Clough Global Equity Fund Form N-PX August 23, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number: 811-21712

CLOUGH GLOBAL EQUITY FUND

(Exact name of registrant as specified in charter)

1290 Broadway, Suite 1100, Denver, Colorado 80203

(Address of principal executive offices) (Zip code)

Sareena Khwaja-Dixon, Esq.
Clough Global Equity Fund
1290 Broadway, Suite 1100
Denver, Colorado 80203
(Name and address of agent for service)

Registrant's Telephone Number, including Area Code: (303) 623-2577

Date of fiscal year end: October 31

Date of reporting period: July 1, 2016 – June 30, 2017

Item 1 – Proxy Voting Record.

	ımmary N, INC.							
Securit		009728106			Meeting	g Type	Annual	
Ticker	Symbol	AKRX			Meeting	g Date	01-Jul-2016	
ISIN		US0097281069			Agenda	l	934429437 -	Management
Record	Date	11-May-2016			Holding Date	g Recon	11-May-2016	6
City /	Countr	·v/	Jnited tates		Vote Do Date	eadline	30-Jun-2016	
SEDO	L(s)				Quick Code			
Item	Propos	al		Proposed by	Vote		Against agement	
1.	DIREC	CTOR		Management				
	1 .	JOHN KAPOOR, PHD			For	For		
	2	KENNETH ABRAMOW	VITZ		For	For		
	3	ADRIENNE GRAVES,	PHD		For	For		
	4	RONALD JOHNSON			For	For		
	5	STEVEN MEYER			For	For		
	6	TERRY ALLISON RAP	PPUHN		For	For		
	7	BRIAN TAMBI			For	For		
	8	ALAN WEINSTEIN			For	For		
2.	APPO AS TH INDER PUBL	OSAL TO RATIFY THE INTMENT OF BDO US. IE COMPANY'S PENDENT REGISTERE IC ACCOUNTING FIRM ENDING DECEMBER	A, LLP ED M FOR	Management	For	For		
3.	THRO ADVIS COMP COMP DESC	OSAL TO APPROVE, OUGH A NON- BINDING SORY VOTE, THE PANY'S EXECUTIVE PENSATION PROGRAM RIBED IN THE COMPAPROXY STATEMENT.	M AS	Management	For	For		
Accour Numbe		Account Name	Interna Accour	l Ballot Sustodian Shares		Unavail Shares	able Vote Date	Date Confirmed
997AL	45	GLV BNP PLEDGE	997A B S	45ATE 9,000 TREET		18,800	21-May-2016	21-May-2016

BANK

			z RUST CO					
997AL47	GLQ BNP PLEDGE	S 997AL T	TATE TREET ANK TRUST	5,500		46,700	21-May-2016	21-May-2016
997AL49	GLO BNP PLEDGE	S 997AL T	TATE TREET ANK 49 TRUST	5,200		111,100	21-May-2016	21-May-2016
HERCULES CA	APITAL INC							
Security	427096508				Meeting	Type	Annual	
Ticker Symbol	HTGC				Meeting	Date	07-Jul-2016	
ISIN	US4270965084				Agenda		934423079 -	Management
Record Date	15-Apr-2016				Holding Date	Recon	15-Apr-2016	
City / Country	V /	Inited tates			Vote De Date	adline	06-Jul-2016	
SEDOL(s)					Quick C	lode		
Item Proposa	al		Propos by	sed	Vote		Against agement	
IΑ	TION OF DIRECTOR: TEL A. HENRIQUEZ		Manag	gement	For	For		
1B. ELECT F. HOP	TION OF DIRECTOR: J FFMAN	OSEPH	Manag	gement	For	For		
2. BASIS. EXECU	OVE, ON AN ADVISON , THE COMPANY'S NA UTIVE OFFICER ENSATION.		Manag	gement	For	For		
PRICE TO SEI PUBLI THE FI	ICATION OF WATERHOUSECOOP RVE AS OUR INDEPE C ACCOUNTING FIRM ISCAL YEAR ENDING MBER 31, 2016.	NDENT M FOR		gement	For	For		
Account Number	Account Name	Interna Accour	l Tustodiai it	Ballot Shares		Unavail Shares	able Vote Date	Date Confirmed
997GLV	GLV		V ATE				11-May-2016	08-Jul-2016

			B A &	RUST	Γ				
997GL0	Q	GLQ	ST 997G <mark>B</mark>	RUST	Г 61,900	(0	11-May-2016	08-Jul-2016
ARMS	TRON	G WORLD INDUSTRIES							
Security		04247X102	3, 11 (8.			Meeting 7	Гуре	Annual	
Ticker S						Meeting 1		08-Jul-2016	
ISIN	•	US04247X1028				Agenda		934420237 -	Management
Record	Date	15-Apr-2016				Holding I Date	Recon	15-Apr-2016	Ó
City /	Cour	ntrv /	Jnited States			Vote Dea Date	dline	07-Jul-2016	
SEDOL	رs)					Quick Co	de		
Item	Prop	osal		Propo by	osed	Vote		/Against nagement	
1.	DIRI	ECTOR		Mana	gement				
	1	STAN A. ASKREN				For	For		
	2	VICTOR D. GRIZZLE				For	For		
	3	TAO HUANG				For	For		
	4	LARRY S. MCWILLIA	MS			For	For		
	5	JAMES C. MELVILLE				For	For		
	6	JAMES J. O'CONNOR				For	For		
	7	JOHN J. ROBERTS				For	For		
	8	GREGORY P. SPIVY				For	For		
	9	CHERRYL T. THOMA	S			For	For		
2.	KPM REG	RATIFY THE SELECTIO IG LLP AS OUR INDEPE ISTERED PUBLIC OUNTING FIRM FOR 2	ENDENT	Mana	igement	For	For		
3.	ARM IND	APPROVE OF THE ISTRONG WORLD USTRIES, INC. 2016 ECTORS' STOCK UNIT I	PLAN.	Mana	gement	For	For		
4.	ARM	APPROVE OF THE ISTRONG WORLD USTRIES, INC. 2016		Mana	gement	For	For		

LONG-TERM INCENTIVE PLAN.

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unava Shares	ilable Vote Date	Date Confirmed
997GLO	GLO	STATE STREET 997GLO 113,400 TRUST CO	0	07-May-2016	11-Jul-2016
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL45 27,400 TRUST CO	0	07-May-2016	11-Jul-2016
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 45,200 TRUST CO	0	07-May-2016	11-Jul-2016
CHINA LON	GYUAN POWER GROU	P CORPORATION LTD			
Security	Y1501T101		Meeting Type	ExtraOrdinar Meeting	y General
Ticker Symbo	1		Meeting Date	12-Aug-2016	
ISIN	CNE100000HD4		Agenda	707215744 -	Management
Record Date	11-Jul-2016		Holding Recon Date	11-Jul-2016	
City / Coun	try BEIJING / C	China	Vote Deadline Date	08-Aug-2016	
SEDOL(s)	B3MFW30 - B4Q2TX B4XWG35 - BP3RS86		Quick Code		
COM FOR	ASE NOTE THAT THE IPANY NOTICE AND PE M ARE AVAILABLE BY	7	VOTE	/Against nagement	
http:// 6624. http://	/LTN20160624371.pdf-,-	NKS:- Non-Voting co/listconews/SEHK/2016/			
1		Management	For For		

TO CONSIDER AND APPROVE THE APPOINTMENT OF MR. LUAN **BAOXING AS A NONEXECUTIVE** DIRECTOR OF THE COMPANY TO FILL THE VACANCY LEFT BY RESIGNATION OF MR. SHAO GUOYONG, WITH EFFECT FROM THE DATE OF THE EGM WHEN THE NOMINATION IS APPROVED BY THE SHAREHOLDERS OF THE COMPANY AND UNTIL THE EXPIRATION OF THE TERM OF THE CURRENT SESSION OF THE BOARD OF DIRECTORS OF THE COMPANY (THE "BOARD"). MEANWHILE. TO AUTHORIZE THE REMUNERATION AND ASSESSMENT COMMITTEE OF THE BOARD TO DETERMINE THE REMUNERATION OF THE NEW DIRECTORS ACCORDING TO THE DIRECTORS' AND SUPERVISORS' REMUNERATION PLAN FOR THE YEAR 2016 AS APPROVED AT THE ANNUAL GENERAL MEETING UPON THE CANDIDATES FOR THE NEW DIRECTORS AS APPROVED AT THE EGM, AND TO AUTHORIZE THE CHAIRMAN OF THE BOARD OF THE COMPANY OR ANY ONE OF THE EXECUTIVE DIRECTORS TO ENTER INTO A SERVICE CONTRACT WITH EACH OF THE NEW DIRECTORS AND HANDLE OTHER RELEVANT MATTERS ON BEHALF OF THE COMPANY UPON THE CANDIDATES FOR THE NEW DIRECTORS BEING APPROVED AT THE EGM

THE EGM

TO CONSIDER AND APPROVE THE Management For For APPOINTMENT OF MR. YANG
XIANGBIN AS A NONEXECUTIVE
DIRECTOR OF THE COMPANY TO
FILL THE VACANCY LEFT BY
RESIGNATION OF MR. CHEN
JINGDONG, WITH EFFECT FROM
THE DATE OF THE EGM WHEN
THE NOMINATION IS APPROVED
BY THE SHAREHOLDERS OF THE
COMPANY AND UNTIL THE

EXPIRATION OF THE TERM OF THE CURRENT SESSION OF THE BOARD. MEANWHILE, TO AUTHORIZE THE REMUNERATION AND

ASSESSMENT COMMITTEE OF THE BOARD TO DETERMINE THE REMUNERATION OF THE NEW DIRECTORS ACCORDING TO THE DIRECTORS' AND SUPERVISORS' REMUNERATION PLAN FOR THE YEAR 2016 AS APPROVED AT THE ANNUAL GENERAL MEETING UPON THE CANDIDATES FOR THE NEW DIRECTORS AS APPROVED AT THE EGM, AND TO AUTHORIZE THE CHAIRMAN OF THE BOARD OF THE COMPANY OR ANY ONE OF THE EXECUTIVE DIRECTORS TO ENTER INTO A SERVICE CONTRACT WITH EACH OF THE NEW DIRECTORS AND HANDLE OTHER RELEVANT MATTERS ON BEHALF OF THE COMPANY UPON THE CANDIDATES FOR THE NEW DIRECTORS BEING APPROVED AT THE EGM.

Account Number	Account Name	Internal B Custodian Account S	Ballot Shares	U S	Jnavai hares	lable Vote Date	Date Confirmed
GLV	GLV	STATE STREET 7 BANK		0		25-Jun-2016	10-Aug-2016
GLQ	GLQ	STATE STREET 1 BANK	,253,000	0		25-Jun-2016	10-Aug-2016
GLO	GLO	STATE STREET 3 BANK	3,161,000	0		25-Jun-2016	10-Aug-2016
LIBERTY ME	EDIA CORPORATION						
Security	531229409			Meeting T	ype	Annual	
Ticker Symbo	l LSXMA			Meeting D	ate	23-Aug-2016	
ISIN	US5312294094			Agenda		934458870 - N	Management
Record Date	01-Jul-2016			Holding R Date	econ	01-Jul-2016	
City / Coun	try /					22-Aug-2016	

			nited ates			Vote Dea	adline		
SEDOL	(s)					Quick Co	ode		
Item	Propos	al		Propo by	sed	Vote		Against nagement	
1.	DIREC	CTOR		Mana	gement				
	1 .	JOHN C. MALONE				For	For		
	2	ROBERT R. BENNETT				For	For	•	
	3	M. IAN G. GILCHRIST				For	For	•	
2.	SELECTION SELECT	PPOSAL TO RATIFY THE CTION OF KPMG LLP APENDENT AUDITORS F ISCAL YEAR ENDING MBER 31, 2016.	AS OUR FOR	Mana	gement	For	For	•	
Account Number		Account Name		ustodia t TATE	Ballot Shares		Unava Shares	ilable Vote Date	Date Confirmed
997AL4	19	GLO BNP PLEDGE	997AL	RUST	1,656		113,80	0009-Jul-2016	24-Aug-2016
997AL6	51	GLQ MS PLEDGE	S7 997A	RUST	9,168		0	09-Jul-2016	24-Aug-2016
LIBERT	ΓΥ INTI	ERACTIVE CORPORAT	ΓΙΟΝ						
Security	7	53071M880				Meeting	Type	Annual	
Ticker S	Symbol	LVNTA				Meeting	Date	23-Aug-2016	
ISIN		US53071M8800				Agenda		934458882 -]	Management
Record 1	Date	01-Jul-2016				Holding Date	Recon	01-Jul-2016	
City /	Countr	v /	nited ates			Vote Dea Date	adline	22-Aug-2016	
SEDOL	(s)					Quick Co	ode		
Item	Propos	al		Propo by	sed	Vote		:/Against nagement	
1.	DIREC	CTOR		Mana	gement				
	1 .	JOHN C. MALONE				For	For		
	2	M. IAN G. GILCHRIST				For	For	•	

	3 MARK C. VADON		For	For
	4 ANDREA L. WONG		For	For
2.	A PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS OUR INDEPENDENT AUDITORS FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016.	Management	For	For
3.	A PROPOSAL TO ADOPT THE LIBERTY INTERACTIVE CORPORATION 2016 OMNIBUS INCENTIVE PLAN.	Management	For	For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Shares Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997 G_{χ}^{BANK} 24,200 TRUST CO	0 09-Jul-2016	24-Aug-2016
997GLQ	GLQ	STATE STREET 997GLO 26,100 TRUST CO	0 09-Jul-2016	24-Aug-2016
997GLO	GLO	STATE STREET $997G_{\infty}^{\text{BANK}}$ $65,800$ TRUST CO	0 09-Jul-2016	24-Aug-2016
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 10,872 TRUST CO	0 09-Jul-2016	24-Aug-2016
997AL47	GLQ BNP PLEDGE	STATE STREET 997Ag47 3,403 TRUST CO	64,000 09-Jul-2016	24-Aug-2016
997AL49	GLO BNP PLEDGE	997A G49 ATE 14,471 STREET BANK	109,90009-Jul-2016	24-Aug-2016

		9	•	9	, ,				
			& Tl C	RUST					
997AL	60	GLV MS PLEDGE	S7 997AL	RUST	Γ 24,000		0	09-Jul-2016	24-Aug-2016
VIASA	T, INC.			Ü					
Security		92552V100				Meeting	a Type	Annual	
•	Symbol	VSAT				Meeting		08-Sep-2016	
ISIN	oyiiiooi	US92552V1008				Agenda	-	934463643 - I	Management
Record	Date	15-Jul-2016				•	g Recon	15-Jul-2016	Wanagement
City /	Countr	v /	Inited tates			Vote De	eadline	07-Sep-2016	
SEDOI	$\mathcal{L}(\mathbf{s})$					Quick (Code		
Item	Propos	al		Propo by	osed	Vote	For	/Against nagement	
1.	DIREC	CTOR		•	igement				
		RICHARD BALDRIDG	E		C	For	For		
	2 I	B. ALLEN LAY				For	For		
	3 I	OR. JEFFREY NASH				For	For		
2.	OF PRI LLP AS REGIS	ICATION OF APPOINT ICEWATERHOUSECO S VIASAT'S INDEPENT TERED PUBLIC UNTING FIRM FOR FI	OPERS DENT	Mana	agement	For	For		
	YEAR		SCAL						
3.	ADVIS	SORY VOTE ON EXEC ENSATION	CUTIVE	Mana	igement	For	For		
Accoun Numbe		Account Name		l ustodia ΓΑΤΕ	Ballot Shares		Unava Shares	ilable Vote Date	Date Confirmed
997AL4	45	GLV BNP PLEDGE	997AL&	TREET ANK 45 RUST	Γ 149		34,500	26-Jul-2016	09-Sep-2016
997AL4	47	GLQ BNP PLEDGE	997A S 2				63,600	26-Jul-2016	09-Sep-2016

145,60026-Jul-2016

09-Sep-2016

&
TRUST
CO
STATE
STREET
RANK

997AL49 GLO BNP PLEDGE 997AL49 135

TRUST CO

GOLDMAN SACHS BDC, INC.

Security 38147U107 Meeting Type Annual
Ticker Symbol GSBD Meeting Date 29-Sep-2016

ISIN US38147U1079 Agenda 934387362 - Management

Record Date 04-Apr-2016 Holding Recon 04-Apr-2016

Date

United Vote Deadline

City / Country / States Date 28-Sep-2016

SEDOL(s) Quick Code

Item Proposal Proposed by Vote For/Against Management

1A. ELECTION OF CLASS II DIRECTOR: Management For For

1B. ELECTION OF CLASS II DIRECTOR: Management For For

1C. ELECTION OF CLASS II DIRECTOR: Management For For

TO AUTHORIZE THE COMPANY, WITH THE APPROVAL OF THE COMPANY'S BOARD OF DIRECTORS, TO SELL OR OTHERWISE ISSUE SHARES OF THE COMPANY'S COMMON STOCK (DURING THE 12 MONTHS

2. FOLLOWING SUCH
Management For For

AUTHORIZATION) AT A PRICE BELOW THE THEN- CURRENT NET ASSET VALUE PER SHARE,

PROVIDED THAT THE NUMBER OF

SHARES ISSUED DOES NOT

EXCEED 25% OF THE COMPANY'S THEN, OUTSTANDING COMMON

THEN- OUTSTANDING COMMON

STOCK.

Account Name Account Name Internal Ballot Custodian Shares Unavailable Shares Date Confirmed

STATE STREET 53,000 GLQ BNP PLEDGE 997AL47 0 13-Apr-2016 30-Sep-2016 **TRUST** CO HILTON WORLDWIDE HOLDINGS INC. Security 43300A104 Meeting Type Special Ticker Symbol HLT Meeting Date 04-Oct-2016 **ISIN** US43300A1043 Agenda 934479216 - Management Holding Recon Record Date 26-Aug-2016 26-Aug-2016 Date Vote Deadline United 03-Oct-2016 City / Country States Date SEDOL(s) Quick Code Proposed For/Against Vote Item **Proposal** Management by TO APPROVE AND ADOPT AN AMENDMENT TO THE COMPANY'S **CERTIFICATE OF** For 1. Management For INCORPORATION TO EFFECT A REVERSE STOCK SPLIT AND DECREASE AUTHORIZED SHARES. TO APPROVE AN ADJOURNMENT 2. Management For For OF THE SPECIAL MEETING. Unavailable Vote Date Account Internal Ballot Account Shares Date Account Name Shares Number Confirmed **STATE STREET GLV** 20,000 09-Sep-2016 997GLV 0 05-Oct-2016 **TRUST** CO STATE **STREET** 997GLQ **GLQ** 30,000 0 09-Sep-2016 05-Oct-2016 **TRUST** CO 997GLO **GLO** 997G**SO**ATE 2,400 0 09-Sep-2016 05-Oct-2016 **STREET BANK**

> & TRUST

	Ç ,		•		
		CO			
		STATE STREET			
997AL49	GLO BNP PLEDGE	997A & 100 TRUST	159,90	0009-Sep-2016	05-Oct-2016
		CO			
		STATE STREET			
997AL60	GLV MS PLEDGE	997A&60NK 19,800	0	09-Sep-2016	05-Oct-2016
		TRUST CO			
		STATE STREET			
997AL61	GLQ MS PLEDGE	997AL61 34,300	0	09-Sep-2016	05-Oct-2016
		TRUST CO			
SINOPHARM	GROUP CO. LTD.				
Security	Y8008N107		Meeting Type	ExtraOrdinar Meeting	ry General
Ticker Symbol			Meeting Date	18-Oct-2016	
ISIN	CNE100000FN7		Agenda	707423264 -	Management
Record Date	15-Sep-2016		Holding Recon Date	15-Sep-2016	
City / Countr	y SHANGH AI / Ch	nina	Vote Deadline Date	04-Oct-2016	
SEDOL(s)	B3ZVDV0 - B4M8B73 B5NVZ21 - BP3RXT2	1-	Quick Code		
Item Propos	al	Proposed by	VOTA	/Against nagement	
	SE NOTE THAT THIS IS IDMENT TO MEETING				
675300	DUE TO ADDITION O	F-			
	LUTION 2. ALL VOTES IVED ON THE PREVIOU				
	ING WILL BE	os non-voning			
	EGARDED-AND YOU W TO REINSTRUCT ON T				
	ING NOTICE. THANK Y				
	THAT THE COMPANY	C			
	CE AND PROXY FORM LABLE BY CLICKING (

THE-URL LINKS:-

http://www.hkexnews.hk/listedco/listconews/sehk/2016/0

930/ltn20160930476.pdf,-

http://www.hkexnews.hk/listedco/listconews/sehk/2016/0

930/ltn20160930421.pdf,-

http://www.hkexnews.hk/listedco/listconews/sehk/2016/0

901/ltn201609011181.pdf-AND-

http://www.hkexnews.hk/listedco/listconews/sehk/2016/0

901/ltn201609011318.pdf

PLEASE NOTE IN THE HONG

KONG MARKET THAT A VOTE OF

CMMT "ABSTAIN" WILL BE

Non-Voting

For

TREATED-THE SAME AS A "TAKE

NO ACTION" VOTE

TO CONSIDER AND, IF THOUGHT

FIT, TO APPROVE: "THAT (I) THE

ADOPTION OF THE SCHEME (AS

DEFINED IN THE CIRCULAR

DATED 1 SEPTEMBER 2016

DESPATCHED TO THE

SHAREHOLDERS OF THE

COMPANY) BY THE COMPANY

AND THE DELEGATION OF

AUTHORIZATION TO ANY

DIRECTOR OF THE COMPANY OR

AUTHORIZED REPRESENTATIVE

OF THE BOARD TO EXECUTE ANY

DOCUMENTS AND INSTRUMENTS

AS MAY BE NECESSARY OR

1 INCIDENTAL TO THE ADOPTION Management For

OF THE SCHEME AND TO DO ALL

SUCH ACTS AND THINGS AS THEY

CONSIDER NECESSARY OR

EXPEDIENT OR DESIRABLE IN

CONNECTION WITH THE

IMPLEMENTATION OF THE

SCHEME; AND (II) THE BOARD BE

AND IS HEREBY AUTHORIZED TO

IMPLEMENT THE SCHEME AS

WELL AS TO APPROVE GRANTS

OF RESTRICTED SHARES UNDER

THE SCHEME FROM TIME TO

TIME (INCLUDING BUT NOT

LIMITED TO THE INITIAL GRANT

OF THE SCHEME)"

TO CONSIDER AND, IF THOUGHT Management For For

FIT, TO APPROVE THE

APPOINTMENT OF MR. MA PING

AS A NON-EXECUTIVE DIRECTOR

OF THE COMPANY, TO
AUTHORISE THE BOARD OF
DIRECTORS OF THE COMPANY TO
DETERMINE HIS REMUNERATION,
AND TO AUTHORISE ANY
EXECUTIVE DIRECTOR OF THE
COMPANY TO EXECUTE A
SERVICE CONTRACT OR SUCH
OTHER DOCUMENTS OR
SUPPLEMENTAL AGREEMENTS
OR DEEDS ON BEHALF OF THE
COMPANY

DISREGARDED-IF VOTE

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unav Share	ailable Vote Date	Date Confirmed
GLV	GLV	STATE STREET 142,400 BANK	0	01-Oct-2016	12-Oct-2016
GLQ	GLQ	STATE STREET 236,800 BANK	0	01-Oct-2016	12-Oct-2016
GLO	GLO	STATE STREET 583,600 BANK	0	01-Oct-2016	12-Oct-2016
BYD COMPA	ANY LTD, SHENZHEN				
Security	Y1023R104		Meeting Type	ExtraOrdinar Meeting	ry General
Ticker Symbo	ol		Meeting Date	01-Nov-2016	6
ISIN	CNE100000296		Agenda	707516110 -	Management
Record Date	30-Sep-2016		Holding Record Date	¹ 30-Sep-2016	
City / Cour	ntry SHENZH EN / C	hina	Vote Deadline Date	04-Oct-2016	
SEDOL(s)	6536651 - B01XKF2 - B0WVS95 - BD8GJR		Quick Code		
Item Prop	osal	Proposed by	VOTE	or/Against anagement	
AMI 6817 RES REC	ASE NOTE THAT THIS I ENDMENT TO MEETING 15 DUE TO ADDITION O OLUTION 3. ALL VOTE EIVED ON THE PREVICE ETING WILL BE	G ID OF- S			

DEADLINE EXTENSIONS ARE GRANTED. THEREFORE PLEASE REINSTRUCT ON THIS- MEETING NOTICE ON THE NEW JOB. IF HOWEVER VOTE DEADLINE **EXTENSIONS ARE NOT-GRANTED** IN THE MARKET, THIS MEETING WILL BE INACTIVATED AND YOUR VOTE-INTENTIONS ON THE ORIGINAL MEETING WILL BE APPLICABLE. PLEASE ENSURE **VOTING-IS SUBMITTED PRIOR TO** CUTOFF ON THE ORIGINAL MEETING, AND AS SOON AS POSSIBLE-ON THIS NEW AMENDED MEETING. THANK YOU. PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:http://www.hkexnews.hk/listedco/listconews/SEHK/2016/ 0913/LTN20160913500.pdf,-CMMT http://www.hkexnews.hk/listedco/listconews/SEHK/2016/ 1019/LTN20161019505.pdf-ANDhttp://www.hkexnews.hk/listedco/listconews/SEHK/2016/ 0913/LTN20160913545.pdf,http://www.hkexnews.hk/listedco/listconews/SEHK/2016/ 1019/LTN20161019509.pdf TO CONSIDER AND APPROVE THE INTERIM PROFIT DISTRIBUTION Management For For PLAN OF THE COMPANY FOR THE SIX MONTHS ENDED 30 JUNE 2016 TO CONSIDER AND APPROVE THE PROPOSED CHANGE OF THE COMPANY'S BUSINESS SCOPE For Management For AND AMENDMENT TO THE ARTICLES OF ASSOCIATION OF THE COMPANY: ARTICLE 11

> TO CONSIDER AND APPROVE THE PROPOSED FURTHER CHANGE OF THE COMPANY'S BUSINESS SCOPE

AND AMENDMENT TO THE ARTICLES OF ASSOCIATION OF THE COMPANY: ARTICLE 11

1

2

3

Account	Account Name	Internal Ballot	Unavailable Date	Date
Number		Account Shares	Shares Vote Date	Confirmed

Management

For

For

		9	g. 0.00	.g.:				
GLQ		GLQ	S	STATE STREET 150,500 BANK	0		20-Oct-2016	27-Oct-2016
GLO		GLO	S	STATE STREET 380,000 BANK	0		20-Oct-2016	27-Oct-2016
LIBER	TY INTI	ERACTIVE CORPO	RATION					
Securit	у	53071M880			Meeting T	ype	Special	
Ticker	Symbol	LVNTA			Meeting D	ate	01-Nov-2010	6
ISIN		US53071M8800			Agenda		934488152 -	Management
Record	Date	28-Sep-2016			Holding R Date	econ	28-Sep-2016	5
City /	Countr	y /	United States		Vote Dead Date	lline	31-Oct-2016	5
SEDOI	$\mathcal{L}(s)$				Quick Cod	le		
Item	Propos	al		Proposed by	Vote		/Against nagement	
1.	REDER INTER A POR OUTST LIBER STOCK OUTST LIBER INC., V LIBER CORPO AND V	POSAL TO APPROMED TO APPROMED TO APPROMED TO APPROMED TO A CORPORATION OF THE FANDING SHARES TY VENTURES COAT FOR ALL OF THE FANDING SHARES TY EXPEDIA HOLI WHICH WOULD HOUT INTERACTIVE DRATION'S OWNER OF A CONTREST OF A CONTRES	OF OF OF OF OINGS, OLD RSHIP S IN SEE	Management	For	For		
2.	ADJOU MEET INTER PERMI OF PRO APPRO VOTES AT TH APPRO TO BE	POSAL TO AUTHO JRNMENT OF THE ING BY LIBERTY ACTIVE CORPORATE FURTHER SOLICOXIES, IF NECESSADERIATE, IF SUFFICES ARE NOT REPRESE SPECIAL MEETILD OVE THE OTHER PLASSENTED AT TO ALL MEETING.	SPECIAL ATION TO CITATION ARY OR CIENT SENTED NG TO ROPOSAL	Management	For	For		

Account Number	Account Name	Internal Ballot Custodian Shares	Unav	ailable Vote Date s	Date Confirmed
997GLV	GLV	STATE STREET 997GLV 1,572 TRUST CO	0	05-Oct-2016	02-Nov-2016
997GLQ	GLQ	STATE STREET 997GLQ 4,200 TRUST CO	0	05-Oct-2016	02-Nov-2016
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 15,003 TRUST CO	44,00	0 05-Oct-2016	02-Nov-2016
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 3,871 TRUST CO	109,9	0005-Oct-2016	02-Nov-2016
997AL60	GLV MS PLEDGE	STATE STREET 997AL 60 24,000 TRUST CO	0	05-Oct-2016	02-Nov-2016
THE WILI	IAMS COMPANIES, INC.				
Security	969457100		Meeting Type	Annual	
Ticker Syn	ibol WMB		Meeting Date	23-Nov-2016	5
ISIN	US9694571004		Agenda		Management
Record Da	e 07-Oct-2016		Holding Recor	07-Oct-2016	
City / Co	nintro /	United States	Vote Deadline Date	22-Nov-2016	Ó
SEDOL(s)			Quick Code		
Item Pr	oposal	Proposed by	VATE	r/Against anagement	
1 /\	ECTION OF DIRECTOR: RMSTRONG	ALAN S. Management	For Fo	r	

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	ELECTION OF DIRECTOR:					
1B.	STEPHEN W. BERGSTROM		Management	For	For	
1C.	ELECTION OF DIRECTOR: STEPHEN I. CHAZEN		Management	For	For	
1D.	ELECTION OF DIRECTOR: KATHLEEN B. COOPER		Management	For	For	
1E.	ELECTION OF DIRECTOR: F A. RAGAUSS	PETER	Management	For	For	
1F.	ELECTION OF DIRECTOR: S D. SHEFFIELD	SCOTT	Management	For	For	
1G.	ELECTION OF DIRECTOR: MURRAY D. SMITH		Management	For	For	
1H.	ELECTION OF DIRECTOR: WILLIAM H. SPENCE		Management	For	For	
1I.	ELECTION OF DIRECTOR: J D. STONEY	ANICE	Management	For	For	
2.	RATIFICATION OF ERNST & YOUNG LLP AS AUDITORS 2016.		Management	For	For	
3.	APPROVAL, BY NONBINDII ADVISORY VOTE, OF THE COMPANY'S EXECUTIVE COMPENSATION.	NG	Management	For	For	
Accour Numbe	Account Name	Interna Accour	l Ballot ustodian nt Shares		Unavailable Vote Date Shares	Date Confirmed
997AL	47 GLQ BNP PLEDGE	997A T	TATE TREET ANK 100 CRUST		63,100 20-Oct-2016	20-Oct-2016
997AL	49 GLO BNP PLEDGE	S' 997AL T	TATE TREET ANK 49 100 RUST		159,70@0-Oct-2016	20-Oct-2016
997AL	60 GLV MS PLEDGE	997A T	TATE TREET ANK 60 39,100 RUST		0 20-Oct-2016	20-Oct-2016

AMSURG CORP.

