Clough Global Equity Fund Form N-PX August 23, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number: 811-21712

CLOUGH GLOBAL EQUITY FUND

(Exact name of registrant as specified in charter)

1290 Broadway, Suite 1100, Denver, Colorado 80203

(Address of principal executive offices) (Zip code)

Sareena Khwaja-Dixon, Esq.
Clough Global Equity Fund
1290 Broadway, Suite 1100
Denver, Colorado 80203
(Name and address of agent for service)

Registrant's Telephone Number, including Area Code: (303) 623-2577

Date of fiscal year end: October 31

Date of reporting period: July 1, 2016 – June 30, 2017

Item 1 – Proxy Voting Record.

Vote Su AKOR								
Security	1	009728106			Meeting	Гуре	Annual	
Ticker S	Symbol	AKRX			Meeting l	Date	01-Jul-2016	
ISIN		US0097281069			Agenda		934429437 - N	Management
Record	Date	11-May-2016			Holding I Date	Recon	11-May-2016	
City /	Country	, , , , , , , , , , , , , , , , , , ,	nited ates		Vote Dea Date	dline	30-Jun-2016	
SEDOL	$L(\mathbf{s})$				Quick Code			
Item	Proposa	1		Proposed by	Vote		gainst gement	
1.	DIREC	ΓOR		Management				
	1 J	OHN KAPOOR, PHD			For	For		
	2 H	KENNETH ABRAMOW	VITZ		For	For		
	3 A	ADRIENNE GRAVES,	PHD		For	For		
	4 F	RONALD JOHNSON			For	For		
	5 S	STEVEN MEYER			For	For		
	6 7	TERRY ALLISON RAP	PUHN		For	For		
	7 F	BRIAN TAMBI			For	For		
	8 A	ALAN WEINSTEIN			For	For		
2.	APPOIN AS THI INDEPI PUBLIC	SAL TO RATIFY THE NTMENT OF BDO USA E COMPANY'S ENDENT REGISTERED C ACCOUNTING FIRM ENDING DECEMBER	A, LLP D M FOR	Management	For	For		
3.	THROU ADVIS COMPA COMPA DESCR	SAL TO APPROVE, JGH A NON- BINDING ORY VOTE, THE ANY'S EXECUTIVE ENSATION PROGRAM IBED IN THE COMPA ROXY STATEMENT.	1 AS	Management	For	For		
Accoun Number		Account Name	Internal Account	Ballot Shares	1	Unavaila Shares	ble ote Date	Date Confirmed
997AL	15	GLV BNP PLEDGE	997A Б 4 ST	SATE 9,000 CREET		18,800 2	1-May-2016	21-May-2016

BANK

				k TRUST					
997AL	47	GLQ BNP PLEDGE	997AL 7	STATE STREET SANK 47 SRUST	5,500		46,700 Z	21-May-2016	21-May-2016
997AL	49	GLO BNP PLEDGE	997AL 1	STATE STREET BANK 49 TRUST CO	5,200		111,100	21-May-2016	21-May-2016
HERCU	JLES CA	PITAL INC							
Security	y	427096508				Meeting 7	Гуре	Annual	
Ticker	Symbol	HTGC				Meeting l	Date	07-Jul-2016	
ISIN		US4270965084				Agenda		934423079 -	Management
Record	Date	15-Apr-2016				Holding I Date	Recon	15-Apr-2016	
City /	Country	7 /	Jnited tates			Vote Dea Date	dline	06-Jul-2016	
SEDOI	L(s)					Quick Co	de		
Item	Proposa	1		Propo by	osed	Vote		Against agement	
1A.		ION OF DIRECTOR: EL A. HENRIQUEZ		Mana	igement	For	For		
1B.	ELECT F. HOF	ION OF DIRECTOR: I FMAN	IOSEPH	Mana	igement	For	For		
2.	BASIS, EXECU	VE, ON AN ADVISO THE COMPANY'S N UTIVE OFFICER ENSATION.		Mana	igement	For	For		
3.	PRICEV LLP TO INDEPI ACCOU FISCAL	ICATION OF WATERHOUSECOOP D SERVE AS OUR ENDENT PUBLIC JNTING FIRM FOR T L YEAR ENDING MBER 31, 2016.		Mana	igement	For	For		
Accoun Numbe		Account Name	Interna Accour	ıl Custodia nt	Ballot Shares	1	Unavail Shares	able Vote Date	Date Confirmed

997GL	V	GLV	997GL 8	RUST	37,900		0	11-May-2016	08-Jul-2016
997GL	.Q	GLQ	S7 997GL &	RUST	61,900		0	11-May-2016	08-Jul-2016
ARMS	TRONG	WORLD INDUSTRIES	S, INC.						
Securit	.y	04247X102				Meeting	Type	Annual	
Ticker	Symbol	AWI				Meeting	Date	08-Jul-2016	
ISIN		US04247X1028				Agenda		934420237 -	Management
Record	l Date	15-Apr-2016				Holding Date	Recon	15-Apr-2016	
City /	Countr	V /	nited tates			Vote Dea Date	adline	07-Jul-2016	
SEDOL(s)						Quick Co	ode		
Item	Propos	al		Propo by	osed	Vote		/Against nagement	
1.	DIREC	CTOR		Mana	gement				
	1	STAN A. ASKREN				For	For		
	2	VICTOR D. GRIZZLE				For	For		
	3	TAO HUANG				For	For		
	4	LARRY S. MCWILLIA	MS			For	For		
	5	JAMES C. MELVILLE				For	For		
	6	JAMES J. O'CONNOR				For	For		
		JOHN J. ROBERTS				For	For		
		GREGORY P. SPIVY				For	For		
		CHERRYL T. THOMA				For	For		
2.	KPMG REGIS	TIFY THE SELECTION LLP AS OUR INDEPE TERED PUBLIC UNTING FIRM FOR 20	ENDENT	Mana	gement	For	For		
3.	ARMS INDUS	PROVE OF THE TRONG WORLD STRIES, INC. 2016 TORS' STOCK UNIT F	PLAN.	Mana	gement	For	For		
4.		PROVE OF THE TRONG WORLD		Mana	gement	For	For		

INDUSTRIES, INC. 2016 LONG-TERM INCENTIVE PLAN.

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unava Shares	uilable Vote Date	Date Confirmed
997GLO	GLO	STATE STREET 997G & 113,400 TRUST CO	0	07-May-2016	11-Jul-2016
997AL45	GLV BNP PLEDGE	STATE STREET 997A _{&} 45 27,400 TRUST CO	0	07-May-2016	11-Jul-2016
997AL47	GLQ BNP PLEDGE	STATE STREET 997A 47 45,200 TRUST CO	0	07-May-2016	11-Jul-2016
CHINA LON	GYUAN POWER GROU	P CORPORATION LTD			
Security	Y1501T101		Meeting Type	ExtraOrdinar Meeting	y General
Ticker Symbo	ıl		Meeting Date	12-Aug-2016)
ISIN	CNE100000HD4		Agenda	707215744 -	Management
Record Date	11-Jul-2016		Holding Recon Date	11-Jul-2016	
City / Cour	atry BEIJING / C	China	Vote Deadline Date	08-Aug-2016)
SEDOL(s)	B3MFW30 - B4Q2T2 B4XWG35 - BP3RS8		Quick Code		
Item Prop		Proposed by	VOTE	r/Against magement	
COM FOR CLIC http:/ 0624 http:/	/LTN20160624371.pdf-,-	Y NKS:- Non-Voting dco/listconews/SEHK/2016			

TO CONSIDER AND APPROVE THE APPOINTMENT OF MR. LUAN **BAOXING AS A NONEXECUTIVE** DIRECTOR OF THE COMPANY TO FILL THE VACANCY LEFT BY RESIGNATION OF MR. SHAO GUOYONG, WITH EFFECT FROM THE DATE OF THE EGM WHEN THE NOMINATION IS APPROVED BY THE SHAREHOLDERS OF THE COMPANY AND UNTIL THE EXPIRATION OF THE TERM OF THE CURRENT SESSION OF THE BOARD OF DIRECTORS OF THE COMPANY (THE "BOARD"). MEANWHILE. TO AUTHORIZE THE REMUNERATION AND ASSESSMENT COMMITTEE OF THE BOARD TO DETERMINE THE REMUNERATION OF THE NEW For For Management DIRECTORS ACCORDING TO THE **DIRECTORS' AND SUPERVISORS'** REMUNERATION PLAN FOR THE YEAR 2016 AS APPROVED AT THE ANNUAL GENERAL MEETING UPON THE CANDIDATES FOR THE NEW DIRECTORS AS APPROVED AT THE EGM, AND TO AUTHORIZE THE CHAIRMAN OF THE BOARD OF THE COMPANY OR ANY ONE OF THE EXECUTIVE DIRECTORS TO ENTER INTO A SERVICE CONTRACT WITH EACH OF THE NEW DIRECTORS AND HANDLE OTHER RELEVANT MATTERS ON BEHALF OF THE COMPANY UPON THE CANDIDATES FOR THE NEW DIRECTORS BEING APPROVED AT THE EGM TO CONSIDER AND APPROVE THE Management For For APPOINTMENT OF MR. YANG XIANGBIN AS A NONEXECUTIVE DIRECTOR OF THE COMPANY TO FILL THE VACANCY LEFT BY RESIGNATION OF MR. CHEN JINGDONG, WITH EFFECT FROM THE DATE OF THE EGM WHEN THE NOMINATION IS APPROVED

1

2

BY THE SHAREHOLDERS OF THE

COMPANY AND UNTIL THE EXPIRATION OF THE TERM OF THE CURRENT SESSION OF THE BOARD. MEANWHILE, TO AUTHORIZE THE REMUNERATION AND

ASSESSMENT COMMITTEE OF THE BOARD TO DETERMINE THE REMUNERATION OF THE NEW DIRECTORS ACCORDING TO THE DIRECTORS' AND SUPERVISORS' REMUNERATION PLAN FOR THE YEAR 2016 AS APPROVED AT THE ANNUAL GENERAL MEETING UPON THE CANDIDATES FOR THE NEW DIRECTORS AS APPROVED AT THE EGM, AND TO AUTHORIZE THE CHAIRMAN OF THE BOARD OF THE COMPANY OR ANY ONE OF THE EXECUTIVE DIRECTORS TO ENTER INTO A SERVICE CONTRACT WITH EACH OF THE NEW DIRECTORS AND HANDLE OTHER RELEVANT MATTERS ON BEHALF OF THE COMPANY UPON THE CANDIDATES FOR THE NEW DIRECTORS BEING APPROVED AT THE EGM.

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unava Shares	vote Date	Date Confirmed
GLV	GLV	STATE STREET 771,000 BANK	0	25-Jun-2016	10-Aug-2016
GLQ	GLQ	STATE STREET 1,253,000 BANK	0	25-Jun-2016	10-Aug-2016
GLO	GLO	STATE STREET 3,161,000 BANK	0	25-Jun-2016	10-Aug-2016
LIBERTY ME	DIA CORPORATION				
Security	531229409		Meeting Type	Annual	
Ticker Symbol	LSXMA		Meeting Date	23-Aug-2016	Ó
ISIN	US5312294094		Agenda	934458870 -	Management
Record Date	01-Jul-2016		Holding Recon Date	01-Jul-2016	

City /	Country /	United States		Vote Dead Date	dline	22-Aug-2016	
SEDOL				Quick Co	de		
Item	Proposal		Proposed by	Vote	For/A	Against agement	
1.	DIRECTOR		Management				
	1 JOHN C. MALONE			For	For		
	2 ROBERT R. BENNET	T		For	For		
	3 M. IAN G. GILCHRIS	T		For	For		
2.	A PROPOSAL TO RATIFY TO SELECTION OF KPMG LLP INDEPENDENT AUDITORS THE FISCAL YEAR ENDING DECEMBER 31, 2016.	AS OUR FOR	Management	For	For		
Accoun Numbe	Account Name		ustodian Ballot Shares	Į S	Jnavail Shares	able Vote Date	Date Confirmed
997AL	49 GLO BNP PLEDGE	S7 997AL	RUST	1	13,800	09-Jul-2016	24-Aug-2016
997AL0	61 GLQ MS PLEDGE	S7 997AL	RUST	() (09-Jul-2016	24-Aug-2016
LIBER'	TY INTERACTIVE CORPORA	ATION					
Security	y 53071M880			Meeting T	ype	Annual	
Ticker	Symbol LVNTA			Meeting I	Date	23-Aug-2016	
ISIN	US53071M8800			Agenda		934458882 - N	Management
Record	Date 01-Jul-2016			Holding R Date	Recon	01-Jul-2016	
City /	(Country /	United States		Vote Dead Date	dline	22-Aug-2016	
SEDOL	$\mathcal{L}(\mathbf{s})$			Quick Co	de		
Item	Proposal		Proposed by	Vote		Against agement	
1.	DIRECTOR		Management				
	1 JOHN C. MALONE			For	For		
	2 M. IAN G. GILCHRIS	T		For	For		

	3 MARK C. VADON		For	For
	4 ANDREA L. WONG		For	For
2.	A PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS OUR INDEPENDENT AUDITORS FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016.	Management	For	For
3.	A PROPOSAL TO ADOPT THE LIBERTY INTERACTIVE CORPORATION 2016 OMNIBUS INCENTIVE PLAN.	Management	For	For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Shares Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GLV 24,200 TRUST CO	0 09-Jul-2016	24-Aug-2016
997GLQ	GLQ	STATE STREET 997GLQ 26,100 TRUST CO	0 09-Jul-2016	24-Aug-2016
997GLO	GLO	STATE STREET 997GLO 65,800 TRUST CO	0 09-Jul-2016	24-Aug-2016
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 10,872 TRUST CO	0 09-Jul-2016	24-Aug-2016
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL 47 3,403 TRUST CO	64,000 09-Jul-2016	24-Aug-2016
997AL49	GLO BNP PLEDGE	997A ST ATE 14,471 STREET BANK	109,90009-Jul-2016	24-Aug-2016

		9	5	5	•	,			
			C	RUST CO TATE					
997AL	60	GLV MS PLEDGE	S 997AL T	TREET SANK 60 RUST	Γ 24,000		0	09-Jul-2016	24-Aug-2016
TITA CIA	T. DIC		C	CO					
	T, INC.	92552V100				Maating	r Tuno	Annual	
Security		92332 V 100 VSAT				Meeting			
ISIN	Symbol	US92552V1008				Meeting Agenda	-	08-Sep-2016 934463643 - 1	Managamant
13111		0392332 v 1008				Holding		934403043	wanagement
Record	Date	15-Jul-2016				Date	g Kecon	15-Jul-2016	
City /	Country	, ,	nited tates			Vote Do Date	eadline	07-Sep-2016	
SEDOI	رs)					Quick C	Code		
Item	Proposa	1		Propo by	osed	Vote		/Against nagement	
1.	DIREC	ΓOR		Mana	igement				
	1 F	RICHARD BALDRIDG	ŀΕ			For	For		
	2 E	B. ALLEN LAY				For	For		
	3 I	DR. JEFFREY NASH				For	For		
2.	OF PRI	CATION OF APPOIN CEWATERHOUSECO VIASAT'S INDEPEN	OPERS	Mana	ngement	For	For		
-		ΓERED PUBLIC JNTING FIRM FOR FI 2017	ISCAL	1120220	.84	1 01	101		
3.		ORY VOTE ON EXEC ENSATION	UTIVE	Mana	ngement	For	For		
Accoun Numbe		Account Name			Ballot Shares		Unava Shares	ilable Vote Date	Date Confirmed
997AL	45	GLV BNP PLEDGE	S 997AL T	TATE TREET SANK 45 TRUST CO	Γ 149		34,500	26-Jul-2016	09-Sep-2016
997AL4	47	GLQ BNP PLEDGE		47ATE TREET SANK			63,600	26-Jul-2016	09-Sep-2016

& TRUST CO STATE STREET PLEDGE 997AL49

997AL49 GLO BNP PLEDGE 997AL49 135

TRUST CO

GOLDMAN SACHS BDC, INC.

Security 38147U107 Meeting Type Annual

Ticker Symbol GSBD Meeting Date 29-Sep-2016

ISIN US38147U1079 Agenda 934387362 - Management

Record Date 04-Apr-2016 Holding Recon 04-Apr-2016

Date

United Vote Deadline

145,60026-Jul-2016

For

09-Sep-2016

City / Country / States Date 28-Sep-2016

SEDOL(s) Quick Code

Item Proposal Proposed by Vote For/Against Management

1A. ELECTION OF CLASS II
DIRECTOR: JAIME ARDILA

Management For For

1B. ELECTION OF CLASS II
DIRECTOR: RICHARD P. STRUBEL Management For For

ELECTION OF CLASS II

1C. DIRECTOR: KATHERINE Management For For

("KAYSIE") UNIACKE

TO AUTHORIZE THE COMPANY, WITH THE APPROVAL OF THE COMPANY'S BOARD OF DIRECTORS, TO SELL OR OTHERWISE ISSUE SHARES OF THE COMPANY'S COMMON

STOCK (DURING THE 12 MONTHS

2. FOLLOWING SUCH
2. Management For

AUTHORIZATION) AT A PRICE

BELOW THE THEN- CURRENT NET ASSET VALUE PER SHARE, PROVIDED THAT THE NUMBER OF SHARES ISSUED DOES NOT EXCEED 25% OF THE COMPANY'S

THEN- OUTSTANDING COMMON

STOCK.

