Kiley Michael E Form 4 November 01, 2006

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB 3235-0287

**OMB APPROVAL** 

Washington, D.C. 20549

Number: January 31, Expires:

2005

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

1.Title of

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \* Kiley Michael E

(First)

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

**COMPETITIVE TECHNOLOGIES** 

(Check all applicable)

INC [CTT]

10/31/2006

(Month/Day/Year)

3. Date of Earliest Transaction

Director 10% Owner X\_ Officer (give title below)

Other (specify

6. Ownership 7. Nature of

Indirect

Beneficial

Ownership

(Instr. 4)

Chief Operating Officer

C/O COMPETITIVE TECHNOLOGIES, INC., 777 COMMERCE DRIVE, SUITE 100

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

(Street) Filed(Month/Day/Year)

2. Transaction Date 2A. Deemed

(Middle)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

4. Securities Acquired 5. Amount of

FAIRFIELD, CT 06825

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Form: Direct Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities (Instr. 3) Code (D) Beneficially (D) or (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Following (Instr. 4) Reported (A) Transaction(s) (Instr. 3 and 4) Code V (D) Price Amount Common 5,357 09/06/2006 \$0 D Α 13,482 (1) Stock Common 10/31/2006 P 2,000 15,482 (2) D

3.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

### Edgar Filing: Kiley Michael E - Form 4

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amount of		Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ing	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	B) Derivative			Securities		(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	and 4)		Owne
	Security				Acquired					Follo	
	(A) or Disposed									Repo	
									Trans		
					of (D)						(Instr
					(Instr. 3, 4, and 5)						
								Δ	mount		
						Date Expiratio		Title N	ı Iumber		
						Exercisable	Date	01			
				Code V	(A) (D)				hares		

### **Reporting Owners**

Relationships Reporting Owner Name / Address Officer Other Director 10% Owner

Kiley Michael E C/O COMPETITIVE TECHNOLOGIES, INC. 777 COMMERCE DRIVE, SUITE 100 FAIRFIELD, CT 06825

**Chief Operating Officer** 

## **Signatures**

/s/Michael D. Davidson (Attorney in fact)

11/01/2006 Date

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares contributed by issuer to Mr. Kiley's account in the Company's 401(k) plan.
- Includes 6,982 shares contributed by issuer to Mr. Kiley's account in the Company's 401(k) plan, 5,237 of which are vested. Mr. Kiley **(2)** claims no benefical ownership in non-vested shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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