Security	У	03232P405			Meeting 7	Гуре	Special	
Ticker S	Symbol	AMSG			Meeting I	Date	28-Nov-2016	
ISIN		US03232P4054			Agenda		934494826 - 1	Management
Record Date 07-Oct-2016		07-Oct-2016			Holding Recon Date		07-Oct-2016	
City /	Country	y /	United States		Vote Dea Date	dline	25-Nov-2016	
SEDOL	$\mathcal{L}(\mathbf{s})$				Quick Co	de		
Item	Proposa	al		Proposed by	Vote		Against agement	
1.	PROPO AGREI MERG 2016 (T AGREI ENVIS HOLDI AND N THE TE	NSIDER AND VOT DSAL TO APPROVE EMENT AND PLAN ER, DATED AS OF THE "MERGER EMENT"), BY AND ION HEALTHCARI INGS, INC., AMSUR IEW AMETHYST C RANSACTIONS EMPLATED BY TH ER AGREEMENT.	E THE I OF JUNE 15, AMONG E RG CORP. ORP., AND	Management	For	For		
2.	NON-E PROPO COMP BECOM NAME CONN	NSIDER AND VOT BINDING, ADVISOR DSAL TO APPROVE ENSATION THAT IN ME PAYABLE TO A ID EXECUTIVE OFF ECTION WITH THE UMMATION OF TH ER.	RY E THE MAY MSURG'S FICERS IN	Management	For	For		
3.	PROPO MOTIO AMSU NECES	INSIDER A VOTE COSAL TO APPROVE ON TO ADJOURN T RG SPECIAL MEET SSARY OR APPROF LICIT ADDITIONA IES.	E ANY THE TING IF PRIATE,	Management	For	For		
Accoun Number	4	Account Name	Interna Accour	l Ballot ustodian thares]	Unavail Shares	able Vote Date	Date Confirmed

997G**ST**OATE 6,400

STREET BANK & TRUST

997GLO

GLO

29-Nov-2016

0 26-Oct-2016

		CO			
		STATE STREET			
997AL45	GLV BNP PLEDGE 997A		6,200	26-Oct-2016	29-Nov-2016
		x ΓRUST			
		CO CTATE			
	S	STATE STREET			
997AL47	GLQ BNP PLEDGE 997A	BANK 47 2,700	13,600	26-Oct-2016	29-Nov-2016
		TRUST CO			
		STATE			
		STREET BANK			
997AL49	GLO BNP PLEDGE 997A	x	34,500	26-Oct-2016	29-Nov-2016
		TRUST CO			
CHR. HANS	EN HOLDING A/S				
Security	K1830B107		Meeting Type	Annual Gene	
Ticker Symb	ol		Meeting Date	29-Nov-2016)
ISIN	DK0060227585		Agenda	707583793 -	Management
Record Date	22-Nov-2016		Holding Recon Date	22-Nov-2016	,
City / Cou	ntry HORSHO LM / Denmark		Vote Deadline Date	18-Nov-2016	
	B3LL574 - B3SMD32 -				
SEDOL(s)	B573M11 - B63NJ00 - BHZLC88		Quick Code		
		D 1	E/	A : 4	
Item Prop	osal	Proposed by	VATE	Against agement	
CMMT IN T	THE MAJORITY OF MEETINGS	Non-Voting			
	VOTES ARE CAST WITH THE				
	SISTRAR WHO WILL-FOLLOW				
	ENT INSTRUCTIONS. IN A ALL PERCENTAGE OF				
	ETINGS THERE IS				
	REGISTRAR AND CLIENTS				
	TES MAY BE CAST BY THE				
	AIRMAN OF THE BOARD OR OARD MEMBER AS PROXY.				
	ENTS CAN ONLY EXPECT				
	M TO ACCEPT				
PRC	-MANAGEMENT-VOTES. THE				

ONLY WAY TO GUARANTEE THAT ABSTAIN AND/OR AGAINST **VOTES ARE-REPRESENTED AT** THE MEETING IS TO SEND YOUR OWN REPRESENTATIVE OR ATTEND THE-MEETING IN PERSON. THE SUB CUSTODIAN BANKS OFFER REPRESENTATION SERVICES FOR- AN ADDED FEE IF REQUESTED. THANK YOU PLEASE BE ADVISED THAT SPLIT AND PARTIAL VOTING IS NOT AUTHORISED FOR A-BENEFICIAL CMMT OWNER IN THE DANISH MARKET. Non-Voting PLEASE CONTACT YOUR GLOBAL **CUSTODIAN-FOR FURTHER** INFORMATION. IMPORTANT MARKET PROCESSING REQUIREMENT: A BENEFICIAL OWNER SIGNED POWER OF- ATTORNEY (POA) IS REQUIRED IN ORDER TO LODGE AND EXECUTE YOUR VOTING-CMMT INSTRUCTIONS IN THIS MARKET. Non-Voting ABSENCE OF A POA, MAY CAUSE YOUR INSTRUCTIONS TO-BE REJECTED. IF YOU HAVE ANY **OUESTIONS, PLEASE CONTACT** YOUR CLIENT SERVICE-REPRESENTATIVE PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR **CMMT** Non-Voting 'ABSTAIN'-ONLY FOR RESOLUTIONS 6.A.A, 6.B.A TO 6.B.F AND 7.A. THANK YOU REPORT ON THE COMPANY'S Non-Voting **ACTIVITIES** APPROVAL OF THE 2015/16 Management For For ANNUAL REPORT RESOLUTION ON THE APPROPRIATION OF PROFIT OR For Management For **COVERING OF LOSS: DKK 5.23 PER** SHARE DECISION ON REMUNERATION OF MEMBERS OF THE BOARD OF Management For For **DIRECTORS**

Management

For

For

1

2

3

4

5.A

	PROPOSALS FROM THE BOARD OF DIRECTOR: AMENDMENT OF ARTICLES OF ASSOCIATION TO REFLECT COMPUTERSHARE A/S AS NEW COMPANY REGISTRAR			
5.B	PROPOSALS FROM THE BOARD OF DIRECTOR: AMENDMENT OF ARTICLES OF ASSOCIATION TO REFLECT LEGAL NAME CHANGE OF NASDAQ OMX COPENHAGEN A/S	Management	For	For
6.A.A	RE-ELECTION OF CHAIRMAN OF THE BOARD OF DIRECTOR: OLE ANDERSEN	Management	For	For
6.B.A	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: FREDERIC STEVENIN	Management	For	For
6.B.B	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: MARK WILSON	Management	For	For
6.B.C	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: DOMINIQUE REINICHE	Management	For	For
6.B.D	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: TIINA MATTILA-SANDHOLM	Management	For	For
6.B.E	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: KRISTIAN VILLUMSEN	Management	For	For
6.B.F	ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: LUIS CANTARELL ROCAMORA	Management	For	For
7.A	RE-ELECTION OF PRICEWATERHOUSECOOPERS STATSAUTORISERET REVISIONSPARTNERSELSKAB	Management	For	For
8	AUTHORIZATION OF THE CHAIRMAN OF THE ANNUAL GENERAL MEETING	Management	For	For
CMMT	07 NOV 2016: PLEASE NOTE THAT THIS IS A REVISION DUE TO RECEIPT OF DIVIDEND-AMOUNT. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN-UNLESS YOU DECIDE TO AMEND YOUR	Non-Voting		

ORIGINAL INSTRUCTIONS. THANK YOU.

Accour Numbe		Account Name	Interna Accou	al Ba Custodian nt Sh	llot ares	U S	Jnavai Shares	lable Vote Date	Date Confirmed
GLV		GLV	S S	STATE STREET 19 BANK		C)	05-Nov-2016	22-Nov-2016
GLQ		GLQ	S	STATE STREET 31 BANK	,409	C)	05-Nov-2016	22-Nov-2016
GLO		GLO	S	STATE STREET 78 BANK	,002	C)	05-Nov-2016	22-Nov-2016
MICRO	OSOFT (CORPORATION							
Security	y	594918104				Meeting T	Гуре	Annual	
Ticker	Symbol	MSFT				Meeting I		30-Nov-2016	
ISIN		US5949181045				Agenda		934491224 -	Management
Record	Date	30-Sep-2016				Holding R Date	Recon	30-Sep-2016	
City /	Countr	у	/ United States			Vote Dead Date	dline	29-Nov-2016	
SEDOI	L(s)					Quick Cod	de		
Item	Propos	al		Proposed by		Vote		/Against nagement	
1A.		ΓΙΟΝ OF DIRECTC AM H. GATES III	PR:	Managen	nent	For	For		
1B.	ELECT	ΓΙΟΝ OF DIRECTC STOLL	R: TERI L.	Managen	nent	For	For		
1C.		ΓΙΟΝ OF DIRECTC N MORFIT	R: G.	Managen	nent	For	For		
1D.	ELECT NADE	ΓΙΟΝ OF DIRECTC LLA	R: SATYA	Managen	nent	For	For		
1E.		ΓΙΟΝ OF DIRECTC LES H. NOSKI	R:	Managen	nent	For	For		
1F.		ΓΙΟΝ OF DIRECTC UT PANKE	R:	Managen	nent	For	For		
1G.		ΓΙΟΝ OF DIRECTC RA E. PETERSON	R:	Managen	nent	For	For		
1H.		TION OF DIRECTO LES W. SCHARF	R:	Managen	nent	For	For		
1I.	ELECT STAN	ΓΙΟΝ OF DIRECTC ΓΟΝ	R: JOHN W	Managen	nent	For	For		

	_	-				
1J.	ELECTION OF DIRECTOR: J THOMPSON	OHN W.	Management	For	For	
1K.	ELECTION OF DIRECTOR: PADMASREE WARRIOR		Management	For	For	
2.	ADVISORY VOTE TO APPRONAMED EXECUTIVE OFFIC COMPENSATION		Management	For	For	
3.	RATIFICATION OF DELOIT TOUCHE LLP AS OUR INDEPENDENT AUDITOR F FISCAL YEAR 2017		Management	For	For	
4.	APPROVAL OF AMENDMEN OUR AMENDED AND REST. ARTICLES OF INCORPORA	ATED	Management	For	For	
5.	APPROVAL OF FRENCH SU UNDER THE 2001 STOCK PI		Management	For	For	
6.	SHAREHOLDER PROPOSAL REQUESTING CERTAIN PRO ACCESS BYLAW AMENDM	OXY	Shareholder	Against	For	
Accor Numb	Account Name	Internal Account	Ballot Shares		Unavailable Vote Date Shares	Date Confirmed
997A	L45 GLV BNP PLEDGE	ST 997AL4	RUST		39,600 20-Oct-2016	01-Dec-2016
997A	L47 GLQ BNP PLEDGE	ST 997AL4	CATE CREET ANK 100 RUST		48,500 20-Oct-2016	01-Dec-2016
997A	L49 GLO BNP PLEDGE	ST 997A & 49	RUST		121,30020-Oct-2016	01-Dec-2016
997A	L60 GLV MS PLEDGE	ST. 8A 997A	RUST		0 20-Oct-2016	01-Dec-2016

DIAMONDBACK ENERGY, INC		IN	GY	IFR.	EN	CK	ЗA	JDI	M	ΔN	DI
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Security 25278X109 Meeting Type Special

Ticker Symbol FANG Meeting Date 07-Dec-2016

ISIN US25278X1090 Agenda 934506392 - Management

Record Date 11-Nov-2016 Holding Recon 11-Nov-2016

Date

211-1107-2010

City / Country / United Vote Deadline Date 06-Dec-2016

-

SEDOL(s) Quick Code

Item Proposal Proposed by Vote For/Against Management

PROPOSAL TO APPROVE AN AMENDMENT TO DIAMONDBACK ENERGY, INC.'S AMENDED AND RESTATED CERTIFICATE OF

1. INCORPORATION TO INCREASE Management For For

THE TOTAL NUMBER OF AUTHORIZED SHARES OF COMMON STOCK FROM 100,000,000 TO 200,000,000.

Account Number	Account Name	Internal Custodi Account	an Shares	Unavailable Shares Vote Date	Date Confirmed
997AL47	GLQ BNP PLEDGE	STATE STREE 997A&4/ TRUST CO	T 2,500	11,300 19-Nov-2016	08-Dec-2016
		STATE STREE			

997AL49 GLO BNP PLEDGE 997AL49 6,100 28,800 19-Nov-2016

TRUST

CO

AGNC INVESTMENT CORP

Security 00123Q104 Meeting Type Special
Ticker Symbol AGNC Meeting Date 09-Dec-2016

ISIN Agenda 934498127 - Management

Agenda 75 (17012) Management

Record Date 24-Oct-2016 Holding Recon 24-Oct-2016

Date Date

United Vote Deadline OR Dec 201

City / Country / States Date 08-Dec-2016

SEDOL(s) Quick Code

08-Dec-2016

Item	Proposa	al		Propo by	osed	Vote		r/Against magement	
1.	INVES	OVAL OF THE AGNC TMENT CORP. 2016 E NCENTIVE COMPENS		Mana	ngement	For	For		
Accoun		Account Name	Internal Accoun	l ustodia it	Ballot Shares	1	Unava Shares	ilable Vote Date	Date Confirmed
997AL-	45	GLV BNP PLEDGE	997AB	TATE TREET ANK TRUST O	Г 165,300	()	04-Nov-2016	12-Dec-2016
997AL4	47	GLQ BNP PLEDGE	S' S' 997AL	TATE TREET ANK 47 RUST	Γ 269,600	()	04-Nov-2016	12-Dec-2016
997AL4	49	GLO BNP PLEDGE	997AB	RUST	Г 679,599	()	04-Nov-2016	12-Dec-2016
ARES (CAPITA	L CORPORATION							
Security	y	04010L103				Meeting 7	Гуре	Special	
Ticker S	Symbol	ARCC				Meeting I	Date	15-Dec-2016	
ISIN		US04010L1035				Agenda		934494864 - 1	Management
Record	Date	17-Oct-2016				Holding I Date	Recon	17-Oct-2016	
City /	Countr	V /	nited tates			Vote Dea Date	dline	14-Dec-2016	
SEDOL	$\mathcal{L}(s)$					Quick Co	de		
Item	Propos	al		Propo by	osed	Vote		:/Against .nagement	
1.	THE SECOMM PURSU AND P	PROVE THE ISSUANCE HARES OF ARES CAPINGON STOCK TO BE ISSUANT TO THE AGREED LAN OF MERGER, AS EMENT MAY BE AMETIME TO TIME, DATE	ITAL SUED MENT SUCH NDED	Mana	agement	For	For	•	

OF MAY 23, 2016 (THE "MERGER AGREEMENT"), BY AND AMONG ARES CAPITAL, AMERICAN CAPITAL, LTD., ORION ACQUISITION SUB, INC., IVY HILL ASSET MANAGEMENT, L.P. ("IHAM"), IVY HILL ASSET MANAGEMENT GP, LLC, IN ITS CAPACITY AS GENERAL PARTNER OF IHAM, AMERICAN CAPITAL ASSET MANAGEMENT, LLC, AND SOLELY FOR THE ...(DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL).

TO APPROVE THE ISSUANCE OF THE SHARES OF ARES CAPITAL COMMON STOCK TO BE ISSUED

2. PURSUANT TO THE MERGER AGREEMENT IN ACCORDANCE WITH NASDAQ LISTING RULE REQUIREMENTS.

TO APPROVE THE ADJOURNMENT OF THE ARES CAPITAL SPECIAL MEETING, IF NECESSARY OR APPROPRIATE, TO SOLICIT

3. ADDITIONAL PROXIES IF THERE ARE NOT SUFFICIENT VOTES AT THE TIME OF THE ARES CAPITAL SPECIAL MEETING TO APPROVE PROPOSAL 1 OR PROPOSAL 2.

Management For For

Management For For

Account Number	Account Name	Internal Custodi Account	Ballot an Shares	Unava Share	ailable Vote Date s	Date Confirmed
997GLQ	GLQ	STATE STREE 997G Q TRUST CO	Γ	0	26-Oct-2016	16-Dec-2016
997AL45	GLV BNP PLEDGE	STATE STREE BANK 997AL 45 TRUST CO	Γ 291,600	0	26-Oct-2016	16-Dec-2016
997AL47	GLQ BNP PLEDGE	997A S TATE STREE	•	0	26-Oct-2016	16-Dec-2016

		_aga	g. 0.00	.g., c.,	ou. Equity		0		
				BANK					
			& T	t RUST					
				CO					
				TATE					
			S	TREE	Γ				
997AL	49	GLO BNP PLEDGE	997AL	ANK 49	964,300		0	26-Oct-2016	16-Dec-2016
				RUST					
			S	TATE					
				TREE	Γ				
997AL	53	GLO MS PLEDGE	997AL		80,000		0	26-Oct-2016	16-Dec-2016
				RUST O					
AKOR	N, INC.								
Securit	у	009728106				Meeting	g Type	Special	
Ticker	Symbol	AKRX				Meeting	g Date	16-Dec-2016	
ISIN		US0097281069				Agenda		934505225 -	Management
Record	Date	28-Oct-2016				Holding Date	g Recon	28-Oct-2016	
City /	Countr	V	Jnited States			Vote Do	eadline	15-Dec-2016	
SEDOI	$\mathcal{L}(\mathbf{s})$					Quick (Code		
				Propo	osed			:/Against	
Item	Propos	al		by		Vote		nagement	
1.	AKOR	DSAL TO APPROVE T N, INC. 2016 EMPLOY K PURCHASE PLAN.		Mana	igement	For	Foi	•	
		OSAL TO APPROVE T	HE						
2.	AMEN RESTA	IDMENT AND ATEMENT OF THE AK 014 STOCK OPTION P	KORN,	Mana	igement	For	For	•	
	INC. 2	014 STOCK OF HON F	LAIN.						
Accour Numbe		Account Name	Interna Accour	l Custodia nt	Ballot Shares		Unava Shares	ilable Vote Date	Date Confirmed
			S S	TATE TREE					
997GL	V	GLV	997GL	ANK	20,500		0	18-Nov-2016	19-Dec-2016
				RUST O					
997GL	Q	GLQ	997G S S	Q ATE TREE			0	18-Nov-2016	19-Dec-2016

		9		J	, ,					
			B &	ANK						
			T	RUST						
				O TATE						
			S	TREET						
997GLC)	GLO	997GL	L	34,300		0	18-Nov-201	6 1	9-Dec-2016
				RUST O						
				TATE	,					
997AL4	17	GLQ BNP PLEDGE	997AL	TREET ANK	5,800		35,000	18-Nov-201	6 1	9-Dec-2016
991ALA	r /	OLQ BIT TLEDGE		RUST	3,000		33,000	10-1107-201	.0 1	<i>9-Dcc-2010</i>
			C	CO						
				TATE TREET	7					
997AL4	19	GLO BNP PLEDGE	997AB	ANK 49	900		71,800	18-Nov-201	6 1	9-Dec-2016
			T	RUST						
SINOPI	HARM (GROUP CO. LTD.	C	O						
Security	7	Y8008N107				Meeting	Type	ExtraOrdi Meeting	nary C	General
Ticker S	Symbol					Meeting	Date	30-Dec-20	016	
ISIN	•	CNE100000FN7				Agenda		70759599	0 - Ma	nagement
Record	Date	29-Nov-2016				Holding Date	Recon	29-Nov-2	016	
City /	Country	SHANGH AI / C	China			Vote De Date	adline	16-Dec-20	016	
SEDOL	u(s)	B3ZVDV0 - B4M8B7 B5NVZ21 - BP3RXT2				Quick C	Code			
				Duomo	and		Eo.	/A maimat		
Item	Proposa	al		Propo by	oseu	Vote		/Against nagement		
		E NOTE THAT THE ANY NOTICE AND PR	ROXY							
	FORM	ARE AVAILABLE BY	7							
CMMT	http://w	ING-ON THE URL LIN ww.hkexnews.hk/listed	co/listcor	Non-V news/SI	Voting EHK/2016/					
	1114/L'	TN20161114274.pdf-Al www.hkexnews.hk/listed	ND-							
	1114/L	TN20161114240.pdf								
CMMT	PLEAS	E NOTE IN THE HON	G	Non-V	Voting					

Management For

For

KONG MARKET THAT A VOTE OF 'ABSTAIN' WILL BE TREATED-THE SAME AS A 'TAKE NO ACTION' VOTE

TO CONSIDER AND, IF THOUGHT FIT, TO APPROVE (I) THE ISSUE OF THE ACCOUNTS RECEIVABLE ASSET-BACKED SECURITIES IN THE PRC OF NO MORE THAN RMB8 BILLION IN SCALE (THE "ASSET-BACKED SECURITIES"); AND (II) THE AUTHORISATION TO

THE BOARD OF DIRECTORS OF

1 THE COMPANY OR THE
PERSON(S) AUTHORISED BY IT
DEAL WITH ALL SPECIFIC
MATTERS PURSUANT TO THE
PROPOSAL IN RESPECT OF THE
ISSUE OF THE ASSET-BACKED
SECURITIES AS SET OUT IN THE
NOTICE OF EGM OF THE

COMPANY DATED 14 NOVEMBER 2016

Account Number	A	Account Name	Inter Acco	nal Ballot Custodian Shares		Unava Shares	ilable Vote Date	Date Confirmed
GLV		GLV		STATE STREET 142,400 BANK		0	15-Nov-2016	22-Dec-2016
GLQ		GLQ		STATE STREET 236,800 BANK		0	15-Nov-2016	22-Dec-2016
GLO		GLO		STATE STREET 583,600 BANK		0	15-Nov-2016	22-Dec-2016
D.R. HO	RTON,	INC.						
Security		23331A109			Meeting	Type	Annual	
Ticker Sy	ymbol	DHI			Meeting	Date	19-Jan-2017	
ISIN		US23331A1097			Agenda		934514147 -	Management
Record D	Pate	28-Nov-2016			Holding Date	Recon	28-Nov-2016	
City /	Country	7	/ United States		Vote De Date	eadline	18-Jan-2017	
SEDOL(s)				Quick C	Code		
Item	Proposa	ıl		Proposed	Vote	For	/Against	

		by		Management	
1A.	ELECTION OF DIRECTOR: DONALD R. HORTON	Managemer	t For	For	
1B.	ELECTION OF DIRECTOR: BARBARA K. ALLEN	Managemen	t For	For	
1C.	ELECTION OF DIRECTOR: B ANDERSON	BRAD S. Managemen	t For	For	
1D.	ELECTION OF DIRECTOR: MICHAEL R. BUCHANAN	Managemen	t For	For	
1E.	ELECTION OF DIRECTOR: MICHAEL W. HEWATT	Managemen	t For	For	
2.	APPROVAL OF THE ADVISORESOLUTION ON EXECUTIVE COMPENSATION.		it For	For	
3.	RATIFY THE APPOINTMENT PRICEWATERHOUSECOOPE AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.		t For	For	
Accoun Number	Account Name	Internal Ballo Custodian Account Share	t es	Unavailable Shares Vote Date	Date Confirmed
997AL4	5 GLV BNP PLEDGE	STATE STREET BANK 997AL45 14,62 TRUST CO	6	61,500 13-Dec-2016	20-Jan-2017
997AL4	GLQ BNP PLEDGE	STATE STREET BANK 997AL 47 23,62 TRUST CO	1	104,40013-Dec-2016	20-Jan-2017
997AL4	9 GLO BNP PLEDGE	STATE STREET 997AL49 59,00 TRUST CO	1	256,30013-Dec-2016	20-Jan-2017
997AL6	51 GLQ MS PLEDGE	STATE STREET 997AL61 10,80 TRUST CO	0	0 13-Dec-2016	20-Jan-2017

GOLUB CAPITAL BDC, INC.

Security	y	38173M102			Meeting T	ype	Annual
Ticker S	Symbol	GBDC			Meeting D	ate	07-Feb-2017
ISIN		US38173M1027			Agenda		934516557 - Management
Record	Date	12-Dec-2016			Holding Ro Date	econ	12-Dec-2016
City /	Country	y	United States		Vote Dead Date	line	06-Feb-2017
SEDOL	$\mathcal{L}(\mathbf{s})$				Quick Cod	le	
Item	Proposa	al		Proposed by	Vote		Against Igement
1.1		TION OF CLASS I D DB. GOLUB	IRECTOR:	Management	For	For	
1.2		TION OF CLASS I D R. ROSENBERG	IRECTOR:	Management	For	For	
2.	ERNST AS THE INDEP PUBLIC THE FI	TIFY THE SELECT & YOUNG LLP TO E COMPANY'S ENDENT REGISTE C ACCOUNTING F ISCAL YEAR ENDI MBER 30, 2017.	O SERVE RED IRM FOR	Management	For	For	

Account Number	Account Name	Internal Custodia Account	Ballot an Shares	Unava Shares	ilable Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997G&Q TRUST CO		0	22-Dec-2016	08-Feb-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 TRUST CO	100	128,30	0022-Dec-2016	08-Feb-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL TRUST CO	Γ 150,809	0	22-Dec-2016	08-Feb-2017
997AL49	GLO BNP PLEDGE	997A S49 ATE STREE		454,30	0022-Dec-2016	08-Feb-2017

BANK & TRUST CO

RSP PERMIAN INC

Record Date

Security 74978Q105 Meeting Type Special

Ticker Symbol RSPP Meeting Date 24-Feb-2017

ISIN US74978Q1058 Agenda 934528108 - Management

Holding Recon

Date

19-Jan-2017

23-Feb-2017

City / Country / United Vote Deadline

States Date

SEDOL(s) Quick Code

Item Proposal Proposed by Vote For/Against Management

APPROVAL OF THE ISSUANCE OF

16,019,638 SHARES OF RSP PERMIAN, INC.'S (THE

19-Jan-2017

"COMPANY'S") COMMON STOCK

TO SILVER HILL ENERGY

PARTNERS II, LLC (THE "SHEP II

SELLER"), PURSUANT TO AND

1. SUBJECT TO ADJUSTMENTS Management For For

PROVIDED IN THE MEMBERSHIP INTEREST PURCHASE AND SALE AGREEMENT, DATED AS OF OCTOBER 13, 2016, BY ...(DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL

PROPOSAL).

