Clough Global Equity Fund Form N-PX August 23, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number: 811-21712

CLOUGH GLOBAL EQUITY FUND

(Exact name of registrant as specified in charter)

1290 Broadway, Suite 1100, Denver, Colorado 80203

(Address of principal executive offices) (Zip code)

Sareena Khwaja-Dixon, Esq.
Clough Global Equity Fund
1290 Broadway, Suite 1100
Denver, Colorado 80203
(Name and address of agent for service)

Registrant's Telephone Number, including Area Code: (303) 623-2577

Date of fiscal year end: October 31

Date of reporting period: July 1, 2016 – June 30, 2017

Item 1 – Proxy Voting Record.

Vote Sur AKORN	•								
Security	/	009728106			Meeting	g Type		Annual	
Ticker S	Symbol	AKRX			Meeting	g Date		01-Jul-2016	
ISIN		US0097281069			Agenda			934429437 - 1	Management
Record	Date	11-May-2016			Holding Date	g Recon		11-May-2016	
City / Country / United States				Vote De Date	Vote Deadline Date		30-Jun-2016		
SEDOL	L(s)				Quick Code				
Item	Propos	sal		Proposed by	Vote			gainst gement	
1.	DIRE	CTOR		Management					
	1	JOHN KAPOOR, PHD			For	F	For		
	2	KENNETH ABRAMO	WITZ		For	F	For		
	3	ADRIENNE GRAVES,	PHD		For	F	For		
	4	RONALD JOHNSON			For	F	For		
	5	STEVEN MEYER			For	F	For		
	6	TERRY ALLISON RA	PPUHN		For	F	For		
	7	BRIAN TAMBI			For	F	For		
	8	ALAN WEINSTEIN			For	F	For		
2.	APPO AS TH INDE PUBL YEAR	OSAL TO RATIFY THE INTMENT OF BDO US IE COMPANY'S PENDENT REGISTERI IC ACCOUNTING FIR R ENDING DECEMBER	SA, LLP ED M FOR	Management	For	F	For		
3.	THRO ADVI COMI COMI DESC	OSAL TO APPROVE, DUGH A NON- BINDIN SORY VOTE, THE PANY'S EXECUTIVE PENSATION PROGRAM RIBED IN THE COMP. PROXY STATEMENT.	M AS	Management	For	F	For		
Account Number		Account Name	Internal Accoun	Ballot Istodian Khares		Unavai Shares	ilable	e ote Date	Date Confirmed
997AL4	15	GLV BNP PLEDGE				18,800	2	1-May-2016	21-May-2016

STREET BANK

		_u.ga		, o.g		,	• • • • • • • • • • • • • • • • • • • •		
				z RUST CO	,				
997AL4	47	GLQ BNP PLEDGE	S 997A T	TATE TREE SANK A RUST CO	T 5,500	4	6,700	21-May-2016	21-May-2016
997AL	49	GLO BNP PLEDGE	S 997A T	TATE TREE SANK 49 RUST CO	T 5,200	1	11,100	21-May-2016	21-May-2016
HERCU	JLES CA	APITAL INC							
Security	y	427096508				Meeting T	Гуре	Annual	
Ticker	Symbol	HTGC				Meeting D	Date	07-Jul-2016	
ISIN		US4270965084				Agenda		934423079	- Management
Record	Date	15-Apr-2016				Holding R Date	Recon	15-Apr-201	6
City /	Country	J /	Jnited States			Vote Dead Date	dline	06-Jul-2016	
SEDOL	$\mathcal{L}(\mathbf{s})$					Quick Cod	de		
Item	Proposa	al		Prop by	osed	Vote		or/Against anagement	
1A.		TION OF DIRECTOR: EL A. HENRIQUEZ		Man	agement	For	Fo	r	
1B.		TION OF DIRECTOR: H F. HOFFMAN		Man	agement	For	Fo	r	
2.	BASIS, EXECU	OVE, ON AN ADVISO , THE COMPANY'S N JTIVE OFFICER ENSATION.		Mana	agement	For	Fo	or	
3.	PRICE LLP TO INDEP ACCOU FISCAL	ICATION OF WATERHOUSECOO D SERVE AS OUR ENDENT PUBLIC UNTING FIRM FOR L YEAR ENDING MBER 31, 2016.		Man	agement	For	Fo	or	
Accoun Numbe		Account Name	Interna Accoun	ıl Custodi nt	Ballot an Shares	U S	Jnavaila Shares	able Vote Date	Date Confirmed

997GL	V	GLV	STATE STREE 997G& TRUST CO	37,900	0		11-May-2016	08-Jul-2016
997GL	Q	GLQ	STATE STREE 997G ANK TRUST CO	Г 61,900	0		11-May-2016	08-Jul-2016
ARMS'	TRONG	WORLD INDUSTRIES	S, INC.					
Securit	y	04247X102			Meeting Type	e	Annual	
Ticker	Symbol	AWI			Meeting Date	e	08-Jul-2016	
ISIN		US04247X1028			Agenda		934420237 -	Management
Record	Date	15-Apr-2016			Holding Reco	on	15-Apr-2016	
City /	Countr	v /	nited ates		Vote Deadlin Date	ie	07-Jul-2016	
SEDOI	$\mathcal{L}(s)$				Quick Code			
Item	Propos	al	Propo by	osed	Vote		/Against nagement	
1.	DIREC	TOR	Mana	agement				
	1 3	STAN A. ASKREN			For	For		
	2	VICTOR D. GRIZZLE			For	For		
	3	ΓAO HUANG			For	For		
	4	LARRY S. MCWILLIA	MS		For	For		
	5	JAMES C. MELVILLE			For	For		
	6 .	JAMES J. O'CONNOR			For	For		
	7	JOHN J. ROBERTS			For	For		
	8	GREGORY P. SPIVY			For	For		
	9 (CHERRYL T. THOMA	S		For	For		
2.	KPMG INDEP	TIFY THE SELECTIO LLP AS OUR ENDENT REGISTERE C ACCOUNTING FIR	ED Mana	agement	For	For		
3.	ARMS'	PROVE OF THE TRONG WORLD STRIES, INC. 2016 'TORS' STOCK UNIT I		agement	For	For		
4.			Mana	agement	For	For		

TO APPROVE OF THE ARMSTRONG WORLD INDUSTRIES, INC. 2016 LONG-TERM INCENTIVE PLAN.

Account Number	Account Name	Internal Ballot Custodian Shares	Unavailable Shares	ote Date Confirmed
997GLO	GLO	STATE STREET 997G ON 113,400 TRUST CO	0 07-	-May-2016 11-Jul-2016
997AL45	GLV BNP PLEDGE	STATE STREET 997A A 27,400 TRUST CO	0 07-	-May-2016 11-Jul-2016
997AL47	GLQ BNP PLEDGE	STATE STREET 997A 47 45,200 TRUST CO	0 07-	-May-2016 11-Jul-2016

CHINA LONGYUAN POWER GROUP CORPORATION LTD

Security	Y1501T101	.01 Meeting Type	
Ticker Symbol		Meeting Date	12-Aug-2016
ISIN	CNE100000HD4	Agenda	707215744 - Management
Record Date	11-Jul-2016	Holding Recon Date	11-Jul-2016
City / Country	BEIJING / China	Vote Deadline Date	08-Aug-2016
SEDOL(s)	B3MFW30 - B4Q2TX3 - B4XWG35 - BP3RS86	Quick Code	

Item Proposal Proposed by Vote For/Against Management

CMMT PLEASE NOTE THAT THE Non-Voting

COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-

http://www.hkexnews.hk/listedco/listconews/SEHK/2016/

0624/LTN20160624371.pdf-,-

http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0624/LTN20160624389.pdf

TO CONSIDER AND APPROVE

THE APPOINTMENT OF MR.

LUAN BAOXING AS A

NONEXECUTIVE DIRECTOR OF

THE COMPANY TO FILL THE

VACANCY LEFT BY

RESIGNATION OF MR. SHAO

GUOYONG, WITH EFFECT FROM

THE DATE OF THE EGM WHEN

THE NOMINATION IS APPROVED

BY THE SHAREHOLDERS OF THE

COMPANY AND UNTIL THE

EXPIRATION OF THE TERM OF

THE CURRENT SESSION OF THE

BOARD OF DIRECTORS OF THE

COMPANY (THE "BOARD").

MEANWHILE, TO AUTHORIZE

THE REMUNERATION AND

ASSESSMENT COMMITTEE OF

THE BOARD TO DETERMINE THE

1 REMUNERATION OF THE NEW Management For For

DIRECTORS ACCORDING TO

THE DIRECTORS' AND

SUPERVISORS' REMUNERATION

PLAN FOR THE YEAR 2016 AS

APPROVED AT THE ANNUAL

GENERAL MEETING UPON THE

CANDIDATES FOR THE NEW

DIRECTORS AS APPROVED AT

THE EGM, AND TO AUTHORIZE

THE CHAIRMAN OF THE BOARD

OF THE COMPANY OR ANY ONE

OF THE EXECUTIVE DIRECTORS

TO ENTER INTO A SERVICE

CONTRACT WITH EACH OF THE

NEW DIRECTORS AND HANDLE

OTHER RELEVANT MATTERS ON

BEHALF OF THE COMPANY

UPON THE CANDIDATES FOR

THE NEW DIRECTORS BEING

APPROVED AT THE EGM

2 TO CONSIDER AND APPROVE Management For For

THE APPOINTMENT OF MR.