Account Name Custodian Vote Date

Accoun Number		3	Interna Accour		Ballot Shares		Unavai Shares	lable	Date Confirmed
1 (011100	-			TATE			2114123		
				TREET					
997AL	47	GLQ BNP PLEDGE	997AL	47 X	53,000		0	13-Apr-2016	30-Sep-2016
				RUST CO					
HILTO	N WORL	DWIDE HOLDINGS	SINC.						
Security	y	43300A104				Meeting	g Type	Special	
Ticker S	Symbol	HLT				Meeting	g Date	04-Oct-2016	
ISIN		US43300A1043				Agenda	ŀ	934479216 - 1	Management
Record	Date	26-Aug-2016				Holding Date	g Recon	26-Aug-2016	
City /	Country		United States			Vote Do Date	eadline	03-Oct-2016	
SEDOL	$\mathcal{L}(\mathbf{s})$					Quick (Code		
Item	Proposa	1		Propo by	osed	Vote		/Against nagement	
1.	AMENI COMPA INCOR REVER	PROVE AND ADOPTOMENT TO THE ANY'S CERTIFICAT PORATION TO EFF. SE STOCK SPLIT A ASE AUTHORIZED	E OF ECT A ND	Mana	agement	For	For		
2.	TO API	PROVE AN ADJOUR E SPECIAL MEETIN		Mana	agement	For	For		
Account Number		Account Name	Interna Accour	l Lustodia nt	Ballot Shares		Unavai Shares	lable Vote Date	Date Confirmed
997GL	V	GLV	S 997GI T	TATE TREET BANK TRUST CO	Γ 20,000		0	09-Sep-2016	05-Oct-2016
997GL0	Q	GLQ	S 997G <mark>F</mark> 7	TATE TREET SANK Q TRUST CO	Γ 30,000		0	09-Sep-2016	05-Oct-2016
997GL0	0	GLO		O ATE			0	09-Sep-2016	05-Oct-2016

		BANK &			
		TRUST			
		CO STATE			
		STREET			
997AL49	GLO BNP PLEDGE 997A	BANK L49 100 &	159,900	09-Sep-2016	05-Oct-2016
		TRUST			
		CO STATE			
		STREET			
997AL60	GLV MS PLEDGE 997A	BANK L60 19,800	0	09-Sep-2016	05-Oct-2016
		TRUST CO			
		STATE			
		STREET			
997AL61	GLQ MS PLEDGE 997A	BANK L61 34,300	0	09-Sep-2016	05-Oct-2016
		TRUST CO			
SINOPHARM G	ROUP CO. LTD.				
Security	Y8008N107		Meeting Type	ExtraOrdinar Meeting	y General
Ticker Symbol			Meeting Date	18-Oct-2016	
ISIN	CNE100000FN7		Agenda	707423264 -	Management
Record Date	15-Sep-2016		Holding Recon Date	15-Sep-2016	
City / Country	SHANGH AI / China		Vote Deadline Date	04-Oct-2016	
SEDOL(s)	B3ZVDV0 - B4M8B73 - B5NVZ21 - BP3RXT2		Quick Code		
	DJIVVZZI - DI JRXIZ				
Item Proposa	.1	Proposed by	VAIE	Against agement	
	E NOTE THAT THIS IS AN				
	DMENT TO MEETING ID DUE TO ADDITION OF-				
	UTION 2. ALL VOTES VED ON THE PREVIOUS	Non Voting			
	NG WILL BE	Non-Voting			
	GARDED-AND YOU WILL TO REINSTRUCT ON THIS				
	NG NOTICE. THANK YOU				

NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING ON

THE-URL LINKS:-

CMMT

http://www.hkexnews.hk/listedco/listconews/sehk/2016/0

930/ltn20160930476.pdf,-

http://www.hkexnews.hk/listedco/listconews/sehk/2016/0

930/ltn20160930421.pdf,-

http://www.hkexnews.hk/listedco/listconews/sehk/2016/0

901/ltn201609011181.pdf-AND-

http://www.hkexnews.hk/listedco/listconews/sehk/2016/0

901/ltn201609011318.pdf

PLEASE NOTE IN THE HONG

KONG MARKET THAT A VOTE OF

CMMT "ABSTAIN" WILL BE

Non-Voting

Management

For

For

TREATED-THE SAME AS A "TAKE

NO ACTION" VOTE

TO CONSIDER AND, IF THOUGHT

FIT, TO APPROVE: "THAT (I) THE

ADOPTION OF THE SCHEME (AS

DEFINED IN THE CIRCULAR

DATED 1 SEPTEMBER 2016

DESPATCHED TO THE

SHAREHOLDERS OF THE

COMPANY) BY THE COMPANY

AND THE DELEGATION OF

AUTHORIZATION TO ANY

DIRECTOR OF THE COMPANY OR

AUTHORIZED REPRESENTATIVE

OF THE BOARD TO EXECUTE ANY

DOCUMENTS AND INSTRUMENTS

AS MAY BE NECESSARY OR

1 INCIDENTAL TO THE ADOPTION

OF THE SCHEME AND TO DO ALL

SUCH ACTS AND THINGS AS

THEY CONSIDER NECESSARY OR

EXPEDIENT OR DESIRABLE IN

CONNECTION WITH THE

IMPLEMENTATION OF THE

SCHEME; AND (II) THE BOARD BE

AND IS HEREBY AUTHORIZED TO

IMPLEMENT THE SCHEME AS

WELL AS TO APPROVE GRANTS

OF RESTRICTED SHARES UNDER

THE SCHEME FROM TIME TO

TIME (INCLUDING BUT NOT

LIMITED TO THE INITIAL GRANT

OF THE SCHEME)"

2 Management For For

TO CONSIDER AND, IF THOUGHT FIT, TO APPROVE THE APPOINTMENT OF MR. MA PING AS A NON-EXECUTIVE DIRECTOR OF THE COMPANY, TO AUTHORISE THE BOARD OF DIRECTORS OF THE COMPANY TO DETERMINE HIS REMUNERATION, AND TO AUTHORISE ANY EXECUTIVE DIRECTOR OF THE COMPANY TO EXECUTE A SERVICE CONTRACT OR SUCH OTHER DOCUMENTS OR SUPPLEMENTAL AGREEMENTS OR DEEDS ON BEHALF OF THE **COMPANY**

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unava Shares	ilable Vote Date	Date Confirmed
GLV	GLV	STATE STREET 142,400 BANK	0	01-Oct-2016	12-Oct-2016
GLQ	GLQ	STATE STREET 236,800 BANK	0	01-Oct-2016	12-Oct-2016
GLO	GLO	STATE STREET 583,600 BANK	0	01-Oct-2016	12-Oct-2016
BYD COMPA	NY LTD, SHENZHEN				
Security	Y1023R104		Meeting Type	ExtraOrdinar Meeting	ry General
Ticker Symbo	1		Meeting Date	01-Nov-2016	Ó
ISIN	CNE100000296		Agenda	707516110 -	Management
Record Date	30-Sep-2016		Holding Recon Date	30-Sep-2016	
City / Coun	try SHENZH EN / C	hina	Vote Deadline Date	04-Oct-2016	
SEDOL(s)	6536651 - B01XKF2 B0WVS95 - BD8GJR		Quick Code		
Item Propo	osal	Proposed by	Vote	Against nagement	
AME	ASE NOTE THAT THIS I NDMENT TO MEETING 15 DUE TO ADDITION (G ID			

RESOLUTION 3. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE **DISREGARDED-IF VOTE** DEADLINE EXTENSIONS ARE GRANTED. THEREFORE PLEASE REINSTRUCT ON THIS- MEETING NOTICE ON THE NEW JOB. IF HOWEVER VOTE DEADLINE **EXTENSIONS ARE NOT-GRANTED** IN THE MARKET, THIS MEETING WILL BE INACTIVATED AND YOUR VOTE-INTENTIONS ON THE ORIGINAL MEETING WILL BE APPLICABLE. PLEASE ENSURE **VOTING-IS SUBMITTED PRIOR TO** CUTOFF ON THE ORIGINAL MEETING, AND AS SOON AS POSSIBLE-ON THIS NEW AMENDED MEETING. THANK YOU. PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:http://www.hkexnews.hk/listedco/listconews/SEHK/2016/ 0913/LTN20160913500.pdf,-**CMMT** http://www.hkexnews.hk/listedco/listconews/SEHK/2016/ 1019/LTN20161019505.pdf-ANDhttp://www.hkexnews.hk/listedco/listconews/SEHK/2016/ 0913/LTN20160913545.pdf,http://www.hkexnews.hk/listedco/listconews/SEHK/2016/ 1019/LTN20161019509.pdf TO CONSIDER AND APPROVE THE INTERIM PROFIT DISTRIBUTION Management For For PLAN OF THE COMPANY FOR THE SIX MONTHS ENDED 30 JUNE 2016 TO CONSIDER AND APPROVE THE PROPOSED CHANGE OF THE COMPANY'S BUSINESS SCOPE Management For For AND AMENDMENT TO THE ARTICLES OF ASSOCIATION OF THE COMPANY: ARTICLE 11 TO CONSIDER AND APPROVE THE PROPOSED FURTHER CHANGE OF THE COMPANY'S BUSINESS For For Management SCOPE AND AMENDMENT TO THE ARTICLES OF ASSOCIATION OF

1

2

3

THE COMPANY: ARTICLE 11

Account Number	ant Account Name Internal Account		l Ballot Eustodian nt Shares	Unavai Shares		ilable Vote Date	Date Confirmed	
GLQ		GLQ	S S	TATE TREET 150,500 BANK		0	20-Oct-2016	27-Oct-2016
GLO		GLO	S	TATE TREET 380,000 BANK		0	20-Oct-2016	27-Oct-2016
LIBERT	ΓΥ INT	ERACTIVE CORPORA	ATION					
Security	/	53071M880			Meeting	Type	Special	
Ticker S	Symbol	LVNTA			Meeting	Date	01-Nov-2010	6
ISIN		US53071M8800			Agenda		934488152 -	Management
Record l	Date	28-Sep-2016			Holding Date	Recon	28-Sep-2016	Ó
City /	Countr	·	United States		Vote Dea Date	adline	31-Oct-2016	j
SEDOL	L(s)				Quick Co	ode		
Item	Propos	al		Proposed by	Vote		Against nagement	
1.	REDE INTER A POR OUTS' LIBER INC., 'LIBER CORP AND 'LIBER AND 'CORP AND 'COR	OPOSAL TO APPROVEMPTION BY LIBERT'S ACTIVE CORPORATE TION OF THE TANDING SHARES OF THE T	Y TION OF OF IMON OF NGS, LD SHIP IN EE	Management	For	For		
2.	ADJO MEET INTER PERM OF PR APPRO VOTE AT TH	POSAL TO AUTHOR URNMENT OF THE SING BY LIBERTY RACTIVE CORPORATIF FURTHER SOLICITOXIES, IF NECESSALOPRIATE, IF SUFFICITS ARE NOT REPRESIFIES SPECIAL MEETING OVE THE OTHER PRODUCT OF THE PRODUCT OF THE PRODUCT OF THE OTHER PRODUCT OTHER PROD	PECIAL TION TO TATION RY OR ENT ENTED G TO	Management	For	For		

TO BE PRESENTED AT THE SPECIAL MEETING.

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Shares	Date Confirmed
997GLV	GLV	STATE STREET 997GLV 1,572 TRUST CO	0 05-Oct-20	016 02-Nov-2016
997GLQ	GLQ	STATE STREET 997GLQ 4,200 TRUST CO	0 05-Oct-20	016 02-Nov-2016
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 15,003 TRUST CO	44,000 05-Oct-20	016 02-Nov-2016
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 3,871 TRUST CO	109,90005-Oct-20	016 02-Nov-2016
997AL60	GLV MS PLEDGE	STATE STREET 997AL60 24,000 TRUST CO	0 05-Oct-20	016 02-Nov-2016
THE WILLIA	MS COMPANIES, INC.			
Security	969457100		Meeting Type Annual	
Ticker Symbol			Meeting Date 23-Nov	
ISIN	US9694571004		_	579 - Management
Record Date	07-Oct-2016		Holding Recon Date 07-Oct-	2016
City / Count	rv /	Jnited States	Vote Deadline Date 22-Nov	-2016
SEDOL(s)			Quick Code	
Item Propo	sal		Vote	

			Proposed by			r/Against nnagement	
1A.	ELECTION OF DIRECTOR: AL ARMSTRONG	LAN S.	Management	For	For	r	
1B.	ELECTION OF DIRECTOR: STEPHEN W. BERGSTROM		Management	For	Fo	r	
1C.	ELECTION OF DIRECTOR: STEPHEN I. CHAZEN		Management	For	Fo	r	
1D.	ELECTION OF DIRECTOR: KATHLEEN B. COOPER		Management	For	Fo	r	
1E.	ELECTION OF DIRECTOR: PE A. RAGAUSS	ETER	Management	For	Fo	r	
1F.	ELECTION OF DIRECTOR: SC D. SHEFFIELD	COTT	Management	For	Fo	r	
1G.	ELECTION OF DIRECTOR: MURRAY D. SMITH		Management	For	For	r	
1H.	ELECTION OF DIRECTOR: WILLIAM H. SPENCE		Management	For	Fo	r	
1I.	ELECTION OF DIRECTOR: JA D. STONEY	NICE	Management	For	Fo	r	
2.	RATIFICATION OF ERNST & YOUNG LLP AS AUDITORS F 2016.	OR	Management	For	For	r	
3.	APPROVAL, BY NONBINDING ADVISORY VOTE, OF THE COMPANY'S EXECUTIVE COMPENSATION.		Management	For	Fo	r	
Accoun Number	t Account Name	Internal Cu Account	Ballot astodian Shares		Unava Shares	nilable Vote Date	Date Confirmed
997AL4	47 GLQ BNP PLEDGE	ST 997AL4	RUST		63,100	0 20-Oct-2016	20-Oct-2016
997AL4	49 GLO BNP PLEDGE	ST ST BA 997AL4	TATE TREET ANK 100 RUST		159,70	0020-Oct-2016	20-Oct-2016
997AL6	60 GLV MS PLEDGE 9	ST	TOATE 39,100 TREET ANK		0	20-Oct-2016	20-Oct-2016

& **TRUST** CO

A N	/CI	URG	CO	DD
Δ	$\mathbf{v}_{\mathbf{L}}$	$\mathbf{O}\mathbf{N}\mathbf{O}$	\sim	'INF.

Security 03232P405 Meeting Type Special

Ticker Symbol Meeting Date 28-Nov-2016 **AMSG**

ISIN US03232P4054 Agenda 934494826 - Management

Holding Recon Record Date 07-Oct-2016 07-Oct-2016

Date

United Vote Deadline 25-Nov-2016 City / Country States

Date

SEDOL(s) Quick Code

Proposed For/Against Item Proposal Vote Management by

TO CONSIDER AND VOTE ON A PROPOSAL TO APPROVE THE AGREEMENT AND PLAN OF MERGER, DATED AS OF JUNE 15,

2016 (THE "MERGER

AGREEMENT"), BY AND AMONG 1. Management For For **ENVISION HEALTHCARE**

HOLDINGS, INC., AMSURG CORP.

AND NEW AMETHYST CORP., AND

THE TRANSACTIONS CONTEMPLATED BY THE

MERGER AGREEMENT. TO CONSIDER AND VOTE ON A

NON-BINDING, ADVISORY PROPOSAL TO APPROVE THE COMPENSATION THAT MAY

2. BECOME PAYABLE TO AMSURG'S Management For For

NAMED EXECUTIVE OFFICERS IN

CONNECTION WITH THE CONSUMMATION OF THE

MERGER.

TO CONSIDER A VOTE ON A PROPOSAL TO APPROVE ANY MOTION TO ADJOURN THE

3. AMSURG SPECIAL MEETING IF Management For For

> NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL

PROXIES.

Internal Ballot Custodian Shares Unavailable Shares Vote Date Account Date Account Name Number Confirmed

997GLO	GLO	STATE STREET 997GLO 6,400 TRUST CO	0	26-Oct-2016	29-Nov-2016
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 2,200 TRUST CO	6,200	26-Oct-2016	29-Nov-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK 997AL47 2,700 TRUST CO	13,600	26-Oct-2016	29-Nov-2016
997AL49	GLO BNP PLEDGE	STATE STREET BANK 997AL49 100 TRUST CO	34,500	26-Oct-2016	29-Nov-2016
CHR. HANSEN	N HOLDING A/S	20			
Security	K1830B107		Meeting Type	Annual Gene	eral Meeting
Ticker Symbol			Meeting Date	29-Nov-2016	
ISIN	DK0060227585		Agenda	707583793 -	Management
Record Date	22-Nov-2016		Holding Recon Date	22-Nov-2016	6
City / Countr	y HORSHO LM / D	enmark	Vote Deadline Date	18-Nov-2016	6
SEDOL(s)	B3LL574 - B3SMD32 B573M11 - B63NJ00 BHZLC88		Quick Code		
Item Propos	al	Proposed by	VOTA	'Against nagement	
THE V REGIS CLIEN SMAL MEET NO-RI	E MAJORITY OF MEE OTES ARE CAST WIT OTRAR WHO WILL-FO OT INSTRUCTIONS. IN L PERCENTAGE OF INGS THERE IS EGISTRAR AND CLIEN S MAY BE CAST BY T	TH THE LLOW A			

CHAIRMAN OF THE BOARD OR A-BOARD MEMBER AS PROXY. CLIENTS CAN ONLY EXPECT THEM TO ACCEPT PRO-MANAGEMENT-VOTES. THE ONLY WAY TO GUARANTEE THAT ABSTAIN AND/OR AGAINST **VOTES ARE-REPRESENTED AT** THE MEETING IS TO SEND YOUR OWN REPRESENTATIVE OR ATTEND THE-MEETING IN PERSON. THE SUB CUSTODIAN BANKS OFFER REPRESENTATION SERVICES FOR- AN ADDED FEE IF REQUESTED. THANK YOU PLEASE BE ADVISED THAT SPLIT AND PARTIAL VOTING IS NOT AUTHORISED FOR A-BENEFICIAL CMMT OWNER IN THE DANISH MARKET. Non-Voting PLEASE CONTACT YOUR GLOBAL **CUSTODIAN-FOR FURTHER** INFORMATION. IMPORTANT MARKET PROCESSING REQUIREMENT: A BENEFICIAL OWNER SIGNED POWER OF- ATTORNEY (POA) IS REQUIRED IN ORDER TO LODGE AND EXECUTE YOUR VOTING-CMMT INSTRUCTIONS IN THIS MARKET. Non-Voting ABSENCE OF A POA. MAY CAUSE YOUR INSTRUCTIONS TO-BE REJECTED. IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT YOUR CLIENT SERVICE-REPRESENTATIVE PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR **CMMT** Non-Voting 'ABSTAIN'-ONLY FOR RESOLUTIONS 6.A.A, 6.B.A TO 6.B.F AND 7.A. THANK YOU REPORT ON THE COMPANY'S Non-Voting **ACTIVITIES** APPROVAL OF THE 2015/16 Management For For ANNUAL REPORT RESOLUTION ON THE Management For For APPROPRIATION OF PROFIT OR

1

2

3

COVERING OF LOSS: DKK 5.23 PER

	SHARE			
4	DECISION ON REMUNERATION OF MEMBERS OF THE BOARD OF DIRECTORS	Management	For	For
5.A	PROPOSALS FROM THE BOARD OF DIRECTOR: AMENDMENT OF ARTICLES OF ASSOCIATION TO REFLECT COMPUTERSHARE A/S AS NEW COMPANY REGISTRAR	Management	For	For
5.B	PROPOSALS FROM THE BOARD OF DIRECTOR: AMENDMENT OF ARTICLES OF ASSOCIATION TO REFLECT LEGAL NAME CHANGE OF NASDAQ OMX COPENHAGEN A/S	Management	For	For
6.A.A	RE-ELECTION OF CHAIRMAN OF THE BOARD OF DIRECTOR: OLE ANDERSEN	Management	For	For
6.B.A	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: FREDERIC STEVENIN	Management	For	For
6.B.B	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: MARK WILSON	Management	For	For
6.B.C	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: DOMINIQUE REINICHE	Management	For	For
6.B.D	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: TIINA MATTILA-SANDHOLM	Management	For	For
6.B.E	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: KRISTIAN VILLUMSEN	Management	For	For
6.B.F	ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: LUIS CANTARELL ROCAMORA	Management	For	For
7.A	RE-ELECTION OF PRICEWATERHOUSECOOPERS STATSAUTORISERET REVISIONSPARTNERSELSKAB	Management	For	For
8	AUTHORIZATION OF THE CHAIRMAN OF THE ANNUAL GENERAL MEETING	Management	For	For

07 NOV 2016: PLEASE NOTE THAT THIS IS A REVISION DUE TO RECEIPT OF DIVIDEND-AMOUNT. IF YOU HAVE ALREADY SENT IN

CMMT YOUR VOTES, PLEASE DO NOT

VOTE AGAIN-UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU. Non-Voting

Accour Numbe	Account Name	Internal Ballot Custodian Account Shares	l Ballot Unavai ustodian Shares Shares		lable Vote Date	Date Confirmed		
GLV	GLV	STATE STREET 19,244 BANK	(0	05-Nov-2016	22-Nov-2016		
GLQ	GLQ	STATE STREET 31,409 BANK	(0	05-Nov-2016	22-Nov-2016		
GLO	GLO	STATE STREET 78,002 BANK	(0	05-Nov-2016	22-Nov-2016		
MICROSOFT CORPORATION								
Securit	y 594918104		Meeting 7	Гуре	Annual			
Ticker	Symbol MSFT		Meeting I	Date	30-Nov-2016			
ISIN	US5949181045		Agenda		934491224 -	Management		
Record	Date 30-Sep-2016		Holding I Date	Recon	30-Sep-2016			
City /	Country	, United States	Vote Dea Date	dline	29-Nov-2016	i		
SEDOI	$\mathcal{L}(s)$		Quick Code					
Item	Proposal	Proposed by	Vote		Against agement			
1A.	ELECTION OF DIRECTO	R:						
	WILLIAM H. GATES III	Management	For	For				
1B.	WILLIAM H. GATES III ELECTION OF DIRECTO LIST-STOLL	Management	For	For				
1B. 1C.	ELECTION OF DIRECTO	Management R: TERI L. Management						
	ELECTION OF DIRECTO LIST-STOLL ELECTION OF DIRECTO	R: TERI L. Management R: G. Management	For	For				
1C.	ELECTION OF DIRECTO LIST-STOLL ELECTION OF DIRECTO MASON MORFIT ELECTION OF DIRECTO	R: TERI L. Management R: G. Management Management Management Management	For For	For				

