#### Edgar Filing: MDC PARTNERS INC - Form 3

#### MDC PARTNERS INC

Form 3

March 08, 2006

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement MDC PARTNERS INC [MDCA] A Swartzman Gavin (Month/Day/Year) 03/06/2006 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O MDC PARTNERS (Check all applicable) INC., Â 45 HAZELTON **AVENUE** 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Managing Director \_X\_ Form filed by One Reporting Person TORONTO. A6Â M5R 2E3 \_ Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership (Instr. 4) Ownership Form: (Instr. 5) (Instr. 4) Direct (D) or Indirect (I) (Instr. 5) Â Class A Subordinate Voting Shares 1,000 D Financial Performance-Based Restricted Â D 35,000 Stock Units (Class A) (1) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

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1. Title of Derivative Security (Instr. 4)	2. Date Exerci Expiration Da (Month/Day/Year)  Date Exercisable		3. Title and Am Securities Unde Derivative Secu (Instr. 4)	rlying	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
Stock Options (2)	10/09/2002	10/09/2007	Class A Subordinate Voting Shares	21,000	\$ 5.4 (3)	D	Â
Stock Appreciation Rights (4)	01/22/2004	01/22/2007	Class A Subordinate Voting Shares	35,000	\$ 5 (3)	D	Â
Stock Appreciation Rights (4)	08/24/2005	08/24/2008	Class A Subordinate Voting Shares	30,000	\$ 13.61 (3)	D	Â

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### **Reporting Owners**

Reporting Owner Name / Address	Keiauonsinps					
	Director	10% Owner	Officer	Other		
Swartzman Gavin						
C/O MDC PARTNERS INC.	â	â	Managing Director	Â		
45 HAZELTON AVENUE	A	Α	A Managing Director	А		
TORONTO. A6 M5R 2E3						

## **Signatures**

Gavin
Swartzman

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- A portion of the Financial Performance-Based Restricted Stock Units (Class A Shares) of the Issuer may vest in each of 2007, 2008 and / or 2009 if the Issuer achieves specified financial performance targets in 2006 2008, and is dependent on continued employment. Such financial targets are set forth in a "Financial Performance-Based Restricted Stock Unit Agreement", a form of which was filed by the Issuer as an exhibit to a Form 8-K filed with the SEC on March 2, 2006.
- (2) Stock Options (previously granted on October 9, 2002) vest 1/5 on grant, 1/5 on each of the following four anniversaries of the grant date, expiring five years from the grant date.
- (3) Canadian dollars.
- (4) Stock Appreciation Rights of the Issuer (previously granted on January 22, 2003 or on August 24, 2004) vest 1/3 on each anniversary of the grant date, expire 4 years from the grant date, and are settled in cash or stock, at the option of the Issuer.

Reporting Owners 2

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.