YANG XIANGBIN AS A

NONEXECUTIVE DIRECTOR OF

THE COMPANY TO FILL THE

VACANCY LEFT BY

RESIGNATION OF MR. CHEN

JINGDONG, WITH EFFECT FROM THE DATE OF THE EGM WHEN THE NOMINATION IS APPROVED BY THE SHAREHOLDERS OF THE COMPANY AND UNTIL THE EXPIRATION OF THE TERM OF THE CURRENT SESSION OF THE BOARD. MEANWHILE, TO AUTHORIZE THE REMUNERATION AND

ASSESSMENT COMMITTEE OF THE BOARD TO DETERMINE THE REMUNERATION OF THE NEW DIRECTORS ACCORDING TO THE DIRECTORS' AND SUPERVISORS' REMUNERATION PLAN FOR THE YEAR 2016 AS APPROVED AT THE ANNUAL GENERAL MEETING UPON THE CANDIDATES FOR THE NEW DIRECTORS AS APPROVED AT THE EGM, AND TO AUTHORIZE THE CHAIRMAN OF THE BOARD OF THE COMPANY OR ANY ONE OF THE EXECUTIVE DIRECTORS TO ENTER INTO A SERVICE CONTRACT WITH EACH OF THE NEW DIRECTORS AND HANDLE OTHER RELEVANT MATTERS ON BEHALF OF THE COMPANY **UPON THE CANDIDATES FOR** THE NEW DIRECTORS BEING APPROVED AT THE EGM.

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavail Shares	able Vote Date	Date Confirmed
GLV	GLV	STATE STREET771,000 BANK	0	25-Jun-2016	10-Aug-2016
GLQ	GLQ	STATE STREET1,253,000 BANK	0	25-Jun-2016	10-Aug-2016
GLO	GLO	STATE STREET3,161,000 BANK	0	25-Jun-2016	10-Aug-2016
LIBERTY ME	DIA CORPORATION				
Security	531229409		Meeting Type	Annual	

Ticker	Symbol	LSXMA		Meeting	n Date	23-Aug-2016		
ISIN	Symbol	US5312294094		Agenda		934458870 -		
Record	Date	01-Jul-2016		Holding Date		01-Jul-2016	C	
City /	Countr	'V	Jnited States	Vote De Date	eadline	22-Aug-2016	i	
SEDOI	L(s)			Quick Code				
Item	Propos	al	Proposed by	Vote		Against nagement		
1.	DIREC	CTOR	Management					
	1	JOHN C. MALONE		For	For	•		
	2	ROBERT R. BENNET	TT	For	For	•		
	3	M. IAN G. GILCHRIS	ST	For	For	•		
2.	SELEC OUR I FOR T	OPOSAL TO RATIFY TO CTION OF KPMG LLP NDEPENDENT AUDI THE FISCAL YEAR EN MBER 31, 2016.	PAS ITORS Management	For	For			
Accour Numbe		Account Name	Internal Ballot Custodian Account Shares		Unavaila Shares	ble Vote Date	Date Confirmed	
997AL	49	GLO BNP PLEDGE	STATE STREET 997AL49 1,656 TRUST CO		113,800	09-Jul-2016	24-Aug-2016	
997AL		GLQ MS PLEDGE	STATE STREET 997A _{&} 61 9,168 TRUST CO		0	09-Jul-2016	24-Aug-2016	
		ERACTIVE CORPORA	ATION					
Securit	•	53071M880		Meeting		Annual		
	Symbol	LVNTA		Meeting	-	23-Aug-2016		
ISIN		US53071M8800		Agenda		934458882 -	Management	
Record	Date	01-Jul-2016		Holding Date	g Recon	01-Jul-2016		
City /	Countr	·v	Jnited States	Vote De Date	eadline	22-Aug-2016	i	
SEDOI	L(s)			Quick C	Code			
Item	Propos	sal		Vote				

				Propo by	osed			For/Against Management	
1.	DIRE	ECTOR		Mana	igement				
	1	JOHN C. MALONE				For	I	For	
	2	M. IAN G. GILCHRIS	Γ			For	I	For	
	3	MARK C. VADON				For	I	For	
	4	ANDREA L. WONG				For	I	For	
2.	SELE OUR FOR	OPOSAL TO RATIFY TECTION OF KPMG LLP INDEPENDENT AUDITHE FISCAL YEAR ENEMBER 31, 2016.	AS TORS	Mana	ngement	For	I	⁷ or	
3.	LIBE COR	OPOSAL TO ADOPT T RTY INTERACTIVE PORATION 2016 OMNI ENTIVE PLAN.		Mana	agement	For	I	For	
Accoun Number		Account Name	Interna Accour	l ustodia it	Ballot an Shares		Unava Shares	ilable Vote Date	Date Confirmed
997GLV	V	GLV	S' 997G <mark>B</mark> .	TATE TREET ANK V RUST O	Γ 24,200		0	09-Jul-2016	24-Aug-2016
997GL0	Q	GLQ	997G	TATE TREET ANK Q RUST O	Г 26,100		0	09-Jul-2016	24-Aug-2016
997GL0	O	GLO	997G	RUST	Γ 65,800		0	09-Jul-2016	24-Aug-2016
997AL4	45	GLV BNP PLEDGE	997A	TATE TREET ANK 45 RUST O	Γ 10,872		0	09-Jul-2016	24-Aug-2016
997AL4	1 7	GLQ BNP PLEDGE	997A S	47 TE	3,403		64,000	09-Jul-2016	24-Aug-2016

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		3	J	5	•			
				ANK				
			& T	: RUST				
			C					
				TATE				
007.41	40			TREET ANK		100 000	00 I 1 2016	24.4. 2016
997AL	49	GLO BNP PLEDGE	99/AL	49 14,471		109,900	09-Jul-2016	24-Aug-2016
			C	RUST O				
				ГАТЕ				
00741	C 0	CLV MC DI EDGE		TREET ANK		0	00 I-1 2016	24 A 2016
997AL	60	GLV MS PLEDGE	997A			0	09-Jul-2016	24-Aug-2016
			C	RUST O				
VIASA	T, INC.							
Securit	-	92552V100			Meetin	• • •	Annual	
	Symbol	VSAT			Meeting	-	08-Sep-2016	
ISIN		US92552V1008			Agenda		934463643 -	Management
Record	Date	15-Jul-2016			Date	g Recon	15-Jul-2016	
City /	Country	i I	nited tates		Vote D Date	eadline	07-Sep-2016	
SEDOI	$\mathcal{L}(\mathbf{s})$				Quick (Code		
Item	Proposa	al		Proposed by	Vote		r/Against anagement	
1.	DIREC	TOR		Management				
	1 I	RICHARD BALDRID	GE		For	Fo	r	
	2 I	B. ALLEN LAY			For	Fo	r	
		OR. JEFFREY NASH			For	Fo	r	
		ICATION OF NTMENT OF						
	PRICE	WATERHOUSECOOF						
2.		S VIASAT'S INDEPEN TERED PUBLIC	NDENT	Management	For	Fo	r	
	ACCO	UNTING FIRM FOR F	ISCAL					
	YEAR							
3.		ORY VOTE ON JTIVE COMPENSATI	ON	Management	For	Fo	r	
Accour Numbe	,	Account Name	Internal Accour	l Ballot ustodian nt Shares		Unavaila Shares	able Vote Date	Date Confirmed
997AL	45	GLV BNP PLEDGE				34,500	26-Jul-2016	09-Sep-2016

		_					
			STATE STREET BANK & TRUST				
			CO				
007 41	47		STATE STREET BANK	(2.6	- 00 2	C I 1 2016	00.5. 2016
997AL4	47		TRUST	63,6	000 2	6-Jul-2016	09-Sep-2016
			CO STATE STREET				
997AL4	49	GLO BNP PLEDGE 997A	BANK 49 135 TRUST	145.	,600 2	6-Jul-2016	09-Sep-2016
COLDI			CO				
		CHS BDC, INC.					
Security		38147U107		Meeting Typ		Annual	
Ticker S	Symbol	GSBD		Meeting Date	2	29-Sep-2016	
ISIN		US38147U1079		Agenda		934387362 -	Management
Record	Date	04-Apr-2016		Holding Reco		04-Apr-2016	
City /	Country	y / United States		Vote Deadlin Date	ie	28-Sep-2016	
SEDOL	L(s)			Quick Code			
Item	Proposa	al	Proposed by	Vote		Against agement	
1A.		TON OF CLASS II TOR: JAIME ARDILA	Management	For	For		
1B.		TION OF CLASS II TOR: RICHARD P. BEL	Management	For	For		
1C.	DIREC	TON OF CLASS II TOR: KATHERINE SIE") UNIACKE	Management	For	For		
2.	WITH TOOMPA COMPA DIRECT OTHER THE CO STOCK	THORIZE THE COMPANY THE APPROVAL OF THE ANY'S BOARD OF TORS, TO SELL OR RWISE ISSUE SHARES OF OMPANY'S COMMON K (DURING THE 12 HS FOLLOWING SUCH	, Management	For	For		

AUTHORIZATION) AT A PRICE BELOW THE THEN- CURRENT NET ASSET VALUE PER SHARE, PROVIDED THAT THE NUMBER OF SHARES ISSUED DOES NOT EXCEED 25% OF THE COMPANY'S THEN-OUTSTANDING COMMON STOCK.

