WESTERN DIGITAL CORP

Form 4 May 16, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

OMB APPROVAL

Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * **MEHROTRA SANJAY**

2. Issuer Name and Ticker or Trading

Symbol

WESTERN DIGITAL CORP [WDC]

Issuer

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

05/12/2016

Officer (give title

_X__ Director

10% Owner Other (specify

C/O WESTERN DIGITAL CORPORATION, 3355

MICHELSON DRIVE, SUITE 100

(Zip)

(Street)

(State)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I. Non Derivative Securities Acquired Disposed of or Peneficially Owned

IRVINE, CA 92612

(City)

(- 3)	(******)	1 abie	e 1 - Non-De	erivative S	ecurit	ies Acq	juirea, Disposea o	i, or Beneficial	ly Ownea
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securiti		•	5. Amount of	6. Ownership	
Security (Instr. 3)	(Month/Day/Year)	Execution Date, if any	Transactio Code	n(A) or Dis (D)	sposed	of	Securities Beneficially	Form: Direct (D) or	Indirect Beneficial
(IIIsu. 3)		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4	and 5	5)	Owned	Indirect (I)	Ownership
							Following	(Instr. 4)	(Instr. 4)
					(A)		Reported Transaction(s)		
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
Common Stock (1)	05/12/2016		A	1,699	A	\$0	1,699	D	
Common Stock	05/12/2016		A	7,477	A	<u>(2)</u>	7,477	I	By Family Trust
Common Stock	05/12/2016		A	19,192	A	<u>(2)</u>	19,192	I	by GRAT

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

Edgar Filing: WESTERN DIGITAL CORP - Form 4

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of tonDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Secur (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Am Nui Sha
Non-Qualified Stock Option (right to buy)	\$ 40.85	05/12/2016		A	379,350	(3)	05/12/2017	Common Stock	37

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MEHROTRA SANJAY C/O WESTERN DIGITAL CORPORATION 3355 MICHELSON DRIVE, SUITE 100 IRVINE, CA 92612



Signatures

By: /s/ Sandra Garcia Attorney-in-Fact For: Sanjay Mehrotra

05/16/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the grant of restricted stock units to the reporting person. Each restricted stock unit represents a contingent right to receive one share of the Issuer's common stock.
 - Acquired in connection with the merger (the ?Merger?) of a wholly owned subsidiary of the Issuer (?Merger Sub?) with and into SanDisk Corporation (?SanDisk?) pursuant to the Agreement and Plan of Merger, dated October 21, 2015, by and among the Issuer, Merger Sub
- (2) and SanDisk (the ?Merger Agreement?). Pursuant to the Merger Agreement, at the effective time of the Merger, each share of SanDisk common stock owned by the reporting person was converted into the right to receive \$67.50 in cash and 0.2387 shares of the Issuer?s common stock. On the effective date of the Merger, the closing price of the Issuer?s common stock was \$36.36 per share.
- (3) The stock option is fully vested and immediately exercisable.
- Acquired in connection with the Merger. Pursuant to the Merger Agreement, at the effective time of the Merger, the reporting person?s

 (4) stock option to acquire 187,500 shares of SanDisk common stock for \$82.63 per share was assumed by the Issuer and converted into an option to acquire shares of the Issuer?s common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

Edgar Filing: WESTERN DIGITAL CORP - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.