APPROVAL OF THE ADJOURNMENT OR

POSTPONEMENT OF THE SPECIAL

MEETING, IF NECESSARY OR

2. APPROPRIATE TO SOLICIT Management For For

ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES AT THE TIME OF THE SPECIAL MEETING

TO APPROVE PROPOSAL 1.

Account Name Internal Ballot Unavailable Date Confirmed

997GLQ GLQ 997GEQATE 16,400 0 03-Feb-2017 27-Feb-2017

STREET BANK

&

TRUST CO

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А	.1	Γ.	L	_	ш	N	C.

Security	y	037833100			Meeting T	ype	Annual
Ticker	Symbol	AAPL			Meeting D	•	28-Feb-2017
ISIN	J	US0378331005			Agenda		934520556 - Management
Record	Date	30-Dec-2016			Holding Ro	econ	30-Dec-2016
City /	Country	ý	/ United States		Vote Dead Date	line	27-Feb-2017
SEDOL	$\mathcal{L}(\mathbf{s})$				Quick Cod	le	
Item	Proposa	al		Proposed by	Vote		Against agement
1A.	ELECT BELL	TION OF DIRECTO	R: JAMES	Management	For	For	
1B.	ELECT COOK	TION OF DIRECTO	R: TIM	Management	For	For	
1C.	ELECT GORE	TION OF DIRECTO	R: AL	Management	For	For	
1D.	ELECT IGER	TON OF DIRECTO	R: BOB	Management	For	For	
1E.		TON OF DIRECTO EA JUNG	R:	Management	For	For	
1F.	ELECT LEVIN	TON OF DIRECTO SON	R: ART	Management	For	For	
1G.	ELECT SUGAI	TION OF DIRECTO R	R: RON	Management	For	For	
1H.	ELECT WAGN	TION OF DIRECTO ER	R: SUE	Management	For	For	
2.	APPOI YOUN INDEP	ICATION OF THE NTMENT OF ERNS G LLP AS APPLE'S ENDENT REGISTI C ACCOUNTING I	S ERED	Management	For	For	
3.		ORY VOTE TO AF JTIVE COMPENSA		Management	For	For	
4.	FREQU VOTES	ORY VOTE ON THE JENCY OF SHARES ON EXECUTIVE ENSATION		Management	3 Years	Agaiı	nst
5.		REHOLDER PROP LED "CHARITABI		Shareholder	Against	For	

RECIPIENTS, INTENTS AND BENEFITS"

	BENEFITS"			
6.	A SHAREHOLDER PROPOSAL REGARDING DIVERSITY AMONG OUR SENIOR MANAGEMENT AND BOARD OF DIRECTORS	Shareholder	Against	For
7.	A SHAREHOLDER PROPOSAL ENTITLED "SHAREHOLDER PROXY ACCESS AMENDMENTS"	Shareholder	Against	For
8.	A SHAREHOLDER PROPOSAL ENTITLED "EXECUTIVE COMPENSATION REFORM"	Shareholder	Against	For
9.	A SHAREHOLDER PROPOSAL ENTITLED "EXECUTIVES TO RETAIN SIGNIFICANT STOCK"	Shareholder	Against	For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Vote Date Shares	Date Confirmed
997GLV	GLV	STATE STREET 997G _{&} V 2,500 TRUST CO	0 07-Jan-2017	01-Mar-2017
997GLQ	GLQ	STATE STREET 997G ^{BANK} 31,200 TRUST CO	0 07-Jan-2017	01-Mar-2017
997GLO	GLO	STATE STREET 997G & 39,600 TRUST CO	0 07-Jan-2017	01-Mar-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997A 45 100 TRUST CO	27,500 07-Jan-2017	01-Mar-2017
997AL47	GLQ BNP PLEDGE	997ABTATE 100 STREET BANK & TRUST	32,400 07-Jan-2017	01-Mar-2017

CO **STATE STREET** BANK GLO BNP PLEDGE 100 997AL49 81,900 07-Jan-2017 01-Mar-2017 **TRUST** CO TRANSDIGM GROUP INCORPORATED Security 893641100 Meeting Type Annual Ticker Symbol Meeting Date 01-Mar-2017 **TDG** US8936411003 **ISIN** Agenda 934523451 - Management Holding Recon Record Date 03-Jan-2017 03-Jan-2017 Date Vote Deadline United Country 28-Feb-2017 City / States Date SEDOL(s) Quick Code Proposed For/Against Item **Proposal** Vote by Management 1. **DIRECTOR** Management 1 WILLIAM DRIES For For 2 MERVIN DUNN For For 3 MICHAEL GRAFF For For 4 **SEAN HENNESSY** For For 5 W. NICHOLAS HOWLEY For For RAYMOND LAUBENTHAL 6 For For 7 **DOUGLAS PEACOCK** For For 8 **ROBERT SMALL** For For 9 JOHN STAER For For TO APPROVE (IN AN ADVISORY VOTE) COMPENSATION PAID TO 2. Management For For THE COMPANY'S NAMED **EXECUTIVE OFFICERS** TO DETERMINE HOW OFTEN TO CONDUCT THE ADVISORY VOTE 3. REGARDING COMPENSATION Management 3 Years PAID TO THE COMPANY'S NAMED **EXECUTIVE OFFICERS** TO RATIFY THE SELECTION OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT

Management

For

For

4.

2017

ACCOUNTANTS FOR THE FISCAL YEAR ENDING SEPTEMBER 30,

Accoun		Account Name	Internal Accoun	ustodia t	Ballot Shares		Unavai Shares	lable Vote Date	Date Confirmed
997AL-	47	GLQ BNP PLEDGE	ST ST 997AL4	TATE TREET ANK TO RUST			0	21-Jan-2017	02-Mar-2017
997AL4	49	GLO BNP PLEDGE	ST 997AL	RUST	Γ 33,850		0	21-Jan-2017	02-Mar-2017
HOLOG	GIC, IN	NC.							
Security	y	436440101				Meeting	Type	Annual	
Ticker S	Symbo	1 HOLX				Meeting	Date	08-Mar-2017	
ISIN		US4364401012				Agenda		934522423 -	Management
Record	Date	09-Jan-2017				Holding Date	Recon	09-Jan-2017	
City /	Coun	frv. /	nited tates			Vote Dea Date	adline	07-Mar-2017	
SEDOL	L(s)					Quick Co	ode		
Item	Propo	osal		Propo by	osed	Vote		'Against nagement	
1.	DIRE	ECTOR		Mana	igement				
	1	CHRISTOPHER J. COU	GHLIN			For	For		
	2	SALLY W. CRAWFORI	D			For	For		
	3	SCOTT T. GARRETT				For	For		
	4	LAWRENCE M. LEVY				For	For		
	5	STEPHEN P. MACMILI	LAN			For	For		
	6	CHRISTIANA STAMOU	JLIS			For	For		
	7	ELAINE S. ULLIAN				For	For		
	8	AMY M. WENDELL				For	For		
2.	RESC	ON-BINDING ADVISORY OLUTION TO APPROVE CUTIVE COMPENSATION		Mana	igement	For	For		
3.	NON FREG	ECOMMEND, BY -BINDING VOTE, THE QUENCY OF EXECUTIV IPENSATION VOTES.	Έ	Mana	agement	3 Years	Aga	iinst	

4.		DMENT TO HOLOGI AMENDED AND RES WS.		Mana	gement	For	For		
5.	APPOI YOUN INDEP	ICATION OF THE NTMENT OF ERNST G LLP AS OUR ENDENT REGISTERI C ACCOUNTING FIR L 2017.	ED	Mana	gement	For	For		
Accoun Number		Account Name	Internal Accour	l ustodia it	Ballot Shares	1	Unavaila Shares	ble ote Date	Date Confirmed
997GL0	Q	GLQ	S' 997GL T	TATE TREET ANK Q RUST O	23,600	() 2	25-Jan-2017	09-Mar-2017
997AL4	1 7	GLQ BNP PLEDGE	S' 997A T	TATE TREET ANK 47 E RUST O	100	2	28,500 2	25-Jan-2017	09-Mar-2017
997AL4	19	GLO BNP PLEDGE	S' 997AL T	TATE TREET ANK 49 RUST O	100	;	131,2002	25-Jan-2017	09-Mar-2017
GW PH	ARMA(CEUTICALS PLC							
Security	/	36197T103				Meeting	Гуре	Annual	
Ticker S	Symbol	GWPH				Meeting I	Date	14-Mar-2017	
ISIN		US36197T1034				Agenda		934530660 - 1	Management
Record	Date	07-Feb-2017				Holding I Date	Recon	07-Feb-2017	
City /	Country	., , , , , , , , , , , , , , , , , , ,	Jnited States			Vote Dea Date	dline	06-Mar-2017	
SEDOL	$L(\mathbf{s})$					Quick Co	de		
Item	Proposa	al		Propo by	osed	Vote		against gement	
01	ADOPT AUDIT	CEIVE, CONSIDER A ITHE DIRECTORS' A ORS' REPORTS AND MENT OF ACCOUNT	ND	Mana	gement	For	For		

	THE YEAR ENDED 30 SEPTEMBER 2016 AND NOTE THAT THE DIRECTORS DO NOT RECOMMEND PAYMENT OF A DIVIDEND			
O2	TO APPROVE THE DIRECTORS' REMUNERATION REPORT	Management	For	For
О3	TO RE-ELECT GEOFFREY GUY AS A DIRECTOR	Management	For	For
O4	TO RE-ELECT THOMAS LYNCH AS A DIRECTOR	Management	For	For
O5	TO RE-ELECT CABOT BROWN AS A DIRECTOR	Management	For	For
O6	TO APPOINT DELOITTE LLP AS AUDITOR	Management	For	For
O7	TO AUTHORISE THE DIRECTORS TO DETERMINE THE AUDITOR'S REMUNERATION	Management	For	For
S8	TO APPROVE THE ADOPTION OF THE COMPANY'S LONG-TERM INCENTIVE PLAN	Management	For	For
S9	TO AUTHORISE THE DIRECTORS TO ALLOT EQUITY SECURITIES, UNDER SECTION 551 OF THE 2006 ACT	Management	For	For
S10	TO AUTHORISE THE DIRECTORS TO ALLOT EQUITY SECURITIES, UNDER SECTION 570 OF THE 2006 ACT AS IF SECTION 561(1) OF THE 2006 ACT DID NOT APPLY TO SUCH ALLOTMENT	Management	For	For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Shares Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997 $G_{\mathbb{Z}}^{\mathrm{BANK}}$ 1,600 TRUST CO	0 15-Feb-2017	08-Mar-2017
997GLO	GLO	STATE STREET 997G & 3,100 TRUST CO	0 15-Feb-2017	08-Mar-2017

997AL4	47	GLQ BNP PLEDGE	S 997AL T	TATE TREET SANK 47 4,300 RUST			0	15-Feb-2017	08-Mar-2017
997AL	49	GLO BNP PLEDGE	S 997AL T	TATE TREET ANK 49 8,300 TRUST			0	15-Feb-2017	08-Mar-2017
SAMSU	UNG EL	ECTRONICS CO LTD,	SUWON	1					
Security	y	Y74718100				Meeting	Type	Annual Gener	al Meeting
Ticker	Symbol					Meeting	Date	24-Mar-2017	
ISIN		KR7005930003				Agenda		707790499 - 1	Management
Record	Date	31-Dec-2016				Holding Date	Recon	31-Dec-2016	
City /	Country		torea, epublic			Vote De Date	adline	14-Mar-2017	
SEDOL	ـ(s)	6771720 - B19VC15 - B74V052				Quick C	ode		
Item	Propos	al		Proposed by		Vote		/Against nagement	
1		OVAL OF FINANCIAL EMENTS		Managemen	t	For	For		
2		OVAL OF REMUNERA IRECTOR	TION	Managemen	t	For	For		
Accoun Number		Account Name	Interna Accour	l Ballor Tustodian nt Share	t s		Unava Shares	ilable Vote Date	Date Confirmed
GLV		GLV	S	TATE TREET 756 ANK			0	25-Feb-2017	16-Mar-2017
GLQ		GLQ	S	TATE TREET 1,197 ANK			0	25-Feb-2017	16-Mar-2017
GLO		GLO	S	TATE TREET 3,046 ANK			0	25-Feb-2017	16-Mar-2017
LINE C	CORPOR	ATION							
Security	y	53567X101				Meeting	Type	Annual	
Ticker S	Symbol	LN				Meeting	Date	30-Mar-2017	

ISIN		US53567X1019			Agenda	l	934536268 -	Management
Record	Date	29-Dec-2016			Holding Date	g Recon	29-Dec-2016	
City /	Cour	ntry / J	apan		Vote Do Date	eadline	23-Mar-2017	
SEDOI	L(s)				Quick (Code		
Item	Prop	osal		Proposed by	Vote		Against agement	
1.		TIAL AMENDMENTS T		Management	For	Agai	nst	
2.	DIRI	ECTORS		Management				
	1	TAKESHI IDEZAWA			For	For		
	2	JUN MASUDA			For	For		
	3	JOONGHO SHIN			For	For		
	4	IN JOON HWANG			For	For		
	5	HAE-JIN LEE			For	For		
	6	TADASHI KUNIHIRO			For	For		
	7	KOJI KOTAKA			For	For		
	8	REHITO HATOYAMA			For	For		
3.	SUB	CTION OF ONE (1) STITUTE CORPORATE DITOR: NAOKI WATAN	ABE	Management	For	Agai	nst	
4.	AND (WA REM	ERMINATION OF AMO DETAILS OF STOCK OF RRANTS) TO BE PAID UNERATION, ETC.TO ECTORS	PTIONS	S Management	For	Agai	nst	
Accour Numbe		Account Name		l Ballot Custodian Shares		Unavail Shares	able Vote Date	Date Confirmed
997GL	Q	GLQ	997GL T	TREET		0	04-Mar-2017	27-Mar-2017
997GL	O	GLO	S 997GL T	STATE STREET SANK 39,100 CRUST		0	04-Mar-2017	27-Mar-2017

STATE STREET 997AL47 10,800 27-Mar-2017 997AL47 GLQ BNP PLEDGE 9.700 04-Mar-2017 **TRUST** CO **STATE STREET** 997AL49 9.800 GLO BNP PLEDGE 997AL49 28.300 04-Mar-2017 27-Mar-2017 **TRUST** CO **BROADCOM LIMITED** Security Meeting Type Y09827109 Annual Ticker Symbol Meeting Date **AVGO** 05-Apr-2017 **ISIN** SG9999014823 Agenda 934531977 - Management Holding Recon Record Date 08-Feb-2017 08-Feb-2017 Date Vote Deadline / Malaysia 03-Apr-2017 City / Country Date SEDOL(s) Quick Code **Proposed** For/Against Proposal Vote Item by Management ELECTION OF DIRECTOR: MR. 1A. Management For For HOCK E. TAN ELECTION OF DIRECTOR: MR. 1B. Management For For JAMES V. DILLER ELECTION OF DIRECTOR: MR. 1C. Management For For LEWIS C. EGGEBRECHT ELECTION OF DIRECTOR: MR. 1D. Management For For KENNETH Y. HAO ELECTION OF DIRECTOR: MR. 1E. Management For For EDDY W. HARTENSTEIN ELECTION OF DIRECTOR: MR. 1F. Management For For CHECK KIAN LOW ELECTION OF DIRECTOR: MR. 1G. Management For For DONALD MACLEOD ELECTION OF DIRECTOR: MR. 1H. Management For For PETER J. MARKS ELECTION OF DIRECTOR: DR. 1I. Management For For HENRY SAMUELI

Management

For

For

TO APPROVE THE

2.

Edgar Filing: Clough Global Equity Fund - Form N-PX **RE-APPOINTMENT OF** PRICEWATERHOUSECOOPERS LLP AS BROADCOM'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM AND INDEPENDENT SINGAPORE AUDITOR FOR THE FISCAL YEAR ENDING OCTOBER 29, 2017 AND TO AUTHORIZE THE AUDIT COMMITTEE TO FIX ITS REMUNERATION. AS SET FORTH IN BROADCOM'S NOTICE OF, AND PROXY STATEMENT RELATING TO, ITS 2017 ANNUAL GENERAL MEETING. TO APPROVE THE GENERAL **AUTHORIZATION FOR THE** DIRECTORS OF BROADCOM TO ALLOT AND ISSUE SHARES IN OUR CAPITAL, AS SET FORTH IN Management For For BROADCOM'S NOTICE OF, AND PROXY STATEMENT RELATING TO, ITS 2017 ANNUAL GENERAL MEETING. TO APPROVE THE COMPENSATION OF BROADCOM'S NAMED EXECUTIVE OFFICERS, AS DISCLOSED IN "COMPENSATION **DISCUSSION AND ANALYSIS"** AND IN THE COMPENSATION TABLES AND ACCOMPANYING Management For For NARRATIVE DISCLOSURE UNDER "EXECUTIVE COMPENSATION" IN **BROADCOM'S PROXY** STATEMENT RELATING TO ITS 2017 ANNUAL GENERAL MEETING. TO RECOMMEND THAT A Management 3 Years Against NON-BINDING, ADVISORY VOTE

3.

4.

5. TO RECOMMEND THAT A Management 3 Years Agains NON-BINDING, ADVISORY VOTE TO APPROVE THE COMPENSATION OF THE BROADCOM'S NAMED EXECUTIVE OFFICERS BE PUT TO SHAREHOLDERS FOR THEIR CONSIDERATION EVERY: ONE; TWO; OR THREE YEARS, AS SET FORTH IN BROADCOM'S NOTICE OF, AND PROXY STATEMENT RELATING TO, ITS 2017 ANNUAL

GENERAL MEETING.

Account Number	Account Name	Internal Ballot Custodian Shares	Unava Share	ailable Vote Date	Date Confirmed
997GLO	GLO	STATE STREET 997GLO 5,500 TRUST CO	0	22-Feb-2017	05-Apr-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997Ak 45 14,705 TRUST CO	6,400	22-Feb-2017	05-Apr-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL 33,570 TRUST CO	17,400) 22-Feb-2017	05-Apr-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 30,570 TRUST CO	43,700) 22-Feb-2017	05-Apr-2017
LENNAR CC	RPORATION				
Security	526057104		Meeting Type	Annual	
Ticker Symbo	ol LEN		Meeting Date	18-Apr-2017	
ISIN	US5260571048		Agenda	934533678 - 1	Management
Record Date	21-Feb-2017		Holding Recon Date	21-Feb-2017	
City / Cour	ntrv /	Jnited States	Vote Deadline Date	17-Apr-2017	
SEDOL(s)			Quick Code		
Item Prop	osal	Proposed by	VAIC	r/Against nagement	
1. DIRI	ECTOR	Management			
1	IRVING BOLOTIN		For Fo	r	
2	STEVEN L. GERARD		For Fo	r	
3	THERON I. "TIG" GILI	LIAM	For Fo	r	
4	SHERRILL W. HUDSO	ON	For Fo	r	

	5	SIDNEY LAPIDUS		For	For
	6	TERI P. MCCLURE		For	For
	7	STUART MILLER		For	For
	8	ARMANDO OLIVERA		For	For
	9	DONNA SHALALA		For	For
	10	JEFFREY SONNENFELD		For	For
2.	APPO TOUC INDE PUBL THE	FICATION OF THE DINTMENT OF DELOITTE & CHE LLP AS LENNAR'S EPENDENT REGISTERED LIC ACCOUNTING FIRM FOR FISCAL YEAR ENDING EMBER 30, 2017.	Management	For	For
3.	BASI OF L	ROVAL, ON AN ADVISORY S, OF THE COMPENSATION ENNAR'S NAMED EXECUTIVE CERS.	Management	For	For
4.	BASI THE S THE S LENN	COVAL, ON AN ADVISORY S, OF THE FREQUENCY OF STOCKHOLDER VOTE ON COMPENSATION OF NAR'S NAMED EXECUTIVE CERS.	Management	3 Years	Against
5.	PROF COM	OVAL OF A STOCKHOLDER POSAL REGARDING OUR MON STOCK VOTING ICTURE.	Shareholder	Against	For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Shares Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997G 5,500 TRUST CO	0 08-Mar-2017	19-Apr-2017
997GLQ	GLQ	STATE STREET 997G Q 8,700 TRUST CO	0 08-Mar-2017	19-Apr-2017
997GLO	GLO	997G EO ATE 23,500 STREET BANK	0 08-Mar-2017	19-Apr-2017

			0_						
			& T	: RUST					
			C	O					
				TATE	Г				
007.11	4.5			TREET ANK		24	0 000 0	2017	10 4 2017
997AL	45	GLV BNP PLEDGE	997AB		8,700	30	0,900 (08-Mar-2017	19-Apr-2017
			T. C	RUST O					
				ТАТЕ					
				TREE	Γ				
997AL	47	GLQ BNP PLEDGE	997A	ANK 47	11,700	60	0,500 (08-Mar-2017	19-Apr-2017
			T	RUST					
			C						
				TATE TREE	Γ				
997AL	49	GLO BNP PLEDGE	997AL		33,800	13	31,500	08-Mar-2017	19-Apr-2017
				RUST	,		,		r
			C						
CITIG	ROUP IN	IC.							
Security	y	172967424				Meeting T	ype	Annual	
Ticker S	Symbol	C				Meeting D	ate	25-Apr-2017	
ISIN		US1729674242				Agenda		934541904 -	Management
Record	Date	27-Feb-2017				Holding Re	econ	27-Feb-2017	
City /	Country	<i>i i</i>	Inited tates			Vote Dead Date	line	24-Apr-2017	
SEDOL	L(s)					Quick Cod	le		
Item	Proposa	al		Propo by	osed	Vote		Against agement	
1A.		TION OF DIRECTOR: AEL L. CORBAT		Mana	agement	For	For		
1B.		TION OF DIRECTOR: E STELLO	ELLEN	Mana	agement	For	For		
1C.		TION OF DIRECTOR: AN P. HENNES		Mana	agement	For	For		
1D.	ELECT B. HEN	TION OF DIRECTOR: F VRY	PETER	Mana	agement	For	For		
1E.	ELECT B. HUN	TION OF DIRECTOR: F MER	FRANZ	Mana	agement	For	For		
1F.	ELECT J. JAM	TION OF DIRECTOR: F ES	RENEE	Mana	agement	For	For		

1G.	ELECTION OF DIRECTOR: EUGENE M. MCQUADE	Management	For	For
1H.	ELECTION OF DIRECTOR: MICHAEL E. O'NEILL	Management	For	For
1I.	ELECTION OF DIRECTOR: GARY M. REINER	Management	For	For
1J.	ELECTION OF DIRECTOR: ANTHONY M. SANTOMERO	Management	For	For
1K.	ELECTION OF DIRECTOR: DIANA L. TAYLOR	Management	For	For
1L.	ELECTION OF DIRECTOR: WILLIAM S. THOMPSON, JR.	Management	For	For
1 M .	ELECTION OF DIRECTOR: JAMES S. TURLEY	Management	For	For
1N.	ELECTION OF DIRECTOR: DEBORAH C. WRIGHT	Management	For	For
10.	ELECTION OF DIRECTOR: ERNESTO ZEDILLO PONCE DE LEON	Management	For	For
2.	PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS CITI'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.	Management	For	For
3.	ADVISORY VOTE TO APPROVE CITI'S 2016 EXECUTIVE COMPENSATION.	Management	For	For
4.	ADVISORY VOTE TO APPROVE THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION.	Management	3 Years	Against
5.	STOCKHOLDER PROPOSAL REQUESTING A REPORT ON THE COMPANY'S POLICIES AND GOALS TO REDUCE THE GENDER PAY GAP.	Shareholder	Against	For
6.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD APPOINT A STOCKHOLDER VALUE COMMITTEE TO ADDRESS WHETHER THE DIVESTITURE OF ALL NON-CORE BANKING BUSINESS SEGMENTS WOULD ENHANCE SHAREHOLDER VALUE.	Shareholder	Against	For
7.	STOCKHOLDER PROPOSAL	Shareholder	Against	For

REQUESTING A REPORT ON
LOBBYING AND GRASSROOTS
LOBBYING CONTRIBUTIONS.

STOCKHOLDER PROPOSAL
REQUESTING AN AMENDMENT
TO THE GENERAL CLAWBACK
POLICY TO PROVIDE THAT A
SUBSTANTIAL PORTION OF
ANNUAL TOTAL COMPENSATION
OF EXECUTIVE OFFICERS SHALL
BE DEFERRED AND FORFEITED,
IN PART OR WHOLE, AT THE
DISCRETION OF THE BOARD, TO
HELD SATISEY ANY MONETARY

Against For

DISCRETION OF THE BOARD, TO
HELP SATISFY ANY MONETARY
PENALTY ASSOCIATED WITH A
VIOLATION OF LAW.
STOCKHOLDER PROPOSAL
REQUESTING THAT THE BOARD
ADOPT A POLICY PROHIBITING

8.

9.

ADOPT A POLICY PROHIBITING
THE VESTING OF EQUITY-BASED
AWARDS FOR SENIOR
EXECUTIVES DUE TO A

VOLUNTARY RESIGNATION TO ENTER GOVERNMENT SERVICE.