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unava Shares	ilable Vote Date	Date Confirmed
997AL47	GLQ BNP PLEDGI	STATE STREET STREET 997A 47 53,000 TRUST CO	0	13-Apr-2016	30-Sep-2016
HILTON W	ORLDWIDE HOLDING	S INC.			
Security	43300A104		Meeting Type	Special	
Ticker Syml	bol HLT		Meeting Date	04-Oct-2016	
ISIN	US43300A1043		Agenda	934479216 -	Management
Record Date	e 26-Aug-2016		Holding Recon Date	26-Aug-2016	5
City / Co	iintrv /	United States	Vote Deadline Date	03-Oct-2016	
SEDOL(s)			Quick Code		
Item Pro	pposal	Proposed by	VOTE	For/Against Management	
AM CO 1. ING RE DE	APPROVE AND ADOPMENDMENT TO THE MEANY'S CERTIFICATE CORPORATION TO EFFORE STOCK SPLIT AS CREASE AUTHORIZED ARES.	TE OF SECT A Management	For F	For	
2. AD	APPROVE AN DJOURNMENT OF THE ECIAL MEETING.	Management	For F	For	
Account Number	Account Name	Internal Ballot Custodian Account Shares	Unava Shares	ilable Vote Date	Date Confirmed
997GLV	GLV	997G &V ATE 20,000 STREET	0	09-Sep-2016	05-Oct-2016

BANK

		& TRUST CO					
997GLQ	GLQ	STATE STREET 997GLQ TRUST	30,000		0	09-Sep-2016	05-Oct-2016
997GLO	GLO	CO STATE STREET 997GBANK	Γ 2,400		0	09-Sep-2016	05-Oct-2016
		TRUST CO STATE					
997AL49	GLO BNP PLEDGE	STREET	T 100		159,900	09-Sep-2016	05-Oct-2016
997AL60	GLV MS PLEDGE	STATE STREET 997A & OV TRUST CO	Г 19,800		0	09-Sep-2016	05-Oct-2016
997AL61	GLQ MS PLEDGE	STATE STREET STREET 997A & TRUST CO	Г 34,300		0	09-Sep-2016	05-Oct-2016
SINOPHARM (GROUP CO. LTD.						
Security	Y8008N107			Meeting	Type	ExtraOrdinary Meeting	y General
Ticker Symbol				Meeting	Date	18-Oct-2016	
ISIN	CNE100000FN7			Agenda		707423264 - 1	Management
Record Date	15-Sep-2016			Holding Date	Recon	15-Sep-2016	
City / Country	y SHANGH AI / C	hina		Vote De Date	adline	04-Oct-2016	
SEDOL(s)	B3ZVDV0 - B4M8B B5NVZ21 - BP3RX7			Quick C	Code		

Item	Proposal	Proposed by	Vote	For/Against Management
СММТ	PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 675300 DUE TO ADDITION OF-RESOLUTION 2. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE DISREGARDED-AND YOU WILL NEED TO REINSTRUCT ON THIS MEETING NOTICE. THANK YOU	Non-Voting		
СММТ	NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING ON THE-URL LINKS:- http://www.hkexnews.hk/listedco/listc 930/ltn20160930476.pdf,- http://www.hkexnews.hk/listedco/listc 930/ltn20160930421.pdf,- http://www.hkexnews.hk/listedco/listc 901/ltn201609011181.pdf-AND- http://www.hkexnews.hk/listedco/listc 901/ltn201609011318.pdf	Non-Voting onews/sehk/2016/0 onews/sehk/2016/0)	
СММТ	PLEASE NOTE IN THE HONG KONG MARKET THAT A VOTE OF "ABSTAIN" WILL BE TREATED-THE SAME AS A "TAKE NO ACTION" VOTE	Non-Voting		
1	TO CONSIDER AND, IF THOUGHT FIT, TO APPROVE: "THAT (I) THE ADOPTION OF THE SCHEME (AS DEFINED IN THE CIRCULAR DATED 1 SEPTEMBER 2016 DESPATCHED TO THE SHAREHOLDERS OF THE COMPANY) BY THE COMPANY AND THE DELEGATION OF AUTHORIZATION TO ANY DIRECTOR OF THE COMPANY OR AUTHORIZED REPRESENTATIVE OF THE BOARD TO EXECUTE ANY DOCUMENTS AND INSTRUMENTS AS MAY BE NECESSARY OR INCIDENTAL TO THE ADOPTION OF THE SCHEME AND TO DO ALL SUCH ACTS AND THINGS AS THEY CONSIDER NECESSARY OR		For	For

EXPEDIENT OR DESIRABLE IN CONNECTION WITH THE IMPLEMENTATION OF THE SCHEME; AND (II) THE BOARD BE AND IS HEREBY AUTHORIZED TO IMPLEMENT THE SCHEME AS WELL AS TO APPROVE GRANTS OF RESTRICTED SHARES UNDER THE SCHEME FROM TIME TO TIME (INCLUDING BUT NOT LIMITED TO THE INITIAL GRANT OF THE SCHEME)"

TO CONSIDER AND, IF THOUGHT FIT, TO APPROVE THE APPOINTMENT OF MR. MA PING AS A NON-EXECUTIVE DIRECTOR OF THE COMPANY, TO AUTHORISE THE BOARD OF DIRECTORS OF THE COMPANY TO DETERMINE HIS

2 REMUNERATION, AND TO AUTHORISE ANY EXECUTIVE DIRECTOR OF THE COMPANY TO EXECUTE A SERVICE

CONTRACT OR SUCH OTHER

DOCUMENTS OR SUPPLEMENTAL AGREEMENTS OR DEEDS ON BEHALF OF THE **COMPANY**

Unavailable Vote Date Internal Ballot Account Shares Account Date Account Name Confirmed Shares Number **STATE GLV GLV** 0 01-Oct-2016 STREET142,400 12-Oct-2016 **BANK STATE** 0 **GLQ GLQ** STREET236,800 01-Oct-2016 12-Oct-2016 **BANK STATE GLO GLO** STREET583,600 0 01-Oct-2016 12-Oct-2016 **BANK**

Management

For

For

BYD COMPANY LTD, SHENZHEN

Security	Y1023R104	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	01-Nov-2016
ISIN	CNE100000296	Agenda	707516110 - Management

Holding Recon Record Date 30-Sep-2016 30-Sep-2016

Date

Vote Deadline 04-Oct-2016 City / Country SHENZH EN / China

Date

6536651 - B01XKF2 -Quick Code SEDOL(s) B0WVS95 - BD8GJR0

Proposed For/Against Item **Proposal** Vote Management by

PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 681715 DUE TO ADDITION OF-**RESOLUTION 3. ALL VOTES** RECEIVED ON THE PREVIOUS MEETING WILL BE **DISREGARDED-IF VOTE** DEADLINE EXTENSIONS ARE GRANTED. THEREFORE PLEASE REINSTRUCT ON THIS- MEETING NOTICE ON THE NEW JOB. IF

CMMT EXTENSIONS ARE Non-Voting

NOT-GRANTED IN THE MARKET.

THIS MEETING WILL BE INACTIVATED AND YOUR **VOTE-INTENTIONS ON THE**

HOWEVER VOTE DEADLINE

ORIGINAL MEETING WILL BE

APPLICABLE. PLEASE ENSURE

VOTING-IS SUBMITTED PRIOR

TO CUTOFF ON THE ORIGINAL

MEETING, AND AS SOON AS

POSSIBLE-ON THIS NEW

AMENDED MEETING. THANK

YOU.

PLEASE NOTE THAT THE

COMPANY NOTICE AND PROXY

FORM ARE AVAILABLE BY

CLICKING-ON THE URL LINKS:-

http://www.hkexnews.hk/listedco/listconews/SEHK/2016/

0913/LTN20160913500.pdf,-**CMMT**

http://www.hkexnews.hk/listedco/listconews/SEHK/2016/

1019/LTN20161019505.pdf-AND-

http://www.hkexnews.hk/listedco/listconews/SEHK/2016/

0913/LTN20160913545.pdf,-

http://www.hkexnews.hk/listedco/listconews/SEHK/2016/

1019/LTN20161019509.pdf

1 TO CONSIDER AND APPROVE Management For For

THE INTERIM PROFIT

	DISTRIBUTION PLAN OF THE COMPANY FOR THE SIX MONTHS ENDED 30 JUNE 2016			
2	TO CONSIDER AND APPROVE THE PROPOSED CHANGE OF THE COMPANY'S BUSINESS SCOPE AND AMENDMENT TO THE ARTICLES OF ASSOCIATION OF THE COMPANY: ARTICLE 11	Management	For	For
3	TO CONSIDER AND APPROVE THE PROPOSED FURTHER CHANGE OF THE COMPANY'S BUSINESS SCOPE AND AMENDMENT TO THE ARTICLES OF ASSOCIATION OF THE COMPANY: ARTICLE 11	Management	For	For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavai Shares	lable Vote Date	Date Confirmed
GLQ	GLQ	STATE STREET150,500 BANK	0	20-Oct-2016	27-Oct-2016
GLO	GLO	STATE STREET380,000 BANK	0	20-Oct-2016	27-Oct-2016
LIBERTY IN	TERACTIVE CORPOR	ATION			
Security	53071M880		Meeting Type	Special	
Ticker Symbo	ol LVNTA		Meeting Date	01-Nov-2016)
ISIN	US53071M8800		Agenda	934488152 -	Management
Record Date	28-Sep-2016		Holding Recon Date	28-Sep-2016	
City / Cour	ntrv /	United States	Vote Deadline Date	31-Oct-2016	
SEDOL(s)			Quick Code		
Item Prop	oosal	Proposed by	VOTE	or/Against Ianagement	
RED INTI A PO OUT LIBI STO OUT	ROPOSAL TO APPROVE DEMPTION BY LIBERT ERACTIVE CORPORATORTION OF THE ESTANDING SHARES CONTICK FOR ALL OF THE ESTANDING SHARES CERTY EXPEDIA HOLD	Y FION OF OF IMON OF	For F	or	

INC., WHICH WOULD HOLD LIBERTY INTERACTIVE CORPORATION'S OWNERSHIP AND VOTING INTERESTS IN .. (DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL).

