COLUMBUS MCKINNON CORP

Form 4

February 27, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person * Steinberg Richard A

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

COLUMBUS MCKINNON CORP

(Check all applicable)

[CMCO]

(Last)

3. Date of Earliest Transaction

Director _X__ Officer (give title

10% Owner Other (specify

140 JOHN JAMES AUDUBON

(First)

(Street)

(Month/Day/Year) 02/25/2015

(Middle)

below) V.P. - Human Resources

PARKWAY

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

AMHERST, NY 14228-1197

(City)	(State)	(Zip) Ta	ble I - Non	ı-Derivativ	e Secu	ırities Ac	quired, Disposed o	of, or Benefici	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit on(A) or Di (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock					(-)		14,390.0186	D	
Common Stock	02/25/2015		M	10,000	A	\$ 21.61	24,390.0186	D	
Common Stock	02/25/2015		S	8,647	D	\$ 26.54	15,743.0186 (1)	D	
Common Stcok							974.5191 (2)	D	
Common Stock							470,755.4809	I	Additional shares held by

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ESOP; reporting person is 1 of 3 trustees; DISCLAIMS beneficial ownership.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Secur (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Am or Nu of S
Incentive Stock Options (Right to Buy)	\$ 21.61	02/25/2015		M		10,000	10/16/2006	10/15/2015	Common Stock	10
Incentive Stock Options (Right to Buy)	\$ 28.45						05/19/2009	05/18/2018	Common Stock	1,
Non-Qualified Stock Options (Right to Buy)	\$ 13.27						05/18/2010	05/17/2019	Common Stock	3,
Non-Qualified Stock Options (Right to Buy)	\$ 18.24						05/17/2011	05/16/2020	Common Stock	2,
Non-Qualified Stock Options (Right to Buy)	\$ 19.5						05/23/2012	05/22/2021	Common Stock	2,
Non-Qualified Stock Options (Right to Buy)	\$ 13.43						05/21/2013	05/20/2022	Common Stock	4,
	\$ 18.95						05/20/2014	05/20/2023		

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Non-Qualified Stock Options (Right to Buy)			Common Stock	3,
Non-Qualified Stock Options (Right to Buy)	\$ 27.12	05/19/2015 05/19/2024	Common Stcok	1,

Reporting Owners

Reporting Owner Name / Address	Relationships						
18	Director	10% Owner	Officer	Other			
Steinberg Richard A			V.P				
140 JOHN JAMES AUDUBON PARKWAY			Human				
AMHERST, NY 14228-1197			Resources				

Signatures

Richard A. Steinberg 02/27/2015

**Signature of
Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Includes 7,590.0186 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 358.1479 shares become fully vested and non-forfeitable on 5/23/2015, 1,135.812 shares become fully vested and non-forfeitable 50% per year for two years

- (1) beginning 5/21/2015, 1,349.0913 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/20/2015, 2,359 shares become fully vested and non-forfeitable on 5/21/2015, 1,314.9674 shares become fully vested and non-forfeitable 25% for four years beginning 5/19/2015 and the remaining 1,073 shares become fully vested and non-forfeitable on 5/20/2016, if reporting person remains an employee of issuer.
- (2) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (3) The reporting person exercised 10,000 options on 2/25/2015. The remaining 12,409 options are all exercisable, subject to IRS limitations.
- (4) All exercisable, subject to IRS limitations.
- (5) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.

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