

Clough Global Equity Fund  
Form N-PX  
August 23, 2017

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED  
MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number: 811-21712

CLOUGH GLOBAL EQUITY FUND

(Exact name of registrant as specified in charter)

1290 Broadway, Suite 1100, Denver, Colorado 80203

(Address of principal executive offices) (Zip code)

Sareena Khwaja-Dixon, Esq.

Clough Global Equity Fund

1290 Broadway, Suite 1100

Denver, Colorado 80203

(Name and address of agent for service)

Registrant's Telephone Number, including Area Code: (303) 623-2577

Date of fiscal year end: October 31

Date of reporting period: July 1, 2016 – June 30, 2017

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Item 1 – Proxy Voting Record.

Vote Summary

AKORN, INC.

Security	009728106	Meeting Type	Annual
Ticker Symbol	AKRX	Meeting Date	01-Jul-2016
ISIN	US0097281069	Agenda	934429437 - Management
Record Date	11-May-2016	Holding Recon Date	11-May-2016
City / Country	/ United States	Vote Deadline Date	30-Jun-2016

SEDOL(s)

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 JOHN KAPOOR, PHD		For	For
	2 KENNETH ABRAMOWITZ		For	For
	3 ADRIENNE GRAVES, PHD		For	For
	4 RONALD JOHNSON		For	For
	5 STEVEN MEYER		For	For
	6 TERRY ALLISON RAPPUHN		For	For
	7 BRIAN TAMBI		For	For
	8 ALAN WEINSTEIN		For	For
2.	PROPOSAL TO RATIFY THE APPOINTMENT OF BDO USA, LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR YEAR ENDING DECEMBER 31, 2016.	Management	For	For
3.	PROPOSAL TO APPROVE, THROUGH A NON- BINDING ADVISORY VOTE, THE COMPANY'S EXECUTIVE COMPENSATION PROGRAM AS DESCRIBED IN THE COMPANY'S 2016 PROXY STATEMENT.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK	9,000	18,800	21-May-2016	21-May-2016

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997AL47	GLQ BNP PLEDGE	997AL47	5,500	46,700	21-May-2016	21-May-2016
		& TRUST CO STATE STREET BANK &				
997AL49	GLO BNP PLEDGE	997AL49	5,200	111,100	21-May-2016	21-May-2016
		& TRUST CO STATE STREET BANK &				

HERCULES CAPITAL INC

Security	427096508	Meeting Type	Annual
Ticker Symbol	HTGC	Meeting Date	07-Jul-2016
ISIN	US4270965084	Agenda	934423079 - Management
Record Date	15-Apr-2016	Holding Recon Date	15-Apr-2016
City / Country	/ United States	Vote Deadline Date	06-Jul-2016

SEDOL(s)

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: MANUEL A. HENRIQUEZ	Management	For	For
1B.	ELECTION OF DIRECTOR: JOSEPH F. HOFFMAN	Management	For	For
2.	APPROVE, ON AN ADVISORY BASIS, THE COMPANY'S NAMED EXECUTIVE OFFICER COMPENSATION.	Management	For	For
3.	RATIFICATION OF PRICEWATERHOUSECOOPERS LLP TO SERVE AS OUR INDEPENDENT PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016.	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
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997GLV	GLV	STATE STREET BANK & TRUST CO	997GLV	37,900	0	11-May-2016	08-Jul-2016
997GLQ	GLQ	STATE STREET BANK & TRUST CO	997GLQ	61,900	0	11-May-2016	08-Jul-2016

ARMSTRONG WORLD INDUSTRIES, INC.

Security	04247X102	Meeting Type	Annual
Ticker Symbol	AWI	Meeting Date	08-Jul-2016
ISIN	US04247X1028	Agenda	934420237 - Management
Record Date	15-Apr-2016	Holding Recon Date	15-Apr-2016

City / Country / United States / Vote Deadline Date 07-Jul-2016

SEDOL(s) Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 STAN A. ASKREN		For	For
	2 VICTOR D. GRIZZLE		For	For
	3 TAO HUANG		For	For
	4 LARRY S. MCWILLIAMS		For	For
	5 JAMES C. MELVILLE		For	For
	6 JAMES J. O'CONNOR		For	For
	7 JOHN J. ROBERTS		For	For
	8 GREGORY P. SPIVY		For	For
	9 CHERRYL T. THOMAS		For	For
2.	TO RATIFY THE SELECTION OF KPMG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2016.	Management	For	For
3.	TO APPROVE OF THE ARMSTRONG WORLD INDUSTRIES, INC. 2016 DIRECTORS' STOCK UNIT PLAN.	Management	For	For
4.		Management	For	For

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TO APPROVE OF THE  
ARMSTRONG WORLD  
INDUSTRIES, INC. 2016  
LONG-TERM INCENTIVE PLAN.

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLO	GLO	997GLO & TRUST CO	113,400	0	07-May-2016	11-Jul-2016
997AL45	GLV BNP PLEDGE	997AL45 & TRUST CO	27,400	0	07-May-2016	11-Jul-2016
997AL47	GLQ BNP PLEDGE	997AL47 & TRUST CO	45,200	0	07-May-2016	11-Jul-2016

CHINA LONGYUAN POWER GROUP CORPORATION LTD

Security	Y1501T101	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	12-Aug-2016
ISIN	CNE100000HD4	Agenda	707215744 - Management
Record Date	11-Jul-2016	Holding Recon Date	11-Jul-2016
City / Country	BEIJING / China	Vote Deadline Date	08-Aug-2016
SEDOL(s)	B3MFW30 - B4Q2TX3 - B4XWG35 - BP3RS86	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0624/LTN20160624371.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0624/LTN20160624371.pdf</a> ,-	Non-Voting		

<http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0624/LTN20160624389.pdf>

TO CONSIDER AND APPROVE THE APPOINTMENT OF MR. LUAN BAOXING AS A NONEXECUTIVE DIRECTOR OF THE COMPANY TO FILL THE VACANCY LEFT BY RESIGNATION OF MR. SHAO GUOYONG, WITH EFFECT FROM THE DATE OF THE EGM WHEN THE NOMINATION IS APPROVED BY THE SHAREHOLDERS OF THE COMPANY AND UNTIL THE EXPIRATION OF THE TERM OF THE CURRENT SESSION OF THE BOARD OF DIRECTORS OF THE COMPANY (THE "BOARD"). MEANWHILE, TO AUTHORIZE THE REMUNERATION AND ASSESSMENT COMMITTEE OF THE BOARD TO DETERMINE THE REMUNERATION OF THE NEW DIRECTORS ACCORDING TO THE DIRECTORS' AND SUPERVISORS' REMUNERATION PLAN FOR THE YEAR 2016 AS APPROVED AT THE ANNUAL GENERAL MEETING UPON THE CANDIDATES FOR THE NEW DIRECTORS AS APPROVED AT THE EGM, AND TO AUTHORIZE THE CHAIRMAN OF THE BOARD OF THE COMPANY OR ANY ONE OF THE EXECUTIVE DIRECTORS TO ENTER INTO A SERVICE CONTRACT WITH EACH OF THE NEW DIRECTORS AND HANDLE OTHER RELEVANT MATTERS ON BEHALF OF THE COMPANY UPON THE CANDIDATES FOR THE NEW DIRECTORS BEING APPROVED AT THE EGM

