COLUMBUS MCKINNON CORP

Form 4 May 19, 2015

FORM 4

Section 16.

Form 4 or

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b).

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person * Steinberg Richard A

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

COLUMBUS MCKINNON CORP

[CMCO]

(Check all applicable)

OMB APPROVAL

Estimated average

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3235-0287

January 31,

2005

0.5

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Number:

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response...

140 JOHN JAMES AUDUBON

(Street)

(First)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 05/18/2015

Director 10% Owner _X__ Officer (give title Other (specify below) V.P. - Human Resources

PARKWAY

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person _ Form filed by More than One Reporting

AMHERST, NY 14228-1197

MWIILKS1, W1 14220-1177			Person						
(City)	(State)	(Zip) Ta	ble I - Non	-Derivativ	ve Sec	urities A	cquired, Disposed	of, or Benefic	cially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock					()		15,743.0186	D	
Common Stock	05/18/2015		A	6.629 (1)	A	\$ 24.94	15,749.6476 (2)	D	
Common Stcok							1,030.3018 (3)	D	
Common Stock							430,593.6982	I	Additional shares held by ESOP;

reporting

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person is 1 of 3 trustees; DISCLAIMS beneficial ownership.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Incentive Stock Options (Right to Buy)	\$ 21.61					10/16/2006	10/15/2015	Common Stock	12,409
Incentive Stock Options (Right to Buy)	\$ 28.45					05/19/2009	05/18/2018	Common Stock	1,307
Non-Qualified Stock Options (Right to Buy)	\$ 13.27					05/18/2010	05/17/2019	Common Stock	3,804
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011	05/16/2020	Common Stock	2,795
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012	05/22/2021	Common Stock	2,685
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013	05/20/2022	Common Stock	4,528
	\$ 18.95					05/20/2014	05/20/2023		

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Non-Qualified				Common	3,771
Stock Options				Stock	(8)
(Right to Buy)					
Non-Qualified Stock Options	\$ 27.12	05/19/2015	05/19/2024	Common	1,309
(Right to Buy)				Stcok	,

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Steinberg Richard A			V.P				
140 JOHN JAMES AUDUBON PARKWAY			Human				
AMHERST, NY 14228-1197			Resources				

Signatures

Richard A. Steinberg 05/19/2015

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents additional restricted stock units attributable to dividend reinvestment.
 - Includes 7,596.6476 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 358.7186 shares become fully vested and non-forfeitable on 5/23/2015, 1,137.622 shares become fully vested and non-forfeitable 50% per year for two years
- beginning 5/21/2015, 1,351.2411 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/20/2015, 2,359 shares become fully vested and non-forfeitable on 5/21/2015, 1,317.0659 shares become fully vested and non-forfeitable 25% for four years beginning 5/19/2015 and the remaining 1,073 shares become fully vested and non-forfeitable on 5/20/2016, if reporting person remains an employee of issuer.
- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (4) The reporting person exercised 10,000 options on 2/25/2015. The remaining 12,409 options are all exercisable, subject to IRS limitations.
- (5) All exercisable, subject to IRS limitations.
- (6) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- (9) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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