1G.	ELECTION OF DIRECTOR: SANDRA E. PETERSON		Management	For	For	
1H.	ELECTION OF DIRECTOR: CHARLES W. SCHARF		Management	For	For	
1I.	ELECTION OF DIRECTOR: JOW. STANTON	OHN	Management	For	For	
1J.	ELECTION OF DIRECTOR: JOW. THOMPSON	OHN	Management	For	For	
1K.	ELECTION OF DIRECTOR: PADMASREE WARRIOR		Management	For	For	
2.	ADVISORY VOTE TO APPRONAMED EXECUTIVE OFFICE COMPENSATION		Management	For	For	
3.	RATIFICATION OF DELOITT TOUCHE LLP AS OUR INDEPENDENT AUDITOR FO FISCAL YEAR 2017		Management	For	For	
4.	APPROVAL OF AMENDMEN OUR AMENDED AND RESTA ARTICLES OF INCORPORAT	ATED	Management	For	For	
5.	APPROVAL OF FRENCH SUBUNDER THE 2001 STOCK PL		Management	For	For	
6.	SHAREHOLDER PROPOSAL REQUESTING CERTAIN PRO ACCESS BYLAW AMENDME	OXY	Shareholder	Against	For	
Account Number	Account Name		t Shares		Unavailable Vote Date Shares	Date Confirmed
997AL4	GLV BNP PLEDGE	S7 997AL4	ΓΑΤΕ ΓREET ANK 15 100 RUST O		39,600 20-Oct-2016	01-Dec-2016
997AL4		ST 997AL & TI CO			48,500 20-Oct-2016	01-Dec-2016
997AL4	9 GLO BNP PLEDGE	B. &	ΓREET ANK		121,30020-Oct-2016	01-Dec-2016

		Edgar Fili	ng: Clough Glo	bal Equity	Fund - Fo	orm N-	PX	
			CO STATE STREET					
997AL60		GLV MS PLEDGE	997AL BANK 600 TRUST CO	20,400		0	20-Oct-2016	01-Dec-2016
DIAMO	ONDBAC	CK ENERGY, INC.						
Securit	у	25278X109			Meeting	Type	Special	
Ticker	Symbol	FANG			Meeting	Date	07-Dec-2016	
ISIN		US25278X1090			Agenda		934506392 - 1	Management
Record	Date	11-Nov-2016			Holding Date	Recon	11-Nov-2016	
City /	Country	7 /	Inited tates		Vote Dea Date	adline	06-Dec-2016	
SEDOI	L(s)				Quick Co	ode		
Item	Proposa	ıl	Propo by	osed	Vote		/Against nagement	
1.	AMENTE ENERGE RESTATINCORTHE TO AUTHOROMM	OSAL TO APPROVE ADMENT TO DIAMON GY, INC.'S AMENDED TED CERTIFICATE OF PORATION TO INCR OTAL NUMBER OF ORIZED SHARES OF ION STOCK FROM 0,000 TO 200,000,000.	DBACK AND DF	gement	For	For		
Accour Numbe		Account Name	Internal Custodia Account STATE STREET			Unava Shares	ilable Vote Date	Date Confirmed
997AL	47	GLQ BNP PLEDGE	997AL47 TRUST CO	2,500		11,300	19-Nov-2016	08-Dec-2016
			STATE STREET	7				

AGNC INVESTMENT CORP

997AL49

GLO BNP PLEDGE

Security 00123Q104 Meeting Type Special

TRUST CO 08-Dec-2016

28,800 19-Nov-2016

T. 1 C 1 1	_aga		.g.:) . d	D 4	00 D 2016		
Ticker Symbol ISIN	AGNC			Meeting		09-Dec-2016		
1911/				Agenda Holding		934498127 -	934498127 - Management	
Record Date	24-Oct-2016			Date	Recoil	24-Oct-2016		
City / Country	J /	Inited tates		Vote De	eadline	08-Dec-2016	08-Dec-2016	
SEDOL(s)				Quick C	Code			
Item Proposa	n Proposal Proposed by			Vote	Vote For/Against Management			
APPROVAL OF THE AGNC INVESTMENT CORP. 2016 EQUITY AND INCENTIVE COMPENSATION PLAN. Management					For			
Account Number	Account Name	Internal Accoun	TISTOCIAN		Unava Shares	ilable Vote Date	Date Confirmed	
997AL45	GLV BNP PLEDGE	997AL T	TATE TREET SANK 45 165,300 RUST		0	04-Nov-2016	12-Dec-2016	
997AL47	GLQ BNP PLEDGE	S' S' 997AL & T	TATE TREET		0	04-Nov-2016	12-Dec-2016	
997AL49	GLO BNP PLEDGE	997AL T	TATE TREET ANK 679,599 TRUST		0	04-Nov-2016	12-Dec-2016	
ARES CAPITAL	L CORPORATION							
Security	04010L103			Meeting	g Type	Special		
Ticker Symbol	ARCC			Meeting		15-Dec-2016		
ISIN	US04010L1035			Agenda		934494864 -	Management	
Record Date	17-Oct-2016			Holding Date	Recon	17-Oct-2016		
City / Country	J /	Inited tates		Vote De Date	eadline	14-Dec-2016		
SEDOL(s)				Quick C	Code			
Item Proposa	al			Vote				

		Proposed by		For/Against Management	
1.	TO APPROVE THE ISSUANCE THE SHARES OF ARES CAP COMMON STOCK TO BE ISSUANCE TO BE ISSUANCE TO THE AGREE AND PLAN OF MERGER, AS AGREEMENT MAY BE AME FROM TIME TO TIME, DATE OF MAY 23, 2016 (THE "MERGEMENT"), BY AND AM ARES CAPITAL, AMERICAN CAPITAL, LTD., ORION ACQUISITION SUB, INC., IV ASSET MANAGEMENT, L.P. ("IHAM"), IVY HILL ASSET MANAGEMENT GP, LLC, IN CAPACITY AS GENERAL PARTNER OF IHAM, AMERICAPITAL ASSET MANAGEMENT GP, LLC, IN CAPITAL ASSET MANAGEMENT GP, LLC, IN CAPACITY AS GENERAL PARTNER OF IHAM, AMERICAPITAL ASSET MANAGEMENT GP, LLC, AND SOLELY FOR THE (DUE TO SPACE LIMITS, SPROXY STATEMENT FOR FROPOSAL).	ITAL SUED EMENT S SUCH ENDED ED AS RGER MONG N TY HILL ITS ICAN MENT, E SEE	For	For	
2.	TO APPROVE THE ISSUANCE THE SHARES OF ARES CAP COMMON STOCK TO BE ISSUANT TO THE MERGE AGREEMENT IN ACCORDAL WITH NASDAQ LISTING RUREQUIREMENTS.	ITAL SUED ER Management NCE	For	For	
3.	TO APPROVE THE ADJOUR OF THE ARES CAPITAL SPE MEETING, IF NECESSARY OF APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF TARE NOT SUFFICIENT VOT THE TIME OF THE ARES CASPECIAL MEETING TO APPEROPOSAL 1 OR PROPOSAL	ECIAL OR THERE Management ES AT APITAL ROVE	For	For	
Accoun Number	Account Name	Internal Ballot Custodian Account Shares		Unavailable Vote Date Shares	Date Confirmed
997GL0	Q GLQ	997GEQATE 57,900 STREET BANK & TRUST		0 26-Oct-2016	16-Dec-2016

				CO					
997AL4	1 5	GLV BNP PLEDGI	E 997AI	STATE STREET BANK \$45 FRUST CO	Γ 291,600	0		26-Oct-2016	16-Dec-2016
997AL4	1 7	GLQ BNP PLEDGI	E 997AI	STATE STREET BANK \$\frac{4}{2} FRUST CO	Г 335,300	0		26-Oct-2016	16-Dec-2016
997AL4	1 9	GLO BNP PLEDGI	E 997AI	STATE STREET BANK \$49 FRUST CO	Г 964,300	0		26-Oct-2016	16-Dec-2016
997AL5	53	GLO MS PLEDGE	997AI	STATE STREET BANK \$53 FRUST CO	Г 80,000	0		26-Oct-2016	16-Dec-2016
AKORN	N, INC.								
Security	1	009728106				Meeting Ty	ype	Special	
Ticker S	Symbol	AKRX				Meeting Date 16-Dec-2016			
ISIN		US0097281069				Agenda		934505225 -	Management
Record	Date	28-Oct-2016				Holding Re Date	econ	28-Oct-2016	
City /	Country	/	United States			Vote Deadl Date	line	15-Dec-2016	
SEDOL	$L(\mathbf{s})$					Quick Code	.e		
Item	Proposa	1		Propo by	osed	Vote		Against agement	
1.	AKORN	SAL TO APPROVE N, INC. 2016 EMPLO PURCHASE PLAN	OYEE	Mana	gement	For	For		
2.	AMENI RESTA	SAL TO APPROVE DMENT AND TEMENT OF THE A 14 STOCK OPTION	AKORN,	Mana	igement	For	For		

Account Name Custodian Vote Date

Account Number		Internal Account	Ballot Shares	Unavai Shares	lable	Date Confirmed
997GLV	GLV	STATE STREET BANK 997GLV TRUST CO	7 20,500	0	18-Nov-2016	19-Dec-2016
997GLQ	GLQ	STATE STREET 997GLQ TRUST CO	Г 7,200	0	18-Nov-2016	19-Dec-2016
997GLO	GLO	STATE STREET BANK 997GK TRUST CO	Г 34,300	0	18-Nov-2016	19-Dec-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK 997AL47 TRUST CO	Г 5,800	35,000	18-Nov-2016	19-Dec-2016
997AL49	GLO BNP PLEDGE	STATE STREET BANK 997AL 49 TRUST CO	Г 900	71,800	18-Nov-2016	19-Dec-2016
SINOPHARM C	GROUP CO. LTD.				T . O !!	
Security	Y8008N107			Meeting Type	ExtraOrdinary Meeting	General
Ticker Symbol				Meeting Date	30-Dec-2016	_
ISIN	CNE100000FN7			Agenda Holding Recon	707595990 - 1	Management
Record Date	29-Nov-2016			Date	29-Nov-2016	
City / Country	SHANGH AI / C	China		Vote Deadline Date	16-Dec-2016	
SEDOL(s)	B3ZVDV0 - B4M8B7 B5NVZ21 - BP3RXT			Quick Code		

Proposed

Proposal

Item

For/Against

Vote

by Management PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-CMMT http://www.hkexnews.hk/listedco/listconews/SEHK/2016/ 1114/LTN20161114274.pdf-ANDhttp://www.hkexnews.hk/listedco/listconews/SEHK/2016/ 1114/LTN20161114240.pdf PLEASE NOTE IN THE HONG KONG MARKET THAT A VOTE OF CMMT 'ABSTAIN' WILL BE TREATED-THE Non-Voting SAME AS A 'TAKE NO ACTION' **VOTE** TO CONSIDER AND, IF THOUGHT FIT, TO APPROVE (I) THE ISSUE OF THE ACCOUNTS RECEIVABLE ASSET-BACKED SECURITIES IN THE PRC OF NO MORE THAN RMB8 BILLION IN SCALE (THE "ASSET- BACKED SECURITIES"); AND (II) THE AUTHORISATION TO THE BOARD OF DIRECTORS OF THE COMPANY OR THE 1 Management For For PERSON(S) AUTHORISED BY IT DEAL WITH ALL SPECIFIC MATTERS PURSUANT TO THE PROPOSAL IN RESPECT OF THE ISSUE OF THE ASSET-BACKED SECURITIES AS SET OUT IN THE NOTICE OF EGM OF THE **COMPANY DATED 14 NOVEMBER** 2016

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unava Shares	vote Date	Date Confirmed		
GLV	GLV	STATE STREET 142,400 BANK	0	15-Nov-2016	22-Dec-2016		
GLQ	GLQ	STATE STREET 236,800 BANK	0	15-Nov-2016	22-Dec-2016		
GLO	GLO	STATE STREET 583,600 BANK	0	15-Nov-2016	22-Dec-2016		
D.R. HORTON, INC.							
Security	23331A109		Meeting Type	Annual			

Ti alaan	Crussle of			g., e., e. a. = qu., y	Mastina	Data	10 Ion 2017	
ISIN	Symbol	DHI US23331A1097			Meeting Agenda	Date	19-Jan-2017	Management
19111		U325551A1097				Dagon	934314147 -	Management
Record	l Date	28-Nov-2016			Holding Date	Recon	28-Nov-2016	Ó
City /	Countr	v /	Jnited States		Vote De Date	adline	18-Jan-2017	
SEDOI	L(s)				Quick C	ode		
Item	Propos	al		Proposed by	Vote		Against agement	
1A.		TION OF DIRECTOR: LD R. HORTON		Management	For	For		
1B.		TION OF DIRECTOR: ARA K. ALLEN		Management	For	For		
1C.	ELECT ANDE	TION OF DIRECTOR: I RSON	BRAD S.	Management	For	For		
1D.		TION OF DIRECTOR: AEL R. BUCHANAN		Management	For	For		
1E.		TION OF DIRECTOR: AEL W. HEWATT		Management	For	For		
2.	RESOI	OVAL OF THE ADVIS LUTION ON EXECUTI ENSATION.		Management	For	For		
3.	PRICE LLP AS REGIS	Y THE APPOINTMEN WATERHOUSECOOP S OUR INDEPENDEN' TERED PUBLIC UNTING FIRM.	ERS	Management	For	For		
Accour Numbe		Account Name	Interna Accour	l ustodian Shares		Unavail; Shares	able Vote Date	Date Confirmed
997AL	.45	GLV BNP PLEDGE	S' 997AL T	TATE TREET ANK 45 14,626 RUST O		61,500	13-Dec-2016	20-Jan-2017
997AL	<i>.</i> 47	GLQ BNP PLEDGE	S' 997AL T	TATE TREET ANK 23,621 RUST O		104,400	13-Dec-2016	20-Jan-2017
997AL	.49	GLO BNP PLEDGE		49ATE 59,001 TREET		256,300	13-Dec-2016	20-Jan-2017

			B &	ANK					
			T	RUST					
				O TATE					
				TREE	Γ				
997AL	.61	GLQ MS PLEDGE	997AL	ANK	10,800		0	13-Dec-2016	20-Jan-2017
			T	RUST					
GOLU	B CAPIT	AL BDC, INC.							
Securit	.y	38173M102				Meeting	g Type	Annual	
Ticker	Symbol	GBDC				Meeting	g Date	07-Feb-2017	
ISIN		US38173M1027				Agenda	l	934516557 - 1	Management
Record	l Date	12-Dec-2016				Holding Date	g Recon	12-Dec-2016	
City /	Country	7 /	Inited tates			Vote De Date	eadline	06-Feb-2017	
SEDO	L(s)					Quick (Code		
Item	Proposa	1		Propo by	osed	Vote		Against nagement	
1.1		ION OF CLASS I DIR B. GOLUB	ECTOR:	Mana	agement	For	For		
1.2		ION OF CLASS I DIR R. ROSENBERG	ECTOR:	Mana	agement	For	For		
2.	ERNST AS THI INDEP PUBLIC	TIFY THE SELECTIO CAN YOUNG LLP TO SECOMPANY'S ENDENT REGISTERE CACCOUNTING FIRE	ERVE ED M FOR	Mana	ngement	For	For		
		SCAL YEAR ENDING MBER 30, 2017.	}						
Accour Numbe	4	Account Name		ustodia it	Ballot Shares		Unavai Shares	ilable Vote Date	Date Confirmed
				TATE TREET	Γ				
997GL	.Q	GLQ	997GL		24,000		0	22-Dec-2016	08-Feb-2017
				RUST O					
997AL	<i>A</i> 5	GLV BNP PLEDGE		45ATE TREET ANK			128,30	022-Dec-2016	08-Feb-2017

&

TRUST CO **STATE STREET** 997AL47 **GLQ BNP PLEDGE** 150,809 0 22-Dec-2016 08-Feb-2017 **TRUST** CO **STATE STREET** 997AL49 GLO BNP PLEDGE 157 454,30022-Dec-2016 08-Feb-2017 **TRUST** CO RSP PERMIAN INC 74978Q105 Meeting Type Security Special Ticker Symbol **RSPP** Meeting Date 24-Feb-2017 **ISIN** US74978Q1058 Agenda 934528108 - Management Holding Recon Record Date 19-Jan-2017 19-Jan-2017 Date Vote Deadline United City / Country 23-Feb-2017 States Date SEDOL(s) Quick Code Proposed For/Against Item Proposal Vote by Management APPROVAL OF THE ISSUANCE OF 16,019,638 SHARES OF RSP PERMIAN, INC.'S (THE "COMPANY'S") COMMON STOCK TO SILVER HILL ENERGY PARTNERS II, LLC (THE "SHEP II SELLER"), PURSUANT TO AND 1. SUBJECT TO ADJUSTMENTS Management For For PROVIDED IN THE MEMBERSHIP INTEREST PURCHASE AND SALE AGREEMENT, DATED AS OF OCTOBER 13, 2016, BY ...(DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL). 2. APPROVAL OF THE For Management For ADJOURNMENT OR POSTPONEMENT OF THE SPECIAL MEETING, IF NECESSARY OR APPROPRIATE TO SOLICIT ADDITIONAL PROXIES IF THERE

ARE INSUFFICIENT VOTES AT THE TIME OF THE SPECIAL MEETING TO APPROVE PROPOSAL 1.