1

Management For For

2

TO CONSIDER AND APPROVE THE APPOINTMENT OF MR. YANG XIANGBIN AS A NONEXECUTIVE DIRECTOR OF THE COMPANY TO FILL THE VACANCY LEFT BY RESIGNATION OF MR. CHEN JINGDONG, WITH EFFECT FROM

Management For For

THE DATE OF THE EGM WHEN THE NOMINATION IS APPROVED BY THE SHAREHOLDERS OF THE COMPANY AND UNTIL THE EXPIRATION OF THE TERM OF THE CURRENT SESSION OF THE BOARD. MEANWHILE, TO AUTHORIZE THE REMUNERATION AND ASSESSMENT COMMITTEE OF THE BOARD TO DETERMINE THE REMUNERATION OF THE NEW DIRECTORS ACCORDING TO THE DIRECTORS' AND SUPERVISORS' REMUNERATION PLAN FOR THE YEAR 2016 AS APPROVED AT THE ANNUAL GENERAL MEETING UPON THE CANDIDATES FOR THE NEW DIRECTORS AS APPROVED AT THE EGM, AND TO AUTHORIZE THE CHAIRMAN OF THE BOARD OF THE COMPANY OR ANY ONE OF THE EXECUTIVE DIRECTORS TO ENTER INTO A SERVICE CONTRACT WITH EACH OF THE NEW DIRECTORS AND HANDLE OTHER RELEVANT MATTERS ON BEHALF OF THE COMPANY UPON THE CANDIDATES FOR THE NEW DIRECTORS BEING APPROVED AT THE EGM.

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
GLV	GLV	STATE STREET BANK	771,000	0	25-Jun-2016	10-Aug-2016
GLQ	GLQ	STATE STREET BANK	1,253,000	0	25-Jun-2016	10-Aug-2016
GLO	GLO	STATE STREET BANK	3,161,000	0	25-Jun-2016	10-Aug-2016

LIBERTY MEDIA CORPORATION

Security	531229409	Meeting Type	Annual
Ticker Symbol	LSXMA	Meeting Date	23-Aug-2016

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ISIN US5312294094 Agenda 934458870 - Management  
 Record Date 01-Jul-2016 Holding Recon Date 01-Jul-2016

City / Country / United States / States Vote Deadline Date 22-Aug-2016

SEDOL(s) Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 JOHN C. MALONE		For	For
	2 ROBERT R. BENNETT		For	For
	3 M. IAN G. GILCHRIST		For	For
2.	A PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS OUR INDEPENDENT AUDITORS FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	1,656	113,800	09-Jul-2016	24-Aug-2016
997AL61	GLQ MS PLEDGE	997AL61	STATE STREET BANK & TRUST CO	9,168	0	09-Jul-2016	24-Aug-2016

LIBERTY INTERACTIVE CORPORATION

Security 53071M880 Meeting Type Annual  
 Ticker Symbol LVNTA Meeting Date 23-Aug-2016  
 ISIN US53071M8800 Agenda 934458882 - Management  
 Record Date 01-Jul-2016 Holding Recon Date 01-Jul-2016

City / Country / United States / States Vote Deadline Date 22-Aug-2016

SEDOL(s) Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management



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- |    |                       |            |     |     |
|----|-----------------------|------------|-----|-----|
| 1. | DIRECTOR              | Management |     |     |
|    | 1 JOHN C. MALONE      |            | For | For |
|    | 2 M. IAN G. GILCHRIST |            | For | For |
|    | 3 MARK C. VADON       |            | For | For |
|    | 4 ANDREA L. WONG      |            | For | For |

- |    |  |            |     |     |
|----|--|------------|-----|-----|
| 2. | A PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS OUR INDEPENDENT AUDITORS FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016. | Management | For | For |
|----|--|------------|-----|-----|

- |    |  |            |     |     |
|----|--|------------|-----|-----|
| 3. | A PROPOSAL TO ADOPT THE LIBERTY INTERACTIVE CORPORATION 2016 OMNIBUS INCENTIVE PLAN. | Management | For | For |
|----|--|------------|-----|-----|

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	STATE STREET BANK & TRUST CO	24,200	0	09-Jul-2016	24-Aug-2016
997GLQ	GLQ	STATE STREET BANK & TRUST CO	26,100	0	09-Jul-2016	24-Aug-2016
997GLO	GLO	STATE STREET BANK & TRUST CO	65,800	0	09-Jul-2016	24-Aug-2016
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO	10,872	0	09-Jul-2016	24-Aug-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO	3,403	64,000	09-Jul-2016	24-Aug-2016

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997AL49	GLO BNP PLEDGE	997AL49	CO STATE STREET BANK & TRUST CO	14,471	109,90009-Jul-2016	24-Aug-2016
997AL60	GLV MS PLEDGE	997AL60	CO STATE STREET BANK & TRUST CO	24,000	0 09-Jul-2016	24-Aug-2016

VIASAT, INC.

Security	92552V100	Meeting Type	Annual
Ticker Symbol	VSAT	Meeting Date	08-Sep-2016
ISIN	US92552V1008	Agenda	934463643 - Management
Record Date	15-Jul-2016	Holding Recon Date	15-Jul-2016
City / Country	/ United States	Vote Deadline Date	07-Sep-2016

SEDOL(s)

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 RICHARD BALDRIDGE		For	For
	2 B. ALLEN LAY		For	For
	3 DR. JEFFREY NASH		For	For
2.	RATIFICATION OF APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS VIASAT'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL YEAR 2017	Management	For	For
3.	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST	149	34,500	26-Jul-2016	09-Sep-2016

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997AL47	GLQ BNP PLEDGE	997AL47	CO STATE STREET BANK & TRUST CO	142	63,600	26-Jul-2016	09-Sep-2016
997AL49	GLO BNP PLEDGE	997AL49	CO STATE STREET BANK & TRUST CO	135	145,600	26-Jul-2016	09-Sep-2016

GOLDMAN SACHS BDC, INC.