A PROPOSAL TO AUTHORIZE THE ADJOURNMENT OF THE SPECIAL MEETING BY LIBERTY INTERACTIVE CORPORATION TO PERMIT FURTHER SOLICITATION OF PROXIES, IF

2. NECESSARY OR APPROPRIATE, IF SUFFICIENT VOTES ARE NOT REPRESENTED AT THE SPECIAL MEETING TO APPROVE THE OTHER PROPOSAL TO BE PRESENTED AT THE SPECIAL MEETING.

Management For For

Account Number	Account Name	Clistodiai	Ballot n Shares	Unavaila Shares	ble Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997G&V TRUST CO	1,572	0	05-Oct-2016	02-Nov-2016
997GLQ	GLQ	STATE STREET 997G ANK TRUST CO	4,200	0	05-Oct-2016	02-Nov-2016
997AL47	GLQ BNP PLEDGE	STATE STREET 997A & TRUST CO	15,003	44,000	05-Oct-2016	02-Nov-2016
997AL49	GLO BNP PLEDGE	STATE STREET 997A & TRUST CO	3,871	109,900	05-Oct-2016	02-Nov-2016
997AL60	GLV MS PLEDGE	997AL60	24,000	0	05-Oct-2016	02-Nov-2016

STATE STREET BANK & TRUST CO

THE WILLIAMS COMPANIES, INC.

TIIL W		COMITATUES, II	10.				
Security	•	969457100			Meeting Type	;	Annual
Ticker S	Symbol	WMB			Meeting Date		23-Nov-2016
ISIN		US9694571004			Agenda		934492579 - Management
Record 1	Date	07-Oct-2016			Holding Reco	n	07-Oct-2016
City /	Country	/	United States		Vote Deadline Date	e	22-Nov-2016
SEDOL	(s)				Quick Code		
Item	Proposa	1		Proposed by	Vote		Against agement
1A.		ION OF DIRECTO STRONG	R: ALAN	Management	For	For	
1B.		ION OF DIRECTO EN W. BERGSTRO		Management	For	For	
1C.		ION OF DIRECTO EN I. CHAZEN	PR:	Management	For	For	
1D.		ON OF DIRECTO EEN B. COOPER	PR:	Management	For	For	
1E.	ELECTI A. RAG	ION OF DIRECTO AUSS	R: PETER	Management	For	For	
1F.	ELECTION D. SHE	ION OF DIRECTO FFIELD	R: SCOTT	Management	For	For	
1G.		ION OF DIRECTO AY D. SMITH	PR:	Management	For	For	
1H.		ON OF DIRECTO M H. SPENCE	PR:	Management	For	For	
1I.		ON OF DIRECTO D. STONEY	PR:	Management	For	For	
2.		CATION OF ERNS G LLP AS AUDITO		Management	For	For	
3.	ADVISO COMPA	VAL, BY NONBIN ORY VOTE, OF TI ANY'S EXECUTIV ENSATION.	HE	Management	For	For	

Accoun Numbe		Account Name	Internal Ballot Custodian Account Shares	Unava Shares	lable Vote Date	Date Confirmed
997AL	47	GLQ BNP PLEDGE	STATE STREET 997A 47 100 TRUST CO	63,100	20-Oct-2016	20-Oct-2016
997AL	49	GLO BNP PLEDGE	STATE STREET 997AL49NK 100 TRUST CO	159,70	0 20-Oct-2016	20-Oct-2016
997AL0	60	GLV MS PLEDGE	STATE STREET 997AL60 39,100 TRUST CO	0	20-Oct-2016	20-Oct-2016
AMSU	RG COR	RP.				
Security	y	03232P405		Meeting Type	Special	
Ticker	Symbol	AMSG		Meeting Date	28-Nov-2016	6
ISIN		US03232P4054		Agenda	934494826 -	Management
Record	Date	07-Oct-2016		Holding Recon Date	07-Oct-2016	
City /	Countr	·	United States	Vote Deadline Date	25-Nov-2016	Ó
SEDOI	L(s)			Quick Code		
Item	Propos	al	Proposed by	VOIE	or/Against Ianagement	
1.	PROPO AGRE MERG 2016 (1 AGRE ENVIS HOLD AND N AND T	ONSIDER AND VOTE OSAL TO APPROVE TO SAL TO APPROVE TO EMENT AND PLAN OF JUSTINE "MERGER EMENT"), BY AND A SION HEALTHCARE INGS, INC., AMSURO THE TRANSACTIONS EMPLATED BY THE SER AGREEMENT.	THE OF UNE 15, AMONG Management G CORP. ORP.,	For F	or	
2.	NON-I	ONSIDER AND VOTE BINDING, ADVISORY OSAL TO APPROVE T	Y	For F	Cor	

COMPENSATION THAT MAY BECOME PAYABLE TO AMSURG'S NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE CONSUMMATION OF THE MERGER.

TO CONSIDER A VOTE ON A PROPOSAL TO APPROVE ANY MOTION TO ADJOURN THE

3. AMSURG SPECIAL MEETING IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES.

Management	For	For
------------	-----	-----

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavaila Shares	able Vote Date	Date Confirmed
997GLO	GLO	STATE STREET 997G&O 6,400 TRUST CO	0	26-Oct-2016	29-Nov-2016
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997A 45 2,200 TRUST CO	6,200	26-Oct-2016	29-Nov-2016
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 2,700 TRUST CO	13,600	26-Oct-2016	29-Nov-2016
997AL49	GLO BNP PLEDGE	STATE STREET 997A 49 100 TRUST CO	34,500	26-Oct-2016	29-Nov-2016

CHR. HANSEN HOLDING A/S

Security	K1830B107	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	29-Nov-2016
ISIN	DK0060227585	Agenda	707583793 - Management
Record Date	22-Nov-2016	Holding Recon Date	22-Nov-2016

City / Country HORSHO LM / Denmark

Vote Deadline
Date

18-Nov-2016

B3LL574 - B3SMD32 -

SEDOL(s) B573M11 - B63NJ00 - Quick Code

BHZLC88

Item Proposal Proposed by Vote For/Against Management

IN THE MAJORITY OF MEETINGS
THE VOTES ARE CAST WITH THE
REGISTRAR WHO WILL-FOLLOW
CLIENT INSTRUCTIONS. IN A
SMALL PERCENTAGE OF
MEETINGS THERE IS
NO-REGISTRAR AND CLIENTS
VOTES MAY BE CAST BY THE
CHAIRMAN OF THE BOARD OR

A-BOARD MEMBER AS PROXY.

CLIENTS CAN ONLY EXPECT

THEM TO ACCEPT

CMMT PRO-MANAGEMENT-VOTES. THE Non-Voting

ONLY WAY TO GUARANTEE

THAT ABSTAIN AND/OR

AGAINST VOTES

ARE-REPRESENTED AT THE

MEETING IS TO SEND YOUR

OWN REPRESENTATIVE OR

ATTEND THE-MEETING IN

PERSON. THE SUB CUSTODIAN

BANKS OFFER

REPRESENTATION SERVICES

FOR- AN ADDED FEE IF

REQUESTED. THANK YOU

PLEASE BE ADVISED THAT

SPLIT AND PARTIAL VOTING IS

NOT AUTHORISED FOR

CMMT A-BENEFICIAL OWNER IN THE Non-Voting

DANISH MARKET. PLEASE CONTACT YOUR GLOBAL CUSTODIAN-FOR FURTHER

INFORMATION.