Accour Numbe		Account Name	Interna Accour	l Tustodian it	Ballot Shares	U S	Jnavail Shares	lable Vote Date	Date Confirmed
997GL	.Q	GLQ	S 997G <mark>R</mark> T	TATE TREET ANK C RUST	16,400	()	03-Feb-2017	27-Feb-2017
APPLE	E INC.								
Securit	zy .	037833100				Meeting 7	Гуре	Annual	
Ticker	Symbol	AAPL				Meeting I	Date	28-Feb-2017	
ISIN		US0378331005				Agenda		934520556 -	Management
Record	l Date	30-Dec-2016				Holding R Date	Recon	30-Dec-2016	
City /	Country	y /	United States			Vote Dead Date	dline	27-Feb-2017	
SEDOI	L(s)					Quick Co	de		
Item	Proposa	al		Propos by	sed	Vote		Against agement	
1A.	ELECT BELL	TION OF DIRECTOR	: JAMES	Manag	gement	For	For		
1B.	ELECT COOK	TION OF DIRECTOR	: TIM	Manag	gement	For	For		
1C.	ELECT GORE	TION OF DIRECTOR	: AL	Manag	gement	For	For		
1D.	ELECT IGER	TION OF DIRECTOR	: BOB	Manag	gement	For	For		
1E.		TION OF DIRECTOR EA JUNG	:	Manag	gement	For	For		
1F.	ELECT LEVIN	TION OF DIRECTOR SON	: ART	Manag	gement	For	For		
1G.	ELECT SUGAI	TION OF DIRECTOR R	: RON	Manag	gement	For	For		
1H.	ELECT WAGN	TION OF DIRECTOR IER	: SUE	Manag	gement	For	For		
2.	APPOI	ICATION OF THE NTMENT OF ERNS G LLP AS APPLE'S	Γ&	Manag	gement	For	For		

INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017

3.	ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION	Management	For	For
4.	ADVISORY VOTE ON THE FREQUENCY OF SHAREHOLDER VOTES ON EXECUTIVE COMPENSATION	Management	3 Years	Against
5.	A SHAREHOLDER PROPOSAL ENTITLED "CHARITABLE GIVING - RECIPIENTS, INTENTS AND BENEFITS"	Shareholder	Against	For
6.	A SHAREHOLDER PROPOSAL REGARDING DIVERSITY AMONG OUR SENIOR MANAGEMENT AND BOARD OF DIRECTORS	Shareholder	Against	For
7.	A SHAREHOLDER PROPOSAL ENTITLED "SHAREHOLDER PROXY ACCESS AMENDMENTS"	Shareholder	Against	For
8.	A SHAREHOLDER PROPOSAL ENTITLED "EXECUTIVE COMPENSATION REFORM"	Shareholder	Against	For
9.	A SHAREHOLDER PROPOSAL ENTITLED "EXECUTIVES TO RETAIN SIGNIFICANT STOCK"	Shareholder	Against	For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Vote Date Shares	Date Confirmed
997GLV	GLV	STATE STREET 997GLV 2,500 TRUST CO	0 07-Jan-2017	01-Mar-2017
997GLQ	GLQ	STATE STREET 997GLQ 31,200 TRUST CO	0 07-Jan-2017	01-Mar-2017
997GLO	GLO	997G EO ATE 39,600 STREET BANK & TRUST	0 07-Jan-2017	01-Mar-2017

			CO STAT STRE	ET				
997AL	45	GLV BNP PLEDGE 99°	7AL45 TRUS CO			27,500	07-Jan-2017	01-Mar-2017
997AL	47	GLQ BNP PLEDGE 99'	STAT STRE 7AL47 TRUS CO	ET 100		32,400	07-Jan-2017	01-Mar-2017
997AL	49	GLO BNP PLEDGE 99'	STAT STRE BANK 7AL 49 TRUS CO	ET 100		81,900	07-Jan-2017	01-Mar-2017
TRAN	SDIGM	GROUP INCORPORATED						
Securit	у	893641100			Meeting	Type	Annual	
Ticker	Symbol	TDG			Meeting	Date	01-Mar-2017	,
ISIN		US8936411003			Agenda		934523451 -	Management
Record	Date	03-Jan-2017			Holding Date	Recon	03-Jan-2017	
City /	Count	ry / United States			Vote Dea Date	adline	28-Feb-2017	
SEDOI	$\mathcal{L}(s)$				Quick Co	ode		
Item	Propos	sal	Pro by	posed	Vote		Against agement	
1.	DIRE	CTOR	Ma	nagement				
	1	WILLIAM DRIES			For	For		
	2	MERVIN DUNN			For	For		
	3	MICHAEL GRAFF			For	For		
	4	SEAN HENNESSY			For	For		
	5	W. NICHOLAS HOWLEY			For	For		
	6	RAYMOND LAUBENTHA	L		For	For		
	7	DOUGLAS PEACOCK			For	For		
	8	ROBERT SMALL			For	For		
2	9	JOHN STAER	**		For	For		
2.	VOTE	PPROVE (IN AN ADVISOR E) COMPENSATION PAID T COMPANY'S NAMED		nagement	For	For		

EXECUTIVE OFFICERS

	LILL	CITYE OTTTEENS							
3.	CONI REGA PAID	ETERMINE HOW OFTE DUCT THE ADVISORY ' ARDING COMPENSATIO TO THE COMPANY'S N CUTIVE OFFICERS	VOTE ON	Mana	gement	3 Years			
4.	ERNS COMI ACCO	ATIFY THE SELECTION IT & YOUNG LLP AS TH PANY'S INDEPENDENT DUNTANTS FOR THE FI R ENDING SEPTEMBER	HE SCAL	Mana	gement	For	For		
Account Number		Account Name			Ballot Nares		Unava Shares	ilable Vote Date	Date Confirmed
997AL4	17	GLQ BNP PLEDGE	ST 997AL4	RUST	20,810		0	21-Jan-2017	02-Mar-2017
997AL4	19	GLO BNP PLEDGE	ST 997AL4	RUST	33,850		0	21-Jan-2017	02-Mar-2017
HOLOG	GIC, IN	C.							
Security	7	436440101				Meeting	Туре	Annual	
Ticker S	Symbol	HOLX				Meeting	Date	08-Mar-2017	
ISIN		US4364401012				Agenda		934522423 - N	Management
Record	Date	09-Jan-2017				Holding Date	Recon	09-Jan-2017	
City /	Count	rv /	nited ates			Vote Dea Date	adline	07-Mar-2017	
SEDOL	(s)					Quick Co	ode		
Item	Propos	sal		Propo by	osed	Vote		/Against nagement	
1.	DIRE	CTOR		Mana	gement				
	1	CHRISTOPHER J. COU	GHLIN			For	For		
	2	SALLY W. CRAWFORI	D			For	For		
	3	SCOTT T. GARRETT				For	For		
	4	LAWRENCE M. LEVY				For	For		

	5	STEPHEN P. MACMILLAN		For	For
	6	CHRISTIANA STAMOULIS		For	For
	7	ELAINE S. ULLIAN		For	For
	8	AMY M. WENDELL		For	For
2.	RESC	N-BINDING ADVISORY DLUTION TO APPROVE CUTIVE COMPENSATION.	Management	For	For
3.	NON- FREQ	ECOMMEND, BY BINDING VOTE, THE UENCY OF EXECUTIVE PENSATION VOTES.	Management	3 Years	Against
4.		NDMENT TO HOLOGIC'S H AMENDED AND RESTATED AWS.	Management	For	For
5.	APPO YOU! INDE PUBL	FICATION OF THE DINTMENT OF ERNST & NG LLP AS OUR PENDENT REGISTERED LIC ACCOUNTING FIRM FOR AL 2017.	Management	For	For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Shares Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997GLQ 23,600 TRUST CO	0 25-Jan-2017	09-Mar-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 100 TRUST CO	28,500 25-Jan-2017	09-Mar-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK 997AL49 100 TRUST CO	131,20025-Jan-2017	09-Mar-2017
GW PHARM	ACEUTICALS PLC			

Security	3619/1103	Meeting Type	Annual
Ticker Symbol	GWPH	Meeting Date	14-Mar-2017
ISIN	US36197T1034	Agenda	934530660 - Management

Record	Date 07-Feb-2017		Holding Date	Recon 07-Feb-20	17
City /	Country / United States		Vote De Date	adline 06-Mar-20	017
SEDOL	$\mathcal{L}(s)$		Quick C	ode	
Item	Proposal	Proposed by	Vote	For/Against Management	
O1	TO RECEIVE, CONSIDER AND ADOPT THE DIRECTORS' AND AUDITORS' REPORTS AND STATEMENT OF ACCOUNTS FO THE YEAR ENDED 30 SEPTEMB! 2016 AND NOTE THAT THE DIRECTORS DO NOT RECOMMEND PAYMENT OF A DIVIDEND		For	For	
O2	TO APPROVE THE DIRECTORS' REMUNERATION REPORT	Management	For	For	
O3	TO RE-ELECT GEOFFREY GUY A A DIRECTOR	AS Management	For	For	
O4	TO RE-ELECT THOMAS LYNCH A DIRECTOR	AS Management	For	For	
O5	TO RE-ELECT CABOT BROWN A A DIRECTOR	.S Management	For	For	
O6	TO APPOINT DELOITTE LLP AS AUDITOR	Management	For	For	
O7	TO AUTHORISE THE DIRECTOR TO DETERMINE THE AUDITOR'S REMUNERATION		For	For	
S8	TO APPROVE THE ADOPTION O THE COMPANY'S LONG-TERM INCENTIVE PLAN	F Management	For	For	
S 9	TO AUTHORISE THE DIRECTOR TO ALLOT EQUITY SECURITIES UNDER SECTION 551 OF THE 20 ACT	' Management	For	For	
S10	TO AUTHORISE THE DIRECTOR TO ALLOT EQUITY SECURITIES UNDER SECTION 570 OF THE 20 ACT AS IF SECTION 561(1) OF TI 2006 ACT DID NOT APPLY TO SUCH ALLOTMENT	, 06 Management	For	For	
Accoun Numbe	nt Account Name Inte	rnal Ballot Custodian ount Shares		Unavailable Vote Date Shares	Date Confirmed

997GLQ	GLQ	STATE STREET 997GLQ 1,600 TRUST CO	0	15-Feb-2017	08-Mar-2017
997GLO	GLO	STATE STREET 997GLO 3,100 TRUST CO	0	15-Feb-2017	08-Mar-2017
997AL47	GLQ BNP PLEDG	STATE STREET	0	15-Feb-2017	08-Mar-2017
997AL49	GLO BNP PLEDG	STATE STREET BANK E 997AL 49 8,300 TRUST CO	0	15-Feb-2017	08-Mar-2017
SAMSUN	G ELECTRONICS CO LT	D, SUWON			
Security	Y74718100		Meeting Type	Annual Gene	ral Meeting
Ticker Syn	mbol		Meeting Date	24-Mar-2017	
ISIN	KR7005930003		Agenda	707790499 -	Management
Record Da	ate 31-Dec-2016		Holding Recon Date	31-Dec-2016	
City / C	ountry SEOUL /	Korea, Republic Of	Vote Deadline Date	14-Mar-2017	
SEDOL(s)	6771720 - B19VC1 B74V052	5 -	Quick Code		
Item Pi	roposal	Proposed by	VAIE	/Against nagement	
1	PPROVAL OF FINANCL TATEMENTS	AL Management	For For		
',	PPROVAL OF REMUNE OR DIRECTOR	RATION Management	For For		
Account Number	Account Name	Internal Ballot Custodian Account Shares	Unava Shares	ilable Vote Date	Date Confirmed
GLV	GLV	756	0	25-Feb-2017	16-Mar-2017

			STATE STREET				
			BANK				
GY O			STATE			25 7 1 2017	4634 4045
GLQ			STREET 1,197 BANK	0		25-Feb-2017	16-Mar-2017
			STATE				
GLO			STREET 3,046 BANK	0)	25-Feb-2017	16-Mar-2017
LINE (CORPO	RATION					
Securit	У	53567X101		Meeting T	ype	Annual	
Ticker	Symbol	LN		Meeting D	ate	30-Mar-2017	
ISIN		US53567X1019		Agenda		934536268 -	Management
Record	Date	29-Dec-2016		Holding R Date	econ	29-Dec-2016	
City /	Count	ry / Japan		Vote Dead Date	lline	23-Mar-2017	
SEDOI	$\mathcal{L}(s)$			Quick Cod	de		
Item	Propos	sal	Proposed by	Vote		/Against nagement	
1.		TAL AMENDMENTS TO THE CLES OF INCORPORATION	Management	For	Aga	ninst	
2.	DIRE	CTORS	Management				
	1	TAKESHI IDEZAWA		For	For		
	2	JUN MASUDA		For	For		
	3	JOONGHO SHIN		For	For		
	4	IN JOON HWANG		For	For		
	5	HAE-JIN LEE		For	For		
	6	TADASHI KUNIHIRO		For	For		
	7	KOJI KOTAKA		For	For		
	8	REHITO HATOYAMA		For	For		
_		TION OF ONE (1)					
3.		TITUTE CORPORATE TOR: NAOKI WATANABE	Management	For	Aga	ninst	
		RMINATION OF AMOUNTS DETAILS OF STOCK OPTION	IS				
4.	•	RANTS) TO BE PAID AS JNERATION, ETC.TO	Management	For	Aga	ninst	
		CTORS					

Custodian

Account Name

Vote Date

Accour Numbe			Internal Account	Ballot Shares		Unavail Shares	lable	Date Confirmed
997GL	Q	GLQ	STATE STREE 997GLQ TRUST CO	T 10,300	C)	04-Mar-2017	27-Mar-2017
997GL	.О	GLO	STATE STREE BANK 997G & TRUST CO	T 39,100	C)	04-Mar-2017	27-Mar-2017
997AL	.47	GLQ BNP PLEDGE	STATE STREE BANK 997AL 47 TRUST CO	T 10,800	9	9,700	04-Mar-2017	27-Mar-2017
997AL	49	GLO BNP PLEDGE	STATE STREE BANK 997A & TRUST CO	T 9,800	2	28,300	04-Mar-2017	27-Mar-2017
BROA	DCOM L	IMITED						
Securit	.y	Y09827109			Meeting T	Гуре	Annual	
Ticker	Symbol	AVGO			Meeting I	Date	05-Apr-2017	
ISIN		SG9999014823			Agenda		934531977 - 1	Management
Record	l Date	08-Feb-2017			Holding R Date	Recon	08-Feb-2017	
City /	Country	/ N	I alaysia		Vote Dead Date	dline	03-Apr-2017	
SEDOI	L(s)				Quick Co	de		
Item	Proposa	ıl	Prop by	osed	Vote		Against agement	
1A.		ION OF DIRECTOR: N E. TAN	MR. Man	agement	For	For		
1B.		ION OF DIRECTOR: 1 V. DILLER	MR. Man	agement	For	For		
1C.		ION OF DIRECTOR: N C. EGGEBRECHT	MR. Man	agement	For	For		

1D.	ELECTION OF DIRECTOR: MR. KENNETH Y. HAO	Management	For	For
1E.	ELECTION OF DIRECTOR: MR. EDDY W. HARTENSTEIN	Management	For	For
1F.	ELECTION OF DIRECTOR: MR. CHECK KIAN LOW	Management	For	For
1G.	ELECTION OF DIRECTOR: MR. DONALD MACLEOD	Management	For	For
1H.	ELECTION OF DIRECTOR: MR. PETER J. MARKS	Management	For	For
1I.	ELECTION OF DIRECTOR: DR. HENRY SAMUELI	Management	For	For
2.	TO APPROVE THE RE-APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS BROADCOM'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM AND INDEPENDENT SINGAPORE AUDITOR FOR THE FISCAL YEAR ENDING OCTOBER 29, 2017 AND TO AUTHORIZE THE AUDIT COMMITTEE TO FIX ITS REMUNERATION, AS SET FORTH IN BROADCOM'S NOTICE OF, AND PROXY STATEMENT RELATING TO, ITS 2017 ANNUAL GENERAL MEETING.	Management	For	For
3.	TO APPROVE THE GENERAL AUTHORIZATION FOR THE DIRECTORS OF BROADCOM TO ALLOT AND ISSUE SHARES IN OUR CAPITAL, AS SET FORTH IN BROADCOM'S NOTICE OF, AND PROXY STATEMENT RELATING TO, ITS 2017 ANNUAL GENERAL MEETING.	Management	For	For
4.	TO APPROVE THE COMPENSATION OF BROADCOM'S NAMED EXECUTIVE OFFICERS, AS DISCLOSED IN "COMPENSATION DISCUSSION AND ANALYSIS" AND IN THE COMPENSATION TABLES AND ACCOMPANYING NARRATIVE DISCLOSURE UNDER "EXECUTIVE COMPENSATION" IN BROADCOM'S PROXY	Management	For	For

STATEMENT RELATING TO ITS

2017 ANNUAL GENERAL

MEETING.

TO RECOMMEND THAT A

NON-BINDING, ADVISORY VOTE

TO APPROVE THE

COMPENSATION OF THE

BROADCOM'S NAMED

EXECUTIVE OFFICERS BE PUT TO

5. SHAREHOLDERS FOR THEIR Management 3 Years Against

CONSIDERATION EVERY: ONE; TWO; OR THREE YEARS, AS SET FORTH IN BROADCOM'S NOTICE OF, AND PROXY STATEMENT RELATING TO, ITS 2017 ANNUAL

GENERAL MEETING.

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Vote Date Shares	Date Confirmed
997GLO	GLO	STATE STREET 997GLO TRUST CO		0 22-Feb-2017	05-Apr-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL 45 TRUST CO	14,705	6,400 22-Feb-2017	05-Apr-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997A&47 TRUST CO	33,570	17,400 22-Feb-2017	05-Apr-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997A & 49 TRUST CO	30,570	43,700 22-Feb-2017	05-Apr-2017

LENNAR CORPORATION

Security	526057104	Meeting Type	Annual
Ticker Symbol	LEN	Meeting Date	18-Apr-2017
ISIN	US5260571048	Agenda	934533678 - Management

Record	Date	21-Feb-2017			Holding l Date	Recon	21-Feb-2017	
City /	Coun	trv /	Inited tates		Vote Dea Date	dline	17-Apr-2017	
SEDOI	$\mathcal{L}(s)$				Quick Co	ode		
Item	Propo	osal		Proposed by	Vote		'Against nagement	
1.	DIRE	ECTOR		Management				
	1	IRVING BOLOTIN			For	For		
	2	STEVEN L. GERARD			For	For		
	3	THERON I. "TIG" GIL	LIAM		For	For		
	4	SHERRILL W. HUDSO	ON		For	For		
	5	SIDNEY LAPIDUS			For	For		
	6	TERI P. MCCLURE			For	For		
	7	STUART MILLER			For	For		
	8	ARMANDO OLIVERA			For	For		
	9	DONNA SHALALA			For	For		
	10	JEFFREY SONNENFE	LD		For	For		
2.	APPO TOU- INDE PUBI THE	IFICATION OF THE DINTMENT OF DELOIT CHE LLP AS LENNAR'S EPENDENT REGISTERE LIC ACCOUNTING FIRITSCAL YEAR ENDING EMBER 30, 2017.	S ED M FOR	Management	For	For		
3.	BASI OF L	ROVAL, ON AN ADVISO IS, OF THE COMPENSA ENNAR'S NAMED EXE CERS.	TION	Management	For	For		
4.	BASI THE THE LENI	ROVAL, ON AN ADVISO IS, OF THE FREQUENC' STOCKHOLDER VOTE COMPENSATION OF NAR'S NAMED EXECU' CERS.	Y OF ON	Management	3 Years	Aga	iinst	
5.	PROI COM	ROVAL OF A STOCKHO POSAL REGARDING OI IMON STOCK VOTING JCTURE.		Shareholder	Against	For		
Accour Numbe		Account Name	Internal Accoun	l ustodian Shares		Unavai Shares	lable Vote Date	Date Confirmed
997GL	V	GLV	997GL		1	0	08-Mar-2017	19-Apr-2017

	_aga: 1	g. o.oug o.oou. =qu	,		
		STATE			
		STREET BANK			
		&			
		TRUST CO			
		STATE			
		STREET			
997GLQ	GLQ	997GLQ 8,700	0	08-Mar-2017	19-Apr-2017
		TRUST			1
		CO			
		STATE			
		STREET BANK	_		
997GLO	GLO	997GEONK 23,500	0	08-Mar-2017	19-Apr-2017
		TRUST CO			
		STATE			
		STREET			
997AL45	GLV BNP PLEDGE	997AL45 8,700	30,900	08-Mar-2017	19-Apr-2017
		TRUST			
		CO			
		STATE STREET			
0074147	GLQ BNP PLEDGE		60.500	00 Man 2017	10 Apr 2017
997AL47	GLQ BNP PLEDGE	997AL47 11,700	00,300	08-Mar-2017	19-Apr-2017
		TRUST CO			
		STATE			
		STREET			
997AL49	GLO BNP PLEDGE	997AL49 33,800	131,500	08-Mar-2017	19-Apr-2017
		TRUST			
CITICDOLIDIN		CO			
CITIGROUP IN	172967424		Maatina Tyna	Annual	
Security Ticker Symbol	C		Meeting Type Meeting Date	25-Apr-2017	
ISIN	US1729674242		Agenda	934541904 - I	Management
			Holding Recon		viunugement
Record Date	27-Feb-2017		Date	27-Feb-2017	
City / Country	<i>I</i>	Inited	Vote Deadline	24-Apr-2017	
	S	tates	Date	r =	
SEDOL(s)	.1		Quick Code		
Item Proposa	11		Vote		

		Proposed by		For/Against Management
1A.	ELECTION OF DIRECTOR: MICHAEL L. CORBAT	Management	For	For
1B.	ELECTION OF DIRECTOR: ELLEN M. COSTELLO	Management	For	For
1C.	ELECTION OF DIRECTOR: DUNCAN P. HENNES	Management	For	For
1D.	ELECTION OF DIRECTOR: PETER B. HENRY	Management	For	For
1E.	ELECTION OF DIRECTOR: FRANZ B. HUMER	Management	For	For
1F.	ELECTION OF DIRECTOR: RENEE J. JAMES	Management	For	For
1G.	ELECTION OF DIRECTOR: EUGENE M. MCQUADE	Management	For	For
1H.	ELECTION OF DIRECTOR: MICHAEL E. O'NEILL	Management	For	For
1I.	ELECTION OF DIRECTOR: GARY M. REINER	Management	For	For
1J.	ELECTION OF DIRECTOR: ANTHONY M. SANTOMERO	Management	For	For
1K.	ELECTION OF DIRECTOR: DIANA L. TAYLOR	Management	For	For
1L.	ELECTION OF DIRECTOR: WILLIAM S. THOMPSON, JR.	Management	For	For
1M.	ELECTION OF DIRECTOR: JAMES S. TURLEY	Management	For	For
1N.	ELECTION OF DIRECTOR: DEBORAH C. WRIGHT	Management	For	For
10.	ELECTION OF DIRECTOR: ERNESTO ZEDILLO PONCE DE LEON	Management	For	For
2.	PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS CITI'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.	Management	For	For
3.	ADVISORY VOTE TO APPROVE CITI'S 2016 EXECUTIVE COMPENSATION.	Management	For	For
4.	ADVISORY VOTE TO APPROVE THE FREQUENCY OF FUTURE ADVISORY VOTES ON	Management	3 Years	Against

EXECUTIVE COMPENSATION.