Security	38147U107	Meeting Type	Annual
Ticker Symbol	GSBD	Meeting Date	29-Sep-2016
ISIN	US38147U1079	Agenda	934387362 - Management
Record Date	04-Apr-2016	Holding Recon Date	04-Apr-2016
City / Country	/ United States	Vote Deadline Date	28-Sep-2016

SEDOL(s)

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF CLASS II DIRECTOR: JAIME ARDILA	Management	For	For
1B.	ELECTION OF CLASS II DIRECTOR: RICHARD P. STRUBEL	Management	For	For
1C.	ELECTION OF CLASS II DIRECTOR: KATHERINE ("KAYSIE") UNIACKE	Management	For	For
2.	TO AUTHORIZE THE COMPANY, WITH THE APPROVAL OF THE COMPANY'S BOARD OF DIRECTORS, TO SELL OR OTHERWISE ISSUE SHARES OF THE COMPANY'S COMMON STOCK (DURING THE 12 MONTHS FOLLOWING SUCH AUTHORIZATION) AT A PRICE BELOW THE THEN- CURRENT NET ASSET VALUE PER SHARE, PROVIDED THAT THE NUMBER OF SHARES ISSUED DOES NOT EXCEED 25% OF THE COMPANY'S	Management	For	For

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THEN- OUTSTANDING COMMON STOCK.

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	53,000	0	13-Apr-2016	30-Sep-2016

HILTON WORLDWIDE HOLDINGS INC.

Security	43300A104	Meeting Type	Special
Ticker Symbol	HLT	Meeting Date	04-Oct-2016
ISIN	US43300A1043	Agenda	934479216 - Management
Record Date	26-Aug-2016	Holding Recon Date	26-Aug-2016
City / Country	/ United States	Vote Deadline Date	03-Oct-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	TO APPROVE AND ADOPT AN AMENDMENT TO THE COMPANY'S CERTIFICATE OF INCORPORATION TO EFFECT A REVERSE STOCK SPLIT AND DECREASE AUTHORIZED SHARES.	Management	For	For
2.	TO APPROVE AN ADJOURNMENT OF THE SPECIAL MEETING.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	20,000	0	09-Sep-2016	05-Oct-2016
997GLQ	GLQ	997GLQ	STATE STREET BANK &	30,000	0	09-Sep-2016	05-Oct-2016

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997GLO	GLO	997GLO	TRUST CO STATE STREET BANK &	2,400	0	09-Sep-2016	05-Oct-2016
997AL49	GLO BNP PLEDGE	997AL49	TRUST CO STATE STREET BANK &	100	159,900	09-Sep-2016	05-Oct-2016
997AL60	GLV MS PLEDGE	997AL60	TRUST CO STATE STREET BANK &	19,800	0	09-Sep-2016	05-Oct-2016
997AL61	GLQ MS PLEDGE	997AL61	TRUST CO STATE STREET BANK &	34,300	0	09-Sep-2016	05-Oct-2016

SINOPHARM GROUP CO. LTD.

Security	Y8008N107	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	18-Oct-2016
ISIN	CNE100000FN7	Agenda	707423264 - Management
Record Date	15-Sep-2016	Holding Recon Date	15-Sep-2016
City / Country	SHANGH AI / China	Vote Deadline Date	04-Oct-2016
SEDOL(s)	B3ZVDV0 - B4M8B73 - B5NVZ21 - BP3RXT2	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 675300 DUE TO ADDITION OF- RESOLUTION 2. ALL VOTES RECEIVED ON THE PREVIOUS	Non-Voting		

MEETING WILL BE  
DISREGARDED-AND YOU WILL  
NEED TO REINSTRUCT ON THIS  
MEETING NOTICE. THANK YOU

NOTE THAT THE COMPANY  
NOTICE AND PROXY FORM ARE  
AVAILABLE BY CLICKING ON  
THE-URL LINKS:-

CMMT <http://www.hkexnews.hk/listedco/listconews/sehk/2016/0930/ltn20160930476.pdf>,-  
Non-Voting  
CMMT <http://www.hkexnews.hk/listedco/listconews/sehk/2016/0930/ltn20160930421.pdf>,-  
<http://www.hkexnews.hk/listedco/listconews/sehk/2016/0901/ltn201609011181.pdf>-AND-  
<http://www.hkexnews.hk/listedco/listconews/sehk/2016/0901/ltn201609011318.pdf>

CMMT PLEASE NOTE IN THE HONG  
KONG MARKET THAT A VOTE OF  
"ABSTAIN" WILL BE Non-Voting  
TREATED-THE SAME AS A "TAKE  
NO ACTION" VOTE

1 TO CONSIDER AND, IF THOUGHT Management For For  
FIT, TO APPROVE: "THAT (I) THE  
ADOPTION OF THE SCHEME (AS  
DEFINED IN THE CIRCULAR  
DATED 1 SEPTEMBER 2016  
DESPATCHED TO THE  
SHAREHOLDERS OF THE  
COMPANY) BY THE COMPANY  
AND THE DELEGATION OF  
AUTHORIZATION TO ANY  
DIRECTOR OF THE COMPANY OR  
AUTHORIZED REPRESENTATIVE  
OF THE BOARD TO EXECUTE  
ANY DOCUMENTS AND  
INSTRUMENTS AS MAY BE  
NECESSARY OR INCIDENTAL TO  
THE ADOPTION OF THE SCHEME  
AND TO DO ALL SUCH ACTS AND  
THINGS AS THEY CONSIDER  
NECESSARY OR EXPEDIENT OR  
DESIRABLE IN CONNECTION  
WITH THE IMPLEMENTATION OF  
THE SCHEME; AND (II) THE  
BOARD BE AND IS HEREBY  
AUTHORIZED TO IMPLEMENT  
THE SCHEME AS WELL AS TO  
APPROVE GRANTS OF  
RESTRICTED SHARES UNDER

THE SCHEME FROM TIME TO TIME (INCLUDING BUT NOT LIMITED TO THE INITIAL GRANT OF THE SCHEME)"

TO CONSIDER AND, IF THOUGHT FIT, TO APPROVE THE APPOINTMENT OF MR. MA PING AS A NON- EXECUTIVE DIRECTOR OF THE COMPANY, TO AUTHORISE THE BOARD OF DIRECTORS OF THE COMPANY TO DETERMINE HIS REMUNERATION, AND TO AUTHORISE ANY EXECUTIVE DIRECTOR OF THE COMPANY TO EXECUTE A SERVICE CONTRACT OR SUCH OTHER DOCUMENTS OR SUPPLEMENTAL AGREEMENTS OR DEEDS ON BEHALF OF THE COMPANY

2 Management For For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
GLV	GLV	STATE STREET BANK		142,400	0	01-Oct-2016	12-Oct-2016
GLQ	GLQ	STATE STREET BANK		236,800	0	01-Oct-2016	12-Oct-2016
GLO	GLO	STATE STREET BANK		583,600	0	01-Oct-2016	12-Oct-2016

BYD COMPANY LTD, SHENZHEN

Security	Y1023R104	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	01-Nov-2016
ISIN	CNE100000296	Agenda	707516110 - Management
Record Date	30-Sep-2016	Holding Recon Date	30-Sep-2016
City / Country	SHENZHEN / China	Vote Deadline Date	04-Oct-2016
SEDOL(s)	6536651 - B01XKF2 - B0WVS95 - BD8GJR0	Quick Code	