CMMT IMPORTANT MARKET Non-Voting

PROCESSING REQUIREMENT: A
BENEFICIAL OWNER SIGNED
POWER OF- ATTORNEY (POA) IS
REQUIRED IN ORDER TO LODGE
AND EXECUTE YOUR VOTING-

INSTRUCTIONS IN THIS

	MARKET. ABSENCE OF A POA, MAY CAUSE YOUR INSTRUCTIONS TO-BE REJECTED. IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT YOUR CLIENT SERVICE- REPRESENTATIVE			
CMMT	PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'ABSTAIN'-ONLY FOR RESOLUTIONS 6.A.A, 6.B.A TO 6.B.F AND 7.A. THANK YOU	Non-Voting		
1	REPORT ON THE COMPANY'S ACTIVITIES	Non-Voting		
2	APPROVAL OF THE 2015/16 ANNUAL REPORT	Management	For	For
3	RESOLUTION ON THE APPROPRIATION OF PROFIT OR COVERING OF LOSS: DKK 5.23 PER SHARE	Management	For	For
4	DECISION ON REMUNERATION OF MEMBERS OF THE BOARD OF DIRECTORS	Management	For	For
5.A	PROPOSALS FROM THE BOARD OF DIRECTOR: AMENDMENT OF ARTICLES OF ASSOCIATION TO REFLECT COMPUTERSHARE A/S AS NEW COMPANY REGISTRAR	Management	For	For
5.B	PROPOSALS FROM THE BOARD OF DIRECTOR: AMENDMENT OF ARTICLES OF ASSOCIATION TO REFLECT LEGAL NAME CHANGE OF NASDAQ OMX COPENHAGEN A/S	Management	For	For
6.A.A	RE-ELECTION OF CHAIRMAN OF THE BOARD OF DIRECTOR: OLE ANDERSEN	Management	For	For
6.B.A	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: FREDERIC STEVENIN	Management	For	For
6.B.B	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: MARK WILSON	Management	For	For
6.B.C	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: DOMINIQUE	Management	For	For

	REINICHE			
6.B.D	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: TIINA MATTILA-SANDHOLM	Management	For	For
6.B.E	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: KRISTIAN VILLUMSEN	Management	For	For
6.B.F	ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: LUIS CANTARELL ROCAMORA	Management	For	For
7.A	RE-ELECTION OF PRICEWATERHOUSECOOPERS STATSAUTORISERET REVISIONSPARTNERSELSKAB	Management	For	For
8	AUTHORIZATION OF THE CHAIRMAN OF THE ANNUAL GENERAL MEETING	Management	For	For
СММТ	07 NOV 2016: PLEASE NOTE THAT THIS IS A REVISION DUE TO RECEIPT OF DIVIDEND-AMOUNT. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN-UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK YOU.	Non-Voting		

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavail Shares	able Vote Date	Date Confirmed
GLV	GLV	STATE STREET19,244 BANK	0	05-Nov-2016	22-Nov-2016
GLQ	GLQ	STATE STREET31,409 BANK	0	05-Nov-2016	22-Nov-2016
GLO	GLO	STATE STREET78,002 BANK	0	05-Nov-2016	22-Nov-2016
MICROSOFT	CORPORATION				
Security	594918104		Meeting Type	Annual	
Ticker Symbol	MSFT		Meeting Date	30-Nov-2016	•
ISIN	US5949181045		Agenda	934491224 -	Management
Record Date	30-Sep-2016			30-Sep-2016	

Holding Recon Date Vote Deadline United City / Country 29-Nov-2016 States Date SEDOL(s) Quick Code **Proposed** For/Against Item Proposal Vote Management by **ELECTION OF DIRECTOR:** 1A. Management For For WILLIAM H. GATES III **ELECTION OF DIRECTOR: TERI** 1B. Management For For L. LIST-STOLL ELECTION OF DIRECTOR: G. 1C. For For Management **MASON MORFIT ELECTION OF DIRECTOR:** 1D. Management For For SATYA NADELLA **ELECTION OF DIRECTOR:** 1E. For Management For CHARLES H. NOSKI **ELECTION OF DIRECTOR:** 1F. Management For For **HELMUT PANKE ELECTION OF DIRECTOR:** 1G. Management For For SANDRA E. PETERSON **ELECTION OF DIRECTOR:** 1H. Management For For CHARLES W. SCHARF **ELECTION OF DIRECTOR: JOHN** Management 1I. For For W. STANTON **ELECTION OF DIRECTOR: JOHN** Management For 1J. For W. THOMPSON **ELECTION OF DIRECTOR:** 1K. Management For For PADMASREE WARRIOR ADVISORY VOTE TO APPROVE 2. NAMED EXECUTIVE OFFICER Management For For COMPENSATION **RATIFICATION OF DELOITTE &** TOUCHE LLP AS OUR 3. Management For For INDEPENDENT AUDITOR FOR FISCAL YEAR 2017 APPROVAL OF AMENDMENT TO Management 4. OUR AMENDED AND RESTATED For For ARTICLES OF INCORPORATION APPROVAL OF FRENCH SUB 5. PLAN UNDER THE 2001 STOCK Management For For **PLAN** 6. SHAREHOLDER PROPOSAL -Shareholder Against For

REQUESTING CERTAIN PROXY

ACCESS BYLAW AMENDMENTS

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavail Shares	able Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 100 TRUST CO	39,600	20-Oct-2016	01-Dec-2016
997AL47	GLQ BNP PLEDGE	STATE STREET 997A 47 100 TRUST CO	48,500	20-Oct-2016	01-Dec-2016
997AL49	GLO BNP PLEDGE	STATE STREET 997A _k 49 100 TRUST CO	121,300	20-Oct-2016	01-Dec-2016
997AL60	GLV MS PLEDGE	STATE STREET 997A 60 20,400 TRUST CO	0	20-Oct-2016	01-Dec-2016
DIAMOND	BACK ENERGY, INC.				
Security	25278X109		Meeting Type	Special	
Ticker Symb	ool FANG		Meeting Date	07-Dec-2016	5
ISIN	US25278X1090		Agenda	934506392 -	Management
Record Date	11-Nov-2016		Holding Recon Date	11-Nov-2016	5
City / Cou	intrv /	Jnited States	Vote Deadline Date	06-Dec-2016	Ó
SEDOL(s)			Quick Code		
Item Pro	posal	Proposed by	VAIE	or/Against anagement	
AM DIA AM CE	DPOSAL TO APPROVE A ENDMENT TO MONDBACK ENERGY ENDED AND RESTATE RTIFICATE OF CORPORATION TO INCI	, INC.'S ED	For Fo	or	

THE TOTAL NUMBER OF AUTHORIZED SHARES OF COMMON STOCK FROM 100,000,000 TO 200,000,000.

Account Number	Account Name	Internal Ballot Custodian Shares STATE		Unavaila Shares	able Vote Date	Date Confirmed
997AL47	GLQ BNP PLEDGE	STREET		11,300	19-Nov-2016	08-Dec-2016
997AL49	GLO BNP PLEDGE	STATE STREET 997A A 6,100 TRUST CO		28,800	19-Nov-2016	08-Dec-2016
AGNC INV	ESTMENT CORP					
Security	00123Q104		Meeting	g Type	Special	
Ticker Symb	bol AGNC		Meeting	g Date	09-Dec-2016	
ISIN			Agenda	L	934498127 -	Management
Record Date	e 24-Oct-2016		Holding Date	g Recon	24-Oct-2016	
City / Co	untry /	United tates	Vote Do Date	eadline	08-Dec-2016	
SEDOL(s)			Quick C	Code		
Item Pro	posal	Proposed by	Vote		r/Against anagement	
1. IN EQ	PROVAL OF THE AGNOVESTMENT CORP. 2016 UITY AND INCENTIVE MPENSATION PLAN.	Management	For	Fo	r	
Account Number	Account Name	Internal Ballot Custodian Shares STATE		Unavaila Shares	able Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STREET		0	04-Nov-2016	12-Dec-2016
997AL47	GLQ BNP PLEDGE	997A ST ATE 269,600		0	04-Nov-2016	12-Dec-2016

STREET BANK & **TRUST** CO **STATE STREET** GLO BNP PLEDGE 997A AANK 0 997AL49 04-Nov-2016 12-Dec-2016 **TRUST** CO ARES CAPITAL CORPORATION Security 04010L103 Meeting Type Special Ticker Symbol Meeting Date 15-Dec-2016 **ARCC ISIN** US04010L1035 934494864 - Management Agenda Holding Recon Record Date 17-Oct-2016 17-Oct-2016 Date Vote Deadline United Country 14-Dec-2016 City / States Date SEDOL(s) Quick Code Proposed For/Against Vote Item **Proposal** Management by TO APPROVE THE ISSUANCE OF THE SHARES OF ARES CAPITAL COMMON STOCK TO BE ISSUED PURSUANT TO THE AGREEMENT AND PLAN OF MERGER, AS SUCH AGREEMENT MAY BE AMENDED FROM TIME TO TIME, DATED AS OF MAY 23, 2016 (THE "MERGER AGREEMENT"), BY AND AMONG ARES CAPITAL, AMERICAN CAPITAL, LTD., 1. Management For For ORION ACQUISITION SUB, INC., IVY HILL ASSET MANAGEMENT, L.P. ("IHAM"), IVY HILL ASSET MANAGEMENT GP, LLC, IN ITS CAPACITY AS GENERAL PARTNER OF IHAM, AMERICAN CAPITAL ASSET MANAGEMENT, LLC, AND SOLELY FOR THE ...(DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL). 2. TO APPROVE THE ISSUANCE OF Management For For

THE SHARES OF ARES CAPITAL COMMON STOCK TO BE ISSUED

PURSUANT TO THE MERGER AGREEMENT IN ACCORDANCE WITH NASDAQ LISTING RULE REQUIREMENTS.