Shareholder

Against For

Internal Custodian Shares Unavailable Vote Date Date Account Account Name Shares Number Confirmed **STATE STREET** 31,200 997GLQ **GLQ** 0 17-Mar-2017 26-Apr-2017 **TRUST** CO **STATE STREET** 10,000 997GLO **GLO** 0 17-Mar-2017 26-Apr-2017 **TRUST** CO **STATE STREET GLV BNP PLEDGE** 20,130 997AL45 57,800 17-Mar-2017 26-Apr-2017 **TRUST** CO 997A**S47**ATE 119 997AL47 GLQ BNP PLEDGE 100,40017-Mar-2017 26-Apr-2017 **STREET BANK**

			& TRUST CO			
		:	STATE STREET			
997AL	49	,	BANK 49 527 FRUST CO	238,90	0017-Mar-2017	26-Apr-2017
997AL:	53	GLO MS PLEDGE 997A	STATE STREET BANK 53 70,100 FRUST	0	17-Mar-2017	26-Apr-2017
			CO			
GALAI	PAGOS I	N V				
Security		36315X101		Meeting Type	Annual	
Ticker	Symbol	GLPG		Meeting Date	25-Apr-2017	
ISIN		US36315X1019		Agenda		Management
Record	Date	20-Mar-2017		Holding Recon Date	20-Mar-2017	,
City /	Country	y / United States		Vote Deadline Date	17-Apr-2017	
SEDOL	L(s)			Quick Code		
Item	Proposa	al	Proposed by	VATA	r/Against nnagement	
O2	APPRO CONSO ACCOO FOR THE ENDER AND A ALLOO RESUL BOARD	IUNICATION AND OVAL OF THE NON- OLIDATED ANNUAL UNTS OF THE COMPANY HE FINANCIAL YEAR O ON 31 DECEMBER 2016 APPROVAL OF THE CATION OF THE ANNUAL T AS PROPOSED BY THE D OF DIRECTORS.	Management	For		
O5	APPRO	IUNICATION AND OVAL OF THE NERATION REPORT.	Management	For		
O6	GRANT AND T FOR THEIR THE FI	ASE FROM LIABILITY TO BE TED TO THE DIRECTORS THE STATUTORY AUDITOR HE PERFORMANCE OF DUTIES IN THE COURSE OF INANCIAL YEAR ENDED 31 MBER 2016.	Management	For		

O7	RATIFICATION OF THE STATUTORY AUDITOR'S REMUNERATION FOR THE FINANCIAL YEAR ENDED ON 31 DECEMBER 2016.	Management	For		
O8	RE-APPOINTMENT OF STATUTORY AUDITOR AND DETERMINATION OF STATUTOR' AUDITOR'S REMUNERATION.	Management	For		
O9A	RE-APPOINTMENT OF MR. ONNO VAN DE STOLPE AS DIRECTOR O THE COMPANY.	F Management	For		
О9В	RE-APPOINTMENT OF DR. RAJ PAREKH AS DIRECTOR OF THE COMPANY.	Management	For		
O9C	RE-APPOINTMENT OF MS. KATRINE BOSLEY AS INDEPENDENT DIRECTOR OF THE COMPANY.	E Management	For		
O10	REMUNERATION OF DIRECTORS	Management	For		
O11	OFFER OF WARRANTS.	Management	For		
O12	APPLICATION OF ARTICLE 556 OF THE BELGIAN COMPANIES CODE	Management	For		
E2	AUTHORIZATION TO THE BOARD OF DIRECTORS TO INCREASE THE SHARE CAPITAL WITHIN THE FRAMEWORK OF THE AUTHORIZED CAPITAL BY UP TO 20% OF THE SHARE CAPITAL.	E Management	For		
E3	AUTHORIZATION TO THE BOARD OF DIRECTORS TO INCREASE THE SHARE CAPITAL WITHIN THE FRAMEWORK OF THE AUTHORIZED CAPITAL BY UP TO 33% OF THE SHARE CAPITAL, IN SPECIFIC CIRCUMSTANCES.	E Management	For		
Accoun Number	Account Name Acco	Custodian Int Shares		Unavailable Shares Vote Date	Date Confirmed
997GL0	Q GLQ 997G	STATE STREET BANK Q 26,100 TRUST CO		0 30-Mar-2017	19-Apr-2017

BANK OF AMERICA CORPORATION

Security		060505104			Meeting Ty	•	Annual
Ticker S	Symbol	BAC			Meeting Da	ate	26-Apr-2017
ISIN		US0605051046			Agenda		934543453 - Management
Record	Date	02-Mar-2017			Holding Re Date	econ	02-Mar-2017
City /	Country	,	United States		Vote Deadl Date	line	25-Apr-2017
SEDOL	$\iota(s)$				Quick Code	e	
Item	Proposa	ıl		Proposed by	Vote		gainst gement
1A.		TION OF DIRECTO ON L. ALLEN	R:	Management	For	For	
1B.	ELECT S. BIES	TION OF DIRECTO	R: SUSAN	Management	For	For	
1C.		TION OF DIRECTO NDER, JR.	R: JACK O.	Management	For	For	
1D.		ION OF DIRECTO MBLE, SR.	R: FRANK	Management	For	For	
1E.		ION OF DIRECTO WECK	R: PIERRE	Management	For	For	
1F.		TON OF DIRECTO LD W. DONALD	R:	Management	For	For	
1G.	ELECT P. HUD	ION OF DIRECTO SON	R: LINDA	Management	For	For	
1H.	ELECT C. LOZ	ION OF DIRECTO ANO	R: MONICA	Management	For	For	
1I.		ION OF DIRECTO AS J. MAY	R:	Management	For	For	
1J.		ION OF DIRECTO YNIHAN	R: BRIAN	Management	For	For	
1K.		TION OF DIRECTO VELL, III	R: LIONEL	Management	For	For	
1L.		TION OF DIRECTO AEL D. WHITE	R:	Management	For	For	
1M.		TON OF DIRECTO AS D. WOODS	R:	Management	For	For	
1N.		TION OF DIRECTO YOST	R: R.	Management	For	For	
2.	COMPI NON-B	VING OUR EXECUENSATION (AN AI INDING "SAY ON UTION)	OVISORY,	Management	For	For	
3.				Management	3 Years	Again	ast

	A VOTE ON THE FREQUENCY OF FUTURE ADVISORY "SAY ON PAY" RESOLUTIONS (AN ADVISORY, NON-BINDING "SAY ON FREQUENCY" RESOLUTION)			
4.	RATIFYING THE APPOINTMENT OF OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017	Management	For	For
5.	STOCKHOLDER PROPOSAL - CLAWBACK AMENDMENT	Shareholder	Against	For
6.	STOCKHOLDER PROPOSAL - DIVESTITURE & DIVISION STUDY SESSIONS	Shareholder	Against	For
7.	STOCKHOLDER PROPOSAL - INDEPENDENT BOARD CHAIRMAN	Shareholder	Against	For
8.	STOCKHOLDER PROPOSAL - REPORT CONCERNING GENDER PAY EQUITY	Shareholder	Against	For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Shares Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997G V 24,500 TRUST CO	0 16-Mar-2017	27-Apr-2017
997GLQ	GLQ	STATE STREET 997G & 38,400 TRUST CO	0 16-Mar-2017	27-Apr-2017
997GLO	GLO	STATE STREET 997G&O 131,800 TRUST CO	0 16-Mar-2017	27-Apr-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL45 191 TRUST CO	148,40016-Mar-2017	27-Apr-2017

997AL4	17	GLQ BNP PLEDO	S SE 997A T	TATE TREET ANK 47 1 RUST	05	26	51,4001	6-Mar-2017	27-Apr-2017
997AL4	1 9	GLO BNP PLEDO	S SE 997A T	TATE TREET ANK 1 TRUST	10	58	80,0001	6-Mar-2017	27-Apr-2017
EOG RI	ESOUR	CES, INC.							
Security	7	26875P101				Meeting Ty	ype	Annual	
Ticker S	Symbol	EOG				Meeting Da	ate	27-Apr-2017	
ISIN		US26875P1012				Agenda		934538476 - 1	Management
Record	Date	27-Feb-2017				Holding Re Date	econ	27-Feb-2017	
City /	Country	y	/ United States			Vote Dead Date	line	26-Apr-2017	
SEDOL	(s)					Quick Cod	e		
Item	Proposa	al		Propose by	ed	Vote	For/A Mana	gainst gement	
1A.	ELECT F. CLA	TION OF DIRECTO RK	R: JANET	Manage	ement	For	For		
1B.		TION OF DIRECTO LES R. CRISP	R:	Manage	ement	For	For		
1C.	ELECT P. DAN	TION OF DIRECTO VIELS	R: ROBERT	Manage	ement	For	For		
1D.	ELECT C. DAY	TION OF DIRECTO	R: JAMES	Manage	ement	For	For		
1E.		TION OF DIRECTO LD F. TEXTOR	R:	Manage	ement	For	For		
1F.		TION OF DIRECTO AM R. THOMAS	R:	Manage	ement	For	For		
1G.	ELECT G. WIS	TION OF DIRECTO NER	R: FRANK	Manage	ement	For	For		
2.	BY TH THE BO DELOI INDEP PUBLIO	TIFY THE APPOINE AUDIT COMMITO OARD OF DIRECTO THE & TOUCHE LENDENT REGISTIC ACCOUNTING ITORS FOR THE CO	TTEE OF ORS OF LP, ERED FIRM, AS	Manage	ement	For	For		

FOR THE YEAR ENDING DECEMBER 31, 2017. TO APPROVE AN AMENDMENT OF THE COMPANY'S RESTATED **CERTIFICATE OF** INCORPORATION TO INCREASE 3. Management For For THE NUMBER OF AUTHORIZED SHARES OF COMMON STOCK FROM 640 MILLION TO 1.28 BILLION. TO APPROVE, BY NON-BINDING VOTE, THE COMPENSATION OF 4. Management For For THE COMPANY'S NAMED EXECUTIVE OFFICERS. TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF HOLDING 5. ADVISORY VOTES ON THE Management 3 Years Against COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Shares Vote Date	Date Confirmed
997GLV	GLV	STATE STREET $997G_{\&}^{BANK}$ 5,700 TRUST CO	0 18-Mar-2017	28-Apr-2017
997GLQ	GLQ	STATE STREET 997 G_{∞}^{BANK} 7,100 TRUST CO	0 18-Mar-2017	28-Apr-2017
997GLO	GLO	STATE STREET 997 G_{∞}^{BANK} 17,800 TRUST CO	0 18-Mar-2017	28-Apr-2017
997AL45	GLV BNP PLEDGE	997AKAKATE 600 STREET BANK & TRUST	23,500 18-Mar-2017	28-Apr-2017

		Lagarriii	rig. Olou	gri aic	Dai Equity	Turiu Tur	111 IN 1	X	
			C	O					
			S'	TATE TREE	Γ				
997AL	47	GLQ BNP PLEDGE	997AL	ANK 47	1,900	25	9,900	18-Mar-2017	28-Apr-2017
		TF		RUST					
			S	TATE					
997AL49		GLO BNP PLEDGE	997A 8	TREET ANK	Γ 500	79	9 5 00 °	18_Mar_2017	28-Apr-2017
))/AL	1)	GLO BIVI TELEBOL		T RUST	300	79,500 18-Mar-2017 28-Apr-2			20-Apr-2017
			C	O					
PFIZE									
Security	•	717081103				Meeting T	• •	Annual	
	Symbol	PFE				Meeting D	ate	27-Apr-2017	
ISIN		US7170811035				Agenda		934540798 -	Management
Record	Date	28-Feb-2017				Holding R Date	econ	28-Feb-2017	
City /	Country	u /	Jnited States			Vote Dead Date	line	26-Apr-2017	
SEDOI	L(s)					Quick Cod	le		
Item	Proposa	al		Propo by	osed	Vote		Against agement	
1A.		TION OF DIRECTOR: I	DENNIS	Mana	agement	For	For		
1B.		TION OF DIRECTOR: LD E. BLAYLOCK		Mana	agement	For	For		
1C.	ELECT CORN	TION OF DIRECTOR: 'WELL	W. DON	Mana	agement	For	For		
1D.		TION OF DIRECTOR: . EVARRIA	IOSEPH	Mana	agement	For	For		
1E.		TION OF DIRECTOR: CES D. FERGUSSON		Mana	agement	For	For		
1F.	ELECT H. HOI	TION OF DIRECTOR: 1 BBS	HELEN	Mana	ngement	For	For		
1G.	ELECT M. KIL	TION OF DIRECTOR: . TS	JAMES	Mana	ngement	For	For		
1H.		TION OF DIRECTOR: ΓΑΝU NARAYEN		Mana	ngement	For	For		
1I.		TION OF DIRECTOR: NNE NORA JOHNSON	I	Mana	agement	For	For		
1J.	ELECT	TION OF DIRECTOR:	IAN C.	Mana	ngement	For	For		

	READ					
1K.	ELECTION OF DIRECTOR: STEPHEN W. SANGER		Management	For	For	
1L.	ELECTION OF DIRECTOR: J. C. SMITH	AMES	Management	For	For	
2.	RATIFY THE SELECTION OF LLP AS INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 20		Management	For	For	
3.	ADVISORY APPROVAL OF EXECUTIVE COMPENSATION	ON	Management	For	For	
4.	ADVISORY VOTE ON THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXEC COMPENSATION	CUTIVE	Management	3 Years	Against	
5.	SHAREHOLDER PROPOSAL REGARDING THE HOLY LA PRINCIPLES		Shareholder	Against	For	
6.	SHAREHOLDER PROPOSAL REGARDING SPECIAL SHAREOWNER MEETINGS	,	Shareholder	Against	For	
7.	SHAREHOLDER PROPOSAL REGARDING INDEPENDENT CHAIR POLICY		Shareholder	Against	For	
Accoun Number	Account Name		Ballot ustodian t Shares TATE		Unavailable Vote Date Shares	Date Confirmed
997GL	V GLV	997GL	RUST		0 17-Mar-2017	28-Apr-2017
997AL-	45 GLV BNP PLEDGE	997AL	RUST		43,500 17-Mar-2017	28-Apr-2017
997AL4	F7 GLQ BNP PLEDGE	997AL	RUST		81,500 17-Mar-2017	28-Apr-2017

997AL4	49	GLO BNP PLEDGE 997	STATE STREET BANK 149 100 TRUST CO	ı	1.	57,100	17-Mar-2017	28-Apr-2017
997AL0	60	GLV MS PLEDGE 997	STATE STREET BANK 12,0 TRUST CO	000	0		17-Mar-2017	28-Apr-2017
AKORI	N, INC.							
Security	y	009728106			Meeting T	ype	Annual	
Ticker S	Symbol	AKRX			Meeting D	ate	27-Apr-2017	
ISIN		US0097281069			Agenda		934560308 -	Management
Record	Date	13-Mar-2017			Holding R Date	econ	13-Mar-2017	
City /	Count	ry / United States			Vote Dead Date	lline	26-Apr-2017	
SEDOL(s)					Quick Cod	le		
Item	Propos	sal	Proposed by		Vote		Against agement	
1.	DIREC	CTOR	Managem	ent				
	1	JOHN KAPOOR, PHD			For	For		
	2	KENNETH ABRAMOWITZ			For	For		
	3	ADRIENNE GRAVES, PHD			For	For		
	4	RONALD JOHNSON			For	For		
	5	STEVEN MEYER			For	For		
	6	TERRY ALLISON RAPPUHN	1		For	For		
	7	BRIAN TAMBI			For	For		
	8	ALAN WEINSTEIN			For	For		
2.	APPO AS TH INDE PUBL	OSAL TO RATIFY THE INTMENT OF BDO USA, LL IE COMPANY'S PENDENT REGISTERED IC ACCOUNTING FIRM FOR YEAR ENDING DECEMBER	Managemo	ent	For	For		
3.	OMNI	OSAL TO APPROVE THE 20 BUS INCENTIVE PENSATION PLAN.	17 Managem	ent	For	For		
4.			Manageme	ent	3 Years	Agai	nst	

PROPOSAL TO APPROVE,
THROUGH A NON- BINDING
ADVISORY VOTE, THE
FREQUENCY OF FUTURE
NON-BINDING ADVISORY VOTES
ON THE COMPANY'S EXECUTIVE
COMPENSATION PROGRAMS.
PROPOSAL TO APPROVE

PROPOSAL TO APPROVE, THROUGH A NON- BINDING ADVISORY VOTE, THE

5. COMPANY'S EXECUTIVE COMPENSATION PROGRAM AS DESCRIBED IN THE COMPANY'S 2017 PROXY STATEMENT. Management For For

Account Number	Account Name	Internal Custodia Account	Ballot Shares	Unava Shares	ilable Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997G _{&} Q TRUST CO	39,000	0	28-Mar-2017	28-Apr-2017
997GLO	GLO	STATE STREET 997GLO TRUST CO	96,700	0	28-Mar-2017	28-Apr-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 TRUST CO	38,600	0	28-Mar-2017	28-Apr-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997A & 49 TRUST CO	54,500	0	28-Mar-2017	28-Apr-2017
997AL53	GLO MS PLEDGE	STATE STREET BANK 997AL53 TRUST CO	20,700	0	28-Mar-2017	28-Apr-2017

BRISTOL-MYERS SQUIBB COMPANY

		ERS SQUIBB COM	PANY				
Security	y	110122108			Meeting T	- 1	Annual
Ticker S	Symbol	BMY			Meeting D	ate	02-May-2017
ISIN		US1101221083			Agenda		934547538 - Management
Record	Date	14-Mar-2017			Holding R Date	econ	14-Mar-2017
City /	Country	y	/ United States		Vote Dead Date	lline	01-May-2017
SEDOL	$\mathcal{L}(\mathbf{s})$				Quick Cod	le	
Item	Proposa	al		Proposed by	Vote		Against agement
1A.	ELECT ARDU	TION OF DIRECTO INI	P. J.	Management	For	For	
1B.	ELECT BERTO	TION OF DIRECTO DLINI)R: R. J.	Management	For	For	
1C.		TION OF DIRECTO RIO, M.D.	PR: G.	Management	For	For	
1D.	ELECT EMME	TION OF DIRECTO NS	OR: M. W.	Management	For	For	
1E.		TION OF DIRECTO CHER, M.D.	OR: L. H.	Management	For	For	
1F.	ELECT GROBS	TION OF DIRECTO STEIN	OR: M.	Management	For	For	
1G.	ELECT LACY	TION OF DIRECTO	OR: A. J.	Management	For	For	
1H.	ELECT PALIW	TION OF DIRECTO AL	OR: D. C.	Management	For	For	
1I.	ELECT SAMU	TON OF DIRECTO ELS	OR: T. R.	Management	For	For	
1J.	ELECT STORO	TION OF DIRECTO	R: G. L.	Management	For	For	
1K.	ELECT SATO,	TON OF DIRECTO PH.D.	OR: V. L.	Management	For	For	
2.	THE C	ORY VOTE TO AI OMPENSATION C D EXECUTIVE OF	OF OUR	Management	For	For	
3.	FREQU VOTE COMP	ORY VOTE ON TI JENCY OF THE AI TO APPROVE THI ENSATION OF OU JTIVE OFFICERS.	DVISORY E JR NAMED	Management	3 Years	Agair	nst
4.		PROVAL OF THE RIALS TERMS OF	THE	Management	For	For	

PERFORMANCE-BASED AWARDS **UNDER THE COMPANY'S 2012** STOCK AWARD AND INCENTIVE PLAN (AS AMENDED). APPROVAL OF AN AMENDMENT 5. Management TO THE COMPANY'S 2012 STOCK For For AWARD AND INCENTIVE PLAN. RATIFICATION OF THE APPOINTMENT OF INDEPENDENT Management 6. For For REGISTERED PUBLIC ACCOUNTING FIRM. SHAREHOLDER PROPOSAL TO LOWER THE SHARE OWNERSHIP Shareholder 7. Against For THRESHOLD TO CALL SPECIAL SHAREHOLDER MEETINGS.

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Vote Date Shares	Date Confirmed
997GLV	GLV	STATE STREET 997GLV 9,200 TRUST CO	0 24-Mar-2017	03-May-2017
997GLQ	GLQ	STATE STREET 997G Q 24,200 TRUST CO	0 24-Mar-2017	03-May-2017
997GLO	GLO	STATE STREET 997G&O 49,700 TRUST CO	0 24-Mar-2017	03-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 187 TRUST CO	23,100 24-Mar-2017	03-May-2017
997AL47	GLQ BNP PLEDGE	997A S 4ATE 46,616 STREET BANK & TRUST	40,000 24-Mar-2017	03-May-2017

			C S	О ГАТЕ					
997AL	49	GLO BNP PLEDGE	997AL	FREET ANK 19 RUST	39,400	0		24-Mar-2017	03-May-2017
997AL:	53	GLO MS PLEDGE	S7 S7 997A	ΓΑΤΕ ΓREET ANK S3 RUST	29,000	0		24-Mar-2017	03-May-2017
997AL0	60	GLV MS PLEDGE	S7 S7 997AL	ΓΑΤΕ ΓREET ANK 50 RUST	900	0		24-Mar-2017	03-May-2017
MTGE	MTGE INVESTMENT CORP.								
Security	y	55378A105				Meeting T	ype	Annual	
Ticker	Symbol	MTGE				Meeting D	ate	02-May-201	7
ISIN		US55378A1051				Agenda		934555472 -	Management
Record	Date	08-Mar-2017				Holding Ro	econ	08-Mar-2017	7
City /	Country	v /	Jnited tates			Vote Dead Date	line	01-May-201	7
SEDOL	$\mathcal{L}(\mathbf{s})$					Quick Code			
Item	Proposa	al		Propo by	sed	Vote		/Against nagement	
1A.	ELECT KAIN	TION OF DIRECTOR: (GARY D.	Mana	gement	For	For		
1B.		TION OF DIRECTOR: S RAHAMS	STEVEN	Mana	gement	For	For		
1C.	ELECT CORO	TION OF DIRECTOR: J NADO	IULIA L.	Mana	gement	For	For		
1D.	ELECT M. CO	TION OF DIRECTOR: I UCH	ROBERT	Mana	gement	For	For		
1E.	ELECT E.DOB	TION OF DIRECTOR: I BS	RANDY	Mana	gement	For	For		
2.	THE C	ORY VOTE TO APPR OMPENSATION OF O D EXECUTIVE OFFIC	UR	Mana	gement	For	For		
3.				Mana	gement	3 Years	Aga	ainst	

ADVISORY VOTE TO SELECT THE FREQUENCY OF FUTURE ADVISORY VOTES ON THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.

RATIFICATION OF APPOINTMENT

OF ERNST & YOUNG LLP AS OUR 4. INDEPENDENT PUBLIC

ACCOUNTANT FOR THE YEAR ENDING DECEMBER 31, 2017.

Management For For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Vote Date Shares	Date Confirmed
997GLV	GLV	STATE STREET 997GLV 25,100 TRUST CO	0 24-Mar-2017	03-May-2017
997GLQ	GLQ	STATE STREET 997GLON 99,700 TRUST CO	0 24-Mar-2017	03-May-2017
997GLO	GLO	STATE STREET 997G&O 69,061 TRUST CO	0 24-Mar-2017	03-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997A 25 11,400 TRUST CO	27,000 24-Mar-2017	03-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 3,700 TRUST CO	57,700 24-Mar-2017	03-May-2017

CABLE ONE, INC.

Security 12685J105 Meeting Type Annual
Ticker Symbol CABO Meeting Date 02-May-2017

ISIN		US12685J1051				Agenda		934571084 -	Management	
Record	Date	10-Mar-2017				Holding R Date	Recon	10-Mar-2017	10-Mar-2017	
City /	Country	7	United States			Vote Dead Date	dline	01-May-2017	7	
SEDOL	L(s)					Quick Co	de			
Item	Proposa	1		Proposed by	d	Vote		Against agement		
1A.	ELECT SPOON	TION OF DIRECTOI	R: ALAN G.	Manager	ment	For	For			
1B.		ION OF DIRECTOI ACE R. WEITZ	₹:	Managei	ment	For	For			
2.	PRICEVAS THI REGIST ACCOU	TIFY THE APPOIN WATERHOUSECO E INDEPENDENT FERED PUBLIC JNTING FIRM OF ' ANY FOR THE FISO G DECEMBER 31,	OPERS LLP THE CAL YEAR	Managei	ment	For	For			
3.	COMPI EXECU	PROVE THE ENSATION OF OUI JTIVE OFFICERS F ADVISORY BASIS	OR 2016	Managei	ment	For	For			
4.	FUTUR EXECU	LECT THE FREQUI RE ADVISORY VO UTIVE COMPENSA VISORY BASIS	TES ON	Manager	ment	3 Years	Agai	nst		
5.	RESTA OMNIE	PROVE THE AMEN TED CABLE ONE, BUS INCENTIVE ENSATION PLAN		Manager	ment	For	For			
Accoun Numbe	Δ	Account Name	S	l ustodian Sl TATE TREET	allot nares	U S	Jnavail Shares	able Vote Date	Date Confirmed	
997GL	Q	GLQ	997GL T		700	C) (04-Apr-2017	03-May-2017	
997GL	О	GLO	997GB S' B &	O ATE 4, TREET ANK	508	C) (04-Apr-2017	03-May-2017	

CO STATE

997AL60 1,100 03-May-2017 997AL60 GLV MS PLEDGE 0 04-Apr-2017 **TRUST**

CO

EZ	/ED	COI	JRCE	FEN	ED	CV
-	/ CK	SOL	JKUI		CK	UII

Security Meeting Type 30040W108 Annual Meeting Date Ticker Symbol ES 03-May-2017

STREET

ISIN Agenda US30040W1080 934545558 - Management

Holding Recon Record Date 06-Mar-2017

Date

06-Mar-2017

Vote Deadline United City / Country States

Date

02-May-2017

SEDOL(s) Quick Code

SEDOL	(S)		Quick Code	
Item	Proposal	Proposed by	Vote	For/Against Management
01	ELECTION OF DIRECTOR: JOHN S. CLARKESON	Management	For	For
02	ELECTION OF DIRECTOR: COTTON M. CLEVELAND	Management	For	For
03	ELECTION OF DIRECTOR: SANFORD CLOUD, JR.	Management	For	For
04	ELECTION OF DIRECTOR: JAMES S. DISTASIO	Management	For	For
05	ELECTION OF DIRECTOR: FRANCIS A. DOYLE	Management	For	For
06	ELECTION OF DIRECTOR: CHARLES K. GIFFORD	Management	For	For
07	ELECTION OF DIRECTOR: JAMES J. JUDGE	Management	For	For
08	ELECTION OF DIRECTOR: PAUL A. LA CAMERA	Management	For	For
09	ELECTION OF DIRECTOR: KENNETH R. LEIBLER	Management	For	For
10	ELECTION OF DIRECTOR: WILLIAM C. VAN FAASEN	Management	For	For
11	ELECTION OF DIRECTOR: FREDERICA M. WILLIAMS	Management	For	For
12	ELECTION OF DIRECTOR: DENNIS R. WRAASE	Management	For	For
2.		Management	For	For

	APPROVE PROPOSED AMENDMENT TO THE COMPANY'S DECLARATION OF TRUST TO INCLUDE A PROXY ACCESS PROVISION.			
3.	CONSIDER AN ADVISORY PROPOSAL APPROVING THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	For	For
4.	CONSIDER AN ADVISORY PROPOSAL ON THE FREQUENCY OF FUTURE ADVISORY PROPOSALS ON EXECUTIVE COMPENSATION.	Management	3 Years	Against
5.	RE-APPROVE THE MATERIAL TERMS OF THE PERFORMANCE GOALS UNDER THE 2009 EVERSOURCE INCENTIVE PLAN AS REQUIRED BY SECTION 162(M) OF THE INTERNAL REVENUE CODE.	Management	For	For
6.	RATIFY THE SELECTION OF DELOITTE & TOUCHE LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.	Management	For	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Date Date Confirmed				
997GLQ	GLQ	STATE STREET 997G & TRUST CO	48,800	0 25-Ma	ar-2017 04-May-2017				
PHYSICIANS REALTY TRUST									
Security	71943U104		Meeting	Type Ann	nual				
Ticker Symbo	1 DOC		Meeting	Date 03-1	May-2017				
ISIN	US71943U1043		Agenda	934	549429 - Management				
Record Date	01-Mar-2017		Holding Date	Recon 01-I	Mar-2017				
City / Coun	try	/ United States	Vote Dea Date	adline 02-1	May-2017				
SEDOL(s)			Quick Co	ode					
Item Propo	osal		Vote						

				Proposed by				Against agement	
1.	DIRE	CTOR		Manageme	ent				
	1	JOHN T. THOMAS				For	For		
	2	TOMMY G. THOMPSO	N			For	For		
	3	STANTON D. ANDERS	SON			For	For		
	4	MARK A. BAUMGART	ΓNER			For	For		
	5	ALBERT C. BLACK, JF	₹.			For	For		
	6	WILLIAM A. EBINGER	R, M.D			For	For		
	7	RICHARD A. WEISS				For	For		
2.	ERNS COM REGI ACCO	ATIFY THE APPOINTM ST & YOUNG LLP AS T PANY'S INDEPENDEN' STERED PUBLIC DUNTING FIRM FOR TI AL YEAR ENDING DEC 017.	ΗΕ Γ ΗΕ	Manageme	ent	For	For		
3.	TO A BASI THE C EXEC DISC ACCC	PPROVE, ON AN ADVIS, THE COMPENSATION COMPANY'S NAMED CUTIVE OFFICERS, AS LOSED IN THE DMPANYING PROXY EMENT.		Managemo	ent	For	For		
Accour Numbe		Account Name	S	l ustodian Sha TATE	lot ires		Unavail Shares	able Vote Date	Date Confirmed
997GL	.Q	GLQ	997GL X T	TREET ANK 47,2 RUST	200		0	24-Mar-2017	04-May-2017
997AL	.47	GLQ BNP PLEDGE	S 997A T	TATE TREET ANK 47 100 RUST)		88,700	24-Mar-2017	04-May-2017
PULTI	EGROU	P, INC.							
Securit	ty	745867101				Meeting	Type	Annual	
Ticker	Symbol	PHM				Meeting	Date	03-May-2017	7
ISIN		US7458671010				Agenda		934549758 -	Management
Record	l Date	10-Mar-2017						10-Mar-2017	,