Item Proposal Vote

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	Proposed by		For/Against Management
CMMT		PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 681715 DUE TO ADDITION OF- RESOLUTION 3. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE DISREGARDED-IF VOTE DEADLINE EXTENSIONS ARE GRANTED. THEREFORE PLEASE REINSTRUCT ON THIS- MEETING NOTICE ON THE NEW JOB. IF HOWEVER VOTE DEADLINE EXTENSIONS ARE NOT-GRANTED IN THE MARKET, THIS MEETING WILL BE INACTIVATED AND YOUR VOTE-INTENTIONS ON THE ORIGINAL MEETING WILL BE APPLICABLE. PLEASE ENSURE VOTING-IS SUBMITTED PRIOR TO CUTOFF ON THE ORIGINAL MEETING, AND AS SOON AS POSSIBLE-ON THIS NEW AMENDED MEETING. THANK YOU.	Non-Voting
CMMT		PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0913/LTN20160913500.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0913/LTN20160913500.pdf</a> ,- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2016/1019/LTN20161019505.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2016/1019/LTN20161019505.pdf</a> -AND- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0913/LTN20160913545.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0913/LTN20160913545.pdf</a> ,- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2016/1019/LTN20161019509.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2016/1019/LTN20161019509.pdf</a>	Non-Voting
1	Management	TO CONSIDER AND APPROVE THE INTERIM PROFIT DISTRIBUTION PLAN OF THE COMPANY FOR THE SIX MONTHS ENDED 30 JUNE 2016	For
2	Management	TO CONSIDER AND APPROVE THE PROPOSED CHANGE OF THE COMPANY'S BUSINESS SCOPE AND AMENDMENT TO THE ARTICLES OF ASSOCIATION OF THE COMPANY: ARTICLE 11	For



3 TO CONSIDER AND APPROVE  
THE PROPOSED FURTHER  
CHANGE OF THE COMPANY'S  
BUSINESS SCOPE AND Management For For  
AMENDMENT TO THE ARTICLES  
OF ASSOCIATION OF THE  
COMPANY: ARTICLE 11

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
GLQ	GLQ		STATE STREET BANK	150,500	0	20-Oct-2016	27-Oct-2016
GLO	GLO		STATE STREET BANK	380,000	0	20-Oct-2016	27-Oct-2016

LIBERTY INTERACTIVE CORPORATION

Security	53071M880	Meeting Type	Special
Ticker Symbol	LVNTA	Meeting Date	01-Nov-2016
ISIN	US53071M8800	Agenda	934488152 - Management
Record Date	28-Sep-2016	Holding Recon Date	28-Sep-2016
City / Country	/ United States	Vote Deadline Date	31-Oct-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	A PROPOSAL TO APPROVE THE REDEMPTION BY LIBERTY INTERACTIVE CORPORATION OF A PORTION OF THE OUTSTANDING SHARES OF LIBERTY VENTURES COMMON STOCK FOR ALL OF THE OUTSTANDING SHARES OF LIBERTY EXPEDIA HOLDINGS, INC., WHICH WOULD HOLD LIBERTY INTERACTIVE CORPORATION'S OWNERSHIP AND VOTING INTERESTS IN .. (DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL).	Management	For	For
2.	A PROPOSAL TO AUTHORIZE THE ADJOURNMENT OF THE SPECIAL	Management	For	For

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MEETING BY LIBERTY  
 INTERACTIVE CORPORATION TO  
 PERMIT FURTHER SOLICITATION  
 OF PROXIES, IF NECESSARY OR  
 APPROPRIATE, IF SUFFICIENT  
 VOTES ARE NOT REPRESENTED  
 AT THE SPECIAL MEETING TO  
 APPROVE THE OTHER PROPOSAL  
 TO BE PRESENTED AT THE  
 SPECIAL MEETING.

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	1,572	0	05-Oct-2016	02-Nov-2016
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	4,200	0	05-Oct-2016	02-Nov-2016
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	15,003	44,000	05-Oct-2016	02-Nov-2016
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	3,871	109,900	05-Oct-2016	02-Nov-2016
997AL60	GLV MS PLEDGE	997AL60	STATE STREET BANK & TRUST CO	24,000	0	05-Oct-2016	02-Nov-2016

THE WILLIAMS COMPANIES, INC.

Security 969457100

Ticker Symbol WMB

ISIN US9694571004

Meeting Type Annual

Meeting Date 23-Nov-2016

Agenda 934492579 - Management

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Record Date 07-Oct-2016 Holding Recon Date 07-Oct-2016

City / Country / United States / Vote Deadline Date 22-Nov-2016

SEDOL(s) Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: ALAN S. ARMSTRONG	Management	For	For
1B.	ELECTION OF DIRECTOR: STEPHEN W. BERGSTROM	Management	For	For
1C.	ELECTION OF DIRECTOR: STEPHEN I. CHAZEN	Management	For	For
1D.	ELECTION OF DIRECTOR: KATHLEEN B. COOPER	Management	For	For
1E.	ELECTION OF DIRECTOR: PETER A. RAGAUSS	Management	For	For
1F.	ELECTION OF DIRECTOR: SCOTT D. SHEFFIELD	Management	For	For
1G.	ELECTION OF DIRECTOR: MURRAY D. SMITH	Management	For	For
1H.	ELECTION OF DIRECTOR: WILLIAM H. SPENCE	Management	For	For
1I.	ELECTION OF DIRECTOR: JANICE D. STONEY	Management	For	For
2.	RATIFICATION OF ERNST & YOUNG LLP AS AUDITORS FOR 2016.	Management	For	For
3.	APPROVAL, BY NONBINDING ADVISORY VOTE, OF THE COMPANY'S EXECUTIVE COMPENSATION.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	100	63,100	20-Oct-2016	20-Oct-2016
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK	100	159,700	20-Oct-2016	20-Oct-2016

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997AL60	GLV MS PLEDGE	997AL60	39,100	0	20-Oct-2016	20-Oct-2016
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&  
 TRUST  
 CO  
 STATE  
 STREET  
 BANK  
 &  
 TRUST  
 CO

AMSURG CORP.

Security	03232P405	Meeting Type	Special
Ticker Symbol	AMSG	Meeting Date	28-Nov-2016
ISIN	US03232P4054	Agenda	934494826 - Management
Record Date	07-Oct-2016	Holding Recon Date	07-Oct-2016
City / Country	/ United States	Vote Deadline Date	25-Nov-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	TO CONSIDER AND VOTE ON A PROPOSAL TO APPROVE THE AGREEMENT AND PLAN OF MERGER, DATED AS OF JUNE 15, 2016 (THE "MERGER AGREEMENT"), BY AND AMONG ENVISION HEALTHCARE HOLDINGS, INC., AMSURG CORP. AND NEW AMETHYST CORP., AND THE TRANSACTIONS CONTEMPLATED BY THE MERGER AGREEMENT.	Management	For	For
2.	TO CONSIDER AND VOTE ON A NON-BINDING, ADVISORY PROPOSAL TO APPROVE THE COMPENSATION THAT MAY BECOME PAYABLE TO AMSURG'S NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE CONSUMMATION OF THE MERGER.	Management	For	For
3.	TO CONSIDER A VOTE ON A PROPOSAL TO APPROVE ANY MOTION TO ADJOURN THE AMSURG SPECIAL MEETING IF NECESSARY OR APPROPRIATE,	Management	For	For

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TO SOLICIT ADDITIONAL PROXIES.

