Edgar Filing: TriState Capital Holdings, Inc. - Form 8-K

TriState Capital Holdings, Inc. Form 8-K April 29, 2016

**UNITED STATES** SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 8-K

#### **CURRENT REPORT**

Pursuant to Section 13 OR 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): April 29, 2016

### TRISTATE CAPITAL HOLDINGS, INC.

(Exact name of registrant as specified in its charter)

Pennsylvania 001-35913 20-4929029

(State or other jurisdiction of incorporation or (Commission File (I.R.S. Employer Identification Number) No.)

organization)

One Oxford Centre

301 Grant Street, Suite 2700

Pittsburgh, Pennsylvania 15219

(Address of principal executive offices)

(Zip Code)

(412) 304-0304

(Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

<sup>&</sup>quot;Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

<sup>&</sup>quot;Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

<sup>&</sup>quot;Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

<sup>&</sup>quot;Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

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Item 8.01. Other Events.

On April 29, 2016, TriState Capital Holdings, Inc. closed its previously announced acquisition of investment management firm The Killen Group, Inc., advisor to The Berwyn Funds, with approximately \$2.1 billion in assets under management. The announcement was disclosed in a press release dated April 29, 2016. A copy of the press release is included as Exhibit 99 to this report.

Item 9.01. Financial Statements and Exhibits.

Exhibit No. Description 99 Press release dated April 29, 2016, filed herewith.

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## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

TRISTATE CAPITAL HOLDINGS, INC.

By/s/ James F. Getz James F. Getz Chairman, President and Chief Executive Officer

Date: April 29, 2016

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# EXHIBIT INDEX

Exhibit No. Description 99 Press release dated April 29, 2016, filed herewith.

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