			Holding Re Date	econ	
City /	Country / United States		Vote Dead Date	02-May-2017	
SEDOL	L(S)		Quick Code		
Item	Proposal	Proposed by	Vote	For/Against Management	
1A.	ELECTION OF DIRECTOR: BRIAN P. ANDERSON	Management	For	For	
1B.	ELECTION OF DIRECTOR: BRYCE BLAIR	Management	For	For	
1C.	ELECTION OF DIRECTOR: RICHARD W. DREILING	Management	For	For	
1D.	ELECTION OF DIRECTOR: THOMAS J. FOLLIARD	Management	For	For	
1E.	ELECTION OF DIRECTOR: JOSHUA GOTBAUM	Management	For	For	
1F.	ELECTION OF DIRECTOR: CHERYL W. GRISE	Management	For	For	
1G.	ELECTION OF DIRECTOR: ANDRE J. HAWAUX	Management	For	For	
1H.	ELECTION OF DIRECTOR: RYAN R. MARSHALL	Management	For	For	
1I.	ELECTION OF DIRECTOR: PATRICK J. O'LEARY	Management	For	For	
1J.	ELECTION OF DIRECTOR: JOHN R. PESHKIN	Management	For	For	
1K.	ELECTION OF DIRECTOR: SCOTT F. POWERS	Management	For	For	
1L.	ELECTION OF DIRECTOR: WILLIAM J. PULTE	Management	For	For	
2.	THE RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management	For	For	
3.	AN ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION.	Management	For	For	
4.	AN ADVISORY VOTE TO APPROVE THE FREQUENCY OF THE ADVISORY VOTE REGARDING EXECUTIVE COMPENSATION.	Management	3 Years	Against	

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Shares Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997 $G_{\&}^{BANK}$ 16,700 TRUST CO	0 29-Mar-2017	04-May-2017
997GLQ	GLQ	STATE STREET 997GkQ 31,500 TRUST CO	0 29-Mar-2017	04-May-2017
997GLO	GLO	STATE STREET 997G & 80,000 TRUST CO	0 29-Mar-2017	04-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997A _{&} 45 100 TRUST CO	50,200 29-Mar-2017	04-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997A 47 100 TRUST CO	120,30029-Mar-2017	04-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997A ANK 100 TRUST CO	253,50@9-Mar-2017	04-May-2017
997AL60	GLV MS PLEDGE	STATE STREET 997A _{&} 60 15,000 TRUST CO	0 29-Mar-2017	04-May-2017
DIALOG SEN	MICONDUCTOR PLC, LO	ONDON		
Security	G5821P111		Meeting Type Annual Gene	_
Ticker Symbo	ol .		Meeting Date 04-May-201	7

Edgar Filing: Clough Global Equity Fund - Form N-PX								
ISIN		GB0059822006			Agenda		707978675 - Management	
Record	Date				Holding Re Date	econ	02-May-2017	
City /	Country	LONDON /	United Kingdom		Vote Deadl Date	ine	18-Apr-2017	
5982200 - 5982590 - SEDOL(s) B28ZZL6 - B2QFBR6 - BHZLDS5			Quick Code	e				
Item	Proposal		Proposed by	Vote	For/A Manag	gainst gement		
1	ACCEPT FINANCIAL STATEMENTS AND STATUTORY REPORTS			Management	For	For		
2	APPRO REPOR	VE REMUNERATION	ON	Management	For	For		
3	REAPP AUDIT	OINT DELOITTE LI ORS	LP AS	Management	For	For		
4		ORISE BOARD TO F NERATION OF AUI		Management	For	For		
5	RE-ELI DIREC	ECT EAMONN O'H <i>A</i> TOR	ARE AS	Management	For	For		
6		ECT CHRISTOPHER ECTOR	R BURKE	Management	For	For		
7	RE-ELI DIREC	ECT AIDAN HUGHI TOR	ES AS	Management	For	For		
8	ELECT	MARY CHAN AS I	DIRECTOR	Management	For	For		
9	ELECT DIREC	NICHOLAS JEFFEI TOR	RY AS	Management	For	For		
10		ORISE ISSUE OF EQ PRE-EMPTIVE RIGH	-	Management	For	For		
11	WITH I	ORISE ISSUE OF EQ PRE-EMPTIVE RIGH ECTION WITH A RI	HTS IN	Management	For	For		
12		ORISE ISSUE OF EQ OUT PRE- EMPTIVE	-	Management	For	For		
13	WITHO IN CON ACQUI	ORISE ISSUE OF EQ OUT PRE- EMPTIVE INECTION WITH A SITION OR OTHER IMENT	RIGHTS N	Management	For	For		
					_	_		

Management

For

For

14

AUTHORISE THE COMPANY TO

ENTER INTO A CONTINGENT FORWARD SHARE PURCHASE

	CONTI BANK	RACT WITH BARC PLC	CLAYS						
15	ENTER FORW. CONTI	ORISE THE COMP. INTO A CONTING ARD SHARE PURC RACT WITH GOLD INTERNATIONA	GENT CHASE OMAN	Management		For	For		
16	ENTER FORW.	ORISE THE COMP. INTO A CONTING ARD SHARE PURC RACT WITH HSBC	GENT CHASE	Management		For	For		
17	ENTER FORW. CONTI	ORISE THE COMP. INTO A CONTING ARD SHARE PURC RACT WITH MERF INTERNATIONA	GENT CHASE RILL	Management		For	For		
18	CALL	ORISE THE COMP. GENERAL MEETII VEEKS' NOTICE		Management		For	For		
Account Number	Δ	Account Name	Inter	nal Ballot Custodian Shares	3		Unavai Shares	lable Vote Date	Date Confirmed
GLQ		GLQ		STATE STREET 62,318 BANK			0	14-Apr-2017	21-Apr-2017
GLO		GLO		STATE STREET 154,93 BANK	34		0	14-Apr-2017	21-Apr-2017
DUKE I	ENERG'	Y CORPORATION							
Security	7	26441C204				Meeting	Type	Annual	
Ticker S	Symbol	DUK				Meeting	Date	04-May-2017	,
ISIN		US26441C2044				Agenda		934544102 -	Management
Record	Date	06-Mar-2017				Holding Date	Recon	06-Mar-2017	
City /	Country	1	/ United States			Vote Dea Date	adline	03-May-2017	,
SEDOL	(s)					Quick Co	ode		
Item	Proposa	1		Proposed by		Vote		'Against nagement	
1.	DIREC	TOR		Management					
	1 N	/ICHAEL J. ANGE	LAKIS			For	For		

For

For

2 MICHAEL G. BROWNING

	3 THEODORE F. CRAVER, JR.		For	For
	4 DANIEL R. DIMICCO		For	For
	5 JOHN H. FORSGREN		For	For
	6 LYNN J. GOOD		For	For
	7 JOHN T. HERRON		For	For
	8 JAMES B. HYLER, JR.		For	For
	9 WILLIAM E. KENNARD		For	For
	10 E. MARIE MCKEE		For	For
	11 CHARLES W. MOORMAN IV		For	For
	12 CARLOS A. SALADRIGAS		For	For
	13 THOMAS E. SKAINS		For	For
	14 WILLIAM E. WEBSTER, JR.		For	For
2.	RATIFICATION OF DELOITTE & TOUCHE LLP AS DUKE ENERGY CORPORATION'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017	Management	For	For
3.	ADVISORY VOTE TO APPROVE DUKE ENERGY CORPORATION'S NAMED EXECUTIVE OFFICER COMPENSATION	Management	For	For
4.	ADVISORY VOTE ON THE FREQUENCY OF THE VOTE ON EXECUTIVE COMPENSATION	Management	3 Years	Against
5.	AMENDMENT TO THE AMENDED AND RESTATED CERTIFICATE OF INCORPORATION OF DUKE ENERGY CORPORATION TO ELIMINATE SUPERMAJORITY VOTING REQUIREMENTS	Management	For	For
6.	SHAREHOLDER PROPOSAL REGARDING PROVIDING AN ANNUAL REPORT ON DUKE ENERGY'S LOBBYING EXPENSES	Shareholder	Against	For
7.	SHAREHOLDER PROPOSAL REGARDING PREPARING AN ASSESSMENT OF THE IMPACTS ON DUKE ENERGY'S PORTFOLIO OF CLIMATE CHANGE CONSISTENT WITH A TWO DEGREE SCENARIO	Shareholder	Against	For
8.	SHAREHOLDER PROPOSAL REGARDING PROVIDING A REPORT ON THE PUBLIC HEALTH	Shareholder	Against	For

RISKS OF DUKE ENERGY'S COAL USE

Accour Numbe		Account Name	Interna Accour	l Custodia it	Ballot Shares	1	Unavai Shares	lable Vote Date	Date Confirmed
997GL	Q	GLQ	S S 997G T	TATE TREET		(0	24-Mar-2017	05-May-2017
STARV	WOOL	PROPERTY TRUST I							
Securit		85571B105				Meeting 7	Гуре	Annual	
Ticker	•	ol STWD				Meeting I		04-May-2017	,
ISIN		US85571B1052				Agenda		934571844 -	
Record	Date	17-Mar-2017				Holding I Date	Recon	17-Mar-2017	
City /	Cou	ntry /	United States			Vote Dea Date	dline	03-May-2017	,
SEDOI	L(s)					Quick Co	de		
Item	Prop	osal		Propo by	osed	Vote		Against nagement	
1.	DIR	ECTOR		Mana	igement				
	1	RICHARD D. BRON	SON			For	For		
	2	JEFFREY G. DISHNI	ER			For	For		
	3	CAMILLE J. DOUGL	LAS			For	For		
	4	SOLOMON J. KUMI	N			For	For		
	5	BARRY S. STERNLI				For	For		
	6	STRAUSS ZELNICK				For	For		
2.	BAS EXE DISC ACC	APPROVE, ON AN AD IS, THE COMPANY'S CUTIVE COMPENSA CLOSED IN THE COMPANYING PROXY TEMENT.	ΓΙΟΝ AS	Mana	gement	For	For		
3.	BAS ADV COM	APPROVE, ON AN AD IS, THE FREQUENCY ISORY VOTE ON THI IPANY'S EXECUTIVE IPENSATION.	OF THE E	Mana	gement	3 Years	Aga	iinst	
4.	PRO	APPROVE THE STARV PERTY TRUST, INC. 2 NAGER EQUITY PLAN	2017	Mana	gement	For	For		

Management

SUCCEEDS AND REPLACES THE STARWOOD PROPERTY TRUST, INC. MANAGER EQUITY PLAN, AS AMENDED, AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT.

TO APPROVE THE STARWOOD PROPERTY TRUST, INC. 2017 EQUITY PLAN, WHICH SUCCEEDS AND REPLACES THE STARWOOD PROPERTY TRUST, INC. EQUITY

5. PLAN, AS AMENDED, AND THE STARWOOD PROPERTY TRUST, INC. NON- EXECUTIVE DIRECTOR STOCK PLAN, AS AMENDED, AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT.

TO RATIFY THE AUDIT COMMITTEE'S APPOINTMENT OF DELOITTE & TOUCHE LLP AS THE

6. COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE CALENDAR YEAR ENDING DECEMBER 31, 2017.

Management For For

For

For

Account Number	Account Name	Internal Custodia Account	Ballot Shares	Unava Share	ailable Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GEV TRUST CO		0	04-Apr-2017	05-May-2017
997GLQ	GLQ	STATE STREET BANK 997GLQ TRUST CO	Γ 79,500	0	04-Apr-2017	05-May-2017
997GLO	GLO	STATE STREET 997GLO TRUST CO	Γ 90,586	0	04-Apr-2017	05-May-2017
997AL45	GLV BNP PLEDGE	997AL45	100	100,60	0004-Apr-2017	05-May-2017

	3		•		
		STATE STREET BANK & TRUST CO			
997AL47	GLQ BNP PLEDGE	STATE STREET BANK 997AL47 100 TRUST CO	205,80	004-Apr-2017	05-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 100 TRUST CO	499,90	004-Apr-2017	05-May-2017
997AL53	GLO MS PLEDGE	STATE STREET BANK 997AL 70,000 TRUST CO	0	04-Apr-2017	05-May-2017
997AL60	GLV MS PLEDGE	STATE STREET 997AL 60 45,000 TRUST CO	0	04-Apr-2017	05-May-2017
997AL61	GLQ MS PLEDGE	STATE STREET BANK 997AL61 15,000 TRUST CO	0	04-Apr-2017	05-May-2017
BOSTON SCIE	NTIFIC CORPORATION	ON			
Security	101137107		Meeting Type	Annual	
Ticker Symbol	BSX		Meeting Date	09-May-2017	1
ISIN	US1011371077		Agenda	934558543 -	Management
Record Date	15-Mar-2017		Holding Recon Date	15-Mar-2017	
City / Country	V /	United States	Vote Deadline Date	08-May-2017	7
SEDOL(s)			Quick Code		
Item Propos	al		Vote		

		Proposed by		For/Against Management
1A.	ELECTION OF DIRECTOR: NELDA J. CONNORS	Management	For	For
1B.	ELECTION OF DIRECTOR: CHARLES J. DOCKENDORFF	Management	For	For
1C.	ELECTION OF DIRECTOR: YOSHIAKI FUJIMORI	Management	For	For
1D.	ELECTION OF DIRECTOR: DONNA A. JAMES	Management	For	For
1E.	ELECTION OF DIRECTOR: EDWARD J. LUDWIG	Management	For	For
1F.	ELECTION OF DIRECTOR: STEPHEN P. MACMILLAN	Management	For	For
1G.	ELECTION OF DIRECTOR: MICHAEL F. MAHONEY	Management	For	For
1H.	ELECTION OF DIRECTOR: DAVID J. ROUX	Management	For	For
1I.	ELECTION OF DIRECTOR: JOHN E. SUNUNU	Management	For	For
1J.	ELECTION OF DIRECTOR: ELLEN M. ZANE	Management	For	For
2.	TO APPROVE, ON A NON-BINDING, ADVISORY BASIS, NAMED EXECUTIVE OFFICER COMPENSATION.	Management	For	For
3.	TO APPROVE, ON A NON-BINDING, ADVISORY BASIS, THE FREQUENCY OF FUTURE NAMED EXECUTIVE OFFICER COMPENSATION ADVISORY VOTES.	Management	3 Years	Against
4.	TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE 2017 FISCAL YEAR.	Management	For	For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Vote Date Shares	Date Confirmed
997GLQ	GLQ	997G EQ ATE 52,700 STREET BANK & TRUST	0 29-Mar-2017	10-May-2017

				0					
997GL	O	GLO	S' 997GL	RUST	99,900	0		29-Mar-2017	10-May-2017
997AL	47	GLQ BNP PLEDGE	S' S' 997A	TATE TREET ANK 17 RUST	100	7	4,700) 29-Mar-2017	10-May-2017
997AL:	53	GLO MS PLEDGE	S' 997AL	RUST	30,000	0		29-Mar-2017	10-May-2017
GILEA	D SCIEN	NCES, INC.							
Security	y	375558103				Meeting T	'ype	Annual	
Ticker	Symbol	GILD				Meeting D	ate	10-May-2017	1
ISIN		US3755581036				Agenda		934558810 -	Management
Record	Date	16-Mar-2017				Holding R Date	econ	16-Mar-2017	
City /	Countr		nited ates			Vote Dead Date	lline	09-May-2017	,
SEDOL	L(s)					Quick Cod	de		
Item	Propos	al		Propos by	sed	Vote		/Against nagement	
1A.		TION OF DIRECTOR: JON, PH.D.	OHN F.	Manag	gement	For	For		
1B.	ELECT A. KR	TION OF DIRECTOR: K AMER	ELLY	Manag	gement	For	For		
1C.	ELECT E. LOF	TION OF DIRECTOR: K TON	EVIN	Manag	gement	For	For		
1D.		TION OF DIRECTOR: JOIN, PH.D.	OHN C.	Manag	gement	For	For		
1E.		TION OF DIRECTOR: JO GAN, PH.D.	OHN F.	Manag	gement	For	For		
1F.		TION OF DIRECTOR: DLAS G. MOORE		Manag	gement	For	For		
1G.		TION OF DIRECTOR: ARD J. WHITLEY, M.D		Manag	gement	For	For		

1H.	ELECTION OF DIRECTOR: GAE. WILSON	AYLE Man	agement	For	For	
1I.	ELECTION OF DIRECTOR: PE WOLD-OLSEN	R Man	agement	For	For	
2.	RATIFICATION OF THE SELECTION OF INDEPENDEN REGISTERED PUBLIC ACCOUNTING FIRM.	VT Man	agement	For	For	
3.	RESTATEMENT OF THE GILE SCIENCES, INC. 2004 EQUITY INCENTIVE PLAN.		agement	For	For	
4.	ADVISORY VOTE TO APPROTHE COMPENSATION OF OUNAMED EXECUTIVE OFFICE	R Man	agement	For	For	
5.	ADVISORY VOTE AS TO THE FREQUENCY OF FUTURE ADVISORY SHAREHOLDER Y ON EXECUTIVE COMPENSAT	VOTES Man	agement	3 Years	Against	
6.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOA TAKE STEPS TO PERMIT STOCKHOLDER ACTION BY WRITTEN CONSENT.		eholder	Against	For	
7.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOADOPT A POLICY THAT THE CHAIRMAN OF THE BOARD OF DIRECTORS BE AN INDEPEN DIRECTOR.	OF Shar	eholder	Against	For	
Account Number	Account Name	Internal Custodi Account STATE		1	Unavailable Vote Date Shares]
997AL4	.5 GLV BNP PLEDGE	STREE BANK 997AL45		(6,200 29-Mar-2017	

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Shares Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL45 3,600 TRUST CO	6,200 29-Mar-2017	11-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 1,300 TRUST CO	19,900 29-Mar-2017	11-May-2017
997AL49	GLO BNP PLEDGE	997A S49 ATE 4,000 STREET	35,200 29-Mar-2017	11-May-2017

BANK & **TRUST** CO **STATE STREET GLQ MS PLEDGE** 3,700 0 11-May-2017 997AL61 29-Mar-2017 **TRUST** CO DOMINION RESOURCES, INC. Meeting Type Security 25746U109 Annual Ticker Symbol Meeting Date 10-May-2017 D **ISIN** US25746U1097 Agenda 934559038 - Management Holding Recon Record Date 03-Mar-2017 03-Mar-2017 Date United Vote Deadline 09-May-2017 City / Country States Date SEDOL(s) Quick Code **Proposed** For/Against Item **Proposal** Vote by Management **ELECTION OF DIRECTOR:** 1A. Management For For WILLIAM P. BARR **ELECTION OF DIRECTOR: HELEN** Management 1B. For For E. DRAGAS **ELECTION OF DIRECTOR: JAMES** 1C. Management For For O. ELLIS, JR. **ELECTION OF DIRECTOR:** 1D. For For Management THOMAS F. FARRELL II ELECTION OF DIRECTOR: JOHN W. 1E. Management For For **HARRIS ELECTION OF DIRECTOR:** 1F. Management For For RONALD W. JIBSON ELECTION OF DIRECTOR: MARK J. 1G. Management For For **KINGTON ELECTION OF DIRECTOR: JOSEPH** 1H. Management For For M. RIGBY **ELECTION OF DIRECTOR:** 1I. Management For For PAMELA J. ROYAL, M.D. ELECTION OF DIRECTOR: ROBERT Management 1J. For For

Management

For

For

H. SPILMAN, JR.

N. STORY

1K.

ELECTION OF DIRECTOR: SUSAN

1L.	ELECTION OF DIRECTOR: MICHAEL E. SZYMANCZYK	Management	For	For	
2.	RATIFICATION OF APPOINTM OF THE INDEPENDENT AUDIT FOR 2017		For	For	
3.	ADVISORY VOTE ON APPROV OF EXECUTIVE COMPENSATION (SAY ON PAY)		For	For	
4.	ADVISORY VOTE ON THE FREQUENCY OF THE SAY ON I VOTE	PAY Management	3 Years	Against	
5.	APPROVAL OF AMENDMENT TO ARTICLES OF INCORPORATION TO CHANGE THE COMPANY'S NAME TO DOMINION ENERGY INC.	N Management	For	For	
6.	SHAREHOLDER PROPOSAL REGARDING A REPORT ON LOBBYING	Shareholder	Against	For	
7.	SHAREHOLDER PROPOSAL REGARDING THE NOMINATIO OF A DIRECTOR WITH ENVIRONMENTAL EXPERTISE	N Shareholder	Against	For	
8.	SHAREHOLDER PROPOSAL REGARDING AN ASSESSMENT THE IMPACT OF PUBLIC POLIC AND TECHNOLOGICAL ADVANCES CONSISTENT WITH LIMITING GLOBAL WARMING	Shareholder	Against	For	
9.	SHAREHOLDER PROPOSAL REGARDING A REPORT ON METHANE EMISSIONS	Shareholder	Against	For	
Accour Numbe	nt Account Name In	ernal Ballot Custodian Shares	U S	Jnavailable Vote Date Shares	Date Confirmed
997AL	47 GLQ BNP PLEDGE 99	STATE STREET BANK 7AL 47 38,000 TRUST CO	O	01-Apr-2017	11-May-2017
SERVI	CE CORPORATION INTERNATIO	NAL			
Securit	y 817565104		Meeting T	Type Annual	
Ticker	Symbol SCI		Meeting I	Date 10-May-201	7
ISIN	US8175651046		Agenda	934564356 -	Management

Record	Date 13-Mar-2017		Holding I Date	Recon 13-Mar-2017	
City /	Country / United States		Vote Dea Date	dline 09-May-2017	,
SEDOI	$\mathcal{L}(\mathbf{s})$		Quick Co	de	
Item	Proposal	Proposed by	Vote	For/Against Management	
1.	DIRECTOR	Management			
	1 THOMAS L. RYAN		For	For	
	2 CLIFTON H. MORRIS, JR.		For	For	
	3 W. BLAIR WALTRIP		For	For	
2.	TO APPROVE THE SELECTION OF PRICEWATERHOUSECOOPERS LLI AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL 2017.	Management	For	For	
3.	TO APPROVE, BY ADVISORY VOTE, NAMED EXECUTIVE OFFICER COMPENSATION.	Management	For	For	
4.	TO APPROVE HOLDING AN ADVISORY VOTE ON NAMED EXECUTIVE OFFICER COMPENSATION EVERY ONE, TWO OR THREE YEARS, AS INDICATED.	Management	3 Years	Against	
5.	TO APPROVE THE AMENDED AND RESTATED 2016 EQUITY INCENTIVE PLAN.	Management	For	For	
6.	TO APPROVE THE SHAREHOLDER PROPOSAL TO REQUIRE INDEPENDENT BOARD CHAIRMAN.	Shareholder	Against	For	
7.	TO APPROVE THE SHAREHOLDER PROPOSAL TO ADOPT SIMPLE MAJORITY VOTE.	Shareholder	Against	For	
Accour Numbe	r Account Name Internation Accounts	l Ballot Custodian Shares	1	Unavailable Vote Date Shares	Date Confirmed
997AL	45 GLV BNP PLEDGE 997A &	TREET BANK 245 900 TRUST CO	2	28,200 31-Mar-2017	11-May-2017

		_						
997AL4	17	GLQ BNP PLEDGE	S7 997A &	RUST	35	5,500 3	31-Mar-2017	11-May-2017
997AL4	19	GLO BNP PLEDGE	S7 997AL	RUST	70),900 3	31-Mar-2017	11-May-2017
ALEXIO	ON PHA	RMACEUTICALS, IN	C.					
Security	7	015351109			Meeting T	ype	Annual	
Ticker S	Symbol	ALXN			Meeting D	ate	10-May-2017	
ISIN		US0153511094			Agenda		934568710 - 1	Management
Record	Date	14-Mar-2017			Holding Re Date	econ	14-Mar-2017	
City /	Country	<i>I</i>	Jnited States		Vote Dead Date	line	09-May-2017	
SEDOL	(s)				Quick Cod	e		
Item	Proposa	1		Proposed by	Vote		Against Agement	
1A.	ELECT BAKEF	ION OF DIRECTOR: 1 R	FELIX J.	Management	For	For		
1B.	ELECT R. BRE	ION OF DIRECTOR: I NNAN	DAVID	Management	For	For		
1C.		ION OF DIRECTOR: 1 LE BURNS	M.	Management	For	For		
1D.		ION OF DIRECTOR: TOPHER J. COUGHLI	N	Management	For	For		
1E.	ELECT N. HAN	ION OF DIRECTOR: 1 VTSON	LUDWIG	Management	For	For		
1F.	ELECT MOLLI	ION OF DIRECTOR: . EN	JOHN T.	Management	For	For		
1G.		ION OF DIRECTOR: I LAS NORBY	R.	Management	For	For		
1H.	ELECT S. PAR	ION OF DIRECTOR: A VEN	ALVIN	Management	For	For		
1I.		ION OF DIRECTOR: EAS RUMMELT		Management	For	For		
1J.	ELECT VENEN	ION OF DIRECTOR: A MAN	ANN M.	Management	For	For		

2.	TO APPROVE ALEXION'S 2 INCENTIVE PLAN.	Management	For	For	
3.	RATIFICATION OF APPOIN BY THE BOARD OF DIRECT PRICEWATERHOUSECOOL AS ALEXION'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	TORS OF PERS LLP	For	For	
4.	APPROVAL OF A NON-BIN ADVISORY VOTE OF THE COMPENSATION PAID TO ALEXION'S NAMED EXEC OFFICERS.	2016 Management	For	For	
5.	TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXTOMPENSATION.	Management	3 Years	Against	
6.	TO REQUEST THE BOARD IMPLEMENT CONFIDENTI SHAREHOLDER VOTING CEXECUTIVE PAY MATTER	N Shareholder	Against	For	
Accoun Numbe	Account Name	Internal Ballot Custodian Account Shares	U S	Jnavailable Vote Date Shares	Date Confirmed
997GL0	Q GLQ	STATE STREET 997GLO 19,500 TRUST CO	0	01-Apr-2017	11-May-2017
997GL0	O GLO	STATE STREET 997GLO 14,100 TRUST CO	0	01-Apr-2017	11-May-2017
997AL4	49 GLO BNP PLEDGE	STATE STREET 997AL49 100 TRUST	3	4,900 01-Apr-2017	11-May-2017
		CO			
JPMOR	RGAN CHASE & CO.	СО			

Meeting Date

16-May-2017

Ticker Symbol JPM

ISIN	US46625H1005			Agenda		934561665 - Management
Record	Date 17-Mar-2017			Holding R Date	econ	17-Mar-2017
City /	Country	/ United States		Vote Dead Date	lline	15-May-2017
SEDOI	L(s)			Quick Cod	le	
Item	Proposal		Proposed by	Vote		Against agement
1A.	ELECTION OF DIRECT B. BAMMANN	OR: LINDA	Management	For	For	
1B.	ELECTION OF DIRECT	OR: JAMES	Management	For	For	
1C.	ELECTION OF DIRECT CRANDALL C. BOWLE		Management	For	For	
1D.	ELECTION OF DIRECT STEPHEN B. BURKE	OR:	Management	For	For	
1E.	ELECTION OF DIRECT COMBS	OR: TODD A.	Management	For	For	
1F.	ELECTION OF DIRECTS. CROWN	OR: JAMES	Management	For	For	
1G.	ELECTION OF DIRECTOR DIMON	OR: JAMES	Management	For	For	
1H.	ELECTION OF DIRECTOR TIMOTHY P. FLYNN	OR:	Management	For	For	
1I.	ELECTION OF DIRECTOR P. JACKSON, JR.	OR: LABAN	Management	For	For	
1J.	ELECTION OF DIRECTOR MICHAEL A. NEAL	OR:	Management	For	For	
1K.	ELECTION OF DIRECTOR RAYMOND	OR: LEE R.	Management	For	For	
1L.	ELECTION OF DIRECTORY WILLIAM C. WELDON	OR:	Management	For	For	
2.	ADVISORY RESOLUTION APPROVE EXECUTIVE COMPENSATION		Management	For	For	
3.	RATIFICATION OF IND REGISTERED PUBLIC ACCOUNTING FIRM	EPENDENT	Management	For	For	
4.	ADVISORY VOTE ON FOUR OF ADVISORY RESOLUTIVE APPROVE EXECUTIVE COMPENSATION	JTION TO	Management	3 Years	Agai	nst
5.	INDEPENDENT BOARD)	Shareholder	Against	For	