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	6,400	0	26-Oct-2016	29-Nov-2016
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	2,200	6,200	26-Oct-2016	29-Nov-2016
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	2,700	13,600	26-Oct-2016	29-Nov-2016
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	100	34,500	26-Oct-2016	29-Nov-2016

CHR. HANSEN HOLDING A/S

Security	K1830B107	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	29-Nov-2016
ISIN	DK0060227585	Agenda	707583793 - Management
Record Date	22-Nov-2016	Holding Recon Date	22-Nov-2016
City / Country	HORSHO LM / Denmark	Vote Deadline Date	18-Nov-2016
SEDOL(s)	B3LL574 - B3SMD32 - B573M11 - B63NJ00 - BHZLC88	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
	CMMT IN THE MAJORITY OF MEETINGS THE VOTES ARE CAST WITH THE	Non-Voting		

REGISTRAR WHO WILL-FOLLOW  
CLIENT INSTRUCTIONS. IN A  
SMALL PERCENTAGE OF  
MEETINGS THERE IS  
NO-REGISTRAR AND CLIENTS  
VOTES MAY BE CAST BY THE  
CHAIRMAN OF THE BOARD OR  
A-BOARD MEMBER AS PROXY.  
CLIENTS CAN ONLY EXPECT  
THEM TO ACCEPT  
PRO-MANAGEMENT-VOTES. THE  
ONLY WAY TO GUARANTEE  
THAT ABSTAIN AND/OR  
AGAINST VOTES  
ARE-REPRESENTED AT THE  
MEETING IS TO SEND YOUR OWN  
REPRESENTATIVE OR ATTEND  
THE-MEETING IN PERSON. THE  
SUB CUSTODIAN BANKS OFFER  
REPRESENTATION SERVICES  
FOR- AN ADDED FEE IF  
REQUESTED. THANK YOU

PLEASE BE ADVISED THAT SPLIT  
AND PARTIAL VOTING IS NOT  
AUTHORISED FOR A-BENEFICIAL  
CMMT OWNER IN THE DANISH MARKET. Non-Voting  
PLEASE CONTACT YOUR  
GLOBAL CUSTODIAN-FOR  
FURTHER INFORMATION.

IMPORTANT MARKET  
PROCESSING REQUIREMENT: A  
BENEFICIAL OWNER SIGNED  
POWER OF- ATTORNEY (POA) IS  
REQUIRED IN ORDER TO LODGE  
AND EXECUTE YOUR VOTING-  
CMMT INSTRUCTIONS IN THIS MARKET. Non-Voting  
ABSENCE OF A POA, MAY CAUSE  
YOUR INSTRUCTIONS TO-BE  
REJECTED. IF YOU HAVE ANY  
QUESTIONS, PLEASE CONTACT  
YOUR CLIENT SERVICE-  
REPRESENTATIVE

PLEASE NOTE THAT  
SHAREHOLDERS ARE ALLOWED  
CMMT TO VOTE 'IN FAVOR' OR Non-Voting  
'ABSTAIN'-ONLY FOR  
RESOLUTIONS 6.A.A, 6.B.A TO  
6.B.F AND 7.A. THANK YOU

1 Non-Voting

REPORT ON THE COMPANY'S ACTIVITIES				
2	APPROVAL OF THE 2015/16 ANNUAL REPORT	Management	For	For
3	RESOLUTION ON THE APPROPRIATION OF PROFIT OR COVERING OF LOSS: DKK 5.23 PER SHARE	Management	For	For
4	DECISION ON REMUNERATION OF MEMBERS OF THE BOARD OF DIRECTORS	Management	For	For
5.A	PROPOSALS FROM THE BOARD OF DIRECTOR: AMENDMENT OF ARTICLES OF ASSOCIATION TO REFLECT COMPUTERSHARE A/S AS NEW COMPANY REGISTRAR	Management	For	For
5.B	PROPOSALS FROM THE BOARD OF DIRECTOR: AMENDMENT OF ARTICLES OF ASSOCIATION TO REFLECT LEGAL NAME CHANGE OF NASDAQ OMX COPENHAGEN A/S	Management	For	For
6.A.A	RE-ELECTION OF CHAIRMAN OF THE BOARD OF DIRECTOR: OLE ANDERSEN	Management	For	For
6.B.A	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: FREDERIC STEVENIN	Management	For	For
6.B.B	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: MARK WILSON	Management	For	For
6.B.C	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: DOMINIQUE REINICHE	Management	For	For
6.B.D	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: TIINA MATTILA-SANDHOLM	Management	For	For
6.B.E	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: KRISTIAN VILLUMSEN	Management	For	For
6.B.F	ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: LUIS CANTARELL ROCAMORA	Management	For	For

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7.A RE-ELECTION OF  
PRICEWATERHOUSECOOPERS  
STATSAUTORISERET  
REVISIONSPARTNERSELSKAB Management For For

8 AUTHORIZATION OF THE  
CHAIRMAN OF THE ANNUAL  
GENERAL MEETING Management For For

07 NOV 2016: PLEASE NOTE THAT  
THIS IS A REVISION DUE TO  
RECEIPT OF DIVIDEND-AMOUNT.  
IF YOU HAVE ALREADY SENT IN  
CMMT YOUR VOTES, PLEASE DO NOT Non-Voting  
VOTE AGAIN-UNLESS YOU  
DECIDE TO AMEND YOUR  
ORIGINAL INSTRUCTIONS.  
THANK YOU.

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
GLV	GLV		STATE STREET BANK	19,244	0	05-Nov-2016	22-Nov-2016
GLQ	GLQ		STATE STREET BANK	31,409	0	05-Nov-2016	22-Nov-2016
GLO	GLO		STATE STREET BANK	78,002	0	05-Nov-2016	22-Nov-2016

MICROSOFT CORPORATION

Security	594918104	Meeting Type	Annual
Ticker Symbol	MSFT	Meeting Date	30-Nov-2016
ISIN	US5949181045	Agenda	934491224 - Management
Record Date	30-Sep-2016	Holding Recon Date	30-Sep-2016
City / Country	/ United States	Vote Deadline Date	29-Nov-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: WILLIAM H. GATES III	Management	For	For
1B.	ELECTION OF DIRECTOR: TERI L. LIST-STOLL	Management	For	For
1C.		Management	For	For



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ELECTION OF DIRECTOR: G.  
MASON MORFIT

