

S&W Seed Co  
Form 4  
October 09, 2012

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
YELLOWJACKET, LP

(Last) (First) (Middle)

430 CAMBRIDGE AVENUE,  
SUITE 100

(Street)

PALO ALTO, CA 94306

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
S&W Seed Co [SANW]

3. Date of Earliest Transaction  
(Month/Day/Year)  
10/04/2012

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_X\_\_\_ 10% Owner  
\_\_\_ Officer (give title below) \_\_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock, \$0.01 par value	10/04/2012		S		6,500	D	\$ 7.75
					1,891,105		
Common Stock, \$0.01 par value	10/04/2012		S		100	D	\$ 7.76
					1,891,105		
Common Stock, \$0.01 par value	10/04/2012		S		600	D	\$ 7.77
					1,890,405		

Edgar Filing: S&W Seed Co - Form 4

Common Stock, \$0.01 par value	10/04/2012	S	400	D	\$ 7.78	1,890,005	D
Common Stock, \$0.01 par value	10/04/2012	S	100	D	\$ 7.79	1,889,905	D
Common Stock, \$0.01 par value	10/04/2012	S	100	D	\$ 7.8	1,889,805	D
Common Stock, \$0.01 par value	10/04/2012	S	500	D	\$ 7.81	1,889,305	D
Common Stock, \$0.01 par value	10/04/2012	S	125	D	\$ 7.82	1,889,180	D
Common Stock, \$0.01 par value	10/04/2012	S	100	D	\$ 7.84	1,889,080	D
Common Stock, \$0.01 par value	10/04/2012	S	763	D	\$ 7.87	1,888,317	D
Common Stock, \$0.01 par value	10/04/2012	S	1,700	D	\$ 7.89	1,886,617	D
Common Stock, \$0.01 par value	10/05/2012	S	300	D	\$ 7.5	1,886,317	D
Common Stock, \$0.01 par value	10/05/2012	S	300	D	\$ 7.51	1,886,017	D
Common Stock, \$0.01 par value	10/05/2012	S	100	D	\$ 7.52	1,885,917	D
	10/05/2012	S	100	D	\$ 7.53	1,885,817	D

Edgar Filing: S&W Seed Co - Form 4

Common Stock, \$0.01 par value							
Common Stock, \$0.01 par valu	10/05/2012	S	300	D	\$ 7.6	1,885,517	D
Common Stock, \$0.01 par value	10/05/2012	S	300	D	\$ 7.61	1,885,217	D
Common Stock, \$0.01 par value	10/05/2012	S	2,306	D	\$ 7.65	1,882,911	D
Common Stock, \$0.01 par value	10/05/2012	S	5,870	D	\$ 7.75	1,877,041	D
Common Stock, \$0.01 par value	10/05/2012	S	100	D	\$ 7.755	1,876,941	D
Common Stock, \$0.01 par value	10/05/2012	S	300	D	\$ 7.76	1,876,641	D
Common Stock, \$0.01 par value	10/05/2012	S	2,900	D	\$ 7.77	1,873,741	D
Common Stock, \$0.01 par value	10/05/2012	S	200	D	\$ 7.78	1,873,541	D
Common Stock, \$0.01 par value	10/05/2012	S	300	D	\$ 7.8	1,873,241	D
Common Stock, \$0.01 par value	10/05/2012	S	200	D	\$ 7.82	1,873,041	D

Edgar Filing: S&W Seed Co - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6)
--	--	--------------------------------------	--	--------------------------------	---	--	---	--	---

## Reporting Owners

**Reporting Owner Name / Address**

**Relationships**

Director    10% Owner    Officer    Other

YELLOWJACKET, LP  
430 CAMBRIDGE AVENUE, SUITE 100  
PALO ALTO, CA 94306

X

## Signatures

Robert W. Lishman, Jr., General Partner

10/09/2012

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.