	CHAIRMAN							
6.	VESTING FOR GOVER SERVICE	RNMENT	Shareholder	Against	For			
7.	CLAWBACK AMEND	MENT	Shareholder	Against	For			
8.	GENDER PAY EQUITY	<i>Y</i>	Shareholder	Against	For			
9.	HOW VOTES ARE CO	UNTED	Shareholder	Against	For	For		
10.	SPECIAL SHAREOWN MEETINGS	ER	Shareholder	Against	For			
Accoun Numbe	Account Name	Interna Accour	l Ballot Justodian hares		Unavaila Shares	able Vote Date	Date Confirmed	
997AL4	45 GLV BNP PLEI	S' DGE 997A T	TATE TREET 45 100 TRUST		8,000 (07-Apr-2017	17-May-2017	
997AL4	47 GLQ BNP PLEI	S' DGE 997A T	TATE TREET ANK 100 TRUST		13,100 (07-Apr-2017	17-May-2017	
997AL4	49 GLO BNP PLEI	S' DGE 997A T	TATE TREET ANK 100 RRUST		33,000 (07-Apr-2017	17-May-2017	
ALIGN	TECHNOLOGY, INC.							
Security	y 016255101			Meeting	Type	Annual		
Ticker	Symbol ALGN			Meeting	Date	17-May-2017	,	
ISIN	US0162551016			Agenda		934559874 -	Management	
Record	Date 22-Mar-2017			Holding Date	Recon	22-Mar-2017		
City /	Country	/ United States		Vote Dea Date	adline	16-May-2017	,	
SEDOI	$\mathcal{L}(\mathbf{s})$			Quick Co	ode			
Item	Proposal		Proposed by	Vote		Against agement		
1A.	ELECTION OF DIRECT	ΓOR: JOSEPH	Management	For	For			
1B.	ELECTION OF DIRECT	ГOR: JOSEPH	Management	For	For			

	LACOB			
1C.	ELECTION OF DIRECTOR: C. RAYMOND LARKIN, JR.	Management	For	For
1D.	ELECTION OF DIRECTOR: GEORGE J. MORROW	Management	For	For
1E.	ELECTION OF DIRECTOR: THOMAS M. PRESCOTT	Management	For	For
1F.	ELECTION OF DIRECTOR: ANDREA L. SAIA	Management	For	For
1G.	ELECTION OF DIRECTOR: GREG J. SANTORA	Management	For	For
1H.	ELECTION OF DIRECTOR: SUSAN E. SIEGEL	Management	For	For
1I.	ELECTION OF DIRECTOR: WARREN S. THALER	Management	For	For
2.	PROPOSAL TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS ALIGN TECHNOLOGY, INC.'S INDEPENDENT REGISTERED PUBLIC ACCOUNTANTS FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	ADVISORY VOTE TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION.	Management	For	For
4.	ADVISORY VOTE ON THE FREQUENCY OF HOLDING AN ADVISORY VOTE ON EXECUTIVE COMPENSATION.	Management	3 Years	Against

Account Number	Account Name	Internal Ballot Custodian Account Share	Unava Shares	ilable Vote Date	Date Confirmed
997GLO	GLO	STATE STREET 997GkO 21,600 TRUST CO		08-Apr-2017	18-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 22,200 TRUST CO	0	08-Apr-2017	18-May-2017

997AL	49	GLO BNP PLEDGE		TATE TREET ANK	100	2	19,900	08-Apr-2017	18-May-2017
			T	RUST O					
997AL:	53	GLO MS PLEDGE	997A T	RUST	10,000	()	08-Apr-2017	18-May-2017
997AL0	61	GLQ MS PLEDGE	S' S' 997A & T	TATE TREET ANK 51 RUST	10,000	()	08-Apr-2017	18-May-2017
ELLIE	MAE, I	NC.							
Security	y	28849P100				Meeting 7	Гуре	Annual	
Ticker S	Symbol					Meeting I	Date	17-May-2017	
ISIN		US28849P1003				Agenda		934563746 - 1	Management
Record	Date	22-Mar-2017				Holding F Date	Recon	22-Mar-2017	
City /	Count	rv /	Inited tates			Vote Dead Date	dline	16-May-2017	,
SEDOL	$\mathcal{L}(\mathbf{s})$					Quick Code			
Item	Propos	sal		Propo by	osed	Vote		/Against nagement	
1.	DIREC	CTOR		Mana	gement				
	1	KAREN BLASING				For	For		
	2	JONATHAN CORR				For	For		
	3	ROBERT J. LEVIN				For	For		
		JEB S. SPENCER				For	For		
2.	THE A BOAR GRAN	ATIFY THE SELECTION AUDIT COMMITTEE OF RD OF DIRECTORS, OF RT THORNTON LLP AS PENDENT REGISTERE	F THE S THE D	Mana	gement	For	For		
	THE C	IC ACCOUNTING FIRM COMPANY FOR ITS FIS EENDING DECEMBER	SCAL						
3.	TO Al	PPROVE, ON AN ADVI	SORY	Mana	gement	For	For		

BASIS, THE NAMED EXECUTIVE OFFICER COMPENSATION FOR THE FISCAL YEAR ENDED **DECEMBER 31, 2016 AS** DISCLOSED IN THE PROXY **STATEMENT**

TO CONSIDER A STOCKHOLDER

PROPOSAL IF PROPERLY

PRESENTED AT THE MEETING, 4.

RELATED TO THE

DECLASSIFICATION OF THE BOARD OF DIRECTORS.

Shareholder Against For

Account Number	Account Name	Internal Ba Custodian Account Sh	allot nares	Unavai Shares	ilable Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET BANK		0	07-Apr-2017	18-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK 997A 249 47, TRUST CO	7,900	0	07-Apr-2017	18-May-2017
SOLAR CAP	ITAL LTD					

SOLAR CAPITAL LTD

Security	83413U100			Meeting Type	Annual
Ticker Symbol	SLRC			Meeting Date	17-May-2017
ISIN	US83413U1007			Agenda	934568518 - Management
Record Date	22-Mar-2017			Holding Recon Date	22-Mar-2017
City / Country	7	/ United States		Vote Deadline Date	16-May-2017
SEDOL(s)				Quick Code	
T. B	1		Proposed	For/A	Against

Item	Proposal	Proposed by	Vote	For/Against Management	
1.	DIRECTOR	Management			
	1 MR. BRUCE SPOHLER		For	For	
	2 MR. STEVEN HOCHBERG		For	For	
2.	TO APPROVE A PROPOSAL TO	Management	For	For	

AUTHORIZE THE COMPANY TO SELL SHARES OF ITS COMMON

STOCK AT A PRICE OR PRICES BELOW THE COMPANY'S THEN CURRENT NET ASSET VALUE PER SHARE IN ONE OR MORE OFFERINGS, IN EACH CASE SUBJECT TO THE APPROVAL OF ITS BOARD OF DIRECTORS AND COMPLIANCE WITH THE CONDITIONS SET FORTH IN THE PROXY STATEMENT PERTAINING THERETO (INCLUDING, WITHOUT LIMITATION, THAT THE NUMBER OF SHARES ISSUED DOES NOT EXCEED 25% OF THE COMPANY'S THEN OUTSTANDING COMMON STOCK IMMEDIATELY PRIOR TO EACH SUCH OFFERING).

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Vote Date Shares	Date Confirmed
997GLV	GLV	STATE STREET 997GLV 30,900 TRUST CO	0 01-Apr-2017	18-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL45 100 TRUST CO	47,000 01-Apr-2017	18-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 100 TRUST CO	75,000 01-Apr-2017	18-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 37,631 TRUST CO	0 01-Apr-2017	18-May-2017
ARBOR REAL	TY TRUST, INC.			
Security	038923108		Meeting Type Annual	
Ticker Symbol	ABR		Meeting Date 17-May-201	7

ISIN		US0389231087				Agenda		934587330 -	Management
Record	l Date	04-Apr-2017				Holding Date	Recon	04-Apr-2017	
City /	Cour	ntry /	United States			Vote Dea	adline	16-May-2017	7
SEDOI	L(s)					Quick C	ode		
Item	Prop	osal		Prop by	posed	Vote For/Against Management			
1.	DIRI	ECTOR		Man	nagement				
	1	IVAN KAUFMAN				For	For		
	2	MELVIN F. LAZAR				For	For		
	3	GEORGE TSUNIS				For	For		
2.	5,000,000 SHARES OF COMMON STOCK OF ARBOR REALTY TRUST, INC. FOR ISSUANCE UNDER THE PLAN. RATIFICATION OF THE			Man	nagement	For	For		
3.	YOU INDI PUB ARB	OINTMENT OF ERNS ING LLP AS THE EPENDENT REGISTE LIC ACCOUNTING FI OR REALTY TRUST, CAL YEAR 2017.	RED IRM OF	Man	nagement	For	For		
4.	THE REA EXE DISC	VISORY VOTE TO APICOMPENSATION OF LTY TRUST, INC.'S NOTE OFFICERS ACLOSED IN THE PROYECT.	ARBOR AMED S	Man	nagement	For	For		
5.	FREG ADV THE REA	ISORY VOTE ON TH QUENCY OF FUTURE ISORY VOTES TO AI COMPENSATION OF LTY TRUST, INC.'S N CUTIVE OFFICERS.	E PPROVE FARBOR	Man	nagement	3 Years	For		
Accour Numbe		Account Name	Interna Accou	d Justod nt	. Ballot ian Shares		Unavail. Shares	able Vote Date	Date Confirmed
997GL	.Q	GLQ	997GL		172,300		0	12-Apr-2017	18-May-2017

STATE STREET BANK & **TRUST** CO

TPG SPECIALTY LENDING, INC.

Security 87265K102 Meeting Type Annual

Ticker Symbol **TSLX** Meeting Date 18-May-2017

ISIN US87265K1025 934566285 - Management Agenda

Holding Recon Record Date 24-Mar-2017 24-Mar-2017

Date

Vote Deadline United 17-May-2017 City / Country

States Date

SEDOL(s) Ouick Code

Proposed For/Against Vote Item Proposal Management by

1. **DIRECTOR** Management

> 1 JOSHUA EASTERLY For For 2 MICHAEL FISHMAN For For

TO RATIFY THE SELECTION OF KPMG LLP AS THE COMPANY'S

INDEPENDENT REGISTERED 2. Management For For

PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.

Unavailable Vote Date Account Date

Internal Ballot Custodian Shares Account Name Number Shares Confirmed

> **STATE STREET**

GLQ BNP PLEDGE 100 49,400 08-Apr-2017 997AL47 19-May-2017

TRUST

CO

TPG SPECIALTY LENDING, INC.

Security 87265K102 Meeting Type Special

Ticker Symbol Meeting Date **TSLX** 18-May-2017

ISIN US87265K1025 Agenda 934576147 - Management

Holding Recon

Record Date 24-Mar-2017 24-Mar-2017 Date

Vote Deadline United

City / Country 17-May-2017 Date

SEDOL	ـ(s)			Quick Code					
Item	Prop	osal		Proposed by	Vote		Against agement		
TO APPROVE A PROPOSAL TO AUTHORIZE THE COMPANY TO SELL OR OTHERWISE ISSUE SHARES OF ITS COMMON STOCK AT A PRICE BELOW ITS THEN-CURRENT NET ASSET VALUE PER SHARE IN ONE OR MORE OFFERINGS, IN EACH CASE SUBJECT TO THE APPROVAL OF ITS BOARD OF DIRECTORS AND SUBJECT TO THE CONDITIONS SET FORTH IN THE ACCOMPANYING PROXY STATEMENT			Management	For	For				
Accoun		Account Name	Internal Accour	Ballot ustodian Shares	U S	Jnavail Shares	able Vote Date	Date Confirmed	
997AL	47	GLQ BNP PLEDGE	S' 997A <mark>B</mark>	RUST	4	19,400 (08-Apr-2017	19-May-2017	
GLOBA	AL MI	EDICAL REIT INC.							
Security	y	37954A204			Meeting T	ype	Annual		
Ticker S	Symbo	ol GMRE			Meeting D	Date	18-May-2017	-2017	
ISIN		US37954A2042			Agenda		934609340 - 1	Management	
Record	Date	31-Mar-2017			Holding R Date	Recon	31-Mar-2017		
City /	Cour	ntrs/	United States		Vote Dead Date	dline	17-May-2017		
SEDOL	رs)				Quick Cod	de			
Item	Prop	osal		Proposed by	Vote		Against agement		
1.	DIRI	ECTOR		Management					
	1	DAVID A. YOUNG			For	For			
	2	JEFFREY BUSCH			For	For			
	3 HENRY COLE				For	For			
	4 MATTHEW L. CYPHER, PHD				For	For			
	5 KURT R. HARRINGTON				For	For			

	6	ZHANG JINGGUO		For	For	r	
	7	RONALD MARSTON		For	For	r	
	8	DR. ROSCOE MOORE		For	For	r	
	9	ZHANG HUIQI		For	Fo	r	
2.	TO R MAL COM REGI ACCO	ATIFY THE APPOINTM ONEBAILEY, LLP AS T PANY'S INDEPENDEN' ISTERED PUBLIC OUNTING FIRM FOR TI R ENDING DECEMBER	HE Γ Management HE	For	Foi		
Account Number		Account Name	Internal Ballot Custodian Account Shares STATE		Unava Shares	nilable Vote Date	Date Confirmed
997GLV	7	GLV	STREET 997GLV 50,000 TRUST CO		0	28-Apr-2017	19-May-2017
997AL4	-5	GLV BNP PLEDGE	STATE STREET 997A 245 27,000 TRUST CO		0	28-Apr-2017	19-May-2017
997AL4	7	GLQ BNP PLEDGE	STATE STREET 997AL47 121,000 TRUST CO		0	28-Apr-2017	19-May-2017
997AL4	9	GLO BNP PLEDGE	STATE STREET 997AL49 310,000 TRUST CO		0	28-Apr-2017	19-May-2017
GOLDM	IAN S	SACHS BDC, INC.					
Security	,	38147U107		Meetin	g Type	Annual	
Ticker S	Symbol	l GSBD		Meetin	g Date	19-May-2017	7
ISIN		US38147U1079		Agenda	a	934560346 -	Management
Record I	Date	20-Mar-2017		Holdin Date	g Recon	20-Mar-2017	
City /	Coun	try /				18-May-2017	1

	United States	Vote Deadline Date			
SEDOI	L(s)		Quick Cod	le	
Item	Proposal	Proposed by	Vote	For/Against Management	
1A.	ELECTION OF DIRECTOR: ROSS J. KARI	Management	For	For	
1B.	ELECTION OF DIRECTOR: ANN B. LANE	Management	For	For	
2.	TO RATIFY THE SELECTION OF PRICEWATERHOUSECOOPERS LL. AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	P Management	For	For	
Accour Numbe	nt Account Name Internation Account	al Ballot Custodian nt Shares	U S	Inavailable Date hares Date Confirmed	
997AL	47 GLQ BNP PLEDGE 997A	STATE STREET SANK 47 53,000 FRUST	0	28-Mar-2017 22-May-2017	
	ONAL GRID PLC		.		
Securit			Meeting Type Annual		
	Symbol NGG		Meeting Date 19-May-2017		
ISIN	US6362743006		Agenda 934599436 - Managemen		
Record	Date 12-Apr-2017		Holding R Date	econ 12-Apr-2017	
City /	Country / United States		Vote Dead Date	lline 12-May-2017	
SEDOI	L(s)		Quick Cod	le	
Item	Proposal	Proposed by	Vote	For/Against Management	
1.	TO APPROVE THE CONSOLIDATION OF SHARES	Management	For	For	
2.	TO AUTHORISE THE DIRECTORS TO ALLOT NEW ORDINARY SHARES	Management	For	For	
3.	TO DISAPPLY PRE-EMPTION RIGHTS	Management	For	For	

4.	TO DISAPPLY PRE-EMPTION RIGHTS FOR ACQUISITIONS	Management	For	For		
5.	TO AUTHORISE THE COMP. TO PURCHASE ITS OWN NE ORDINARY SHARES		For	For		
Accoun	Account Name	Internal Ballot Custodian Account Shares		Unavail Shares	lable Vote Date	Date Confirmed
997AL4	47 GLQ BNP PLEDGE	STATE STREET BANK 997AL47 45,800 TRUST CO		0	20-Apr-2017	16-May-2017
ARES (CAPITAL CORPORATION					
Security	y 04010L103		Meeting	Type	Annual	
Ticker	Symbol ARCC		Meeting	Date	22-May-2017	,
ISIN	US04010L1035		Agenda		934557426 - 1	Management
Record	Date 09-Mar-2017		Holding Date	Recon	09-Mar-2017	
City /	('ountry /	Inited tates	Vote De Date	adline	19-May-2017	,
SEDOL	$\mathcal{L}(\mathbf{s})$		Quick C	ode		
Item	Proposal	Proposed by	Vote		Against agement	
1A.	ELECTION OF DIRECTOR: MICHAEL J AROUGHETI (CI DIRECTOR FOR A TERM OF YEARS EXPIRING IN 2020)	Management	For	For		
1B.	ELECTION OF DIRECTOR: A TORRE BATES (CLASS I DIR FOR A TERM OF 3 YEARS EXPIRING IN 2020)		For	For		
1C.	ELECTION OF DIRECTOR: S MCKEEVER (CLASS I DIREC FOR A TERM OF 3 YEARS EXPIRING IN 2020)		For	For		
2.	TO RATIFY THE SELECTION KPMG LLP AS THE COMPANINDEPENDENT REGISTERE PUBLIC ACCOUNTING FIRM THE YEAR ENDING DECEM 2017.	NY'S .D M FOR Management	For	For		

Account Number	Account Name	Internal Ballot Account Shares	Unavailable Shares Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GLV 50,000 TRUST CO	0 25-Mar-2017	23-May-2017
997GLQ	GLQ	STATE STREET 997GLO 20,000 TRUST CO	0 25-Mar-2017	23-May-2017
997GLO	GLO	STATE STREET 997GLO 8,309 TRUST CO	0 25-Mar-2017	23-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 168,300 TRUST CO	0 25-Mar-2017	23-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL 301,400 TRUST CO	0 25-Mar-2017	23-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 100 TRUST CO	826,99125-Mar-2017	23-May-2017
997AL60	GLV MS PLEDGE	STATE STREET 997AL60 15,000 TRUST CO	0 25-Mar-2017	23-May-2017
997AL61	GLQ MS PLEDGE	997A ST ATE 110,000 STREET BANK &	0 25-Mar-2017	23-May-2017

TRUST CO

ARES	CAPITAL.	CORPORATION
I		

TILLS		L CORI ORATIO	`				
Securit	y	04010L103			Meeting T	ype	Special
Ticker	Symbol	ARCC			Meeting D	ate	22-May-2017
ISIN		US04010L1035			Agenda		934560598 - Management
Record	Date	09-Mar-2017			Holding R Date	econ	09-Mar-2017
City /	Country	y	/ United States		Vote Dead Date	lline	19-May-2017
SEDOI	$\mathcal{L}(\mathbf{s})$				Quick Cod	le	
Item	Proposa	al		Proposed by	Vote		Against ngement
1.	WITH BOARD OR OT ITS COBELOV ASSET SUBJESET FOR STATE MEETI (INCLULIMITS	THORIZE THE COUNTIES APPROVAL DOF DIRECTORS THERWISE ISSUE DIMMON STOCK A WITS THEN CUR TO THE LIMIT DORTH IN THE PRO EMENT FOR THE LING OF STOCKHO UDING,(DUE TO S, SEE PROXY ST ULL PROPOSAL)	OF ITS S, TO SELL SHARES OF AT A PRICE RENT NET ARE TATIONS OXY SPECIAL OLDERS O SPACE TATEMENT	Management	For	For	

Account Number	Account Name	Internal Ballot Account Shares	Unavailable Shares Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997 $G_{\mathbb{R}}^{BANK}$ 50,000 TRUST CO	0 28-Mar-2017	23-May-2017
997GLQ	GLQ	STATE STREET 997 $G_{\infty}^{\mathrm{BANK}}$ 20,000 TRUST CO	0 28-Mar-2017	23-May-2017
997GLO	GLO	997G EO ATE 8,309 STREET BANK	0 28-Mar-2017	23-May-2017

				RUST O					
997AL	45	GLV BNP PLEDGE	S' 997AL T	TATE TREET ANK 45 RUST O	168,300	C)	28-Mar-2017	23-May-2017
997AL	47	GLQ BNP PLEDGE	S' 997AL T	TATE TREET ANK 4 RUST O	301,400	C)	28-Mar-2017	23-May-2017
997AL	49	GLO BNP PLEDGE	S' S' 997AL T	TATE TREET	100	8	326,99	128-Mar-2017	23-May-2017
997AL	60	GLV MS PLEDGE	S' 997AL T	TATE TREET ANK 60 RUST O	15,000	C)	28-Mar-2017	23-May-2017
997AL	61	GLQ MS PLEDGE	S' 997AL T	TATE TREET ANK 61 RUST O	110,000	C)	28-Mar-2017	23-May-2017
MERC	K & CO.	, INC.							
Security	-	58933Y105				Meeting T		Annual	
	Symbol	MRK				Meeting I	Date	23-May-2017	
ISIN		US58933Y1055				Agenda		934581439 -	Management
Record	Date	27-Mar-2017				Holding R Date	Recon	27-Mar-2017	
City /	Country	v /	United States			Vote Dead Date	dline	22-May-2017	1
SEDOI	$\mathcal{L}(s)$					Quick Cod	de		
Item	Propos	al		Propos by	sed	Vote		/Against nagement	
1A.	ELECT	TION OF DIRECTOR:	LESLIE	Manag	gement	For	For		

	A. BRUN			
1B.	ELECTION OF DIRECTOR: THOMAS R. CECH	Management	For	For
1C.	ELECTION OF DIRECTOR: PAMELA J. CRAIG	Management	For	For
1D.	ELECTION OF DIRECTOR: KENNETH C. FRAZIER	Management	For	For
1E.	ELECTION OF DIRECTOR: THOMAS H. GLOCER	Management	For	For
1F.	ELECTION OF DIRECTOR: ROCHELLE B. LAZARUS	Management	For	For
1G.	ELECTION OF DIRECTOR: JOHN H. NOSEWORTHY	Management	For	For
1H.	ELECTION OF DIRECTOR: CARLOS E. REPRESAS	Management	For	For
1I.	ELECTION OF DIRECTOR: PAUL B. ROTHMAN	Management	For	For
1J.	ELECTION OF DIRECTOR: PATRICIA F. RUSSO	Management	For	For
1K.	ELECTION OF DIRECTOR: CRAIG B. THOMPSON	Management	For	For
1L.	ELECTION OF DIRECTOR: WENDELL P. WEEKS	Management	For	For
1M.	ELECTION OF DIRECTOR: PETER C. WENDELL	Management	For	For
2.	NON-BINDING ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	For	For
3.	NON-BINDING ADVISORY VOTE ON THE FREQUENCY OF FUTURE VOTES TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	3 Years	Against
4.	RATIFICATION OF THE APPOINTMENT OF THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.	Management	For	For
5.	SHAREHOLDER PROPOSAL REQUESTING AN INDEPENDENT BOARD CHAIRMAN.	Shareholder	Against	For
6.	SHAREHOLDER PROPOSAL	Shareholder	Against	For

REQUESTING IMPLEMENTATION

Against

For

OF A SET OF EMPLOYEE PRACTICES IN ISRAEL/PALESTINE.

SHAREHOLDER PROPOSAL

7. REQUESTING A REPORT ON CONDUCTING BUSINESS IN CONFLICT- AFFECTED AREAS.

SHAREHOLDER PROPOSAL REQUESTING A REPORT ON

8. BOARD OVERSIGHT OF PRODUCT Shareholder

SAFETY AND QUALITY.

Account Number	Account Name	Internal Ballot Custodian Shares	Unavailable Shares Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GKV 12,900 TRUST CO	0 11-Apr-2017	24-May-2017
997GLQ	GLQ	STATE STREET 997GLQ 13,900 TRUST CO	0 11-Apr-2017	24-May-2017
997GLO	GLO	STATE STREET 997GKO 34,500 TRUST CO	0 11-Apr-2017	24-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL 45 100 TRUST CO	43,300 11-Apr-2017	24-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET BANK 997AL47 38,200 TRUST CO	41,800 11-Apr-2017	24-May-2017
997AL49	GLO BNP PLEDGE	997A K49 ATE 100 STREET BANK	174,400l1-Apr-2017	24-May-2017

& **TRUST** CO **STATE STREET** 997AL60 10,000 **GLV MS PLEDGE** 0 997AL60 11-Apr-2017 24-May-2017 **TRUST** CO LIBERTY INTERACTIVE CORPORATION Security 53071M856 Meeting Type Annual Ticker Symbol LVNTA Meeting Date 24-May-2017 **ISIN** Agenda 934605859 - Management US53071M8560 Holding Recon Record Date 03-Apr-2017 03-Apr-2017 Date Vote Deadline United 23-May-2017 City / Country States Date SEDOL(s) Quick Code Proposed For/Against Vote **Proposal** Item Management by 1. DIRECTOR Management EVAN D. MALONE For For DAVID E. RAPLEY For For 3 LARRY E. ROMRELL For For A PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS OUR 2. INDEPENDENT AUDITORS FOR Management For For THE FISCAL YEAR ENDING **DECEMBER 31,2017** THE SAY-ON-PAY PROPOSAL, TO APPROVE, ON AN ADVISORY For 3. BASIS, THE COMPENSATION OF Management For **OUR NAMED EXECUTIVE** OFFICERS. THE SAY-ON-FREQUENCY PROPOSAL, TO APPROVE, ON AN ADVISORY BASIS, THE FREQUENCY AT WHICH 4. Management 3 Years For STOCKHOLDERS ARE PROVIDED AN ADVISORY VOTE ON THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.