1D.	ELECTION OF DIRECTOR: SATYA NADELLA	Management	For	For
1E.	ELECTION OF DIRECTOR: CHARLES H. NOSKI	Management	For	For
1F.	ELECTION OF DIRECTOR: HELMUT PANKE	Management	For	For
1G.	ELECTION OF DIRECTOR: SANDRA E. PETERSON	Management	For	For
1H.	ELECTION OF DIRECTOR: CHARLES W. SCHARF	Management	For	For
1I.	ELECTION OF DIRECTOR: JOHN W. STANTON	Management	For	For
1J.	ELECTION OF DIRECTOR: JOHN W. THOMPSON	Management	For	For
1K.	ELECTION OF DIRECTOR: PADMASREE WARRIOR	Management	For	For
2.	ADVISORY VOTE TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION	Management	For	For
3.	RATIFICATION OF DELOITTE & TOUCHE LLP AS OUR INDEPENDENT AUDITOR FOR FISCAL YEAR 2017	Management	For	For
4.	APPROVAL OF AMENDMENT TO OUR AMENDED AND RESTATED ARTICLES OF INCORPORATION	Management	For	For
5.	APPROVAL OF FRENCH SUB PLAN UNDER THE 2001 STOCK PLAN	Management	For	For
6.	SHAREHOLDER PROPOSAL - REQUESTING CERTAIN PROXY ACCESS BYLAW AMENDMENTS	Shareholder	Against	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO	100	39,600	20-Oct-2016	01-Dec-2016
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO	100	48,500	20-Oct-2016	01-Dec-2016

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997AL49	GLO BNP PLEDGE	997AL49	STREET BANK & TRUST CO	100	121,300	20-Oct-2016	01-Dec-2016
997AL60	GLV MS PLEDGE	997AL60	STATE STREET BANK & TRUST CO	20,400	0	20-Oct-2016	01-Dec-2016

DIAMONDBACK ENERGY, INC.

Security	25278X109	Meeting Type	Special
Ticker Symbol	FANG	Meeting Date	07-Dec-2016
ISIN	US25278X1090	Agenda	934506392 - Management
Record Date	11-Nov-2016	Holding Recon Date	11-Nov-2016
City / Country	/ United States	Vote Deadline Date	06-Dec-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	PROPOSAL TO APPROVE AN AMENDMENT TO DIAMONDBACK ENERGY, INC.'S AMENDED AND RESTATED CERTIFICATE OF INCORPORATION TO INCREASE THE TOTAL NUMBER OF AUTHORIZED SHARES OF COMMON STOCK FROM 100,000,000 TO 200,000,000.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST	2,500	11,300	19-Nov-2016	08-Dec-2016

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997AL49	GLO BNP PLEDGE	997AL49	BANK & TRUST CO	6,100	28,800	19-Nov-2016	08-Dec-2016
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AGNC INVESTMENT CORP

Security	00123Q104	Meeting Type	Special
Ticker Symbol	AGNC	Meeting Date	09-Dec-2016
ISIN		Agenda	934498127 - Management
Record Date	24-Oct-2016	Holding Recon Date	24-Oct-2016
City / Country	/ United States	Vote Deadline Date	08-Dec-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	APPROVAL OF THE AGNC INVESTMENT CORP. 2016 EQUITY AND INCENTIVE COMPENSATION PLAN.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45	BANK & TRUST CO	165,300	0	04-Nov-2016	12-Dec-2016
997AL47	GLQ BNP PLEDGE	997AL47	BANK & TRUST CO	269,600	0	04-Nov-2016	12-Dec-2016
997AL49	GLO BNP PLEDGE	997AL49	BANK & TRUST CO	679,599	0	04-Nov-2016	12-Dec-2016

ARES CAPITAL CORPORATION

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Security	04010L103	Meeting Type	Special
Ticker Symbol	ARCC	Meeting Date	15-Dec-2016
ISIN	US04010L1035	Agenda	934494864 - Management
Record Date	17-Oct-2016	Holding Recon Date	17-Oct-2016
City / Country	/ United States	Vote Deadline Date	14-Dec-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	TO APPROVE THE ISSUANCE OF THE SHARES OF ARES CAPITAL COMMON STOCK TO BE ISSUED PURSUANT TO THE AGREEMENT AND PLAN OF MERGER, AS SUCH AGREEMENT MAY BE AMENDED FROM TIME TO TIME, DATED AS OF MAY 23, 2016 (THE "MERGER AGREEMENT"), BY AND AMONG ARES CAPITAL, AMERICAN CAPITAL, LTD., ORION ACQUISITION SUB, INC., IVY HILL ASSET MANAGEMENT, L.P. ("IHAM"), IVY HILL ASSET MANAGEMENT GP, LLC, IN ITS CAPACITY AS GENERAL PARTNER OF IHAM, AMERICAN CAPITAL ASSET MANAGEMENT, LLC, AND SOLELY FOR THE ...(DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL).	Management	For	For
2.	TO APPROVE THE ISSUANCE OF THE SHARES OF ARES CAPITAL COMMON STOCK TO BE ISSUED PURSUANT TO THE MERGER AGREEMENT IN ACCORDANCE WITH NASDAQ LISTING RULE REQUIREMENTS.	Management	For	For
3.	TO APPROVE THE ADJOURNMENT OF THE ARES CAPITAL SPECIAL MEETING, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF THERE ARE NOT SUFFICIENT VOTES AT THE TIME OF THE ARES CAPITAL SPECIAL	Management	For	For

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MEETING TO APPROVE  
PROPOSAL 1 OR PROPOSAL 2.

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	57,900	0	26-Oct-2016	16-Dec-2016
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	291,600	0	26-Oct-2016	16-Dec-2016
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	335,300	0	26-Oct-2016	16-Dec-2016
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	964,300	0	26-Oct-2016	16-Dec-2016
997AL53	GLO MS PLEDGE	997AL53	STATE STREET BANK & TRUST CO	80,000	0	26-Oct-2016	16-Dec-2016

AKORN, INC.

Security	009728106	Meeting Type	Special
Ticker Symbol	AKRX	Meeting Date	16-Dec-2016
ISIN	US0097281069	Agenda	934505225 - Management
Record Date	28-Oct-2016	Holding Recon Date	28-Oct-2016
City / Country	/ United States	Vote Deadline Date	15-Dec-2016
SEDOL(s)		Quick Code	
Item	Proposal	Vote	

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		Proposed by		For/Against Management
1.	PROPOSAL TO APPROVE THE AKORN, INC. 2016 EMPLOYEE STOCK PURCHASE PLAN.	Management	For	For
2.	PROPOSAL TO APPROVE THE AMENDMENT AND RESTATEMENT OF THE AKORN, INC. 2014 STOCK OPTION PLAN.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	20,500	0	18-Nov-2016	19-Dec-2016
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	7,200	0	18-Nov-2016	19-Dec-2016
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	34,300	0	18-Nov-2016	19-Dec-2016
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	5,800	35,000	18-Nov-2016	19-Dec-2016
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	900	71,800	18-Nov-2016	19-Dec-2016

SINOPHARM GROUP CO. LTD.