STOCKHOLDER PROPOSAL

REQUESTING A REPORT ON THE

5. COMPANY'S POLICIES AND Shareholder Against For

GOALS TO REDUCE THE GENDER

PAY GAP.

STOCKHOLDER PROPOSAL

REQUESTING THAT THE BOARD

APPOINT A STOCKHOLDER

VALUE COMMITTEE TO ADDRESS

6. WHETHER THE DIVESTITURE OF Shareholder For Against

ALL NON-CORE BANKING

BUSINESS SEGMENTS WOULD

ENHANCE SHAREHOLDER

VALUE.

STOCKHOLDER PROPOSAL

REQUESTING A REPORT ON 7. Shareholder Against For LOBBYING AND GRASSROOTS

LOBBYING CONTRIBUTIONS.

STOCKHOLDER PROPOSAL

REQUESTING AN AMENDMENT

TO THE GENERAL CLAWBACK

POLICY TO PROVIDE THAT A

SUBSTANTIAL PORTION OF ANNUAL TOTAL COMPENSATION

8. OF EXECUTIVE OFFICERS SHALL Shareholder Against For

BE DEFERRED AND FORFEITED,

IN PART OR WHOLE, AT THE

DISCRETION OF THE BOARD, TO HELP SATISFY ANY MONETARY

PENALTY ASSOCIATED WITH A

VIOLATION OF LAW.

STOCKHOLDER PROPOSAL

REQUESTING THAT THE BOARD

ADOPT A POLICY PROHIBITING

THE VESTING OF EQUITY-BASED 9. Shareholder Against For

AWARDS FOR SENIOR

EXECUTIVES DUE TO A

VOLUNTARY RESIGNATION TO

ENTER GOVERNMENT SERVICE.

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Vote Date Shares	Date Confirmed
997GLQ	GLQ	997G БФ АТЕ 31,200 STREET	0 17-Mar-2017	26-Apr-2017
		BANK		

&

TRUST

			C						
997GL	0	GLO	S' 997GL	RUST	10,000	0		17-Mar-2017	26-Apr-2017
997AL	45	GLV BNP PLEDGE	S' 997AL	RUST	20,130	5′	7,800	17-Mar-2017	26-Apr-2017
997AL	47	GLQ BNP PLEDGE	S' S' 997AL	TATE TREET ANK 17 RUST	119	10	00,40	017-Mar-2017	26-Apr-2017
997AL	49	GLO BNP PLEDGE	S' 997AL	RUST	527	23	38,90	017-Mar-2017	26-Apr-2017
997AL:	53	GLO MS PLEDGE	S' 997AL	RUST	70,100	0	1	17-Mar-2017	26-Apr-2017
GALA	PAGOS 1	ΝV							
Security		36315X101				Meeting T		Annual	
	Symbol	GLPG				Meeting D	ate	25-Apr-2017	
ISIN		US36315X1019				Agenda		934565459 -	Management
Record	Date	20-Mar-2017				Holding Ro	econ	20-Mar-2017	
City /	Country	., /	Inited tates			Vote Dead Date	lline	17-Apr-2017	
SEDOI	$\mathcal{L}(s)$					Quick Cod	de		
Item	Proposa	al		Propo by	osed	Vote		'Against nagement	
O2	APPRO	IUNICATION AND OVAL OF THE NON- OLIDATED ANNUAL		Mana	gement	For			

	ACCOUNTS OF THE COMPANY FOR THE FINANCIAL YEAR ENDED ON 31 DECEMBER 2016 AND APPROVAL OF THE ALLOCATION OF THE ANNUAL RESULT AS PROPOSED BY THE BOARD OF DIRECTORS.		
O5	COMMUNICATION AND APPROVAL OF THE REMUNERATION REPORT.	Management	For
O6	RELEASE FROM LIABILITY TO BE GRANTED TO THE DIRECTORS AND THE STATUTORY AUDITOR FOR THE PERFORMANCE OF THEIR DUTIES IN THE COURSE OF THE FINANCIAL YEAR ENDED 31 DECEMBER 2016.	Management	For
О7	RATIFICATION OF THE STATUTORY AUDITOR'S REMUNERATION FOR THE FINANCIAL YEAR ENDED ON 31 DECEMBER 2016.	Management	For
O8	RE-APPOINTMENT OF STATUTORY AUDITOR AND DETERMINATION OF STATUTORY AUDITOR'S REMUNERATION.	Management	For
O9A	RE-APPOINTMENT OF MR. ONNO VAN DE STOLPE AS DIRECTOR OF THE COMPANY.	Management	For
O9B	RE-APPOINTMENT OF DR. RAJ PAREKH AS DIRECTOR OF THE COMPANY.	Management	For
O9C	RE-APPOINTMENT OF MS. KATRINE BOSLEY AS INDEPENDENT DIRECTOR OF THE COMPANY.	Management	For
O10	REMUNERATION OF DIRECTORS.	Management	For
O11	OFFER OF WARRANTS.	Management	For
O12	APPLICATION OF ARTICLE 556 OF THE BELGIAN COMPANIES CODE.	Management	For
E2	AUTHORIZATION TO THE BOARD OF DIRECTORS TO INCREASE THE SHARE CAPITAL WITHIN THE FRAMEWORK OF THE AUTHORIZED CAPITAL BY UP TO 20% OF THE SHARE CAPITAL.	Management	For

Management

For

AUTHORIZATION TO THE BOARD OF DIRECTORS TO INCREASE THE SHARE CAPITAL WITHIN THE

E3 FRAMEWORK OF THE AUTHORIZED CAPITAL BY UP TO

1H.

MONICA C. LOZANO

AUTHORIZED CAPITAL BY UP TO 33% OF THE SHARE CAPITAL, IN

33% OF THE SHARE CAPITAL	4
SPECIFIC CIRCUMSTANCES.	

Accoun Numbe		Account Name	Interna Accou	ıl Lustodia nt	Ballot an Shares	U S	Jnavai hares	lable Vote Date	Date Confirmed
997GL0	Q	GLQ	S S 997G[8 7	STATE STREET		0	1	30-Mar-2017	19-Apr-2017
BANK	OF AME	ERICA CORPORA	TION						
Security	y	060505104				Meeting T	ype	Annual	
Ticker	Symbol	BAC				Meeting D	ate	26-Apr-2017	
ISIN		US0605051046				Agenda		934543453 -	Management
Record	Date	02-Mar-2017				Holding R Date	econ	02-Mar-2017	
City /	Country	7	/ United States			Vote Dead Date	lline	25-Apr-2017	
SEDOI	L(s)					Quick Cod	le		
Item	Proposa	ıl		Propo by	osed	Vote		'Against nagement	
1A.		TON OF DIRECTO ON L. ALLEN	OR:	Mana	agement	For	For		

100111	11000001	by	, 325	Management
1A.	ELECTION OF DIRECTOR: SHARON L. ALLEN	Management	For	For
1B.	ELECTION OF DIRECTOR: SUSAN S. BIES	Management	For	For
1C.	ELECTION OF DIRECTOR: JACK O. BOVENDER, JR.	Management	For	For
1D.	ELECTION OF DIRECTOR: FRANK P. BRAMBLE, SR.	Management	For	For
1E.	ELECTION OF DIRECTOR: PIERRE J.P. DE WECK	Management	For	For
1F.	ELECTION OF DIRECTOR: ARNOLD W. DONALD	Management	For	For
1G.	ELECTION OF DIRECTOR: LINDA P. HUDSON	Management	For	For
111	ELECTION OF DIRECTOR:	Managamant	For	For

Management

For

For

1I.	ELECTION OF DIRECTOR: THOMAS J. MAY	Management	For	For	
1J.	ELECTION OF DIRECTOR: BRIAN T. MOYNIHAN	Management	For	For	
1K.	ELECTION OF DIRECTOR: LIONEL L. NOWELL, III	Management	For	For	
1L.	ELECTION OF DIRECTOR: MICHAEL D. WHITE	Management	For	For	
1M.	ELECTION OF DIRECTOR: THOMAS D. WOODS	Management	For	For	
1N.	ELECTION OF DIRECTOR: R. DAVID YOST	Management	For	For	
2.	APPROVING OUR EXECUTIVE COMPENSATION (AN ADVISORY, NON-BINDING "SAY ON PAY" RESOLUTION)	Management	For	For	
3.	A VOTE ON THE FREQUENCY OF FUTURE ADVISORY "SAY ON PAY" RESOLUTIONS (AN ADVISORY, NON-BINDING "SAY ON FREQUENCY" RESOLUTION)	Management	3 Years	Against	
4.	RATIFYING THE APPOINTMENT OF OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017	Management	For	For	
5.	STOCKHOLDER PROPOSAL - CLAWBACK AMENDMENT	Shareholder	Against	For	
6.	STOCKHOLDER PROPOSAL - DIVESTITURE & DIVISION STUDY SESSIONS	Shareholder	Against	For	
7.	STOCKHOLDER PROPOSAL - INDEPENDENT BOARD CHAIRMAN	Shareholder	Against	For	
8.	STOCKHOLDER PROPOSAL - REPORT CONCERNING GENDER PAY EQUITY	Shareholder	Against	For	
Account Number	S	l Ballot ustodian Shares TATE TREET	U S	Jnavailable Vote Date Shares	Date Confirmed
997GL	V GLV 997G	ANK V 24,500 RUST	0	16-Mar-2017	27-Apr-2017

CO

997GL0	Q	GLQ	S 997GL T	TATE TREET ANK C RUST	38,400	0) 1	6-Mar-2017	27-Apr-2017
997GL0	0	GLO	S 997GL T	TATE TREET ANK O TRUST	131,800	0)]	6-Mar-2017	27-Apr-2017
997AL4	45	GLV BNP PLEDGE	S 997AL T	TATE TREET ANK TRUST	191	1	48,4001	6-Mar-2017	27-Apr-2017
997AL4	47	GLQ BNP PLEDGE	S 997AL T	TATE TREET ANK A TRUST	105	2	61,4001	6-Mar-2017	27-Apr-2017
997AL	49	GLO BNP PLEDGE	S S 997A & T	TATE TREET	110	5	80,000	6-Mar-2017	27-Apr-2017
EOG R	ESOURO	CES, INC.							
Security		26875P101				Meeting T	ype	Annual	
Ticker	Symbol	EOG				Meeting D	-	27-Apr-2017	
ISIN		US26875P1012				Agenda		934538476 -	Management
Record	Date	27-Feb-2017				Holding R Date	Recon	27-Feb-2017	
City /	Country	<i>1</i>	nited tates			Vote Dead Date	dline	26-Apr-2017	
SEDOL	$\mathcal{L}(\mathbf{s})$					Quick Coo	de		
Item	Proposa	ıl		Propo by	osed	Vote		Against Agement	
1A.	ELECT F. CLA	ION OF DIRECTOR: J RK	ANET	Mana	gement	For	For		
1B.		ION OF DIRECTOR: LES R. CRISP		Mana	gement	For	For		

1C.	ELECTION OF DIRECTOR: ROBERT P. DANIELS	Management	For	For
1D.	ELECTION OF DIRECTOR: JAMES C. DAY	Management	For	For
1E.	ELECTION OF DIRECTOR: DONALD F. TEXTOR	Management	For	For
1F.	ELECTION OF DIRECTOR: WILLIAM R. THOMAS	Management	For	For
1G.	ELECTION OF DIRECTOR: FRANK G. WISNER	Management	For	For
2.	TO RATIFY THE APPOINTMENT BY THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS OF DELOITTE & TOUCHE LLP, INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM, AS AUDITORS FOR THE COMPANY FOR THE YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	TO APPROVE AN AMENDMENT OF THE COMPANY'S RESTATED CERTIFICATE OF INCORPORATION TO INCREASE THE NUMBER OF AUTHORIZED SHARES OF COMMON STOCK FROM 640 MILLION TO 1.28 BILLION.	Management	For	For
4.	TO APPROVE, BY NON-BINDING VOTE, THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.	Management	For	For
5.	TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF HOLDING ADVISORY VOTES ON THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.	Management	3 Years	Against

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Vote Date Shares	Date Confirmed
997GLV	GLV	STATE STREET 997 $G_{\&}^{BANK}$ 5,700 TRUST CO	0 18-Mar-2017	28-Apr-2017

997GL0	Q	GLQ	S' 997GL	RUST	7,100	C)	18-Mar-2017	28-Apr-2017
997GL0	0	GLO	S' 997GL	RUST	17,800	C)	18-Mar-2017	28-Apr-2017
997AL4	45	GLV BNP PLEDGE	997AL	RUST	600	2	23,500	18-Mar-2017	28-Apr-2017
997AL4	47	GLQ BNP PLEDGE	997AL	RUST	1,900	2	29,900	18-Mar-2017	28-Apr-2017
997AL4	49	GLO BNP PLEDGE	997AL	TATE TREET ANK P RUST O	500	7	79,500	18-Mar-2017	28-Apr-2017
PFIZEF	R INC.								
Security	y	717081103				Meeting T	Type	Annual	
Ticker S	Symbol	PFE				Meeting I	Date	27-Apr-2017	
ISIN		US7170811035				Agenda		934540798 - 1	Management
Record	Date	28-Feb-2017				Holding R Date	Recon	28-Feb-2017	
City /	Country	7 /	nited tates			Vote Dead Date	dline	26-Apr-2017	
SEDOL	L(s)					Quick Co	de		
Item	Proposa	1		Propo by	sed	Vote		Against agement	
1A.	ELECT A. AUS	ION OF DIRECTOR: I SIELLO	DENNIS	Mana	gement	For	For		
1B.		ION OF DIRECTOR: LD E. BLAYLOCK		Mana	gement	For	For		

1C.	ELECTION OF DIRECTOR: W CORNWELL	. DON Management	For	For	
1D.	ELECTION OF DIRECTOR: JO J. ECHEVARRIA	SEPH Management	For	For	
1E.	ELECTION OF DIRECTOR: FRANCES D. FERGUSSON	Management	For	For	
1F.	ELECTION OF DIRECTOR: HI H. HOBBS	ELEN Management	For	For	
1G.	ELECTION OF DIRECTOR: JAM. KILTS	MES Management	For	For	
1H.	ELECTION OF DIRECTOR: SHANTANU NARAYEN	Management	For	For	
1I.	ELECTION OF DIRECTOR: SUZANNE NORA JOHNSON	Management	For	For	
1J.	ELECTION OF DIRECTOR: IA READ	N C. Management	For	For	
1K.	ELECTION OF DIRECTOR: STEPHEN W. SANGER	Management	For	For	
1L.	ELECTION OF DIRECTOR: JAC. SMITH	MES Management	For	For	
2.	RATIFY THE SELECTION OF LLP AS INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 201	Management	For	For	
3.	ADVISORY APPROVAL OF EXECUTIVE COMPENSATION	N Management	For	For	
4.	ADVISORY VOTE ON THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION	Management N	3 Years	Against	
5.	SHAREHOLDER PROPOSAL REGARDING THE HOLY LAN PRINCIPLES	ND Shareholder	Against	For	
6.	SHAREHOLDER PROPOSAL REGARDING SPECIAL SHAREOWNER MEETINGS	Shareholder	Against	For	
7.	SHAREHOLDER PROPOSAL REGARDING INDEPENDENT CHAIR POLICY	Shareholder	Against	For	
Accoun Number	Account Name	Internal Ballot Custodian Account Shares		Unavailable Vote Date Shares	Date Confirmed
997GL	V GLV	997G EV ATE 17,800 STREET		0 17-Mar-2017	28-Apr-2017

		· ·	J	U	, ,				
			8	BANK & FRUST					
			S	CO STATE STREET	1				
997AL	45	GLV BNP PLEDO	SE 997A	BANK 45	100	43	3,500	17-Mar-2017	28-Apr-2017
			(TRUST CO STATE					
997AL	47	GLQ BNP PLEDO	S	STREET	100	8	1,500	17-Mar-2017	28-Apr-2017
			7	χ ΓRUST CO					
			5	STATE STREET BANK					
997AL	49	GLO BNP PLEDO	7	TRUST	100	1:	57,100	017-Mar-2017	28-Apr-2017
			S	STATE STREET	•				
997AL	60	GLV MS PLEDGE	Č	BANK \$60 FRUST	12,000	0		17-Mar-2017	28-Apr-2017
			(CO					
AKORI	N, INC.								
Security	y	009728106				Meeting T	ype	Annual	
Ticker	Symbol	AKRX				Meeting D	ate	27-Apr-2017	
ISIN		US0097281069				Agenda		934560308 - 1	Management
Record	Date	13-Mar-2017				Holding Ro Date	econ	13-Mar-2017	
City /	Country	y	, United States			Vote Dead Date	lline	26-Apr-2017	
SEDOL	رs)					Quick Cod	le		
Item	Proposa	al		Propo by	sed	Vote		Against agement	
1.	DIREC	TOR		Mana	gement				
	1 .	JOHN KAPOOR, PH	łD			For	For		
	2	KENNETH ABRAM	IOWITZ			For	For		
	3	ADRIENNE GRAVI	ES, PHD			For	For		
	4]	RONALD JOHNSO	N			For	For		

	5	STEVEN MEYER		For	For
	6	TERRY ALLISON RAPPUHN		For	For
	7	BRIAN TAMBI		For	For
	8	ALAN WEINSTEIN		For	For
2.	APPO AS TH INDE	OSAL TO RATIFY THE INTMENT OF BDO USA, LLP HE COMPANY'S PENDENT REGISTERED IC ACCOUNTING FIRM FOR YEAR ENDING DECEMBER 17.	Management	For	For
3.	OMN	OSAL TO APPROVE THE 2017 IBUS INCENTIVE PENSATION PLAN.	Management	For	For
4.	THRO ADVI FREQ NON- ON TI	OSAL TO APPROVE, DUGH A NON- BINDING SORY VOTE, THE DUENCY OF FUTURE BINDING ADVISORY VOTES HE COMPANY'S EXECUTIVE PENSATION PROGRAMS.	Management	3 Years	Against
5.	THRO ADVI COMI COMI DESC	OSAL TO APPROVE, DUGH A NON- BINDING SORY VOTE, THE PANY'S EXECUTIVE PENSATION PROGRAM AS RIBED IN THE COMPANY'S PROXY STATEMENT.	Management	For	For

Account Number	Account Name	Internal Ballot Custodian Shares	Unavailable Vote Date Shares	Date Confirmed
997GLQ	GLQ	STATE STREET 997GLO 39,000 TRUST CO	0 28-Mar-2017	28-Apr-2017
997GLO	GLO	STATE STREET 997GLO 96,700 TRUST CO	0 28-Mar-2017	28-Apr-2017
997AL47	GLQ BNP PLEDGE	997A S47 ATE 38,600 STREET BANK	0 28-Mar-2017	28-Apr-2017