Account Name Custodian Vote Date

Account Number		Internal Account	Ballot Shares	Unava Shares		Date Confirmed
997GLV	GLV	STATE STREET 997G&V TRUST CO	Γ 14,200	0	26-Apr-2017	25-May-2017
997GLQ	GLQ	STATE STREET BANK 997GLQ TRUST CO	Γ 20,000	0	26-Apr-2017	25-May-2017
997GLO	GLO	STATE STREET BANK 997GK TRUST CO	Γ 5,937	0	26-Apr-2017	25-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997AL 45 TRUST CO	Γ 100	50,900) 26-Apr-2017	25-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET BANK 997AL 47 TRUST CO	Γ 101	136,30	0026-Apr-2017	25-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK 997AL 49 TRUST CO	Γ 384,525	0	26-Apr-2017	25-May-2017
997AL60	GLV MS PLEDGE	STATE STREET BANK 997AL 60 TRUST CO	Γ 35,343	0	26-Apr-2017	25-May-2017
997AL61	GLQ MS PLEDGE	997A ST ATE STREET BANK &		0	26-Apr-2017	25-May-2017

TRUST CO

ENTRICIONI	TID AT DITO A	DE CODDOD ATION
ENVISION	HEALTHCA	ARE CORPORATION

Securit	V	29414D100			Meeting T	Гуре	Annual	
Ticker	•				Meeting I	• 1	25-May-2017	7
ISIN	•	US29414D1000			Agenda		934582304 -	
Record	Date	29-Mar-2017			Holding F	Recon	29-Mar-2017	
City /	Coun	ntry /	United States		Vote Dea Date	dline	24-May-2017	7
SEDOI	$\mathcal{L}(s)$				Quick Co	de		
Item	Propo	osal		Proposed by	Vote		Against agement	
1.	DIRE	ECTOR		Management				
	1	CAROL J. BURT			For	For		
	2	CHRISTOPHER A. H	OLDEN		For	For		
	3	CYNTHIA S. MILLEI	R		For	For		
	4	RONALD A. WILLIA	MS		For	For		
2.	ADV HEA	ROVAL, ON A NON-B TSORY BASIS, OF EN LTHCARE CORPORA CUTIVE COMPENSAT	VISION TION'S	Management	For	For		
3.	ADV FREG ADV ENV COR	ROVAL, ON A NON-B TISORY BASIS, OF THE QUENCY OF FUTURE TISORY VOTES APPRO ISION HEALTHCARE PORATION'S EXECUT TIPENSATION.	E DVING	Management	3 Years	Agai	nst	
4.	APPO TOU HEA INDE PUB	IFICATION OF THE DINTMENT OF DELOICHE LLP AS ENVISION LTHCARE CORPORATED TO ACCOUNTING FIRE YEAR ENDING DECES.	ON TION'S RED RM FOR	Management	For	For		
Accour Numbe		Account Name	Interna Accoun	l Ballot tustodian nt Shares		Unavail Shares	able Vote Date	Date Confirmed
997GL	Q	GLQ	997G S S	Q ATE 9,900 TREET	()	14-Apr-2017	26-May-2017

BANK &

				RUST					
				TATE					
				TREET					
997GL	O	GLO 9	997GL	23,900		0	14-Apr-2017	26-May-2017	
				RUST O					
				TATE					
997AL	17	GLQ BNP PLEDGE 9	3 997AL	TREET ANK 47 100		0.500	14-Apr-2017	26 May 2017	
991AL	+ /	OLQ BNF FLEDOE 9		RUST		9,500	14-Apr-2017	26-May-2017	
				XO					
				TATE					
007.41	40			TREET ANK		10.500	14.4 2017		
997AL	49	GLO BNP PLEDGE 9	997AE			18,500	14-Apr-2017	26-May-2017	
				RUST CO					
PENNY	YMAC N	MORTGAGE INVESTMEN	NT TR	UST					
Security	y	70931T103			Meetin	ng Type	Annual		
Ticker	Symbol	l PMT			Meetir	g Date	25-May-2017	25-May-2017	
ISIN		US70931T1034			Agend	a	934583685 -	Management	
Record	Date	31-Mar-2017		Holdir Date	g Recon	31-Mar-2017	31-Mar-2017		
City /	Countr	Country / United States			Vote I Date	Deadline	24-May-2017	7	
SEDOI	ـ(s)				Quick	Quick Code			
Item	Propos	al		Proposed by	Vote		/Against nagement		
1.	DIREC	DIRECTOR		Management					
	1 1	PRESTON DUFAUCHAR	D		For	For			
	2	NANCY MCALLISTER			For	For			
	3	STACEY D. STEWART			For	For			
		TIFY THE APPOINTMENT							
2	DELOITTE & TOUCHE LLP AS OUR INDEPENDENT REGISTERED				Ear	East			
		IC ACCOUNTING FIRM FOR Manage			For	For			
		THE FISCAL YEAR ENDING DECEMBER 31, 2017.							
	TO APPROVE, BY NON-BINDING								
3. VOTE, OUR EXECUTIVE COMPENSATION.				Management	For	For			
	COMP	ENSATION.							

TO RECOMMEND, BY NON-BINDING VOTE, THE 4. Management 3 Years Against FREQUENCY OF OUR EXECUTIVE COMPENSATION VOTE. Unavailable Vote Date Account Internal Ballot Custodian Shares Date Account Name Number Confirmed **STATE STREET** 997AL45 **GLV BNP PLEDGE** 10,314 79,400 15-Apr-2017 26-May-2017 **TRUST** CO **STATE STREET** 7,088 997AL47 **GLQ BNP PLEDGE** 199,90015-Apr-2017 26-May-2017 **TRUST** CO **STATE** STREET GLO BNP PLEDGE 114 997AL49 373,90015-Apr-2017 26-May-2017 **TRUST** CO NXSTAGE MEDICAL, INC. Meeting Type Security 67072V103 Annual Ticker Symbol **NXTM** Meeting Date 25-May-2017 **ISIN** US67072V1035 Agenda 934607473 - Management Holding Recon 27-Mar-2017 Record Date 27-Mar-2017 Date United Vote Deadline 24-May-2017 City / Country States Date SEDOL(s) Quick Code Proposed For/Against Proposal Vote Item Management by 1. DIRECTOR Management For 1 JEFFREY H. BURBANK For 2 For HEYWARD R. DONIGAN For 3 ROBERT G. FUNARI For For

For

For

For

For

For

For

4

5

DANIEL A. GIANNINI

EARL R. LEWIS

JEAN K. MIXER

For

For

7 CRAIG W. MOORE

	,	ciding w. moord			1 01	1 01		
	8	REID S. PERPER			For	For		
	9	JAMES J. PETERS			For	For		
2.	EXEC	SORY VOTE ON C UTIVE OFFICERS PENSATION.		Management	For	For		
3.	FREQUADVIS	SORY VOTE ON TUENCY OF FUTUING ON TO EXECUTIVE OF EXECUTIVE OF THE SENSATION.	RE OUR	Management	3 Years	Again	st	
4.	ERNS' INDEF PUBLI	ATIFY THE APPOINT & YOUNG LLP APPENDENT REGIST IC ACCOUNTING 1017 FISCAL YEAR	AS OUR ERED FIRM FOR	Management	For	For		
5.	AMEN MAJO FOR U	PROVE BY-LAW IDMENT TO ADO RITY VOTING ST INCONTESTED DI TIONS.	ANDARD	Management	For	For		
6.	RESTA INCEN MATE	PROVE AMENDE ATED 2014 OMNIE VTIVE PLAN AND RIAL TERMS FOE NUE CODE SECTI OSES.	BUS ITS R INTERNAL	Management	For	For		
Accoun Number		Account Name		l Ballot Justodian Shares	U S	Jnavaila Shares	ble ote Date	Date Confirmed
997GL0	Q	GLQ	S' 997G <mark>&</mark> T	TATE TREET ANK 53,600 RUST	C) 2	7-Apr-2017	26-May-2017
RSP PE	ERMIAN	INC						
Security	y	74978Q105			Meeting T	Гуре	Annual	
Ticker S	Symbol	RSPP			Meeting I	Date	25-May-2017	7
ISIN		US74978Q1058			Agenda		934611600 -	Management
Record	Date	03-Apr-2017			Holding R Date	Recon	03-Apr-2017	
City /	Countr	y	/ United States		Vote Dead Date	dline	24-May-2017	7

SEDOI	Quick Code						
Item	Proposal	Proposed by	Vote		For/Against Management		
1.	DIRECTOR	Management					
	1 STEVEN GRAY			For	For		
	2 MICHAEL GRIMM			For	For		
	3 SCOTT MCNEILL			For	For		
2.	RATIFICATION OF THE APPOINTMENT OF GRATHORNTON LLP AS THE COMPANY'S INDEPEND REGISTERED PUBLIC ACCOUNTING FIRM FO YEAR ENDING DECEMBE 2017.	Management	For	For			
3.	APPROVAL, ON AN ADV BASIS, OF THE COMPAN EXECUTIVE COMPENSA	NY'S	Management	For	For		
Account Name Internal Account Name Account			d Ballot Custodian nt Shares	1	Unavailable Shares Date Confirm		
997GL	Q GLQ	997G 3	STATE STREET SANK 20 16,400 CRUST	(0 2	29-Apr-2017	26-May-2017
TRI PO	DINTE GROUP, INC.						
Securit				Meeting 7	Гуре	Annual	
Ticker	Symbol TPH			Meeting l	Date	26-May-2017	
ISIN	US87265H1095			Agenda		934571591 -	Management
Record Date 28-Mar-2017				Holding I Date	Recon	28-Mar-2017	
City /	Country	/ United States		Vote Dea Date	dline	25-May-2017	,
SEDOI	$\mathcal{L}(s)$		Quick Co	Quick Code			
Item	Proposal	Proposed by	Vote	For/Against Management			
1.1	ELECTION OF DIRECTO DOUGLAS F. BAUER	R:	Management	For	For		
1.2	ELECTION OF DIRECTO LAWRENCE B. BURROW		Management	For	For		
1.3			Management	For	For		

		_aga	i i iiii igi Gioa	gr. Grobar Equit	, . aa		,	
	ELECT S. FUL	TION OF DIRECTO	OR: DANIEL					
1.4	ELECT J. GILI	TION OF DIRECTO BERT	OR: STEVEN	Management	For	For		
1.5	ELECTION OF DIRECTOR: CONSTANCE B. MOORE			Management	For	For		
1.6		TION OF DIRECTO AS B. ROGERS	OR:	Management	For	For		
2.	APPROVAL, ON AN ADVISORY BASIS, OF THE COMPENSATION OF TRI POINTE GROUP, INC.'S NAMED EXECUTIVE OFFICERS.			Management	For	For		
3.	APPOI YOUN GROU REGIS ACCO	ICATION OF THE NTMENT OF ERN G LLP AS TRI PO P,INC.'S INDEPEN TERED PUBLIC UNTING FIRM FO L YEAR ENDING 7.	IST & INTE IDENT OR THE	Management	For	For		
Accoun		Account Name	Interna Accour	ustodian		Unavail Shares	able Vote Date	Date Confirmed
997GL0	Q	GLQ	S' 997G <mark>&</mark> T	TATE TREET ANK 85,500 RUST O		0	15-Apr-2017	15-Apr-2017
CRISPI	R THER	APEUTICS AG						
Security	y	H17182108			Meeting '	Туре	Annual	
Ticker	Ticker Symbol CRSP				Meeting Date		31-May-2017	
ISIN		CH0334081137			Agenda		934597812 - Management	
Record	Record Date 03-Apr-2017				Holding Recon Date		03-Apr-2017	
City /	Country / Switzerland		nd	Vote Deadline Date		30-May-2017		
SEDOL(s)				Quick Co	ode			
Item	Proposa	al		Proposed by	Vote		Against agement	
1.	REPOR	PPROVAL OF TH RT, THE CONSOL ICIAL STATEMEN	Management	For	For			

	THE STATUTORY FINANCIAL STATEMENTS OF THE COMPANY FOR THE YEAR ENDED DECEMBER 31, 2016.			
2.	THE APPROVAL OF THE APPROPRIATION OF FINANCIAL RESULTS.	Management	For	For
3.	THE DISCHARGE OF THE MEMBERS OF THE BOARD OF DIRECTORS AND EXECUTIVE COMMITTEE.	Management	For	For
4A.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: N. ANTHONY COLES, M.D., AS MEMBER AND CHAIRMAN.	Management	For	For
4B.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: RODGER NOVAK, M.D.	Management	For	For
4C.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: BRADLEY BOLZON, PH.D.	Management	For	For
4D.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: ALI BEHBAHANI, M.D.	Management	For	For
4E.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: KURT VON EMSTER.	Management	For	For
4F.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: SIMEON J. GEORGE, M.D.	Management	For	For
4G.	RE-ELECTION OF MEMBERS TO THE BOARD OF DIRECTOR: THOMAS WOIWODE, PH.D.	Management	For	For
4H.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: PABLO CAGNONI, M.D.	Management	For	For
5A.	RE-ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: THOMAS WOIWODE, PH.D.	Management	For	For
5B.	RE-ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: PABLO CAGNONI, M.D.	Management	For	For
5C.	RE-ELECTION OF MEMBER OF THE COMPENSATION	Management	For	For

	COMMITTEE: N. ANTHONY COLES, M.D.			
5D.	RE-ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: SIMEON J. GEORGE, M.D.	Management	For	For
6A.	BINDING VOTE ON TOTAL NON-PERFORMANCE- RELATED COMPENSATION FOR MEMBERS OF THE BOARD OF DIRECTORS FROM THE 2017 ANNUAL GENERAL MEETING TO THE 2018 ANNUAL GENERAL MEETING OF SHAREHOLDERS.	Management	For	For
6B.	BINDING VOTE ON EQUITY FOR MEMBERS OF THE BOARD OF DIRECTORS FROM THE 2017 ANNUAL GENERAL MEETING TO THE 2018 ANNUAL GENERAL MEETING OF SHAREHOLDERS.	Management	For	For
6C.	BINDING VOTE ON TOTAL NON-PERFORMANCE- RELATED COMPENSATION FOR MEMBERS OF THE EXECUTIVE COMMITTEE FROM JULY 1, 2017 TO JUNE 30, 2018.	Management	For	For
6D.	BINDING VOTE ON TOTAL VARIABLE COMPENSATION FOR MEMBERS OF THE EXECUTIVE COMMITTEE FOR THE CURRENT YEAR ENDING DECEMBER 31, 2017.	Management	For	For
6E.	BINDING VOTE ON EQUITY FOR MEMBERS OF THE EXECUTIVE COMMITTEE FROM THE 2017 ANNUAL GENERAL MEETING TO THE 2018 ANNUAL GENERAL MEETING OF SHAREHOLDERS.	Management	For	For
7.	THE APPROVAL OF AN INCREASE IN THE CONDITIONAL SHARE CAPITAL FOR EMPLOYEE BENEFIT PLANS.	Management	For	For
8.	THE APPROVAL OF THE AMENDED AND RESTATED CRISPR THERAPEUTICS AG 2016 STOCK OPTION AND INCENTIVE PLAN.	Management	For	For

9.	INDEP	E-ELECTION OF T ENDENT VOTING ESENTATIVE.		Mana	gement	For	For		
10.	THE R AUDIT	E-ELECTION OF T CORS.	HE	Mana	gement	For	For		
11.		PPROVAL OF THE E REGISTERED OI		Mana	gement	For	For		
Accoun	4	Account Name	Internal Accoun	l ustodia it	Ballot Shares		Unavai Shares	ilable Vote Date	Date Confirmed
997GL0	Q	GLQ	S' 997G <mark>B</mark>	RUST	57,100		0	22-Apr-2017	01-Jun-2017
997GL0	0	GLO	S' 997G <mark>B</mark>	: RUST	T 145,900		0	22-Apr-2017	01-Jun-2017
LOGM	EIN, INC	C							
Security	y	54142L109				Meeting	g Type	Annual	
Ticker S	Symbol	LOGM				Meeting	g Date	01-Jun-2017	,
ISIN		US54142L1098				Agenda		934582784 -	Management
Record	Date	03-Apr-2017				Holding Date	Recon	03-Apr-2017	7
City /	Country	y	/ United States			Vote De Date	eadline	31-May-201	7
SEDOL	L(s)					Quick C	Code		
Item	Propos	al		Propo by	osed	Vote		/Against nagement	
1.1		TION OF CLASS II	DIRECTOR:	Mana	gement	For	For		
1.2		TION OF CLASS II	DIRECTOR:	Mana	gement	For	For		
1.3		TION OF CLASS II AM R. WAGNER	DIRECTOR:	Mana	gement	For	For		
2.	OF DE INDEP PUBLI	ICATION OF APPO LOITTE & TOUCH ENDENT REGISTE C ACCOUNTING F L YEAR ENDING I	E LLP AS ERED FIRM FOR		gement	For	For		

31, 2017. ADVISORY VOTE FOR THE 3. Management APPROVAL OF THE COMPANY'S For For EXECUTIVE COMPENSATION. ADVISORY VOTE ON THE FREQUENCY OF FUTURE 4. Management 3 Years Against **EXECUTIVE COMPENSATION** ADVISORY VOTES. Unavailable Vote Date Internal Custodian Ballot Account Shares Date Account Account Name Number Shares Confirmed **STATE STREET** 18,300 997GLQ **GLQ** 0 14-Apr-2017 02-Jun-2017 **TRUST** CO **STATE** STREET GLQ BNP PLEDGE 100 997AL47 18,100 14-Apr-2017 02-Jun-2017 **TRUST** CO PARSLEY ENERGY, INC. Meeting Type 701877102 Security Annual Ticker Symbol PE Meeting Date 02-Jun-2017 **ISIN** Agenda 934591238 - Management US7018771029 Holding Recon Record Date 06-Apr-2017 06-Apr-2017 Date Vote Deadline United 01-Jun-2017 City / Country States Date SEDOL(s) **Ouick Code** Proposed For/Against Vote Item Proposal by Management 1. DIRECTOR Management **BRYAN SHEFFIELD** For For A. R. ALAMEDDINE For For TO RATIFY THE APPOINTMENT OF KPMG LLP AS THE COMPANY'S INDEPENDENT REGISTERED 2. Management For For PUBLIC ACCOUNTING FIRM FOR

Management

For

For

THE FISCAL YEAR ENDING

DECEMBER 31, 2017.

3.

TO APPROVE, ON A NON-BINDING ADVISORY BASIS, THE COMPANY'S NAMED EXECUTIVE OFFICER COMPENSATION FOR THE FISCAL YEAR ENDED DECEMBER 31, 2016.

TO ADOPT AN AMENDMENT TO THE COMPANY'S AMENDED AND

4. RESTATED BYLAWS TO IMPLEMENT A MAJORITY VOTING STANDARD IN UNCONTESTED DIRECTOR ELECTIONS.

Management For For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Shares Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997 $G_{\infty}^{\mathrm{BANK}}$ 22,900 TRUST CO	0 19-Apr-2017	05-Jun-2017
997GLO	GLO	STATE STREET 997GkO 59,700 TRUST CO	0 19-Apr-2017	05-Jun-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 400 TRUST CO	20,100 19-Apr-2017	05-Jun-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK 997AL49 590 TRUST CO	51,200 19-Apr-2017	05-Jun-2017

COGNIZANT TECHNOLOGY SOLUTIONS CORP.

Security	192446102		Meeting Type	Annual
Ticker Symbol	CTSH		Meeting Date	06-Jun-2017
ISIN	US1924461023		Agenda	934600265 - Management
Record Date	10-Apr-2017		Holding Recon Date	10-Apr-2017
City / Country	у	1		05-Jun-2017

	United States		Vote Dead	line
SEDOL	L(S)		Quick Cod	e
Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: ZEIN ABDALLA	Management	For	For
1B.	ELECTION OF DIRECTOR: BETSY S. ATKINS	Management	For	For
1C.	ELECTION OF DIRECTOR: MAUREEN BREAKIRON- EVANS	Management	For	For
1D.	ELECTION OF DIRECTOR: JONATHAN CHADWICK	Management	For	For
1E.	ELECTION OF DIRECTOR: JOHN M. DINEEN	Management	For	For
1F.	ELECTION OF DIRECTOR: FRANCISCO D'SOUZA	Management	For	For
1G.	ELECTION OF DIRECTOR: JOHN N. FOX, JR.	Management	For	For
1H.	ELECTION OF DIRECTOR: JOHN E. KLEIN	Management	For	For
1I.	ELECTION OF DIRECTOR: LEO S. MACKAY, JR.	Management	For	For
1J.	ELECTION OF DIRECTOR: MICHAEL PATSALOS-FOX	Management	For	For
1K.	ELECTION OF DIRECTOR: ROBERT E. WEISSMAN	Management	For	For
2.	APPROVAL, ON AN ADVISORY (NON-BINDING) BASIS, OF THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.	Management	For	For
3.	APPROVAL, ON AN ADVISORY (NON-BINDING) BASIS, OF THE FREQUENCY OF FUTURE ADVISORY VOTES ON THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.	Management	3 Years	Against
4.	APPROVAL OF THE COMPANY'S 2017 INCENTIVE AWARD PLAN.	Management	For	For
5.	RATIFICATION OF THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP	Management	For	For

AS THE COMPANY'S
INDEPENDENT REGISTERED
PUBLIC ACCOUNTING FIRM FOR
THE YEAR ENDING DECEMBER 31,
2017.
STOCKHOLDER PROPOSAL

REQUESTING THAT THE BOARD OF DIRECTORS TAKE THE STEPS

6. NECESSARY TO ELIMINATE THE SUPERMAJORITY VOTING PROVISIONS OF THE COMPANY'S CERTIFICATE OF INCORPORATION AND BY-LAWS.

STOCKHOLDER PROPOSAL

REQUESTING THAT THE BOARD OF DIRECTORS TAKE THE STEPS NECESSARY TO PERMIT

STOCKHOLDER ACTION BY WRITTEN CONSENT.

S S S	Management	For	For
5	Shareholder	Against	For

Account Number	Account Name	Internal Custodi Account	Ballot Shares	Unava Share	ailable Vote Date s	Date Confirmed
997GLQ	GLQ	STATE STREE 997G BANK 997G & TRUST CO	Γ 23,500	0	21-Apr-2017	07-Jun-2017
997GLO	GLO	STATE STREE BANK 997G&O TRUST CO	39,400	0	21-Apr-2017	07-Jun-2017
997AL45	GLV BNP PLEDGE	STATE STREE BANK 997A 45 TRUST CO	13,900	0	21-Apr-2017	07-Jun-2017
997AL49	GLO BNP PLEDGE	STATE STREE 997A&49 TRUST CO	100	76,60	0 21-Apr-2017	07-Jun-2017
997AL60	GLV MS PLEDGE	997AL60	5,000	0	21-Apr-2017	07-Jun-2017

STATE STREET BANK & **TRUST** CO **STATE STREET GLQ MS PLEDGE** 23,000 997AL61 0 21-Apr-2017 07-Jun-2017 **TRUST** CO LADDER CAPITAL CORP Security Meeting Type Annual 505743104 Ticker Symbol LADR Meeting Date 06-Jun-2017 US5057431042 **ISIN** Agenda 934601560 - Management Holding Recon Record Date 10-Apr-2017 10-Apr-2017 Date United Vote Deadline 05-Jun-2017 City / Country States Date SEDOL(s) Quick Code **Proposed** For/Against Item Proposal Vote Management by 1. **DIRECTOR** Management **BRIAN HARRIS** For For MARK ALEXANDER For For TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP 2. Management AS THE INDEPENDENT For For REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017. Unavailable Vote Date Internal Ballot Account Shares Account Date Account Name Number Confirmed **STATE STREET** 107,000 0 25-Apr-2017 997GLQ **GLQ** 07-Jun-2017

> TRUST CO

997A**S**45ATE 102

STREET BANK &

997AL45

GLV BNP PLEDGE

07-Jun-2017

55,416 25-Apr-2017

				RUST					
997AL	47	GLQ BNP PLEDGE	S 997A <mark>8</mark> T	TATE TREET SANK AT TRUST	T 193		131,18	8 © 5-Apr-2017	07-Jun-2017
997AL	49	GLO BNP PLEDGE	S 997A <mark>8</mark> T	TATE TREET ANK TRUST	230,242		0	25-Apr-2017	07-Jun-2017
997AL	61	GLQ MS PLEDGE	S 997A <mark>8</mark> T	TATE TREET SANK 61 TRUST	30,000		0	25-Apr-2017	07-Jun-2017
BIOMA	ARIN F	HARMACEUTICAL IN	C.						
Securit	у	09061G101				Meeting	Type	Annual	
Ticker	Symbo	l BMRN				Meeting	Date	06-Jun-201	7
ISIN		US09061G1013				Agenda		934601611	- Management
Record	Date	10-Apr-2017				Holding Date	Recon	10-Apr-201	7
City /	Coun	rrv /	United States			Vote De Date	adline	05-Jun-201	7
SEDOI	$\mathcal{L}(s)$					Quick Code			
Item	Propo	osal		Propo by	osed	Vote		/Against nagement	
1.	DIRE	CTOR		Mana	gement				
	1	JEAN-JACQUES BIEN	IAIME			For	For		
	2	WILLARD DERE				For	For		
	3	MICHAEL GREY				For	For		
	4	ELAINE J. HERON				For	For		
	5	V. BRYAN LAWLIS				For	For		
	6 7	ALAN J. LEWIS RICHARD A. MEIER				For For	For		
	8	DAVID PYOTT				For	For For		
	9	DENNIS J. SLAMON				For	For		
2.	-	ATIFY THE SELECTION	N OF	Mana	gement	For	For		
		G LLP AS THE INDEPE			<i>J</i>	+-	_ 31		

REGISTERED PUBLIC ACCOUNTING FIRM FOR BIOMARIN FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017. TO APPROVE, ON AN ADVISORY BASIS, THE FREQUENCY OF THE STOCKHOLDERS' APPROVAL, ON AN ADVISORY BASIS, OF THE 3. Management 3 Years Against COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS AS DISCLOSED IN THE PROXY STATEMENT. TO APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION OF THE COMPANY'S NAMED Management 4. For For **EXECUTIVE OFFICERS AS** DISCLOSED IN THE PROXY STATEMENT. TO APPROVE THE 2017 EQUITY 5. Management For For INCENTIVE PLAN. TO APPROVE AMENDMENTS TO **BIOMARIN'S AMENDED AND** RESTATED CERTIFICATE OF INCORPORATION, AS AMENDED, TO (I) INCREASE THE TOTAL 6. NUMBER OF AUTHORIZED Management For For SHARES OF COMMON STOCK FROM 250,000,000 SHARES TO 500,000,000 SHARES, AND (II) MAKE CERTAIN MINOR ADMINISTRATIVE CHANGES.