Security	Y8008N107	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	30-Dec-2016

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ISIN	CNE100000FN7	Agenda	707595990 - Management
Record Date	29-Nov-2016	Holding Recon Date	29-Nov-2016
City / Country	SHANGH AI / China	Vote Deadline Date	16-Dec-2016
SEDOL(s)	B3ZVDV0 - B4M8B73 - B5NVZ21 - BP3RXT2	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2016/1114/LTN20161114274.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2016/1114/LTN20161114274.pdf</a> -AND- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2016/1114/LTN20161114240.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2016/1114/LTN20161114240.pdf</a>	Non-Voting		
CMMT	PLEASE NOTE IN THE HONG KONG MARKET THAT A VOTE OF 'ABSTAIN' WILL BE TREATED-THE SAME AS A 'TAKE NO ACTION' VOTE	Non-Voting		
1	TO CONSIDER AND, IF THOUGHT FIT, TO APPROVE (I) THE ISSUE OF THE ACCOUNTS RECEIVABLE ASSET-BACKED SECURITIES IN THE PRC OF NO MORE THAN RMB8 BILLION IN SCALE (THE "ASSET- BACKED SECURITIES"); AND (II) THE AUTHORISATION TO THE BOARD OF DIRECTORS OF THE COMPANY OR THE PERSON(S) AUTHORISED BY IT DEAL WITH ALL SPECIFIC MATTERS PURSUANT TO THE PROPOSAL IN RESPECT OF THE ISSUE OF THE ASSET-BACKED SECURITIES AS SET OUT IN THE NOTICE OF EGM OF THE COMPANY DATED 14 NOVEMBER 2016	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
GLV	GLV	STATE STREET	142,400	0	15-Nov-2016	22-Dec-2016

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		BANK				
GLQ	GLQ	STATE	236,800	0	15-Nov-2016	22-Dec-2016
		STREET				
		BANK				
GLO	GLO	STATE	583,600	0	15-Nov-2016	22-Dec-2016
		STREET				
		BANK				

D.R. HORTON, INC.

Security	23331A109	Meeting Type	Annual
Ticker Symbol	DHI	Meeting Date	19-Jan-2017
ISIN	US23331A1097	Agenda	934514147 - Management
Record Date	28-Nov-2016	Holding Recon Date	28-Nov-2016
City / Country	/ United States	Vote Deadline Date	18-Jan-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: DONALD R. HORTON	Management	For	For
1B.	ELECTION OF DIRECTOR: BARBARA K. ALLEN	Management	For	For
1C.	ELECTION OF DIRECTOR: BRAD S. ANDERSON	Management	For	For
1D.	ELECTION OF DIRECTOR: MICHAEL R. BUCHANAN	Management	For	For
1E.	ELECTION OF DIRECTOR: MICHAEL W. HEWATT	Management	For	For
2.	APPROVAL OF THE ADVISORY RESOLUTION ON EXECUTIVE COMPENSATION.	Management	For	For
3.	RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK &	14,626	61,500	13-Dec-2016	20-Jan-2017



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997AL47	GLQ BNP PLEDGE	997AL47	TRUST CO STATE STREET BANK &	23,621	104,400	13-Dec-2016	20-Jan-2017
997AL49	GLO BNP PLEDGE	997AL49	TRUST CO STATE STREET BANK &	59,001	256,300	13-Dec-2016	20-Jan-2017
997AL61	GLQ MS PLEDGE	997AL61	TRUST CO STATE STREET BANK &	10,800	0	13-Dec-2016	20-Jan-2017

GOLUB CAPITAL BDC, INC.

Security	38173M102	Meeting Type	Annual
Ticker Symbol	GBDC	Meeting Date	07-Feb-2017
ISIN	US38173M1027	Agenda	934516557 - Management
Record Date	12-Dec-2016	Holding Recon Date	12-Dec-2016
City / Country	/ United States	Vote Deadline Date	06-Feb-2017

SEDOL(s)

Item	Proposal	Proposed by	Vote	For/Against Management
1.1	ELECTION OF CLASS I DIRECTOR: DAVID B. GOLUB	Management	For	For
1.2	ELECTION OF CLASS I DIRECTOR: ANITA R. ROSENBERG	Management	For	For
2.	TO RATIFY THE SELECTION OF ERNST & YOUNG LLP TO SERVE AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING SEPTEMBER 30, 2017.	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
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997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	24,000	0	22-Dec-2016	08-Feb-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	100	128,300	22-Dec-2016	08-Feb-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	150,809	0	22-Dec-2016	08-Feb-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	157	454,300	22-Dec-2016	08-Feb-2017

RSP PERMIAN INC

Security	74978Q105	Meeting Type	Special
Ticker Symbol	RSPP	Meeting Date	24-Feb-2017
ISIN	US74978Q1058	Agenda	934528108 - Management
Record Date	19-Jan-2017	Holding Recon Date	19-Jan-2017
City / Country	/ United States	Vote Deadline Date	23-Feb-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	APPROVAL OF THE ISSUANCE OF 16,019,638 SHARES OF RSP PERMIAN, INC.'S (THE "COMPANY'S") COMMON STOCK TO SILVER HILL ENERGY PARTNERS II, LLC (THE "SHEP II SELLER"), PURSUANT TO AND SUBJECT TO ADJUSTMENTS PROVIDED IN THE MEMBERSHIP INTEREST PURCHASE AND SALE AGREEMENT, DATED AS OF	Management	For	For

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OCTOBER 13, 2016, BY ...(DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL).

APPROVAL OF THE ADJOURNMENT OR POSTPONEMENT OF THE SPECIAL MEETING, IF NECESSARY OR APPROPRIATE TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES AT THE TIME OF THE SPECIAL MEETING TO APPROVE PROPOSAL 1.

2. Management For For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	16,400	0	03-Feb-2017	27-Feb-2017

APPLE INC.

Security	037833100	Meeting Type	Annual
Ticker Symbol	AAPL	Meeting Date	28-Feb-2017
ISIN	US0378331005	Agenda	934520556 - Management
Record Date	30-Dec-2016	Holding Recon Date	30-Dec-2016
City / Country	/ United States	Vote Deadline Date	27-Feb-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: JAMES BELL	Management	For	For
1B.	ELECTION OF DIRECTOR: TIM COOK	Management	For	For
1C.	ELECTION OF DIRECTOR: AL GORE	Management	For	For
1D.	ELECTION OF DIRECTOR: BOB IGER	Management	For	For
1E.	ELECTION OF DIRECTOR: ANDREA JUNG	Management	For	For