		_							
			& T	RUST					
			C	CO					
				TATE	,				
				TREET RANK					
997AL	49	GLO BNP PLEDGE	997AL	49 11	54,500	0		28-Mar-2017	28-Apr-2017
				RUST					
				CO					
				TATE TREET	,				
997AL	52	GLO MS PLEDGE	997AL		20,700	0		28-Mar-2017	28 Apr 2017
991AL	33	OLO MS FLEDGE			20,700	U		26-Wai-2017	28-Apr-2017
				RUST					
BRIST	OL-MYE	RS SQUIBB COMPAN							
Securit	y	110122108				Meeting T	ype	Annual	
Ticker	Symbol	BMY				Meeting D	ate	02-May-201	7
ISIN		US1101221083				Agenda		934547538 -	Management
Record	Date	14-Mar-2017				Holding R Date	econ	14-Mar-2017	7
City /	Country	, , , , , , , , , , , , , , , , , , ,	nited ates			Vote Dead Date	lline	01-May-201	7
SEDOI	$\mathcal{L}(\mathbf{s})$					Quick Cod	le		
Item	Proposa	ıl		Propo by	osed	Vote		/Against nagement	
1A.	ELECT ARDUI	ION OF DIRECTOR: P	. J.	Mana	gement	For	For		
1B.	ELECT BERTC	ION OF DIRECTOR: R LINI	l. J.	Mana	gement	For	For		
1C.		ION OF DIRECTOR: G RIO, M.D.	i.	Mana	gement	For	For		
1D.	ELECT EMME	ION OF DIRECTOR: M NS	1. W.	Mana	gement	For	For		
1E.		ION OF DIRECTOR: L CHER, M.D.	Н.	Mana	gement	For	For		
1F.	ELECT GROBS	ION OF DIRECTOR: M STEIN	1.	Mana	gement	For	For		
1G.	ELECT LACY	ION OF DIRECTOR: A	. J.	Mana	gement	For	For		
1H.	ELECT PALIW	ION OF DIRECTOR: D AL	O. C.	Mana	gement	For	For		
1I.	ELECT SAMUI	ION OF DIRECTOR: T ELS	. R.	Mana	gement	For	For		

1J.	ELECTION OF DIRECTOR: G. L. STORCH	Management	For	For
1K.	ELECTION OF DIRECTOR: V. L. SATO, PH.D.	Management	For	For
2.	ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	For	For
3.	ADVISORY VOTE ON THE FREQUENCY OF THE ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	3 Years	Against
4.	RE-APPROVAL OF THE MATERIALS TERMS OF THE PERFORMANCE-BASED AWARDS UNDER THE COMPANY'S 2012 STOCK AWARD AND INCENTIVE PLAN (AS AMENDED).	Management	For	For
5.	APPROVAL OF AN AMENDMENT TO THE COMPANY'S 2012 STOCK AWARD AND INCENTIVE PLAN.	Management	For	For
6.	RATIFICATION OF THE APPOINTMENT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management	For	For
7.	SHAREHOLDER PROPOSAL TO LOWER THE SHARE OWNERSHIP THRESHOLD TO CALL SPECIAL SHAREHOLDER MEETINGS.	Shareholder	Against	For

Account Number	Account Name	Internal Ballot Account Shares	Unavailable Shares Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GLV 9,200 TRUST CO	0 24-Mar-2017	03-May-2017
997GLQ	GLQ	STATE STREET 997GLO 24,200 TRUST CO	0 24-Mar-2017	03-May-2017
997GLO	GLO	997G EO ATE 49,700 STREET	0 24-Mar-2017	03-May-2017

	-			
		BANK & TRUST CO		
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 187 TRUST CO	23,100	24-Mar-2017 03-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET BANK 997AL47 46,616 TRUST CO	40,000	24-Mar-2017 03-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK 997AL49 39,400 TRUST CO	0	24-Mar-2017 03-May-2017
997AL53	GLO MS PLEDGE	STATE STREET BANK 997AL53 29,000 TRUST CO	0	24-Mar-2017 03-May-2017
997AL60	GLV MS PLEDGE	STATE STREET BANK 997AL60 900 TRUST CO	0	24-Mar-2017 03-May-2017
MTGE INVEST	MENT CORP.			
Security	55378A105		Meeting Type	Annual
Ticker Symbol	MTGE		Meeting Date	02-May-2017
ISIN	US55378A1051		Agenda	934555472 - Management
Record Date	08-Mar-2017		Holding Recon Date	08-Mar-2017
City / Country	<i>I</i>	United States	Vote Deadline Date	01-May-2017
SEDOL(s)			Quick Code	
Item Proposa	ıl	Proposed by	VAIE	/Against nagement

1A.	ELECTION OF DIRECTOR: GARY D. KAIN	Management	For	For
1B.	ELECTION OF DIRECTOR: STEVEN W. ABRAHAMS	Management	For	For
1C.	ELECTION OF DIRECTOR: JULIA L. CORONADO	Management	For	For
1D.	ELECTION OF DIRECTOR: ROBERT M. COUCH	Management	For	For
1E.	ELECTION OF DIRECTOR: RANDY E.DOBBS	Management	For	For
2.	ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	For	For
3.	ADVISORY VOTE TO SELECT THE FREQUENCY OF FUTURE ADVISORY VOTES ON THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	3 Years	Against
4.	RATIFICATION OF APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT PUBLIC ACCOUNTANT FOR THE YEAR ENDING DECEMBER 31, 2017.	Management	For	For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Shares Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GEV 25,100 TRUST CO	0 24-Mar-2017	03-May-2017
997GLQ	GLQ	STATE STREET 997GLQ 99,700 TRUST CO	0 24-Mar-2017	03-May-2017
997GLO	GLO	STATE STREET 997GLO 69,061 TRUST CO	0 24-Mar-2017	03-May-2017
997AL45	GLV BNP PLEDGE	997A S45 ATE 11,400 STREET	27,000 24-Mar-2017	03-May-2017

BANK & **TRUST** CO **STATE STREET** 997AL47 3,700

GLQ BNP PLEDGE 997AL47

TRUST

CO

CABLE ONE, INC.

Meeting Type Security 12685J105 Annual

Ticker Symbol **CABO** Meeting Date 02-May-2017

ISIN US12685J1051 Agenda 934571084 - Management

Holding Recon Record Date 10-Mar-2017

Date

10-Mar-2017

03-May-2017

United Vote Deadline City / Country

States Date 01-May-2017

Against

57,700 24-Mar-2017

SEDOL(s) Quick Code

Proposed For/Against Item **Proposal** Vote by Management

ELECTION OF DIRECTOR: ALAN 1A. Management For For

G. SPOON

ELECTION OF DIRECTOR: Management 1B. For For WALLACE R. WEITZ

TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE INDEPENDENT

2. REGISTERED PUBLIC Management For For

ACCOUNTING FIRM OF THE COMPANY FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017

TO APPROVE THE

COMPENSATION OF OUR NAMED 3. Management For For **EXECUTIVE OFFICERS FOR 2016**

ON AN ADVISORY BASIS

FUTURE ADVISORY VOTES ON 4. Management 3 Years **EXECUTIVE COMPENSATION ON**

AN ADVISORY BASIS TO APPROVE THE AMENDED AND

TO SELECT THE FREQUENCY OF

RESTATED CABLE ONE, INC. 2015 5. Management For For **OMNIBUS INCENTIVE**

COMPENSATION PLAN

Accour Numbe		Account Name	Interna Accour	l ustodia it	Ballot Shares		Unavai Shares	lable Vote Date	Date Confirmed
997GL	Q	GLQ	S 997GL T	TATE TREET ANK Q RUST	1,700		0	04-Apr-2017	03-May-2017
997GL	О	GLO	S S 997GL T	TATE TREET	4,508	,	0	04-Apr-2017	03-May-2017
997AL	60	GLV MS PLEDGE	S 997AL T	TATE TREET ANK 60 RUST	1,100		0	04-Apr-2017	03-May-2017
EVERS	SOURCE	EENERGY							
Securit	У	30040W108				Meeting '	Type	Annual	
Ticker	Symbol	ES				Meeting 1	Date	03-May-2017	7
ISIN		US30040W1080				Agenda		934545558 -	Management
Record	Date	06-Mar-2017				Holding l Date	Recon	06-Mar-2017	,
City /	Countr	v /	Inited tates			Vote Dea Date	dline	02-May-2017	7
SEDOI	L(s)					Quick Co	ode		
Item	Propos	al		Propo by	osed	Vote		'Against nagement	
01		TION OF DIRECTOR: J KESON	IOHN S.	Mana	gement	For	For		
02		TION OF DIRECTOR: ON M. CLEVELAND		Mana	gement	For	For		
03		TION OF DIRECTOR: ORD CLOUD, JR.		Mana	gement	For	For		
04	ELECT S. DIST	ΓΙΟΝ OF DIRECTOR: J ΓASIO	JAMES	Mana	gement	For	For		
05		TION OF DIRECTOR: CIS A. DOYLE		Mana	gement	For	For		
06		TION OF DIRECTOR: LES K. GIFFORD		Mana	gement	For	For		
07				Mana	gement	For	For		

	ELECTION OF DIRECTOR: JAMES J. JUDGE			
08	ELECTION OF DIRECTOR: PAUL A. LA CAMERA	Management	For	For
09	ELECTION OF DIRECTOR: KENNETH R. LEIBLER	Management	For	For
10	ELECTION OF DIRECTOR: WILLIAM C. VAN FAASEN	Management	For	For
11	ELECTION OF DIRECTOR: FREDERICA M. WILLIAMS	Management	For	For
12	ELECTION OF DIRECTOR: DENNIS R. WRAASE	Management	For	For
2.	APPROVE PROPOSED AMENDMENT TO THE COMPANY'S DECLARATION OF TRUST TO INCLUDE A PROXY ACCESS PROVISION.	Management	For	For
3.	CONSIDER AN ADVISORY PROPOSAL APPROVING THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	For	For
4.	CONSIDER AN ADVISORY PROPOSAL ON THE FREQUENCY OF FUTURE ADVISORY PROPOSALS ON EXECUTIVE COMPENSATION.	Management	3 Years	Against
5.	RE-APPROVE THE MATERIAL TERMS OF THE PERFORMANCE GOALS UNDER THE 2009 EVERSOURCE INCENTIVE PLAN AS REQUIRED BY SECTION 162(M) OF THE INTERNAL REVENUE CODE.	Management	For	For
6.	RATIFY THE SELECTION OF DELOITTE & TOUCHE LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.	Management	For	For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Vote Date Shares	Date Confirmed
997GLQ	GLQ	997G EQ ATE 48,800 STREET BANK & TRUST	0 25-Mar-2017	04-May-2017

CO

PHYSIC	CIANS	REALTY TRUST						
Security	/	71943U104			Meeting	g Type	Annual	
Ticker S	Symbol	DOC			Meeting	g Date	03-May-2017	
ISIN		US71943U1043			Agenda		934549429 - 1	Management
Record	Date	01-Mar-2017			Holding Date	g Recon	01-Mar-2017	
City /	Count	rv /	nited ates		Vote De Date	eadline	02-May-2017	
SEDOL	(s)				Quick C	Code		
Item	Propos	sal		Proposed by	Vote		Against agement	
1.	DIRE	CTOR		Management				
	1	JOHN T. THOMAS			For	For		
	2	TOMMY G. THOMPSO	N		For	For		
	3	STANTON D. ANDERS	SON		For	For		
	4	MARK A. BAUMGART	NER		For	For		
	5	ALBERT C. BLACK, JR	₹.		For	For		
	6	WILLIAM A. EBINGER	R, M.D		For	For		
	7	RICHARD A. WEISS			For	For		
2.	OF EF COMI REGIS ACCO FISCA	ATIFY THE APPOINTM RNST & YOUNG LLP AS PANY'S INDEPENDENT STERED PUBLIC DUNTING FIRM FOR TH AL YEAR ENDING EMBER 31, 2017.	S THE	Management	For	For		
3.	BASIS THE C EXEC DISCI ACCC	PPROVE, ON AN ADVIS S, THE COMPENSATION COMPANY'S NAMED CUTIVE OFFICERS, AS LOSED IN THE OMPANYING PROXY EMENT.		Management	For	For		
Account Number		Account Name		Ballot ustodian Shares FATE		Unavail Shares	able Vote Date	Date Confirmed
997GL0	Q	GLQ	S7 997GL	TREET ANK 2 47,200 RUST		0	24-Mar-2017	04-May-2017

STATE STREET

997AL47 997AL47 GLQ BNP PLEDGE 88,700 24-Mar-2017 04-May-2017 **TRUST**

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rui		UUE.	HING

APPOINTMENT OF ERNST &

PULTE	EGROUP, INC.				
Security	y 745867101		Meeting T	ype	Annual
Ticker	Symbol PHM		Meeting D	ate	03-May-2017
ISIN	US7458671010		Agenda		934549758 - Management
Record	Date 10-Mar-2017		Holding Ro Date	econ	10-Mar-2017
City /	Country / United States		Vote Dead Date	line	02-May-2017
SEDOL	$\mathcal{L}(\mathbf{s})$		Quick Cod	le	
Item	Proposal	Proposed by	Vote		against gement
1A.	ELECTION OF DIRECTOR: BRIAN P. ANDERSON	Management	For	For	
1B.	ELECTION OF DIRECTOR: BRYCE BLAIR	Management	For	For	
1C.	ELECTION OF DIRECTOR: RICHARD W. DREILING	Management	For	For	
1D.	ELECTION OF DIRECTOR: THOMAS J. FOLLIARD	Management	For	For	
1E.	ELECTION OF DIRECTOR: JOSHUA GOTBAUM	Management	For	For	
1F.	ELECTION OF DIRECTOR: CHERYL W. GRISE	Management	For	For	
1G.	ELECTION OF DIRECTOR: ANDRE J. HAWAUX	Management	For	For	
1H.	ELECTION OF DIRECTOR: RYAN R. MARSHALL	Management	For	For	
1I.	ELECTION OF DIRECTOR: PATRICK J. O'LEARY	Management	For	For	
1J.	ELECTION OF DIRECTOR: JOHN R. PESHKIN	Management	For	For	
1K.	ELECTION OF DIRECTOR: SCOTT F. POWERS	Management	For	For	
1L.	ELECTION OF DIRECTOR: WILLIAM J. PULTE	Management	For	For	
2.	THE RATIFICATION OF THE	Management	For	For	

YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.

3. AN ADVISORY VOTE TO APPROVE Management For For

AN ADVISORY VOTE TO APPROVE

4. THE FREQUENCY OF THE ADVISORY VOTE REGARDING EXECUTIVE COMPENSATION.

Management 3 Years Against

Account Number	Account Name	Internal Custodia Account	Ballot Shares	Unavailable Shares Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GEV TRUST CO	16,700	0 29-Mar-2017	04-May-2017
997GLQ	GLQ	STATE STREET 997G ^B ANK TRUST CO	31,500	0 29-Mar-2017	04-May-2017
997GLO	GLO	STATE STREET 997G&O TRUST CO	80,000	0 29-Mar-2017	04-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL45 TRUST CO	100	50,200 29-Mar-2017	04-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997A & TRUST CO	100	120,30029-Mar-2017	04-May-2017
997AL49	GLO BNP PLEDGE	997A S49 ATE STREET BANK & TRUST		253,50029-Mar-2017	04-May-2017

CO

STATE STREET

997AL60 GLV MS PLEDGE 997AL60 15,000 0 29-Mar-2017 04-May-2017 TRUST

CO

DIALOG	SEMICONDUCTO	RPIC	LONDON
DIALOU		KILC.	LOUIDOIN

SecurityG5821P111Meeting TypeAnnual General MeetingTicker SymbolMeeting Date04-May-2017ISINGB0059822006Agenda707978675 - ManagementRecord DateHolding Recon
Date02-May-2017

City / Country LONDON / United Vote Deadline Ningdom Date 18-Apr-2017

5982200 - 5982590 -

SEDOL(s) B28ZZL6 - B2QFBR6 - Quick Code

BHZLDS5

Item	Proposal	Proposed by	Vote	For/Against Management
1	ACCEPT FINANCIAL STATEMENTS AND STATUTORY REPORTS	Management	For	For
2	APPROVE REMUNERATION REPORT	Management	For	For
3	REAPPOINT DELOITTE LLP AS AUDITORS	Management	For	For
4	AUTHORISE BOARD TO FIX REMUNERATION OF AUDITORS	Management	For	For
5	RE-ELECT EAMONN O'HARE AS DIRECTOR	Management	For	For
6	RE-ELECT CHRISTOPHER BURKE AS DIRECTOR	Management	For	For
7	RE-ELECT AIDAN HUGHES AS DIRECTOR	Management	For	For
8	ELECT MARY CHAN AS DIRECTOR	Management	For	For
9	ELECT NICHOLAS JEFFERY AS DIRECTOR	Management	For	For
10	AUTHORISE ISSUE OF EQUITY WITH PRE-EMPTIVE RIGHTS	Management	For	For
11		Management	For	For

	AUTHORISE ISSUE OF EQ WITH PRE-EMPTIVE RIGH CONNECTION WITH A RIG ISSUE	HTS IN					
12	AUTHORISE ISSUE OF EQ WITHOUT PRE- EMPTIVE	-	Management	For	For		
13	AUTHORISE ISSUE OF EQ WITHOUT PRE- EMPTIVE IN CONNECTION WITH A ACQUISITION OR OTHER INVESTMENT	RIGHTS N	Management	For	For		
14	AUTHORISE THE COMPA ENTER INTO A CONTING FORWARD SHARE PURCH CONTRACT WITH BARCL BANK PLC	ENT HASE	Management	For	For		
15	AUTHORISE THE COMPA ENTER INTO A CONTING FORWARD SHARE PURCH CONTRACT WITH GOLDM SACHS INTERNATIONAL	ENT HASE	Management	For	For		
16	AUTHORISE THE COMPA ENTER INTO A CONTING FORWARD SHARE PURCH CONTRACT WITH HSBC H PLC	ENT HASE	Management	For	For		
17	AUTHORISE THE COMPA ENTER INTO A CONTING FORWARD SHARE PURCH CONTRACT WITH MERRI LYNCH INTERNATIONAL	ENT HASE LL	Management	For	For		
18	AUTHORISE THE COMPA CALL GENERAL MEETING TWO WEEKS' NOTICE		Management	For	For		
Accoun Number	Account Name	Internal Accoun	iustodian		Unava Shares	ilable Vote Date	Date Confirmed
GLQ	GLQ	S	TATE TREET 62,318 ANK		0	14-Apr-2017	21-Apr-2017
GLO	GLO	S	TATE TREET 154,934 ANK		0	14-Apr-2017	21-Apr-2017
DUKE	ENERGY CORPORATION						

Security

26441C204

Meeting Type Annual

Ticker	Symbol	DUK			Meeting D	ate	04-May-2017
ISIN		US26441C2044	US26441C2044				934544102 - Management
Record	Date	06-Mar-2017			Holding Ro Date	econ	06-Mar-2017
City /	Count	ry	/ United States		Vote Dead Date	line	03-May-2017
SEDOI	رs)				Quick Cod	le	
Item	Propo	sal		Proposed by	Vote For/Against Management		_
1.	DIRE	CTOR		Management			
	1	MICHAEL J. ANG	ELAKIS		For	For	
	2	MICHAEL G. BRO	OWNING		For	For	
	3	THEODORE F. CF	RAVER, JR.		For	For	
	4	DANIEL R. DIMIC	CCO		For	For	
	5	JOHN H. FORSGR	REN		For	For	
	6	LYNN J. GOOD			For	For	
	7	JOHN T. HERRON	1		For	For	
	8	JAMES B. HYLER	R, JR.		For	For	
	9	WILLIAM E. KEN	NARD		For	For	
	10	E. MARIE MCKE	Ξ		For	For	
	11	CHARLES W. MO	ORMAN IV		For	For	
	12	CARLOS A. SALA	ADRIGAS		For	For	
	13	THOMAS E. SKA	INS		For	For	
	14	WILLIAM E. WEE	BSTER, JR.		For	For	
2.	TOUC CORF REGI	FICATION OF DEL CHE LLP AS DUKE PORATION'S INDE STERED PUBLIC DUNTING FIRM FO	E ENERGY PENDENT	Management	For	For	
3.	DUKI NAM	SORY VOTE TO A E ENERGY CORPO ED EXECUTIVE O PENSATION	RATION'S	Management	For	For	
4.	FREQ	SORY VOTE ON T UENCY OF THE V CUTIVE COMPENS	OTE ON	Management	3 Years	Agaiı	nst
5.	AND INCO ENER ELIM	NDMENT TO THE RESTATED CERTI RPORATION OF D GY CORPORATIC INATE SUPERMA. NG REQUIREMEN	IFICATE OF OUKE ON TO JORITY	Management	For	For	