Account Number	Account Name	Internal Custodia Account	Ballot an Shares	Unava Shares	ilable Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREE BANK 997GK TRUST CO		0	26-Apr-2017	07-Jun-2017
997GLO	GLO	STATE STREE 997G&O TRUST CO	Γ 15,500	0	26-Apr-2017	07-Jun-2017
997AL47	GLQ BNP PLEDGE	997AL47	100	7,400	26-Apr-2017	07-Jun-2017

			S' B & T C	RUST CO					
997AL4	1 9	GLO BNP PLEDGE	S 997A T	TATE TREET ANK 1 TRUST	00	1	1,800 2	26-Apr-2017	07-Jun-2017
997AL6	51	GLQ MS PLEDGE	S 997A T	TATE TREET ANK TRUST	,100	0	ź	26-Apr-2017	07-Jun-2017
SALES	FORCE.	COM, INC.							
Security	/	79466L302				Meeting T	ype	Annual	
Ticker S	Symbol	CRM				Meeting D	ate	06-Jun-2017	
ISIN		US79466L3024				Agenda		934606178 -	Management
Record	Date	12-Apr-2017				Holding Ro	econ	12-Apr-2017	,
City /	Country	v /	nited ates			Vote Dead Date	line	05-Jun-2017	
SEDOL	L(s)					Quick Cod	le		
Item	Proposa	al		Propose by	ed	Vote		Against agement	
1A.	ELECT BENIO	TION OF DIRECTOR: M DFF	ARC	Manage	ement	For	For		
1B.	ELECT BLOCK	TION OF DIRECTOR: KI	EITH	Manage	ement	For	For		
1C.	ELECT CONW	TION OF DIRECTOR: CI 'AY	RAIG	Manage	ement	For	For		
1D.		TION OF DIRECTOR: ALENFELD	LAN	Manage	ement	For	For		
1E.	ELECT KROES	TION OF DIRECTOR: N	EELIE	Manage	ement	For	For		
1F.	ELECT POWE	TION OF DIRECTOR: CO LL	OLIN	Manage	ement	For	For		
1G.		TION OF DIRECTOR: ORD ROBERTSON		Manage	ement	For	For		
1H.				Manage	ement	For	For		

	ELECTION OF DIRECTOR: JC ROOS	OHN V.				
1I.	ELECTION OF DIRECTOR: ROWASHINGTON	OBIN	Management	For	For	
1J.	ELECTION OF DIRECTOR: MAYNARD WEBB		Management	For	For	
1K.	ELECTION OF DIRECTOR: SU WOJCICKI	USAN	Management	For	For	
2.	APPROVAL TO AMEND OUR EQUITY INCENTIVE PLAN, INCLUDING TO INCREASE T NUMBER OF SHARES AUTHORIZED FOR GRANT B MILLION SHARES.	ΉE	Management	For	For	
3.	APPROVAL TO AMEND OUR EMPLOYEE STOCK PURCHA PLAN TO INCREASE THE NU OF SHARES AUTHORIZED FOR EMPLOYEE PURCHASE BY 8 MILLION SHARES.	ASE JMBER OR	Management	For	For	
4.	RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM THE FISCAL YEAR ENDING JANUARY 31, 2018.)	Management	For	For	
5.	TO APPROVE, ON AN ADVIS BASIS, THE FISCAL 2017 COMPENSATION OF OUR NA EXECUTIVE OFFICERS.		Management	For	For	
6.	TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF EXECUTIVE COMPENSATION VOTES.	E	Management	3 Years	Against	
7.	A STOCKHOLDER PROPOSA REQUESTING, ON AN ADVIS BASIS, ACTION TO ALLOW STOCKHOLDERS TO REQUE SPECIAL MEETINGS OF STOCKHOLDERS.	SORY	Shareholder	Against	For	
Account Number	Account Name	Internal Account	Ballot Shares	U S	Jnavailable Vote Date	Date Confirmed
				_		

997G**SQ**ATE 15,100

STREET

0

27-Apr-2017

997GLQ

GLQ

07-Jun-2017

		BANK & TRUST CO				
997GLO	GLO	STATE STREET 997GK TRUST CO	Γ 28,400	0	27-Apr-2017	07-Jun-2017
997AL53	GLO MS PLEDGI	STATE STREET BANK 997AL 53 TRUST CO	Γ 10,000	0	27-Apr-2017	07-Jun-2017
IMAX CORPOR	RATION					
Security	45245E109			Meeting Type	Annual	
Ticker Symbol	IMAX			Meeting Date	06-Jun-2017	
ISIN	CA45245E1097			Agenda	934607891 - 1	Management
Record Date	11-Apr-2017			Holding Recon Date	11-Apr-2017	
City / Country	У	/ Canada		Vote Deadline Date	01-Jun-2017	
SEDOL(s)				Quick Code		

Item	Proposal	Proposed by	Vote	For/Against Management
01	DIRECTOR	Management		
	1 NEIL S. BRAUN		For	For
	2 ERIC A. DEMIRIAN		For	For
	3 KEVIN DOUGLAS		For	For
	4 GREG FOSTER		For	For
	5 RICHARD L. GELFOND		For	For
	6 DAVID W. LEEBRON		For	For
	7 MICHAEL LYNNE		For	For
	8 MICHAEL MACMILLAN		For	For
	9 DANA SETTLE		For	For
	10 DARREN THROOP		For	For
	11 BRADLEY J. WECHSLER		For	For
02	IN RESPECT OF THE APPOINTMENT OF	Management	For	For

	PRICEWATERHOUSECOOPERS LLP AS AUDITORS OF THE COMPANY AND AUTHORIZING THE DIRECTORS TO FIX THEIR REMUNERATION. NOTE: VOTING WITHHOLD IS THE EQUIVALENT TO VOTING ABSTAIN.			
03	ADVISORY RESOLUTION TO APPROVE THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS AS SET FORTH IN THE ACCOMPANYING PROXY CIRCULAR. NOTE: VOTING ABSTAIN IS THE EQUIVALENT TO VOTING WITHHOLD.	Management	For	For
04	ADVISORY RESOLUTION ON THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION. NOTE: VOTING ABSTAIN IS THE EQUIVALENT TO VOTING WITHHOLD.	Management	3 Years	Against

Account

Number

Account Name

997GLQ	GLQ	STATE STREET 997G & 6,20 TRUST CO	00 0	28-Apr-2017	07-Jun-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997A AAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAAA	114,20	0 0 28-Apr-2017	07-Jun-2017
BIOGEN INC.					
Security	09062X103		Meeting Type	Annual	
Ticker Symbol	BIIB		Meeting Date	07-Jun-2017	
ISIN	US09062X1037		Agenda	934600568 -	Management
Record Date	10-Apr-2017		Holding Recon Date	10-Apr-2017	
City / Countr	·v/	Jnited States	Vote Deadline Date	06-Jun-2017	
SEDOL(s)			Quick Code		

Internal Ballot Custodian Shares Unavailable Shares Vote Date Date

Confirmed

Item	Proposal	Proposed by	Vote	For/Against Management	
1A.	ELECTION OF DIRECTOR: ALEXANDER J. DENNER	Management	For	For	
1B.	ELECTION OF DIRECTOR: CAROLINE D. DORSA	Management	For	For	
1C.	ELECTION OF DIRECTOR: NAME L. LEAMING	NCY Management	For	For	
1D.	ELECTION OF DIRECTOR: RICHARD C. MULLIGAN	Management	For	For	
1E.	ELECTION OF DIRECTOR: ROPW. PANGIA	BERT Management	For	For	
1F.	ELECTION OF DIRECTOR: STE PAPADOPOULOS	ELIOS Management	For	For	
1G.	ELECTION OF DIRECTOR: BRI S. POSNER	AN Management	For	For	
1H.	ELECTION OF DIRECTOR: ERIC ROWINSKY	C K. Management	For	For	
1I.	ELECTION OF DIRECTOR: LYN SCHENK	NN Management	For	For	
1J.	ELECTION OF DIRECTOR: STEPHEN A. SHERWIN	Management	For	For	
1K.	ELECTION OF DIRECTOR: MIC VOUNATSOS	CHEL Management	For	For	
2.	TO RATIFY THE SELECTION OF PRICEWATERHOUSECOOPERS AS BIOGEN INC.'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBED 11, 2017.	S LLP ENT Management	For	For	
3.	SAY ON PAY - TO APPROVE A ADVISORY VOTE ON EXECUT COMPENSATION.		For	For	
4.	SAY WHEN ON PAY - TO APPR AN ADVISORY VOTE ON THE FREQUENCY OF THE ADVISOR VOTE ON EXECUTIVE COMPENSATION.		3 Years	Against	
5.	TO APPROVE THE BIOGEN INC 2017 OMNIBUS EQUITY PLAN.	Management	For	For	
Accour Numbe	nt Account Name Ir	nternal Ballot Custodian ccount Shares	Ţ	Jnavailable Vote Date Shares	Date Confirmed

997AL	45	GLV BNP PLEDGE	ST 997AL	RUST	240	1	,500	27-Apr-2017	08-Jun-2017
997AL	47	GLQ BNP PLEDGE	ST 997AL	RUST	190	1	1,600	27-Apr-2017	08-Jun-2017
997AL4	49	GLO BNP PLEDGE	ST 997AL	RUST	570	1	7,200	27-Apr-2017	08-Jun-2017
997AL0	51	GLQ MS PLEDGE	ST 997AL	RUST	2,000	0)	27-Apr-2017	08-Jun-2017
ALPH <i>A</i>	ABET IN	C							
Security	y	02079K305				Meeting T	ype	Annual	
Ticker S	Symbol	GOOGL				Meeting D	ate	07-Jun-2017	
ISIN		US02079K3059				Agenda		934604946 -	Management
Record	Date	19-Apr-2017				Holding R Date	lecon	19-Apr-2017	
City /	Country		nited tates			Vote Dead Date	lline	06-Jun-2017	
SEDOL	L(s)					Quick Coo	de		
Item	Proposa	al		Propo by	sed	Vote		Against nagement	
1.	DIREC	CTOR		Mana	gement				
		LARRY PAGE				For	For		
		SERGEY BRIN				For	For		
		ERIC E. SCHMIDT				For	For		
		L. JOHN DOERR	ı ın			For	For		
		ROGER W. FERGUSON DIANE B. GREENE	N, JK.			For For	For For		
		OHN L. HENNESSY				For	For		
	, ,	CIII L. IILI II ILDO I				1 01	1 01		

	8 ANN MATHER		For	For
	9 ALAN R. MULALLY		For	For
	10 PAUL S. OTELLINI		For	For
	11 K. RAM SHRIRAM		For	For
	12 SHIRLEY M. TILGHMAN		For	For
2.	THE RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS ALPHABET'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	THE APPROVAL OF AN AMENDMENT TO ALPHABET'S 2012 STOCK PLAN TO INCREASE THE SHARE RESERVE BY 15,000,000 SHARES OF CLASS C CAPITAL STOCK.	Management	For	For
4.	THE APPROVAL OF THE 2016 COMPENSATION AWARDED TO NAMED EXECUTIVE OFFICERS.	Management	For	For
5.	THE FREQUENCY OF FUTURE STOCKHOLDER ADVISORY VOTES REGARDING COMPENSATION AWARDED TO NAMED EXECUTIVE OFFICERS.	Management	3 Years	For
6.	A STOCKHOLDER PROPOSAL REGARDING EQUAL SHAREHOLDER VOTING, IF PROPERLY PRESENTED AT THE MEETING.	Shareholder	Against	For
7.	A STOCKHOLDER PROPOSAL REGARDING A LOBBYING REPORT, IF PROPERLY PRESENTED AT THE MEETING.	Shareholder	Against	For
8.	A STOCKHOLDER PROPOSAL REGARDING A POLITICAL CONTRIBUTIONS REPORT, IF PROPERLY PRESENTED AT THE MEETING.	Shareholder	Against	For
9.	A STOCKHOLDER PROPOSAL REGARDING A REPORT ON GENDER PAY, IF PROPERLY PRESENTED AT THE MEETING.	Shareholder	Against	For
10.	A STOCKHOLDER PROPOSAL REGARDING A CHARITABLE	Shareholder	Against	For

CONTRIBUTIONS REPORT, IF PROPERLY PRESENTED AT THE MEETING.

A STOCKHOLDER PROPOSAL

REGARDING THE

11. IMPLEMENTATION OF "HOLY Shareholder Against For

LAND PRINCIPLES," IF PROPERLY PRESENTED AT THE MEETING.

A STOCKHOLDER PROPOSAL

12. REGARDING A REPORT ON "FAKE NEWS," IF PROPERLY PRESENTED Shareholder Against For

AT THE MEETING.

Account Number	Account Name	Internal Ballot Custodian Shares	Unavailable Vote Date Shares	Date Confirmed
997GLQ	GLQ	STATE STREET 997 G_{∞}^{BANK} 2,000 TRUST CO	0 29-Apr-2017	08-Jun-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997Ag47 883 TRUST CO	0 29-Apr-2017	08-Jun-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997A&49 167 TRUST CO	8,500 29-Apr-2017	08-Jun-2017
997AL61	GLQ MS PLEDGE	STATE STREET 997A _{&} 61 500 TRUST CO	0 29-Apr-2017	08-Jun-2017

ARES COMMERCIAL REAL ESTATE CORP

Security	04013V108	Meeting Type	Annual
Ticker Symbol	ACRE	Meeting Date	07-Jun-2017
ISIN	US04013V1089	Agenda	934606015 - Management
Record Date	12-Apr-2017	Holding Recon Date	12-Apr-2017

City /	Countr	·V	Jnited States			Vote De Date	adline	06-Jun-2017	
SEDOL	L(s)					Quick C	Code		
Item	Propos	al		Propo by	osed	Vote		/Against nagement	
1.	DIREC	CTOR		Mana	gement				
	1 (CAROLINE E. BLAKE	LY			For	For		
	2 1	ROBERT L. ROSEN				For	For		
2.	ERNS'	ATIFY THE SELECTIO T & YOUNG LLP AS T ANY'S INDEPENDENT STERED PUBLIC	ΉE	Mana	gement	For	For		
۷.	ACCO	UNTING FIRM FOR T L YEAR ENDING DEC			gement	101	101		
Accoun Numbe		Account Name	Interna Accour	l Tustodia it	Ballot Shares		Unava Shares	ilable Vote Date	Date Confirmed
997GL	V	GLV	S' S'	TATE TREET			0	28-Apr-2017	08-Jun-2017
)) GL	•	GE V	T	RUST CO	17,500		Ü	20 ripi 2017	00 Juli 2017
997GL	Q	GLQ	S 997GL &	ζ -	75,153		0	28-Apr-2017	08-Jun-2017
			C	RUST O					
997AL	45	GLV BNP PLEDGE		TATE TREET ANK 45	Γ 100		52,300	28-Apr-2017	08-Jun-2017
			T	RUST O					
997AL	47	GLQ BNP PLEDGE		TATE TREET ANK	Г 203,747		0	28-Apr-2017	08-Jun-2017
991AL	47	OLQ BIVE FLEDGE	T	RUST	203,747		U	26-Api-2017	06-Juli-2017
CREDI	IT ACCE	EPTANCE CORPORAT	ION						
Security	У	225310101				Meeting	Type	Annual	
Ticker	Symbol	CACC				Meeting	Date	07-Jun-2017	

ISIN		US2253101016				Agenda		934606142 -	Management	
Record	Date	13-Apr-2017				Holding Recon Date		13-Apr-2017	13-Apr-2017	
City /	Cour	ntry	United States			Vote Deadline Date		06-Jun-2017		
SEDOI	L(s)					Quick C	Code			
Item	Prop	osal		Propose by	ed	Vote		/Against nagement		
1.	DIRI	ECTOR		Manage	ement	-				
	1	GLENDA J. FLANA	GAN			For	For			
	2	BRETT A. ROBERT	S			For	For			
	3	THOMAS N. TRYFO	OROS			For	For			
	4	SCOTT J. VASSALL	UZZO			For	For			
2.	NAM	ISORY VOTE TO AP MED EXECUTIVE OF MPENSATION.		Manage	ement	For	For			
3.	FREG ADV NAM	TISORY VOTE ON TH QUENCY OF FUTURI TISORY VOTES TO A MED EXECUTIVE OF MPENSATION.	E PPROVE	Manage	ement	3 Years	Aga	ainst		
4.	SELI THO ACC INDI	IFICATION OF THE ECTION OF GRANT PROTON LLP AS CRESEPTANCE CORPORATE EPENDENT REGISTE LIC ACCOUNTING F	TION'S RED	Manage	ement	For	For			
Accour Numbe		Account Name	Interna Accou	d E Custodian	Ballot Shares		Unava Shares	ilable Vote Date	Date Confirmed	
997GL	Q	GLQ	S 997GI 3	TATE TREET SANK Q 8 TRUST	3,800		0	28-Apr-2017	08-Jun-2017	
997GL	О	GLO	997G 3	TATE TREET ANK 1 TRUST	15,000		0	28-Apr-2017	08-Jun-2017	
997AL	47	GLQ BNP PLEDG	E 997AB	47 ATE 1	162		9,900	28-Apr-2017	08-Jun-2017	

		g	J	Ŭ	. ,				
				TREET	Γ				
			&						
				RUST					
				O					
				TATE TREE	г				
997AL	.49	GLO BNP PLEDGE	997A	ANK 49	100		25,562	2 28-Apr-2017	08-Jun-2017
			G.	z RUST				_	
				O					
				TATE					
				TREE	Γ				
997AL	.53	GLO MS PLEDGE	997AL		6,950		0	28-Apr-2017	08-Jun-2017
				RUST O					
ADUR	O BIOTI	ECH INC		.0					
Securit		00739L101				Meetin	g Type	Annual	
	Symbol	ADRO				Meetin		08-Jun-2017	
ISIN		US00739L1017				Agenda			Management
	1.5					_	g Recon		_
Record	I Date	19-Apr-2017				Date		19-Apr-2017	
City /	Countr	·v/	Jnited States			Vote D Date	eadline	07-Jun-2017	
SEDO	L(s)					Quick (Code		
Item	Propos	o1		Propo	osed	Vote	For	:/Against	
псш	riopos	ai		by		VOIC	Ma	nagement	
1.	DIREC	CTOR		Mana	agement				
	1	ROSS HAGHIGHAT				For	For	•	
	2	FRANK MCCORMICK	, PH.D.			For	For	•	
		ATIFY THE SELECTIO							
		UDIT COMMITTEE O D OF DIRECTORS OF							
2.		ITTE & TOUCHE LLP		Mone	agement	For	For		
۷.		PENDENT REGISTERE		Mana	igement	гог	го	:	
		IC ACCOUNTING FIRE FISCAL YEAR ENDING							
		MBER 31, 2017.							
Accoun		Account Name	Interna] Lustodia	Ballot Shares		Unava	ilable Vote Date	Date
Numbe	er						Snares	3	Confirmed
997GL	.Q	GLQ	997G S S	QATE TREE			0	25-Apr-2017	09-Jun-2017
			J	···	•				

BANK & **TRUST** CO **STATE STREET GLQ BNP PLEDGE** 100 997AL47 77,500 25-Apr-2017 09-Jun-2017 **TRUST** CO VERTEX PHARMACEUTICALS INCORPORATED Meeting Type Security 92532F100 Annual Ticker Symbol Meeting Date 08-Jun-2017 VRTX **ISIN** US92532F1003 Agenda 934615278 - Management Holding Recon Record Date 12-Apr-2017 12-Apr-2017 Date United Vote Deadline 07-Jun-2017 City / Country States Date SEDOL(s) Quick Code **Proposed** For/Against Item **Proposal** Vote by Management 1. **DIRECTOR** Management ALAN GARBER For For 2 MARGARET G. MCGLYNN For For 3 WILLIAM D. YOUNG For For AMENDMENTS TO OUR CHARTER AND BY-LAWS TO PROVIDE FOR 2. Management For For THE DECLASSIFICATION OF OUR BOARD OF DIRECTORS. AMENDMENT AND **RESTATEMENT OF OUR 2013** STOCK AND OPTION PLAN, TO 3. AMONG OTHER THINGS, Management For For INCREASE THE NUMBER OF SHARES AVAILABLE UNDER THE PLAN BY 6.75 MILLION SHARES. RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR 4. INDEPENDENT REGISTERED Management For For PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31,

For

For

2017.

ADVISORY VOTE ON OUR NAMED Management

5.

	EXECUTIVE OFFICER COMPENSATION.			
6.	ADVISORY VOTE ON THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION PROGRAM.	Management	3 Years	Against
7.	SHAREHOLDER PROPOSAL, IF PROPERLY PRESENTED AT THE MEETING, REQUESTING THAT WE TAKE STEPS NECESSARY TO ELIMINATE SUPERMAJORITY PROVISIONS FROM OUR CHARTER AND BY-LAWS.	Shareholder	Against	For
8.	SHAREHOLDER PROPOSAL, IF PROPERLY PRESENTED AT THE MEETING, REQUESTING THAT WE PREPARE A REPORT ON OUR POLICIES AND ACTIVITIES WITH RESPECT TO LOBBYING.	Shareholder	Against	For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unava Shares	ilable Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997GLO 10,300 TRUST CO	0	03-May-2017	09-Jun-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK 997AL49 25,800 TRUST CO	0	03-May-2017	09-Jun-2017
AMS AG, UN	TERPREMSTAETTEN				
Security	A0400Q115		Meeting Type	Ordinary Ger	neral Meeting
Ticker Symbo	1		Meeting Date	09-Jun-2017	
ISIN	AT0000A18XM4		Agenda	708173808 -	Management
Record Date	30-May-2017		Holding Recon Date	30-May-2017	7
City / Coun	PREMST try AETTEN / A	Austria	Vote Deadline Date	24-May-2017	7
SEDOL(s)	BPF0537 - BPF0548 - BPFJ772 - BPH3KB7		Quick Code		

Item	Proposal	Proposed by	Vote	For/Against Management
1	PRESENTATION OF ANNUAL REPORTS	Non-Voting		
2	ALLOCATION OF NET PROFITS: DIVIDENDS OF EUR 0.30	Management	For	For
3	DISCHARGE OF MANAGEMENT BOARD	Management	For	For
4	DISCHARGE OF SUPERVISORY BOARD	Management	For	For
5	REMUNERATION FOR SUPERVISORY BOARD	Management	For	For
6	ELECTION OF EXTERNAL AUDITOR: KPMG	Management	For	For
7	CANCELLATION OF AUTHORIZED CAPITAL AND AMENDMENT OF ARTICLES	Management	For	For
8	NEW AUTHORIZED CAPITAL AND AMENDMENT OF ARTICLES	Management	For	For
9	AUTHORIZATION FOR ISSUANCE OF FINANCIAL INSTRUMENTS	Management	For	For
10	CONDITIONAL CAPITAL INCREASE	Management	For	For
11	BUYBACK AND USAGE OF OWN SHS	Management	For	For
12	REPORT ON OWN SHARES	Non-Voting		
CMMT	22 MAY 2017: PLEASE NOTE THAT THIS IS A REVISION DUE TO RECEIPT OF DIVIDEND-AMOUNT AND AUDITOR NAME. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO-NOT VOTE AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK-YOU.	Non-Voting		

Account Number	Account Name	Internal E Custodian Account	Ballot Shares	Unav Share	ailable Vote Date	Date Confirmed		
GLQ	GLQ	STATE STREET 2 BANK		0	11-May-2017	02-Jun-2017		
KURA ONCOLOGY, INC.								
Security	50127T109			Meeting Type	Annual			
Ticker Symbo	l KURA			Meeting Date	09-Jun-2017			

ISIN		US50127T1097				Agenda		934606419 - 1	Management
Record	Date	11-Apr-2017				Holding Date	Recon	11-Apr-2017	
City /	Count	rv /	Inited tates			Vote De Date	adline	08-Jun-2017	
SEDOI	$\mathcal{L}(s)$					Quick C	ode		
Item	Propo	sal		Propo by	sed	Vote		Against agement	
1.	DIRE	CTOR		Manag	gement				
	1	TROY E. WILSON, PHI	D, JD			For	For		
	2	FAHEEM HASNAIN				For	For		
	3	ROBERT E. HOFFMAN	J			For	For		
	4	THOMAS MALLEY				For	For		
	5	STEVEN H. STEIN, M.	D.			For	For		
2.	& YO INDE PUBL OUR	ATIFY SELECTION OF DUNG LLP AS OUR PENDENT REGISTERE LIC ACCOUNTING FIRM FISCAL YEAR ENDINGEMBER 31, 2017.	D M FOR	Manaş	gement	For	For		
3.	TO A OUR CERT INCO AS SI	PPROVE AN AMENDM AMENDED AND REST TIFICATE OF PRORATION, AS AME ET FORTH IN THE PRO EMENT.	ATED NDED,		gement	For	For		
Accour Numbe		Account Name	Internal Accour	l ustodia it	Ballot n Shares		Unavail Shares	able Vote Date	Date Confirmed
997GL	0	GLO	S' S' 997GL	TATE TREET ANK O RUST			0	26-Apr-2017	12-Jun-2017
997AL	47	GLQ BNP PLEDGE	S' S' 997AL T	TATE TREET	100		21,000	26-Apr-2017	12-Jun-2017
T-MOI	BILE U	S, INC.							
Securit	у	872590104				Meeting	Type	Annual	
Ticker	Symbol	TMUS				Meeting	Date	13-Jun-2017	

ISIN		US8725901040			Agenda		934605936 - Management
Record	Date	17-Apr-2017			Holding R Date	econ	17-Apr-2017
City /	Count	ry	/ United States		Vote Dead Date	lline	12-Jun-2017
SEDOL	$\mathcal{L}(\mathbf{s})$				Quick Cod	le	
Item	Propos	sal		Proposed by	Vote		gainst gement
1.	DIREC	CTOR		Management			
	1	W. MICHAEL BAR	ENES		For	For	
	2	THOMAS DANNE	NFELDT		For	For	
	3	SRIKANT M. DAT	AR		For	For	
	4	LAWRENCE H. GU	JFFEY		For	For	
	5	TIMOTHEUS HOT	TGES		For	For	
	6	BRUNO JACOBFE	UERBORN		For	For	
	7	RAPHAEL KUBLE	R		For	For	
	8	THORSTEN LANG	HEIM		For	For	
	9	JOHN J. LEGERE			For	For	
	10	TERESA A. TAYLO	OR		For	For	
	11	KELVIN R. WESTI	BROOK		For	For	
2.	APPO PRICE AS TH INDEI PUBL	FICATION OF THE INTMENT OF EWATERHOUSECO HE COMPANY'S PENDENT REGIST IC ACCOUNTING AL YEAR 2017.	OOPERS LLP ERED	Management	For	For	
3.	THE C	SORY VOTE TO A COMPENSATION P HE COMPANY'S NA CUTIVE OFFICERS	ROVIDED AMED	Management	For	For	
4.	FREQ ADVI COMI THE C	SORY VOTE ON TO UENCY OF FUTUR SORY VOTES ON TO PENSATION PROVE COMPANY'S NAMI SUTIVE OFFICERS.	RE THE IDED TO ED	Management	3 Years	For	
5.		KHOLDER PROPO EMENTATION OF ESS.		Shareholder	Against	For	
6.	LIMIT	KHOLDER PROPO TATIONS ON ACCI ING OF EQUITY A	ELERATED	Shareholder	Against	For	

THE EVENT OF A CHANGE OF CONTROL.

STOCKHOLDER PROPOSAL FOR

City / Country

7. AN AMENDMENT OF THE Shareholder Against For COMPANY'S CLAWBACK POLICY.

/ United

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavai Shares	lable Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997G & 6,300 TRUST CO	0	28-Apr-2017	14-Jun-2017
997GLQ	GLQ	STATE STREET 997G ANK TRUST CO	0	28-Apr-2017	14-Jun-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 19,500 TRUST CO	0	28-Apr-2017	14-Jun-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997AL49 100 TRUST CO	77,800	28-Apr-2017	14-Jun-2017
997AL61	GLQ MS PLEDGE	STATE STREET 997AL61 10,000 TRUST CO	0	28-Apr-2017	14-Jun-2017
DERMIRA, II	NC.				
Security	24983L104		Meeting Type	Annual	
Ticker Symbo	l DERM		Meeting Date	13-Jun-2017	
ISIN	US24983L1044		Agenda	934615646 -	Management
Record Date	19-Apr-2017		Holding Recon Date	19-Apr-2017	

Vote Deadline

12-Jun-2017

		S	tates		Date			
SEDOI	L(s)				Quick Co	ode		
Item	Proposa	al		Proposed by	Vote		/Against nagement	
1.	DIREC	TOR		Management				
	1 N	MARK D. MCDADE			For	For		
	2 J	AKE R. NUNN			For	For		
	3 7	THOMAS G. WIGGAN	S		For	For		
2.	ADVIS COMP OUR N	OVE, ON A NON-BIND SORY BASIS, THE ENSATION PAID BY I IAMED EXECUTIVE ERS FOR THE YEAR I MBER 31, 2016.	US TO	Management	For	For		
3.	ADVIS FREQU ADVIS	ON A NON-BINDING SORY BASIS, ON THE JENCY OF FUTURE SORY VOTES ON EXE		Management	3 Years	Aga	uinst	
4.	ERNST INDEP PUBLI	Y THE APPOINTMEN' C & YOUNG LLP AS O ENDENT REGISTERE C ACCOUNTING FIRM EAR ENDING DECEM	UR D M FOR	Management	For	For		
Accour Numbe	4	Account Name	Interna Accour	ustoaian		Unava Shares	ilable Vote Date	Date Confirmed
997GL	Q	GLQ	S 997G T	TATE TREET ANK 17,100 RUST O		0	03-May-2017	14-Jun-2017
997AL	47	GLQ BNP PLEDGE	S 997A T	TATE TREET ANK 47 100 RUST O		6,800	03-May-2017	14-Jun-2017
CELGI	ENE COI	RPORATION						
Securit	У	151020104			Meeting '	Type	Annual	
	Symbol	CELG			Meeting	Date	14-Jun-2017	
ISIN		US1510201049			Agenda		934609023 -	Management

Record 1	Date	20-Apr-2017			Holding Re	econ	20-Apr-2017
City /	Coun	try /	United States		Vote Deadl Date	line	13-Jun-2017
SEDOL	(s)				Quick Code	e	
Item	Propo	osal		Proposed by	Vote		gainst gement
1.	DIRE	ECTOR		Management			
	1	ROBERT J. HUGIN			For	For	
	2	MARK J. ALLES			For	For	
	3	RICHARD W BARK	ER D PHIL		For	For	
	4	MICHAEL W. BONN	IEY		For	For	
	5	MICHAEL D. CASE	Y		For	For	
	6	CARRIE S. COX			For	For	
	7	MICHAEL A. FRIED	MAN, MD		For	For	
	8	JULIA A. HALLER, I	M.D.		For	For	
	9	GILLA S. KAPLAN,	PH.D.		For	For	
	10	JAMES J. LOUGHLI	N		For	For	