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1F.	ELECTION OF DIRECTOR: ART LEVINSON	Management	For	For
1G.	ELECTION OF DIRECTOR: RON SUGAR	Management	For	For
1H.	ELECTION OF DIRECTOR: SUE WAGNER	Management	For	For
2.	RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS APPLE'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017	Management	For	For
3.	ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION	Management	For	For
4.	ADVISORY VOTE ON THE FREQUENCY OF SHAREHOLDER VOTES ON EXECUTIVE COMPENSATION	Management	3 Years	Against
5.	A SHAREHOLDER PROPOSAL ENTITLED "CHARITABLE GIVING - RECIPIENTS, INTENTS AND BENEFITS"	Shareholder	Against	For
6.	A SHAREHOLDER PROPOSAL REGARDING DIVERSITY AMONG OUR SENIOR MANAGEMENT AND BOARD OF DIRECTORS	Shareholder	Against	For
7.	A SHAREHOLDER PROPOSAL ENTITLED "SHAREHOLDER PROXY ACCESS AMENDMENTS"	Shareholder	Against	For
8.	A SHAREHOLDER PROPOSAL ENTITLED "EXECUTIVE COMPENSATION REFORM"	Shareholder	Against	For
9.	A SHAREHOLDER PROPOSAL ENTITLED "EXECUTIVES TO RETAIN SIGNIFICANT STOCK"	Shareholder	Against	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	STATE STREET BANK & TRUST CO	2,500	0	07-Jan-2017	01-Mar-2017
997GLQ	GLQ	STATE STREET BANK & TRUST CO	31,200	0	07-Jan-2017	01-Mar-2017

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997GLO	GLO	997GLO	STREET BANK & TRUST CO	39,600	0	07-Jan-2017	01-Mar-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	100	27,500	07-Jan-2017	01-Mar-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	100	32,400	07-Jan-2017	01-Mar-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	100	81,900	07-Jan-2017	01-Mar-2017

TRANSDIGM GROUP INCORPORATED

Security	893641100	Meeting Type	Annual
Ticker Symbol	TDG	Meeting Date	01-Mar-2017
ISIN	US8936411003	Agenda	934523451 - Management
Record Date	03-Jan-2017	Holding Recon Date	03-Jan-2017
City / Country	/ United States	Vote Deadline Date	28-Feb-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 WILLIAM DRIES		For	For
	2 MERVIN DUNN		For	For
	3 MICHAEL GRAFF		For	For

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	4 SEAN HENNESSY		For	For
	5 W. NICHOLAS HOWLEY		For	For
	6 RAYMOND LAUBENTHAL		For	For
	7 DOUGLAS PEACOCK		For	For
	8 ROBERT SMALL		For	For
	9 JOHN STAER		For	For
2.	TO APPROVE (IN AN ADVISORY VOTE) COMPENSATION PAID TO THE COMPANY'S NAMED EXECUTIVE OFFICERS	Management	For	For
3.	TO DETERMINE HOW OFTEN TO CONDUCT THE ADVISORY VOTE REGARDING COMPENSATION PAID TO THE COMPANY'S NAMED EXECUTIVE OFFICERS	Management	3 Years	
4.	TO RATIFY THE SELECTION OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT ACCOUNTANTS FOR THE FISCAL YEAR ENDING SEPTEMBER 30, 2017	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	20,810	0	21-Jan-2017	02-Mar-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	33,850	0	21-Jan-2017	02-Mar-2017

HOLOGIC, INC.

Security	436440101	Meeting Type	Annual
Ticker Symbol	HOLX	Meeting Date	08-Mar-2017
ISIN	US4364401012	Agenda	934522423 - Management
Record Date	09-Jan-2017	Holding Recon Date	09-Jan-2017
City / Country	/ United States	Vote Deadline Date	07-Mar-2017

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SEDOL(s)			Quick Code	
Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 CHRISTOPHER J. COUGHLIN		For	For
	2 SALLY W. CRAWFORD		For	For
	3 SCOTT T. GARRETT		For	For
	4 LAWRENCE M. LEVY		For	For
	5 STEPHEN P. MACMILLAN		For	For
	6 CHRISTIANA STAMOULIS		For	For
	7 ELAINE S. ULLIAN		For	For
	8 AMY M. WENDELL		For	For
2.	A NON-BINDING ADVISORY RESOLUTION TO APPROVE EXECUTIVE COMPENSATION.	Management	For	For
3.	TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF EXECUTIVE COMPENSATION VOTES.	Management	3 Years	Against
4.	AMENDMENT TO HOLOGIC'S FIFTH AMENDED AND RESTATED BYLAWS.	Management	For	For
5.	RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL 2017.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	23,600	0	25-Jan-2017	09-Mar-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	100	28,500	25-Jan-2017	09-Mar-2017
997AL49	GLO BNP PLEDGE	997AL49		100	131,200	25-Jan-2017	09-Mar-2017

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STATE  
STREET  
BANK  
&  
TRUST  
CO

GW PHARMACEUTICALS PLC

Security	36197T103	Meeting Type	Annual
Ticker Symbol	GWPH	Meeting Date	14-Mar-2017
ISIN	US36197T1034	Agenda	934530660 - Management
Record Date	07-Feb-2017	Holding Recon Date	07-Feb-2017
City / Country	/ United States	Vote Deadline Date	06-Mar-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
O1	TO RECEIVE, CONSIDER AND ADOPT THE DIRECTORS' AND AUDITORS' REPORTS AND STATEMENT OF ACCOUNTS FOR THE YEAR ENDED 30 SEPTEMBER 2016 AND NOTE THAT THE DIRECTORS DO NOT RECOMMEND PAYMENT OF A DIVIDEND	Management	For	For
O2	TO APPROVE THE DIRECTORS' REMUNERATION REPORT	Management	For	For
O3	TO RE-ELECT GEOFFREY GUY AS A DIRECTOR	Management	For	For
O4	TO RE-ELECT THOMAS LYNCH AS A DIRECTOR	Management	For	For
O5	TO RE-ELECT CABOT BROWN AS A DIRECTOR	Management	For	For
O6	TO APPOINT DELOITTE LLP AS AUDITOR	Management	For	For
O7	TO AUTHORISE THE DIRECTORS TO DETERMINE THE AUDITOR'S REMUNERATION	Management	For	For
S8	TO APPROVE THE ADOPTION OF THE COMPANY'S LONG-TERM INCENTIVE PLAN	Management	For	For
S9	TO AUTHORISE THE DIRECTORS TO ALLOT EQUITY SECURITIES,	Management	For	For



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UNDER SECTION 551 OF THE 2006  
ACT

TO AUTHORISE THE DIRECTORS  
TO ALLOT EQUITY SECURITIES,  
UNDER SECTION 570 OF THE 2006  
ACT AS IF SECTION 561(1) OF THE  
2006 ACT DID NOT APPLY TO  
SUCH ALLOTMENT

S10

Management For For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	1,600	0	15-Feb-2017	08-Mar-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	3,100	0	15-Feb-2017	08-Mar-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	4,300	0	15-Feb-2017	08-Mar-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	8,300	0	15-Feb-2017	08-Mar-2017

SAMSUNG ELECTRONICS CO LTD, SUWON

Security Y74718100

Ticker Symbol

ISIN KR7005930003

Meeting Type Annual General Meeting

Meeting Date 24-Mar-2017