

LADENBURG THALMANN FINANCIAL SERVICES INC  
Form 8-K  
August 16, 2013

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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of Earliest Event Reported):

August 15, 2013

Ladenburg Thalmann Financial Services Inc.

(Exact name of registrant as specified in its charter)

Florida

001-15799

650701248

(State or other jurisdiction  
of incorporation)

(Commission  
File Number)

(I.R.S. Employer  
Identification No.)

4400 Biscayne Blvd., 12th Floor, Miami,  
Florida

33137

(Address of principal executive offices)

(Zip Code)

Registrant's telephone number, including area code:

(212) 409-2000

Not Applicable

Former name or former address, if changed since last report

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))



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**Item 8.01 Other Events.**

On August 15, 2013, Ladenburg Thalmann Financial Services Inc. ("LTFS") purchased 3,000,000 shares of its common stock at a price of \$1.67 per share in a privately-negotiated transaction. This purchase was not made pursuant to LTFS' previously announced stock repurchase program, which remains in effect and permits LTFS to repurchase up to 3,972,104 shares of its common stock as of August 15, 2013. In addition, the following executive officers and directors, or their affiliates, purchased an aggregate of 697,000 shares of common stock at a price of \$1.67 in the same transaction: Phillip Frost, M.D. (500,000 shares), Jacqueline Simkin (87,000 shares), Richard Lampen (50,000 shares), Richard Rosenstock (20,000 shares), Adam Malamed (20,000 shares) and Mark Zeitchick (20,000 shares).

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**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Ladenburg Thalmann Financial Services Inc.

*August 15, 2013*

By: */s/ Brett H. Kaufman*

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*Name: Brett H. Kaufman*

*Title: Senior Vice President and Chief Financial Officer*