6.	REGA ANNU ENER	EHOLDER PROPOS RDING PROVIDING JAL REPORT ON DI GY'S LOBBYING EX	G AN UKE XPENSES	Share	cholder	Against	For			
7.	REGA ASSES ON DU OF CL CONS	EHOLDER PROPOS RDING PREPARING SSMENT OF THE IM JKE ENERGY'S POI JIMATE CHANGE ISTENT WITH A TW EE SCENARIO	G AN IPACTS RTFOLIO	Share	cholder	Against	For			
8.	REGA REPO	EHOLDER PROPOS RDING PROVIDING RT ON THE PUBLIC OF DUKE ENERGY	G A C HEALTH	Share	cholder	Against	For			
Account		Account Name	Internal Accoun	ustodia t	Ballot Shares	U S	navai hares	lable Vote Date	Date Confirmed	
997GL()	GLQ	S' S' 997G L	TATE TREET ANK ANK RUST		0		24-Mar-2017	05-May-2017	
STARW	ZOOD F	PROPERTY TRUST		O						
Security		85571B105	1110			Meeting T	vne	Annual		
Ticker S		STWD				Meeting D		04-May-2017		
ISIN	•	US85571B1052				Agenda		934571844 - N	Management	
Record	Date	17-Mar-2017				Holding R Date	econ	17-Mar-2017		
City /	Countr	y /	United States			Vote Dead Date	line	03-May-2017		
SEDOL	(s)					Quick Cod	le			
Item	Propos	al		Propo by	osed	Vote		Against agement		
1.	DIREC	CTOR		Mana	gement					
	1	RICHARD D. BRON	ISON			For	For			
	2 JEFFREY G. DISHNER				For	For				
	3 CAMILLE J. DOUGLAS				For	For				
	4 SOLOMON J. KUMIN				For	For				
		BARRY S. STERNL				For	For			
	6	STRAUSS ZELNICH	S			For	For			

2.	TO APPROVE, ON AN ADVISORY BASIS, THE COMPANY'S EXECUTIVE COMPENSATION AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT.	Management	For	For
3.	TO APPROVE, ON AN ADVISORY BASIS, THE FREQUENCY OF THE ADVISORY VOTE ON THE COMPANY'S EXECUTIVE COMPENSATION.	Management	3 Years	Against
4.	TO APPROVE THE STARWOOD PROPERTY TRUST, INC. 2017 MANAGER EQUITY PLAN, WHICH SUCCEEDS AND REPLACES THE STARWOOD PROPERTY TRUST, INC. MANAGER EQUITY PLAN, AS AMENDED, AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT.	Management	For	For
5.	TO APPROVE THE STARWOOD PROPERTY TRUST, INC. 2017 EQUITY PLAN, WHICH SUCCEEDS AND REPLACES THE STARWOOD PROPERTY TRUST, INC. EQUITY PLAN, AS AMENDED, AND THE STARWOOD PROPERTY TRUST, INC. NON- EXECUTIVE DIRECTOR STOCK PLAN, AS AMENDED, AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT.	Management	For	For
6.	TO RATIFY THE AUDIT COMMITTEE'S APPOINTMENT OF DELOITTE & TOUCHE LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE CALENDAR YEAR ENDING DECEMBER 31, 2017.	Management	For	For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Vote Date Shares	Date Confirmed
997GLV	GLV	997G SV ATE 15,000 STREET BANK & TRUST	0 04-Apr-2017	05-May-2017

		CO				
997GLQ	GLQ	STATE STREET 997G &Q TRUST CO	79,500	0	04-Apr-2017	05-May-2017
997GLO	GLO	STATE STREET 997GLO TRUST CO	90,586	0	04-Apr-2017	05-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997A _{&} 45 TRUST CO	100	100,60	0004-Apr-2017	05-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997A 47 TRUST CO	100	205,80	0004-Apr-2017	05-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997A & 49 TRUST CO	100	499,90	0004-Apr-2017	05-May-2017
997AL53	GLO MS PLEDGE	STATE STREET 997A & 53 TRUST CO	70,000	0	04-Apr-2017	05-May-2017
997AL60	GLV MS PLEDGE	STATE STREET 997A & 60 TRUST CO	45,000	0	04-Apr-2017	05-May-2017
997AL61	GLQ MS PLEDGE	997AS&ATE STREET BANK & TRUST		0	04-Apr-2017	05-May-2017

CO

BOSTO	ON SCIEN	NTIFIC CORPORATION	ON				
Security	y	101137107			Meeting T	ype	Annual
Ticker S	Symbol	BSX			Meeting D	ate	09-May-2017
ISIN		US1011371077			Agenda		934558543 - Management
Record	Date	15-Mar-2017			Holding Ro Date	econ	15-Mar-2017
City /	Country	· /	United States		Vote Dead Date	line	08-May-2017
SEDOL	$\mathcal{L}(\mathbf{s})$				Quick Cod	e	
Item	Proposa	1		Proposed by	Vote		against gement
1A.	ELECT J. CON	ION OF DIRECTOR: NORS	NELDA	Management	For	For	
1B.	_	ION OF DIRECTOR: LES J. DOCKENDORI	FF	Management	For	For	
1C.		ION OF DIRECTOR: AKI FUJIMORI		Management	For	For	
1D.	ELECT A. JAM	ION OF DIRECTOR: ES	DONNA	Management	For	For	
1E.		ION OF DIRECTOR: RD J. LUDWIG		Management	For	For	
1F.		ION OF DIRECTOR: EN P. MACMILLAN		Management	For	For	
1G.		ION OF DIRECTOR: EL F. MAHONEY		Management	For	For	
1H.	ELECT J. ROU	ION OF DIRECTOR: X	DAVID	Management	For	For	
1I.	ELECT SUNUN	ION OF DIRECTOR: IU	JOHN E.	Management	For	For	
1J.	ELECT M. ZAN	ION OF DIRECTOR: IE	ELLEN	Management	For	For	
2.	NON-B NAMEI	PROVE, ON A INDING, ADVISORY DEXECUTIVE OFFICENSATION.	-	Management	For	For	
3.	NON-B THE FR NAME	PROVE, ON A INDING, ADVISORY REQUENCY OF FUTU DEXECUTIVE OFFICE ENSATION ADVISOR	URE CER	Management	3 Years	Agaiı	nst

For

For

Management

4.

TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE 2017 FISCAL YEAR.

Account Number	Account Name	Internal Cust Account	todiaı	Ballot Shares	1	Unavai Shares	lable Vote Date	Date Confirmed
997GLQ	GLQ	STA STR 997GI _{&} Q TRU CO	REET NK	52,700	(0	29-Mar-2017	10-May-2017
997GLO	GLO	STA STR 997G ^B A ^N TRU CO	REET NK	99,900	(0	29-Mar-2017	10-May-2017
997AL47	GLQ BNP PLEDGE	RAN	REET NK	100	,	74,700	29-Mar-2017	10-May-2017
997AL53	GLO MS PLEDGE	STA STR 997A & 53 TRU CO	REET NK	30,000	(0	29-Mar-2017	10-May-2017
GILEAD S	CIENCES, INC.							
Security	375558103				Meeting	Гуре	Annual	
Ticker Syı	nbol GILD				Meeting l	Date	10-May-2017	
ISIN	US3755581036				Agenda		934558810 - N	Management (
Record Da	te 16-Mar-2017				Holding I Date	Recon	16-Mar-2017	
City / C	nintry /	United States			Vote Dea Date	dline	09-May-2017	
SEDOL(s)				Quick Co	de			
Item P	oposal		Propos	sed	Vote		Against nagement	
Ι Δ	LECTION OF DIRECTOR: DGAN, PH.D.	JOHN F.	Manag	gement	For	For		

1B.	ELECTION OF DIRECTOR: KE A. KRAMER	ELLY	Management	For	For
1C.	ELECTION OF DIRECTOR: KE E. LOFTON	EVIN	Management	For	For
1D.	ELECTION OF DIRECTOR: JOS MARTIN, PH.D.	HN C.	Management	For	For
1E.	ELECTION OF DIRECTOR: JOS MILLIGAN, PH.D.	HN F.	Management	For	For
1F.	ELECTION OF DIRECTOR: NICHOLAS G. MOORE		Management	For	For
1G.	ELECTION OF DIRECTOR: RICHARD J. WHITLEY, M.D		Management	For	For
1H.	ELECTION OF DIRECTOR: GAE. WILSON	AYLE	Management	For	For
1I.	ELECTION OF DIRECTOR: PE WOLD-OLSEN	R	Management	For	For
2.	RATIFICATION OF THE SELECTION OF INDEPENDEN REGISTERED PUBLIC ACCOUNTING FIRM.	ΙΤ	Management	For	For
3.	RESTATEMENT OF THE GILE SCIENCES, INC. 2004 EQUITY INCENTIVE PLAN.		Management	For	For
4.	ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICER	R	Management	For	For
5.	ADVISORY VOTE AS TO THE FREQUENCY OF FUTURE ADVISORY SHAREHOLDER VOTES ON EXECUTIVE COMPENSATION.		Management	3 Years	Against
6.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOA TAKE STEPS TO PERMIT STOCKHOLDER ACTION BY WRITTEN CONSENT.	ARD	Shareholder	Against	For
7.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOA ADOPT A POLICY THAT THE CHAIRMAN OF THE BOARD O DIRECTORS BE AN INDEPEND DIRECTOR.	OF	Shareholder	Against	For
Accoun Number	t Account Name	nternal Accoun	Ballot ustodian t Shares	U Si	navailable Vote Date hares

Date

Confirmed

997AL	45	GLV BNP PLEDGE	S7 997AL	RUST	Г 3,600	6.	,200	29-Mar-2017	11-May-2017
997AL	47	GLQ BNP PLEDGE	S7 997AL	RUST	Γ 1,300	19	9,900	29-Mar-2017	11-May-2017
997AL	49	GLO BNP PLEDGE	S7 997AL	RUST	Γ 4,000	3.	5,200	29-Mar-2017	11-May-2017
997AL	61	GLQ MS PLEDGE	S7 997AL &	RUST	г 3,700	0		29-Mar-2017	11-May-2017
DOMI	NION RE	SOURCES, INC.							
Securit		25746U109				Meeting T	уре	Annual	
Ticker	Symbol	D				Meeting D	ate	10-May-2017	1
ISIN		US25746U1097				Agenda		934559038 -	Management
Record	Date	03-Mar-2017				Holding R Date	econ	03-Mar-2017	
City /	Country	7 /	Inited tates			Vote Dead Date	lline	09-May-2017	,
SEDOI	$\mathcal{L}(s)$					Quick Cod	le		
Item	Proposa	ıl		Propo by	osed	Vote		Against nagement	
1A.		TION OF DIRECTOR: AM P. BARR		Mana	agement	For	For		
1B.	ELECT E. DRA	ION OF DIRECTOR: I GAS	HELEN	Mana	agement	For	For		
1C.	ELECT O. ELL	ION OF DIRECTOR: . IS, JR.	JAMES	Mana	agement	For	For		
1D.		ION OF DIRECTOR: AS F. FARRELL II		Mana	ngement	For	For		
1E.	ELECT W. HA	ION OF DIRECTOR: . RRIS	IOHN	Mana	ngement	For	For		

1F.	ELECTION OF DIRECTOR: RONALD W. JIBSON	Management	For	For
1G.	ELECTION OF DIRECTOR: MARK J. KINGTON	Management	For	For
1H.	ELECTION OF DIRECTOR: JOSEPH M. RIGBY	Management	For	For
1I.	ELECTION OF DIRECTOR: PAMELA J. ROYAL, M.D.	Management	For	For
1J.	ELECTION OF DIRECTOR: ROBERT H. SPILMAN, JR.	Management	For	For
1K.	ELECTION OF DIRECTOR: SUSAN N. STORY	Management	For	For
1L.	ELECTION OF DIRECTOR: MICHAEL E. SZYMANCZYK	Management	For	For
2.	RATIFICATION OF APPOINTMENT OF THE INDEPENDENT AUDITORS FOR 2017	Management	For	For
3.	ADVISORY VOTE ON APPROVAL OF EXECUTIVE COMPENSATION (SAY ON PAY)	Management	For	For
4.	ADVISORY VOTE ON THE FREQUENCY OF THE SAY ON PAY VOTE	Management	3 Years	Against
5.	APPROVAL OF AMENDMENT TO ARTICLES OF INCORPORATION TO CHANGE THE COMPANY'S NAME TO DOMINION ENERGY, INC.	Management	For	For
6.	SHAREHOLDER PROPOSAL REGARDING A REPORT ON LOBBYING	Shareholder	Against	For
7.	SHAREHOLDER PROPOSAL REGARDING THE NOMINATION OF A DIRECTOR WITH ENVIRONMENTAL EXPERTISE	Shareholder	Against	For
8.	SHAREHOLDER PROPOSAL REGARDING AN ASSESSMENT OF THE IMPACT OF PUBLIC POLICIES AND TECHNOLOGICAL ADVANCES CONSISTENT WITH LIMITING GLOBAL WARMING	Shareholder	Against	For
9.	SHAREHOLDER PROPOSAL REGARDING A REPORT ON METHANE EMISSIONS	Shareholder	Against	For

A	ga	Internal Dallat			1.1.	Data						
Accour Numbe	Account Name	Internal Ballot Custodian Account Shares	SI	navana hares	ble ote Date	Date Confirmed						
		STATE										
		STREET BANK										
997AL	47 GLQ BNP PLEDGE	997AL47 38,000	0	C	01-Apr-2017	11-May-2017						
		TRUST CO										
SERVI	SERVICE CORPORATION INTERNATIONAL											
Securit	y 817565104		Meeting Ty	ype	Annual							
Ticker	Symbol SCI		Meeting D	ate	10-May-2017							
ISIN	US8175651046		Agenda		934564356 - N	Management						
Record	Date 13-Mar-2017		Holding Re	econ	13-Mar-2017							
City /	Country /	Jnited States	Vote Dead Date	line	09-May-2017							
SEDOI	$\mathcal{L}(s)$		Quick Cod	le								
Item	Proposal	Proposed by	Vote		against gement							
1.	DIRECTOR	Management										
	1 THOMAS L. RYAN		For	For								
	2 CLIFTON H. MORRIS,	, JR.	For	For								
	3 W. BLAIR WALTRIP		For	For								
	TO APPROVE THE SELECTI PRICEWATERHOUSECOOP											
2	LLP AS THE COMPANY'S		Г	Г								
2.	INDEPENDENT REGISTERE		For	For								
	PUBLIC ACCOUNTING FIRM FISCAL 2017.	M FOR										
	TO APPROVE, BY ADVISOR	RY										
3.	VOTE, NAMED EXECUTIVE	E Management	For	For								
	OFFICER COMPENSATION. TO APPROVE HOLDING AN											
	ADVISORY VOTE ON NAM											
4.	EXECUTIVE OFFICER	Management Management	3 Years	Agair	nst							
	COMPENSATION EVERY OF TWO OR THREE YEARS, AS	INE,										
	INDICATED.											
E	TO APPROVE THE AMENDI		Г.,	Г.								
5.	RESTATED 2016 EQUITY INCENTIVE PLAN.	Management	For	For								
6.	TO APPROVE THE SHAREH	HOLDER Shareholder	Against	For								
	PROPOSAL TO REQUIRE INDEPENDENT BOARD											
	INDEFENDENT BUARD											

CHAIRMAN.

TO APPROVE THE SHAREHOLDER

7. PROPOSAL TO ADOPT SIMPLE Shareholder Against For MAJORITY VOTE.

Account Number	Account Name	Internal Custodia Account	Ballot Shares	Unavailable Vote Date Shares	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL45 TRUST CO	Г 900	28,200 31-Mar-2017	11-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET BANK 997AL47 TRUST CO	Γ 5,400	35,500 31-Mar-2017	11-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK 997AL49 TRUST CO		70,900 31-Mar-2017	11-May-2017

ALEXION PHARMACEUTICALS, INC.

ELECTION OF DIRECTOR:

CHRISTOPHER J. COUGHLIN

1D.

Security	/	015351109			Meeting T	ype	Annual
Ticker S	Symbol	ALXN			Meeting D	ate	10-May-2017
ISIN		US0153511094			Agenda		934568710 - Management
Record	Date	14-Mar-2017			Holding Re Date	econ	14-Mar-2017
City /	Country		/ United States		Vote Dead Date	line	09-May-2017
SEDOL(s)				Quick Cod	e		
Item	Proposa	1		Proposed by	Vote		gainst gement
1A.	ELECT: BAKER	ION OF DIRECTO	R: FELIX J.	Management	For	For	
1B.	ELECT:	ION OF DIRECTO NNAN	R: DAVID	Management	For	For	
1C.		ION OF DIRECTO LE BURNS	PR: M.	Management	For	For	

Management

For

For

1E.	ELECTION OF DIRECTOR: LUDWIG N. HANTSON	Management	For	For	
1F.	ELECTION OF DIRECTOR: JOI MOLLEN	HN T. Management	For	For	
1G.	ELECTION OF DIRECTOR: R. DOUGLAS NORBY	Management	For	For	
1H.	ELECTION OF DIRECTOR: AL S. PARVEN	VIN Management	For	For	
1I.	ELECTION OF DIRECTOR: ANDREAS RUMMELT	Management	For	For	
1J.	ELECTION OF DIRECTOR: AN VENEMAN	N M. Management	For	For	
2.	TO APPROVE ALEXION'S 201' INCENTIVE PLAN.	Management	For	For	
3.	RATIFICATION OF APPOINTM BY THE BOARD OF DIRECTO OF PRICEWATERHOUSECOOL LLP AS ALEXION'S INDEPEND REGISTERED PUBLIC ACCOUNTING FIRM.	RS PERS Management	For	For	
4.	APPROVAL OF A NON-BINDII ADVISORY VOTE OF THE 201 COMPENSATION PAID TO ALEXION'S NAMED EXECUTI OFFICERS.	6 Management	For	For	
5.	TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION	Management	3 Years	Against	
6.	TO REQUEST THE BOARD IMPLEMENT CONFIDENTIAL SHAREHOLDER VOTING ON EXECUTIVE PAY MATTERS.	Shareholder	Against	For	
Accoun Number	r Account Name I	nternal Ballot Custodian Shares	U S	Jnavailable Shares	Date Confirmed
997GL0	Q GLQ 9	STATE STREET 97GLQ 19,500 TRUST CO	C	01-Apr-2017	11-May-2017

997G**SO**ATE 14,100

STREET

0

01-Apr-2017

997GLO

GLO

11-May-2017

BANK & **TRUST** CO **STATE STREET**

997AL49 GLO BNP PLEDGE 997AL49

100

TRUST

CO

JPMORGAN CHASE & CO.