TO APPROVE THE ADJOURNMENT OF THE ARES CAPITAL SPECIAL MEETING, IF NECESSARY OR APPROPRIATE,

PROPOSAL 1 OR PROPOSAL 2.

TO SOLICIT ADDITIONAL 3. PROXIES IF THERE ARE NOT SUFFICIENT VOTES AT THE TIME OF THE ARES CAPITAL SPECIAL MEETING TO APPROVE

Management For

For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavail Shares	able Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997G&O 57,900 TRUST CO	0	26-Oct-2016	16-Dec-2016
997AL45	GLV BNP PLEDGE	STATE STREET 997A&A5 291,600 TRUST CO	0	26-Oct-2016	16-Dec-2016
997AL47	GLQ BNP PLEDGE	STATE STREET 997A&A 335,300 TRUST CO	0	26-Oct-2016	16-Dec-2016
997AL49	GLO BNP PLEDGE	STATE STREET 997A&A9 964,300 TRUST CO	0	26-Oct-2016	16-Dec-2016
997AL53	GLO MS PLEDGE	STATE STREET 997A S 3 80,000 TRUST CO	0	26-Oct-2016	16-Dec-2016

٨	\mathbf{v}	\cap	D	NT	T	N	C.
А	\mathbf{r}	U	Т	LN.	_1	ΙN	┖.

Security	y	009728106			Meeting Type	e	Special
Ticker S	Symbol	AKRX			Meeting Date)	16-Dec-2016
ISIN		US0097281069			Agenda		934505225 - Management
Record	Date	28-Oct-2016			Holding Reco	on	28-Oct-2016
City /	Country	, ,	United States		Vote Deadlin Date	e	15-Dec-2016
SEDOL(s)					Quick Code		
Item	Proposa	1		Proposed by	Vote		Against agement
1.	AKORI	SAL TO APPROVE N, INC. 2016 EMPLO I PURCHASE PLAN	OYEE	Management	For	For	
2.	AMEN RESTA	OSAL TO APPROVE DMENT AND TEMENT OF THE A D14 STOCK OPTION	AKORN,	Management	For	For	

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavail Shares	able Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997G _{&} V 20,500 TRUST CO	0	18-Nov-2016	19-Dec-2016
997GLQ	GLQ	STATE STREET 997G ANK 7,200 TRUST CO	0	18-Nov-2016	19-Dec-2016
997GLO	GLO	STATE STREET 997GLO 34,300 TRUST CO	0	18-Nov-2016	19-Dec-2016
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 5,800 TRUST CO	35,000	18-Nov-2016	19-Dec-2016

STATE STREET

GLO BNP PLEDGE 997A AANK 997AL49 71.800 18-Nov-2016 19-Dec-2016

> TRUST CO

SINOPHARM GROUP CO. LTD.

ExtraOrdinary General Security Y8008N107 Meeting Type

Meeting

Ticker Symbol Meeting Date 30-Dec-2016

ISIN CNE100000FN7 Agenda 707595990 - Management

Holding Recon Record Date 29-Nov-2016 29-Nov-2016

Date

Vote Deadline City /

Date

Country SHANGH AI / China 16-Dec-2016

B3ZVDV0 - B4M8B73 -SEDOL(s) Quick Code B5NVZ21 - BP3RXT2

Proposed For/Against Vote Item **Proposal** Management by

PLEASE NOTE THAT THE

COMPANY NOTICE AND PROXY

FORM ARE AVAILABLE BY

CLICKING-ON THE URL LINKS:-**CMMT**

http://www.hkexnews.hk/listedco/listconews/SEHK/2016/

1114/LTN20161114274.pdf-AND-

http://www.hkexnews.hk/listedco/listconews/SEHK/2016/

1114/LTN20161114240.pdf

PLEASE NOTE IN THE HONG

KONG MARKET THAT A VOTE

CMMT OF 'ABSTAIN' WILL BE Non-Voting

TREATED-THE SAME AS A 'TAKE

NO ACTION' VOTE

1 TO CONSIDER AND, IF THOUGHT Management For For

FIT, TO APPROVE (I) THE ISSUE

OF THE ACCOUNTS

RECEIVABLE ASSET-BACKED

SECURITIES IN THE PRC OF NO

MORE THAN RMB8 BILLION IN

SCALE (THE "ASSET- BACKED

SECURITIES"); AND (II) THE

AUTHORISATION TO THE

BOARD OF DIRECTORS OF THE

COMPANY OR THE PERSON(S)

AUTHORISED BY IT DEAL WITH

ALL SPECIFIC MATTERS

PURSUANT TO THE PROPOSAL IN RESPECT OF THE ISSUE OF THE ASSET-BACKED SECURITIES AS SET OUT IN THE NOTICE OF EGM OF THE COMPANY DATED 14 NOVEMBER 2016

Accoun	Account Name	Internal Ballot Custodian Account Shares	Una Sha	available Vote Date ares	Date Confirmed
GLV	GLV	STATE STREET142,400 BANK	0	15-Nov-2016	22-Dec-2016
GLQ	GLQ	STATE STREET236,800 BANK	0	15-Nov-2016	22-Dec-2016
GLO	GLO	STATE STREET583,600 BANK	0	15-Nov-2016	22-Dec-2016
D.R. H	ORTON, INC.				
Security	y 23331A109		Meeting Typ	pe Annual	
Ticker S	Symbol DHI		Meeting Dat	te 19-Jan-2017	
ISIN	US23331A1097		Agenda	934514147 -	Management
Record	Date 28-Nov-2016		Holding Rec Date	28-Nov-2016	5
City /	(Olinfry /	United tates	Vote Deadli Date	ne 18-Jan-2017	
SEDOL	$\mathcal{L}(\mathbf{s})$		Quick Code		
Item	Proposal	Proposed by	Vote	For/Against Management	
1A.	ELECTION OF DIRECTOR DONALD R. HORTON	Management	For	For	
1B.	ELECTION OF DIRECTOR BARBARA K. ALLEN	Management	For	For	
1C.	ELECTION OF DIRECTOR S. ANDERSON	BRAD Management	For	For	
1D.	ELECTION OF DIRECTOR MICHAEL R. BUCHANAN	Management	For	For	
1E.	ELECTION OF DIRECTOR MICHAEL W. HEWATT	Management	For	For	
2.	APPROVAL OF THE ADVI RESOLUTION ON EXECUT COMPENSATION.		For	For	

RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS

3. LLP AS OUR INDEPENDENT Management For For REGISTERED PUBLIC

ACCOUNTING FIRM.

Account Number		Account Name	Internal Custo Account	Ballot Shares		Unavaila Shares	ible Vote Date	Date Confirmed
997AL4	15	GLV BNP PLEDGE	STA' STRI 997A & 45 TRU CO	EET K 14,626		61,500	13-Dec-2016	20-Jan-2017
997AL4	7	GLQ BNP PLEDGE	STA' STRI 997A A' TRU CO	EET K 23,621		104,400	13-Dec-2016	20-Jan-2017
997AL4	19	GLO BNP PLEDGE	STA' STRI 997A 49 TRU CO	EET K 59,001		256,300	13-Dec-2016	20-Jan-2017
997AL6	51	GLQ MS PLEDGE	STA STRI 997AL 61 TRU CO	EET K 10,800		0	13-Dec-2016	20-Jan-2017
GOLUE	CAPIT	AL BDC, INC.						
Security	7	38173M102			Meeting	Type	Annual	
Ticker S	Symbol	GBDC			Meeting	Date	07-Feb-2017	
ISIN		US38173M1027			Agenda		934516557 -	Management
Record 1	Date	12-Dec-2016			Holding Date	Recon	12-Dec-2016	5
City /	Country	V /	nited tates		Vote Dea Date	ndline	06-Feb-2017	
SEDOL	(s)				Quick Co	ode		
Item	Proposa	al	Pr by	oposed	Vote		r/Against anagement	
1.1		TION OF CLASS I TOR: DAVID B. GOL	UB M	anagement	For	For	r	

1.2		ION OF CLASS I TOR: ANITA R. IBERG	Manageme	ent	For	For	r	
2.	ERNST AS THI INDEPI PUBLIC THE FI	TIFY THE SELECTION WE YOUNG LLP TO SEE COMPANY'S ENDENT REGISTERING ACCOUNTING FIRE SCAL YEAR ENDINGMBER 30, 2017.	SERVE ED Manageme M FOR	ent	For	For	r	
Account Number		Account Name	Internal Ball Custodian Account Shar			Unavaila Shares	ble Vote Date	Date Confirmed
997GL(Q	GLQ	STATE STREET 997G ANK 24,0 TRUST CO	000		0	22-Dec-2016	08-Feb-2017
997AL4	1 5	GLV BNP PLEDGE	STATE STREET 997A A 100 TRUST CO			128,300	22-Dec-2016	08-Feb-2017
997AL4	17	GLQ BNP PLEDGE	STATE STREET 997A&A 150, TRUST CO	,809		0	22-Dec-2016	08-Feb-2017
997AL4	1 9	GLO BNP PLEDGE	STATE STREET 997A AP 157 TRUST CO			454,300	22-Dec-2016	08-Feb-2017
RSP PE	RMIAN	INC						
Security	1	74978Q105			Meeting	Type	Special	
Ticker S	Symbol	RSPP			Meeting	Date	24-Feb-2017	
ISIN		US74978Q1058			Agenda		934528108 - 1	Management
Record	Date	19-Jan-2017			Holding Date	Recon	19-Jan-2017	
City /	Country	<i>I</i>	nited cates		Vote Dea Date	adline	23-Feb-2017	

