First Bancorp, Inc /ME/ Form 4

# February 01, 2016

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 3235-0287

Number:

Expires:

5. Relationship of Reporting Person(s) to

Issuer

1,814

I

January 31, 2005

Estimated average burden hours per

response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

First Bancorn Inc /MF/ [FNI C]

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

Symbol

1(b).

Parady Steven

Common

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \*

			First Bancorp, Inc /ME/ [FNLC]				-]	(Check all applicable)					
(Last) (First) (Middle) PO BOX 940			3. Date of Earliest Transaction (Month/Day/Year) 01/28/2016						Director 10% Owner Selow)  EVP, Chief Fiduciary Officer				
DAMARIS	4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person						
(City)	(State)	(Zip)	Table	e I - Noi	n-De	erivative S	Securi	ities Ac	quired, Disposed	of, or Benefici	ally Owned		
1.Title of Security (Instr. 3)	2. Transaction I (Month/Day/Ye	ear) Execution	emed on Date, if /Day/Year)	3. Transa Code (Instr.	8)	(A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect Indirect Indirect Ownership (Instr. 4)  Form: Direct Indirect Ownership (Instr. 4)				
Common Stock	01/28/2016			A		969	A	\$0	50,982	D			
Common Stock									43.7187	I	Through Employee Stock Purchase Plan		
Common Stock									28,574.34	I	Through 401(k) Plan		

By Spouse

#### Edgar Filing: First Bancorp, Inc /ME/ - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**SEC 1474** 

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	<ol> <li>Title of</li> </ol>	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	e and	8. Price of	
	Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	ionNumber	Expiration D	ate	Amou	nt of	Derivative	
	Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	
	(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	
		Derivative				Securities			(Instr.	3 and 4)		
		Security				Acquired						
		·				(A) or						
						Disposed						
						of (D)						
						(Instr. 3,						
						4, and 5)						
										Amount		
							Date	Expiration		or		
							Date		Number			
									of			
					Code V	(A) $(D)$				Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Parady Steven

PO BOX 940 EVP, Chief Fiduciary Officer

DAMARISCOTTA, ME 04543

### **Signatures**

Steven Parady 01/29/2016

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. 160;94104 X President & CEO

#### **Signatures**

John G. Stumpf, by Laurel A. Holschuh, as Attorney-in-Fact

08/04/2009

\*\*Signature of Reporting Person Date

Reporting Owners 2

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Share Right ("RSRs") represents a contingent right to receive one share of Wells Fargo & Company (the "Company") common stock.
  - These RSRs vest in two installments: two-thirds on 8/3/2011 and one-third on 8/3/2012, subject to the Company's prior repayment of certain percentages of aggregate financial assistance received by the Company under the U.S. Treasury's Troubled Asset Relief Program.
- (2) As a condition to receiving the grant, the reporting person agreed to hold, while employed by the Company and for at least one year after retirement, shares of Company common stock equal to at least 50% of the after-tax shares (assuming a 50% tax rate) acquired upon vesting.
- (3) Includes the following shares of Company common stock acquired under the Company's dividend reinvestment plan: 72.437 shares on 6/2/08, 70.37 shares on 9/2/08, 86.861 shares on 12/2/08, 209.506 shares on 3/2/09, and 13.353 shares on 6/1/09.
- (4) Includes the following shares of Company common stock acquired under the Company's dividend reinvestment plan: 50.443 shares on 6/2/08, 49.003 shares on 9/2/08, 60.487 shares on 12/2/08, 145.893 shares on 3/2/09, and 9.298 shares on 6/1/09.
- (5) Includes the following shares of Company common stock acquired under the Company's dividend reinvestment plan: 28.273 shares on 6/2/08, 44.239 shares on 9/2/08, 54.606 shares on 12/2/08, 131.709 shares on 3/2/09, and 8.395 shares on 6/1/09.
- (6) Reflects share equivalent of units in the Wells Fargo Stock Fund and ESOP Fund of the Company's 401(k) Plan as of May 29, 2009 as if investable cash equivalents held by the 401(k) Plan were fully invested in Company common stock.

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