

GABELLI SECURITIES INC

Form 4

February 01, 2010

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
GABELLI MARIO J

(Last) (First) (Middle)

C/O GAMCO INVESTORS,  
INC, ONE CORPORATE CENTER

(Street)

RYE, NY 10580

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

Gabelli Global Deal Fund [GDL.A]

3. Date of Earliest Transaction  
(Month/Day/Year)

01/28/2010

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)

☐ Form filed by One Reporting Person  
☒ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)               | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |        | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |                                            |
|-----------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|--------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|--------------------------------------------|
|                                               |                                      |                                                    | Code                           | V                                                                 | Amount | (A) or (D) Price                                                                              |                                                          |                                                       |                                            |
| Series A Cumulative Callable Preferred Shares | 01/28/2010                           |                                                    | S                              |                                                                   | 800    | D \$ 53.57                                                                                    | 14,900                                                   | I                                                     | GPJ Retirement Partners LLC <sup>(1)</sup> |
| Series A Cumulative Callable Preferred Shares | 01/29/2010                           |                                                    | S                              |                                                                   | 900    | D \$ 53.61                                                                                    | 14,000                                                   | I                                                     | GPJ Retirement Partners LLC <sup>(1)</sup> |
| Series A Cumulative                           |                                      |                                                    |                                |                                                                   |        |                                                                                               | 103,337                                                  | I                                                     | GAMCO Investors                            |

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|                                               |         |   |  |                                           |
|-----------------------------------------------|---------|---|--|-------------------------------------------|
| Callable Preferred Shares                     |         |   |  | Inc. <sup>(2)</sup>                       |
| Series A Cumulative Callable Preferred Shares | 717     | I |  | Gabelli Securities, Inc. <sup>(3)</sup>   |
| Series A Cumulative Callable Preferred Shares | 115,600 | I |  | GGCP, Inc. <sup>(4)</sup>                 |
| Series A Cumulative Callable Preferred Shares | 140,000 | I |  | MJG IV Limited Partnership <sup>(5)</sup> |
| Series A Cumulative Callable Preferred Shares | 310,166 | D |  |                                           |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|---------------------------------------------------------------|--------------------------------------------|-------------------------------------------------------------------------------------------------|
|                                            |                                                        |                                      |                                                    | Code                           | V (A) (D)                                                                               | Date Exercisable                                         | Expiration Date                                               | Title                                      | Amount or Number of Shares                                                                      |

## Reporting Owners

| Reporting Owner Name / Address                                                       | Relationships |           |         |                               |
|--------------------------------------------------------------------------------------|---------------|-----------|---------|-------------------------------|
|                                                                                      | Director      | 10% Owner | Officer | Other                         |
| GABELLI MARIO J<br>C/O GAMCO INVESTORS, INC<br>ONE CORPORATE CENTER<br>RYE, NY 10580 | X             |           |         |                               |
| GAMCO INVESTORS, INC. ET AL<br>ONE CORPORATE CENTER<br>RYE, NY 10580                 |               |           |         | Owner of Adviser              |
| GGCP, INC.<br>140 GREENWICH AVENUE<br>GREENWICH, CT 06830                            |               |           |         | Majority shareholder of GAMCO |
| GABELLI SECURITIES INC                                                               |               |           |         | Majority owned subsidiary     |
| MJG IV LIMITED PARTNERSHIP                                                           |               |           |         | Limited Partnership           |
| GPJ Retirement Partners, LLC<br>1 CORPORATE CENTER<br>RYE, NY 10580                  |               |           |         | Limited Liability Company     |

## Signatures

Douglas R. Jamieson as Attorney-in-Fact for Mario J. Gabelli, Gabelli Securities, Inc.,  
GAMCO Investors, Inc., GGCP, Inc., MJG IV Limited Partnership, and GPJ Retirement  
Partners, LLC

02/01/2010

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares are owned by GPJ Retirement Partners, LLC, a limited liability company. Mr. Gabelli has less than a 100% interest in the entity and disclaims beneficial ownership of the shares held by this entity which are in excess of his indirect pecuniary interest.
- (2) These shares are owned by GAMCO Investors, Inc. (GAMCO). Mr. Gabelli and GGCP, Inc. (GGCP) have less than a 100% interest in this entity and disclaim beneficial ownership of the shares held by this entity which are in excess of their indirect pecuniary interests.
- (3) These shares are owned by Gabelli Securities, Inc. (GSI) a majority owned subsidiary of GAMCO. Mr. Gabelli, GAMCO, and GGCP have less than a 100% interest in GSI and disclaim beneficial ownership of the shares held by GSI which are in excess of their indirect pecuniary interests.
- (4) These shares are owned by GGCP. Mr. Gabelli has less than a 100% interest in this entity. Mr. Gabelli hereby disclaims beneficial ownership of the shares held by this entity which are in excess of his indirect pecuniary interest.
- (5) These shares are owned by MJG IV Limited Partnership a limited partnership for which Mr. Gabelli serves as a general partner. Mr. Gabelli has less than a 100% interest in the entity and disclaims beneficial ownership of the shares held by this entity which are in excess of his indirect pecuniary interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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