SEDOL(s)					Quick Code			
Item	Propos	al	Prop by	oosed	Vote		against agement	
1.	OF 16, PERMITO SILL PARTY SELLE SUBJE PROVE INTER AGREDOCTOL SPACE	OVAL OF THE ISSUA 019,638 SHARES OF IAN, INC.'S (THE PANY'S") COMMON EVER HILL ENERGY NERS II, LLC (THE "S ER"), PURSUANT TO ECT TO ADJUSTMEN IDED IN THE MEMB EST PURCHASE AN EMENT, DATED AS BER 13, 2016, BY(ELIMITS, SEE PROXEMENT FOR FULL DSAL).	RSP STOCK SHEP II AND ITS Man ERSHIP ID SALE OF DUE TO	agement	For	For		
2.	ADJOU POSTF SPECI. NECES TO SO PROXI INSUF TIME (OVAL OF THE URNMENT OR PONEMENT OF THE AL MEETING, IF SSARY OR APPROPI LICIT ADDITIONAL IES IF THERE ARE IFICIENT VOTES AT OF THE SPECIAL MI	, Man THE EETING	agement	For	For		
Accour Numbe		Account Name	Internal Custod Account	Ballot ian Shares	Unavailable Vote Date Shares Date Confirme			Date Confirmed
997GL	Q	GLQ	STATE STREE 997G & TRUST CO	E ET 16,400	0	03	3-Feb-2017	27-Feb-2017
APPLE	E INC.							
Securit	у	037833100			Meeting Typ	be	Annual	
Ticker	Symbol	AAPL			Meeting Dat	e	28-Feb-2017	
ISIN		US0378331005			Agenda		934520556 -	Management
Record	Date	30-Dec-2016			Holding Rec Date	con	30-Dec-2016	
City /	Countr	v /	Jnited States		Vote Deadlin Date	ne	27-Feb-2017	
SEDOI	L(s)				Quick Code			

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: JAMES BELL	Management	For	For
1B.	ELECTION OF DIRECTOR: TIM COOK	Management	For	For
1C.	ELECTION OF DIRECTOR: AL GORE	Management	For	For
1D.	ELECTION OF DIRECTOR: BOB IGER	Management	For	For
1E.	ELECTION OF DIRECTOR: ANDREA JUNG	Management	For	For
1F.	ELECTION OF DIRECTOR: ART LEVINSON	Management	For	For
1G.	ELECTION OF DIRECTOR: RON SUGAR	Management	For	For
1H.	ELECTION OF DIRECTOR: SUE WAGNER	Management	For	For
2.	RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS APPLE'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017	Management	For	For
3.	ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION	Management	For	For
4.	ADVISORY VOTE ON THE FREQUENCY OF SHAREHOLDER VOTES ON EXECUTIVE COMPENSATION	Management	3 Years	Against
5.	A SHAREHOLDER PROPOSAL ENTITLED "CHARITABLE GIVING - RECIPIENTS, INTENTS AND BENEFITS"	Shareholder	Against	For
6.	A SHAREHOLDER PROPOSAL REGARDING DIVERSITY AMONG OUR SENIOR MANAGEMENT AND BOARD OF DIRECTORS	Shareholder	Against	For
7.	A SHAREHOLDER PROPOSAL ENTITLED "SHAREHOLDER PROXY ACCESS AMENDMENTS"	Shareholder	Against	For
8.	A SHAREHOLDER PROPOSAL ENTITLED "EXECUTIVE COMPENSATION REFORM"	Shareholder	Against	For
9.		Shareholder	Against	For

A SHAREHOLDER PROPOSAL ENTITLED "EXECUTIVES TO RETAIN SIGNIFICANT STOCK"

Account Number	Account Name	Internal Ballot Custodian Shares	Unavail Shares	able Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997G ANK 2,500 TRUST CO	0	07-Jan-2017	01-Mar-2017
997GLQ	GLQ	STATE STREET 997G ANK 31,200 TRUST CO	0	07-Jan-2017	01-Mar-2017
997GLO	GLO	STATE STREET 997G ANK 39,600 TRUST CO	0	07-Jan-2017	01-Mar-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997A 45 100 TRUST CO	27,500	07-Jan-2017	01-Mar-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997A&A 100 TRUST CO	32,400	07-Jan-2017	01-Mar-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997A 49 100 TRUST CO	81,900	07-Jan-2017	01-Mar-2017
TRANSDIGM	GROUP INCORPORA	TED			
Security	893641100		Meeting Type	Annual	
Ticker Symbol	TDG		Meeting Date	01-Mar-2017	
ISIN	US8936411003		Agenda	934523451 -	Management

Record	Date	03-Jan-2017			Holding Date	g Recoi	n 03-Jan-2017	7
City /	Coun	itrv /	Inited tates		Vote De	eadline	28-Feb-201	7
SEDOL	رs)				Quick C	Code		
Item	Propo	osal		Proposed by	Vote		For/Against Management	
1.	DIRE	ECTOR		Management				
	1	WILLIAM DRIES			For		For	
	2	MERVIN DUNN			For		For	
	3	MICHAEL GRAFF			For		For	
	4	SEAN HENNESSY			For		For	
	5	W. NICHOLAS HOW	LEY		For		For	
	6	RAYMOND LAUBEN	THAL		For		For	
	7	DOUGLAS PEACOCH	K		For		For	
	8	ROBERT SMALL			For		For	
	9	JOHN STAER			For		For	
2.	VOT THE	APPROVE (IN AN ADVI E) COMPENSATION PA COMPANY'S NAMED CUTIVE OFFICERS		Management	For		For	
3.	CON REG PAIL	DETERMINE HOW OFT DUCT THE ADVISORY ARDING COMPENSAT D TO THE COMPANY'S IED EXECUTIVE OFFI	Y VOTE TION	Management	3 Years			
4.	ERN COM ACC FISC	ATIFY THE SELECTIONST & YOUNG LLP AS TO SELECTION AS TO SELECT	THE	Management	For		For	
Accoun Number		Account Name		ustodian It Shares		Unav Share	ailable Vote Date	Date Confirmed
997AL4	47	GLQ BNP PLEDGE	997A	RUST		0	21-Jan-2017	02-Mar-2017
997AL	49	GLO BNP PLEDGE	997A S 2			0	21-Jan-2017	02-Mar-2017

BANK & TRUST CO

HOI	OGIC	C, INC.
HOL		, m

Security	y	436440101		Meeting Type	e	Annual
Ticker S	Symbol	HOLX		Meeting Date	e	08-Mar-2017
ISIN		US4364401012		Agenda		934522423 - Management
Record	Date	09-Jan-2017		Holding Reco	on	09-Jan-2017
City /	Count	ry / United States		Vote Deadlin Date	e	07-Mar-2017
SEDOL	L(s)			Quick Code		
Item	Propo	sal	Proposed by	Vote		Against agement
1.	DIRE	CTOR	Management			
	1	CHRISTOPHER J. COUGHLIN		For	For	
	2	SALLY W. CRAWFORD		For	For	
	3	SCOTT T. GARRETT		For	For	
	4	LAWRENCE M. LEVY		For	For	
	5	STEPHEN P. MACMILLAN		For	For	
	6	CHRISTIANA STAMOULIS		For	For	
	7	ELAINE S. ULLIAN		For	For	
	8	AMY M. WENDELL		For	For	
2.	RESC	N-BINDING ADVISORY DLUTION TO APPROVE CUTIVE COMPENSATION.	Management	For	For	
3.	NON- FREQ	ECOMMEND, BY BINDING VOTE, THE UENCY OF EXECUTIVE PENSATION VOTES.	Management	3 Years	Agai	nst
4.	FIFTI	NDMENT TO HOLOGIC'S I AMENDED AND ATED BYLAWS.	Management	For	For	
5.	APPO YOU! INDE PUBL	FICATION OF THE DINTMENT OF ERNST & NG LLP AS OUR PENDENT REGISTERED LIC ACCOUNTING FIRM FOR AL 2017.	Management	For	For	

Accour Numbe		Account Name	Internal Ballot Custodian Account Shares		Unavailal Shares	ble Vote Date	Date Confirmed
997GL	Q	GLQ	STATE STREET 997G ANK 23,600 TRUST CO		0	25-Jan-2017	09-Mar-2017
997AL	47	GLQ BNP PLEDGE	STATE STREET 997A 47 100 TRUST CO		28,500	25-Jan-2017	09-Mar-2017
997AL	49	GLO BNP PLEDGE	STATE STREET 997A 49 100 TRUST CO		131,200	25-Jan-2017	09-Mar-2017
GW PH	HARMA	CEUTICALS PLC					
Securit	У	36197T103		Meeting	Type	Annual	
Ticker	Symbol	GWPH		Meeting	Date	14-Mar-2017	
ISIN		US36197T1034		Agenda		934530660 -	Management
Record	Date	07-Feb-2017		Holding Date	Recon	07-Feb-2017	
City /	Countr	T /	Inited tates	Vote Dea Date	adline	06-Mar-2017	
SEDOI	L(s)			Quick Co	ode		
Item	Propos	al	Proposed by	Vote		/Against nagement	
O1	ADOP' AUDIT STATE THE Y SEPTE THAT	CEIVE, CONSIDER AT THE DIRECTORS' AT THE DIRECTORS' AND THE MENT OF ACCOUNTEAR ENDED 30 COMBER 2016 AND NOTHE DIRECTORS DOMESTOND PAYMENT OF THE DIRECTORS DOMES OF THE DIRECTOR DOMES OF THE DIRECT	AND O TS FOR Management OTE O NOT	For	For		
O2		PROVE THE DIRECT NERATION REPORT	Management	For	For		
О3		-ELECT GEOFFREY	GUY Management	For	For		
	AS A I	DIRECTOR					