Meeting Type Security 46625H100 Annual

Ticker Symbol Meeting Date JPM 16-May-2017

ISIN Agenda 934561665 - Management US46625H1005

Holding Recon Record Date 17-Mar-2017 17-Mar-2017

Date

34,900 01-Apr-2017

11-May-2017

United Vote Deadline City / Country States

Date

15-May-2017

SEDOL(s) Ouick Code

L(S)	Quick Code		e
Proposal	Proposed by	Vote	For/Against Management
ELECTION OF DIRECTOR: LINDA B. BAMMANN	Management	For	For
ELECTION OF DIRECTOR: JAMES A. BELL	Management	For	For
ELECTION OF DIRECTOR: CRANDALL C. BOWLES	Management	For	For
ELECTION OF DIRECTOR: STEPHEN B. BURKE	Management	For	For
ELECTION OF DIRECTOR: TODD A. COMBS	Management	For	For
ELECTION OF DIRECTOR: JAMES S. CROWN	Management	For	For
ELECTION OF DIRECTOR: JAMES DIMON	Management	For	For
ELECTION OF DIRECTOR: TIMOTHY P. FLYNN	Management	For	For
ELECTION OF DIRECTOR: LABAN P. JACKSON, JR.	Management	For	For
ELECTION OF DIRECTOR: MICHAEL A. NEAL	Management	For	For
ELECTION OF DIRECTOR: LEE R. RAYMOND	Management	For	For
	Proposal ELECTION OF DIRECTOR: LINDA B. BAMMANN ELECTION OF DIRECTOR: JAMES A. BELL ELECTION OF DIRECTOR: CRANDALL C. BOWLES ELECTION OF DIRECTOR: STEPHEN B. BURKE ELECTION OF DIRECTOR: TODD A. COMBS ELECTION OF DIRECTOR: JAMES S. CROWN ELECTION OF DIRECTOR: JAMES DIMON ELECTION OF DIRECTOR: JAMES DIMON ELECTION OF DIRECTOR: LABAN P. JACKSON, JR. ELECTION OF DIRECTOR: MICHAEL A. NEAL ELECTION OF DIRECTOR: LEE R.	Proposed by ELECTION OF DIRECTOR: LINDA B. BAMMANN ELECTION OF DIRECTOR: JAMES A. BELL ELECTION OF DIRECTOR: Management CRANDALL C. BOWLES ELECTION OF DIRECTOR: Management STEPHEN B. BURKE ELECTION OF DIRECTOR: TODD A. COMBS ELECTION OF DIRECTOR: JAMES S. CROWN ELECTION OF DIRECTOR: JAMES S. CROWN ELECTION OF DIRECTOR: JAMES DIMON ELECTION OF DIRECTOR: Management ELECTION OF DIRECTOR: JAMES DIMON ELECTION OF DIRECTOR: Management ELECTION OF DIRECTOR: Management ELECTION OF DIRECTOR: LABAN P. JACKSON, JR. ELECTION OF DIRECTOR: Management Management Management Management Management Management	Proposal Proposed by Vote by ELECTION OF DIRECTOR: LINDA B. BAMMANN ELECTION OF DIRECTOR: JAMES A. BELL ELECTION OF DIRECTOR: Management ELECTION OF DIRECTOR: CRANDALL C. BOWLES ELECTION OF DIRECTOR: STEPHEN B. BURKE ELECTION OF DIRECTOR: TODD A. COMBS ELECTION OF DIRECTOR: JAMES S. CROWN ELECTION OF DIRECTOR: JAMES S. CROWN ELECTION OF DIRECTOR: JAMES DIMON ELECTION OF DIRECTOR: Management For ELECTION OF DIRECTOR: Management For ELECTION OF DIRECTOR: LABAN P. JACKSON, JR. ELECTION OF DIRECTOR: Management For ELECTION OF DIRECTOR: Management For ELECTION OF DIRECTOR: Management For ELECTION OF DIRECTOR: Management ELECTION OF DIRECTOR: Management ELECTION OF DIRECTOR: Management ELECTION OF DIRECTOR: Management ELECTION OF DIRECTOR: LEE R. Management For

1L.	ELECTION OF DIRECTOR: WILLIAM C. WELDON		Management	For	For	
2.	ADVISORY RESOLUTION T APPROVE EXECUTIVE COMPENSATION	О	Management	For	For	
3.	RATIFICATION OF INDEPER REGISTERED PUBLIC ACCOUNTING FIRM	NDENT	Management	For	For	
4.	ADVISORY VOTE ON FREQ OF ADVISORY RESOLUTIO APPROVE EXECUTIVE COMPENSATION		Management	3 Years	Against	
5.	INDEPENDENT BOARD CHAIRMAN		Shareholder	Against	For	
6.	VESTING FOR GOVERNMENT SERVICE	NT	Shareholder	Against	For	
7.	CLAWBACK AMENDMENT		Shareholder	Against	For	
8.	GENDER PAY EQUITY		Shareholder	Against	For	
9.	HOW VOTES ARE COUNTED	D	Shareholder	Against	For	
10.	SPECIAL SHAREOWNER MEETINGS		Shareholder	Against	For	
Accoun Number	Account Name	Internal Account	Ballot ustodian Shares	Į S	Unavailable Vote Date Shares	Date Confirmed
	r Account Name	ST ST 997AL TF	RUST		Unavailable Shares Vote Date 3,000 07-Apr-2017	
Number	Account Name 45 GLV BNP PLEDGE	\$71 \$997A\(\frac{4}{2}\) TF CO \$71 \$71 \$997A\(\frac{4}{2}\)	FATE FREET ANK 15 100 RUST O FATE FREET ANK 100 RUST	8	Silates	Confirmed
Number 997AL4	Account Name 45 GLV BNP PLEDGE 47 GLQ BNP PLEDGE	997A B 4 8 8 8 997A B 4 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	FATE FREET ANK FO FATE FREET ANK FO FATE FREET ANK FO FATE FREET ANK FO FATE FREET ANK	8	3,000 07-Apr-2017	Confirmed 17-May-2017
997AL4 997AL4	Account Name 45 GLV BNP PLEDGE 47 GLQ BNP PLEDGE	\$71 \$997AL & TF CO \$71 \$997AL & TF CO \$71 \$71 \$997AL & TF	FATE FREET ANK FO FATE FREET ANK FO FATE FREET ANK FO FATE FREET ANK FO FATE FREET ANK	8	3,000 07-Apr-2017 13,100 07-Apr-2017	Confirmed 17-May-2017 17-May-2017

Ticker	Symbol	ALGN		Meeting D	ate	17-May-2017
ISIN		US0162551016		Agenda		934559874 - Management
Record	Date	22-Mar-2017		Holding R Date	econ	22-Mar-2017
City /	Countr	y / United States		Vote Dead Date	lline	16-May-2017
SEDOI	L(s)			Quick Coo	le	
Item	Propos	al	Proposed by	Vote		Against agement
1A.	ELECT M. HO	TION OF DIRECTOR: JOSEPH GAN	Management	For	For	
1B.	ELECT LACO	TION OF DIRECTOR: JOSEPH B	Management	For	For	
1C.		TON OF DIRECTOR: C. OND LARKIN, JR.	Management	For	For	
1D.		TION OF DIRECTOR: GE J. MORROW	Management	For	For	
1E.		TION OF DIRECTOR: AS M. PRESCOTT	Management	For	For	
1F.		TION OF DIRECTOR: EA L. SAIA	Management	For	For	
1G.	ELECT SANTO	TION OF DIRECTOR: GREG J. DRA	Management	For	For	
1H.	ELECT E. SIEC	TION OF DIRECTOR: SUSAN GEL	Management	For	For	
1I.		TON OF DIRECTOR: EN S. THALER	Management	For	For	
2.	APPOI PRICE LLP AS INC.'S REGIS ACCO	OSAL TO RATIFY THE NTMENT OF WATERHOUSECOOPERS S ALIGN TECHNOLOGY, INDEPENDENT TERED PUBLIC UNTANTS FOR THE FISCAL ENDING DECEMBER 31,	Management	For	For	
3.	NAME	ORY VOTE TO APPROVE D EXECUTIVE OFFICER ENSATION.	Management	For	For	
4.	FREQU ADVIS	ORY VOTE ON THE JENCY OF HOLDING AN ORY VOTE ON EXECUTIVE ENSATION.	Management	3 Years	Agai	nst

Account Number	Account Name	Internal Ballot Custodian Account Shares	Una Shar	vailable Vote Date es	Date Confirmed
997GLO	GLO	STATE STREET 997GkO 21,600 TRUST CO	0	08-Apr-2017	18-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 22,200 TRUST CO	0	08-Apr-2017	18-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 100 TRUST CO	49,9	00 08-Apr-2017	18-May-2017
997AL53	GLO MS PLEDGE	STATE STREET BANK 997AL53 10,000 TRUST CO	0	08-Apr-2017	18-May-2017
997AL61	GLQ MS PLEDGE	STATE STREET BANK 997AL61 10,000 TRUST CO	0	08-Apr-2017	18-May-2017
ELLIE MAE,	INC.				
Security	28849P100		Meeting Type		
Ticker Symbo			Meeting Date	•	
ISIN Record Date	US28849P1003 22-Mar-2017		Agenda Holding Reco		Management
City / Cour	ntru /	Jnited States	Vote Deadling Date	e 16-May-201	7
SEDOL(s)			Quick Code		
Item Propo		Proposed by	VOIA	or/Against Ianagement	
	ECTOR	Management			
1	KAREN BLASING		For F	or	

	2	JONATHAN CORR				For	Fo	r	
	3	ROBERT J. LEVIN				For	For	ſ	
	4	JEB S. SPENCER				For	For	r	
2.	THE BOA GRA INDE PUBI THE	ATIFY THE SELECTION AUDIT COMMITTEE OF RD OF DIRECTORS, OF NT THORNTON LLP AS EPENDENT REGISTERE LIC ACCOUNTING FIRM COMPANY FOR ITS FIS R ENDING DECEMBER	F THE S THE ED M OF SCAL	Mana	gement	For	For	r	
3.	BASI OFFI THE DECI DISC	PPROVE, ON AN ADVI S, THE NAMED EXECU CER COMPENSATION FISCAL YEAR ENDED EMBER 31, 2016 AS CLOSED IN THE PROXY TEMENT	JTIVE FOR	Mana	gement	For	For	r	
4.	PROI PRES RELA DECI	ONSIDER A STOCKHO POSAL IF PROPERLY SENTED AT THE MEET ATED TO THE LASSIFICATION OF TH RD OF DIRECTORS.	ING,	Share	holder	Against	For	r	
Accour Numbe		Account Name	Internal Cu Account	ıstodia	Ballot n Shares		Unava Shares	uilable Vote Date	Date Confirmed
997GL	.Q	GLQ	ST 997GL	RUST	19,100		0	07-Apr-2017	18-May-2017
997AL	.49	GLO BNP PLEDGE	ST 997AL 4	TATE TREET ANK RUST	47,900		0	07-Apr-2017	18-May-2017
SOLA	R CAPI	TAL LTD							

Meeting Type

Agenda

Meeting Date

Holding Recon

Annual

17-May-2017

22-Mar-2017

934568518 - Management

Security

ISIN

Ticker Symbol

Record Date

83413U100

US83413U1007

22-Mar-2017

SLRC

Date

United Vote Deadline 16-May-2017 City / Country States Date SEDOL(s) Quick Code **Proposed** For/Against Proposal Vote Item Management by 1. **DIRECTOR** Management MR. BRUCE SPOHLER For For MR. STEVEN HOCHBERG For For TO APPROVE A PROPOSAL TO AUTHORIZE THE COMPANY TO SELL SHARES OF ITS COMMON STOCK AT A PRICE OR PRICES BELOW THE COMPANY'S THEN CURRENT NET ASSET VALUE PER SHARE IN ONE OR MORE OFFERINGS, IN EACH CASE SUBJECT TO THE APPROVAL OF ITS BOARD OF DIRECTORS AND 2. Management For For **COMPLIANCE WITH THE** CONDITIONS SET FORTH IN THE PROXY STATEMENT PERTAINING THERETO (INCLUDING, WITHOUT LIMITATION, THAT THE NUMBER OF SHARES ISSUED DOES NOT EXCEED 25% OF THE COMPANY'S THEN OUTSTANDING COMMON STOCK IMMEDIATELY PRIOR TO EACH SUCH OFFERING). Unavailable Shares Vote Date Account Internal Ballot Account Ballot Shares Date Account Name Number Confirmed STATE **STREET** 997GLV **GLV** 30,900 997GLV 0 01-Apr-2017 18-May-2017 **TRUST** CO **STATE STREET GLV BNP PLEDGE** 100 997AL45 47,000 01-Apr-2017 18-May-2017 **TRUST**

> CO 997A**S47**ATE 100

> > **STREET**

GLQ BNP PLEDGE

997AL47

18-May-2017

75,000 01-Apr-2017

BANK & **TRUST** CO **STATE STREET** 997AL49 GLO BNP PLEDGE 37,631 0 997AL49 01-Apr-2017 18-May-2017 **TRUST** CO ARBOR REALTY TRUST, INC. Security 038923108 Meeting Type Annual Ticker Symbol Meeting Date 17-May-2017 **ABR ISIN** US0389231087 Agenda 934587330 - Management Holding Recon Record Date 04-Apr-2017 04-Apr-2017 Date United Vote Deadline 16-May-2017 City / Country States Date SEDOL(s) Quick Code **Proposed** For/Against Item **Proposal** Vote by Management 1. **DIRECTOR** Management 1 IVAN KAUFMAN For For 2 MELVIN F. LAZAR For For 3 **GEORGE TSUNIS** For For APPROVAL OF AN AMENDMENT AND RESTATEMENT TO THE ARBOR REALTY TRUST, INC. 2014 OMNIBUS STOCK INCENTIVE PLAN (THE "PLAN") TO 2. Management For For **AUTHORIZE AN ADDITIONAL** 5,000,000 SHARES OF COMMON STOCK OF ARBOR REALTY TRUST, INC. FOR ISSUANCE UNDER THE PLAN. RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS THE 3. For INDEPENDENT REGISTERED Management For PUBLIC ACCOUNTING FIRM OF ARBOR REALTY TRUST, INC. FOR FISCAL YEAR 2017. ADVISORY VOTE TO APPROVE 4. Management For For

THE COMPENSATION OF ARBOR REALTY TRUST, INC.'S NAMED

EXECUTIVE OFFICERS AS DISCLOSED IN THE PROXY STATEMENT.

ADVISORY VOTE ON THE FREQUENCY OF FUTURE

5. ADVISORY VOTES TO APPROVE THE COMPENSATION OF ARBOR

REALTY TRUST, INC.'S NAMED

EXECUTIVE OFFICERS.

Account Number	Account Name	Internal Ballot Account Shares	Unavailable Vote Date Shares	Date Confirmed
		STATE STREET		
997GLQ	GLQ	997GLQ 172,300	0 12-Apr-2017	18-May-2017

Management

TRUST CO

TPG SPECIALTY LENDING, INC.

Security 87265K102 Meeting Type Annual

Ticker Symbol TSLX Meeting Date 18-May-2017

ISIN US87265K1025 Agenda 934566285 - Management

Record Date 24-Mar-2017 Holding Recon 24-Mar-2017

Date

3 Years

For

Vote Deadline 17-May-2017

City / Country / United Vote Dote States Date

SEDOL(s) Quick Code

Item Proposal Proposed by Vote For/Against Management

1. DIRECTOR Management

1 JOSHUA EASTERLY For For 2 MICHAEL FISHMAN For For

TO RATIFY THE SELECTION OF KPMG LLP AS THE COMPANY'S

PUBLIC ACCOUNTING FIRM FOR

2. INDEPENDENT REGISTERED Management For For

THE FISCAL YEAR ENDING

DECEMBER 31, 2017.

Account Name Internal Ballot Unavailable Shares Date Confirmed

997AL47 GLQ BNP PLEDGE 997AS4ATE 100 49,400 08-Apr-2017 19-May-2017

STREET
BANK

& **TRUST** CO

TPG SPECIALTY LENDING, INC.

Security 87265K102 Meeting Type Special

Ticker Symbol **TSLX** Meeting Date 18-May-2017

ISIN US87265K1025 Agenda 934576147 - Management

Holding Recon Record Date 24-Mar-2017 24-Mar-2017

Date

United Vote Deadline 17-May-2017 City / Country

States Date

Ouick Code SEDOL(s)

Proposed For/Against Item Proposal Vote Management by

TO APPROVE A PROPOSAL TO AUTHORIZE THE COMPANY TO SELL OR OTHERWISE ISSUE

SHARES OF ITS COMMON STOCK

AT A PRICE BELOW ITS THEN-CURRENT NET ASSET

VALUE PER SHARE IN ONE OR 1. Management For For MORE OFFERINGS, IN EACH CASE

SUBJECT TO THE APPROVAL OF ITS BOARD OF DIRECTORS AND SUBJECT TO THE CONDITIONS

SET FORTH IN THE

ACCOMPANYING PROXY

STATEMENT

Unavailable Vote Date Internal Ballot Custodian Shares Account Date Account Name Number Confirmed

STATE

STREET

GLQ BNP PLEDGE 100 49,400 08-Apr-2017 997AL47 19-May-2017

TRUST

CO

GLOBAL MEDICAL REIT INC.

Security 37954A204 Meeting Type Annual

Ticker Symbol **GMRE** Meeting Date 18-May-2017

ISIN US37954A2042 934609340 - Management Agenda

Holding Recon Record Date

31-Mar-2017 31-Mar-2017 Date

City / Country 17-May-2017

United Vote Deadline States Date

SEDOL(s)	Quick Code
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Item	Propo	osal	Proposed by	Vote	For/Against Management
1.	DIRE	ECTOR	Management		
	1	DAVID A. YOUNG		For	For
	2	JEFFREY BUSCH		For	For
	3	HENRY COLE		For	For
	4	MATTHEW L. CYPHER, PHD		For	For
	5	KURT R. HARRINGTON		For	For
	6	ZHANG JINGGUO		For	For
	7	RONALD MARSTON		For	For
	8	DR. ROSCOE MOORE		For	For
	9	ZHANG HUIQI		For	For
2.	OF M COM REGI ACC	ATIFY THE APPOINTMENT IALONEBAILEY, LLP AS THE PANY'S INDEPENDENT ISTERED PUBLIC OUNTING FIRM FOR THE R ENDING DECEMBER 31,	Management	For	For

Account Number	Account Name	Internal Custodia Account	Ballot Shares	Unava Shares	vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997G&V TRUST CO		0	28-Apr-2017	19-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL45 TRUST CO	Γ 27,000	0	28-Apr-2017	19-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET BANK 997AL 47 TRUST CO	Г 121,000	0	28-Apr-2017	19-May-2017
997AL49	GLO BNP PLEDGE	997AL49	310,000	0	28-Apr-2017	19-May-2017

STATE STREET BANK & **TRUST** CO

GOLDMAN SACHS BDC, INC.

Security 38147U107 Meeting Type Annual

Ticker Symbol **GSBD** Meeting Date 19-May-2017

ISIN US38147U1079 Agenda 934560346 - Management

18-May-2017

Holding Recon Record Date 20-Mar-2017 20-Mar-2017

Date

Vote Deadline United

City / Country States Date

SEDOL(s) Quick Code

Proposed For/Against Vote Item **Proposal** Management by

ELECTION OF DIRECTOR: ROSS J. 1A. Management For For

KARI

ELECTION OF DIRECTOR: ANN B. 1B. Management For For LANE

TO RATIFY THE SELECTION OF **PRICEWATERHOUSECOOPERS**

LLP AS THE COMPANY'S

2. INDEPENDENT REGISTERED Management For For

PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING

DECEMBER 31, 2017.

Unavailable Vote Date Account Date Internal Ballot Account Shares Account Name Shares Number Confirmed

0

28-Mar-2017

STATE STREET

GLQ BNP PLEDGE 53,000 997AL47

TRUST

CO

NATIONAL GRID PLC

Security 636274300 Meeting Type Annual

Ticker Symbol NGG Meeting Date 19-May-2017

ISIN US6362743006 Agenda 934599436 - Management

Holding Recon

Record Date 12-Apr-2017 12-Apr-2017 Date

22-May-2017

City /	Country	/ United States		Vote Dead Date	lline 12-May-2017
SEDOL	$\mathcal{L}(\mathbf{s})$			Quick Cod	le
Item	Proposal		Proposed by	Vote	For/Against Management
1.	TO APPROVE THE CONSOLIDATION OF S	SHARES	Management	For	For
2.	TO AUTHORISE THE D TO ALLOT NEW ORDER SHARES		Management	For	For
3.	TO DISAPPLY PRE-EM RIGHTS	PTION	Management	For	For
4.	TO DISAPPLY PRE-EM RIGHTS FOR ACQUISI		Management		