(A)

or

by Corporation

Common Stock

5,000 Ι (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Securi	ities	(Instr. 5)	Bene
	Derivative				Securities Acquired			(Instr.	3 and 4)		Owne
	Security										Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A		
									Amount		
						Date	Expiration	TT:41	or		
						Exercisable	Date	Title Nur	Number		
				G 1 17	(1) (D)				of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

### Relationships

Date

Reporting Owner Name / Address

Director 10% Officer Other

LAPENTA ROBERT V

C/O LEAP WIRELESS INTERNATIONAL, INC. 5887 COPLEY DRIVE SAN DIEGO, CA 92111

X

# **Signatures**

By: Barbara J. Olson, Attorney-in-Fact For: Robert V. LaPenta

01/21/2014

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held by a corporation wholly owned by Mr. LaPenta. Mr. LaPenta has the power to vote and dispose of such shares by virtue of his serving as an officer and director.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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