	TO RE-ELECT THOMAS LYNCH AS A DIRECTOR			
O5	TO RE-ELECT CABOT BROWN AS A DIRECTOR	Management	For	For
O6	TO APPOINT DELOITTE LLP AS AUDITOR	Management	For	For
O7	TO AUTHORISE THE DIRECTORS TO DETERMINE THE AUDITOR'S REMUNERATION	Management	For	For
S8	TO APPROVE THE ADOPTION OF THE COMPANY'S LONG-TERM INCENTIVE PLAN	Management	For	For
S 9	TO AUTHORISE THE DIRECTORS TO ALLOT EQUITY SECURITIES, UNDER SECTION 551 OF THE 2006 ACT	Management	For	For
S10	TO AUTHORISE THE DIRECTORS TO ALLOT EQUITY SECURITIES, UNDER SECTION 570 OF THE 2006 ACT AS IF SECTION 561(1) OF THE 2006 ACT DID NOT APPLY TO SUCH ALLOTMENT	Management	For	For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavai Shares	lable Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997G&O 1,600 TRUST CO	0	15-Feb-2017	08-Mar-2017
997GLO	GLO	STATE STREET 997 G_{∞}^{BANK} 3,100 TRUST CO	0	15-Feb-2017	08-Mar-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 4,300 TRUST CO	0	15-Feb-2017	08-Mar-2017
997AL49	GLO BNP PLEDGE	997A S.49 ATE 8,300 STREET BANK	0	15-Feb-2017	08-Mar-2017

& TRUST CO

		N74710100	D, 50 W C	714	N	TT.	A 1.C	134
Security		Y74718100			Meetin		Annual Gene	_
	Symbol				Meetin		24-Mar-2017	
ISIN		KR7005930003			Agenda		707790499 -	Management
Record	Date	31-Dec-2016			Holding Date	g Recon	31-Dec-2016	6
City /	Country	y SEOUL / 1	Korea, Republic Of		Vote D Date	eadline	14-Mar-2017	7
SEDOI	L(s)	6771720 - B19VC13 B74V052	5 -		Quick (Code		
Item	Proposa	al		Proposed by	Vote		or/Against anagement	
1		OVAL OF FINANCIA EMENTS	L	Management	For	Fo	or	
2		OVAL OF REMUNEF IRECTOR	RATION	Management	For	Fo	or	
Accoun Numbe		Account Name	Interna Accoun	l Ballot ustodian Shares		Unavail Shares	able Vote Date	Date Confirmed
GLV		GLV	S	TATE TREET756 ANK		0	25-Feb-2017	16-Mar-2017
GLQ		GLQ	S	TATE TREET1,197 ANK		0	25-Feb-2017	16-Mar-2017
GLO		GLO	S	TATE TREET3,046 ANK		0	25-Feb-2017	16-Mar-2017
LINE C	CORPOR	ATION						
Security	y	53567X101			Meetin	g Type	Annual	
Ticker	Symbol	LN			Meetin	g Date	30-Mar-2017	7
ISIN		US53567X1019			Agenda	ı	934536268 -	Management
Record	Date	29-Dec-2016			Holding Date	g Recon	29-Dec-2016	6
City /	Country	y / J	Japan		Vote D Date	eadline	23-Mar-2017	7
SEDOI	$\mathcal{L}(\mathbf{s})$				Quick (Code		

Item	Propo	osal	Proposed by	Vote	For/Against Management
1.		TIAL AMENDMENTS TO THE ICLES OF INCORPORATION	Management	For	Against
2.	DIRE	CCTORS	Management		
	1	TAKESHI IDEZAWA		For	For
	2	JUN MASUDA		For	For
	3	JOONGHO SHIN		For	For
	4	IN JOON HWANG		For	For
	5	HAE-JIN LEE		For	For
	6	TADASHI KUNIHIRO		For	For
	7	KOJI KOTAKA		For	For
	8	REHITO HATOYAMA		For	For
3.	SUBS	CTION OF ONE (1) STITUTE CORPORATE ITOR: NAOKI WATANABE	Management	For	Against
4.	AND OPTI PAID	ERMINATION OF AMOUNTS DETAILS OF STOCK ONS (WARRANTS) TO BE OAS REMUNERATION, TO DIRECTORS	Management	For	Against

Account Number	Account Name	Internal Custodi Account	Ballot an Shares	Unavail Shares	able Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREE 997G&Q TRUST CO	Г 10,300	0	04-Mar-2017	27-Mar-2017
997GLO	GLO	STATE STREE 997GLO TRUST CO	T 39,100	0	04-Mar-2017	27-Mar-2017
997AL47	GLQ BNP PLEDGE	STATE STREE 997A&47 TRUST CO	Т 10,800	9,700	04-Mar-2017	27-Mar-2017
997AL49	GLO BNP PLEDGE	997A SA TATE STREE		28,300	04-Mar-2017	27-Mar-2017

BANK & TRUST CO

BROADCOM LIMITED

Security	Y09827109	Meeting Type	Annual
Ticker Symbol	AVGO	Meeting Date	05-Apr-2017
ISIN	SG9999014823	Agenda	934531977 - Management
Record Date	08-Feb-2017	Holding Recon Date	08-Feb-2017
City / Country	y / Malaysia	Vote Deadline Date	03-Apr-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: MR. HOCK E. TAN	Management	For	For
1B.	ELECTION OF DIRECTOR: MR. JAMES V. DILLER	Management	For	For
1C.	ELECTION OF DIRECTOR: MR. LEWIS C. EGGEBRECHT	Management	For	For
1D.	ELECTION OF DIRECTOR: MR. KENNETH Y. HAO	Management	For	For
1E.	ELECTION OF DIRECTOR: MR. EDDY W. HARTENSTEIN	Management	For	For
1F.	ELECTION OF DIRECTOR: MR. CHECK KIAN LOW	Management	For	For
1G.	ELECTION OF DIRECTOR: MR. DONALD MACLEOD	Management	For	For
1H.	ELECTION OF DIRECTOR: MR. PETER J. MARKS	Management	For	For
1I.	ELECTION OF DIRECTOR: DR. HENRY SAMUELI	Management	For	For
2.	TO APPROVE THE RE-APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS BROADCOM'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM AND INDEPENDENT SINGAPORE AUDITOR FOR THE FISCAL YEAR ENDING OCTOBER 29, 2017 AND TO AUTHORIZE THE AUDIT	Management	For	For

COMMITTEE TO FIX ITS

REMUNERATION, AS SET FORTH

IN BROADCOM'S NOTICE OF,

AND PROXY STATEMENT

RELATING TO, ITS 2017 ANNUAL

GENERAL MEETING.

TO APPROVE THE GENERAL

AUTHORIZATION FOR THE

DIRECTORS OF BROADCOM TO

ALLOT AND ISSUE SHARES IN

3. OUR CAPITAL, AS SET FORTH IN Management For For

BROADCOM'S NOTICE OF, AND

PROXY STATEMENT RELATING

TO, ITS 2017 ANNUAL GENERAL

MEETING.

TO APPROVE THE

COMPENSATION OF

BROADCOM'S NAMED

EXECUTIVE OFFICERS, AS

DISCLOSED IN "COMPENSATION

DISCUSSION AND ANALYSIS"

AND IN THE COMPENSATION

4. TABLES AND ACCOMPANYING Management For For

NARRATIVE DISCLOSURE

UNDER "EXECUTIVE

COMPENSATION" IN

BROADCOM'S PROXY

STATEMENT RELATING TO ITS

2017 ANNUAL GENERAL

MEETING.

TO RECOMMEND THAT A

NON-BINDING, ADVISORY VOTE

TO APPROVE THE

COMPENSATION OF THE

BROADCOM'S NAMED

EXECUTIVE OFFICERS BE PUT

5. TO SHAREHOLDERS FOR THEIR Management 3 Years Against

CONSIDERATION EVERY: ONE;

TWO; OR THREE YEARS, AS SET

FORTH IN BROADCOM'S NOTICE

OF, AND PROXY STATEMENT

RELATING TO, ITS 2017 ANNUAL

GENERAL MEETING.

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavailable Vote Date Shares	Date Confirmed
997GLO	GLO	997G &O ATE 5,500 STREET	0 22-Feb-2017	05-Apr-2017

		8	BANK &			
			TRUST CO			
997AL	45		STATE STREET SANK 45 14,705	6,400	22-Feb-2017	05-Apr-2017
		Т	ERUST CO			·
997AL	47		STATE STREET SANK 47 33,570	17,400) 22-Feb-2017	05-Apr-2017
,,,,-		Т	TRUST CO	5,,,,,		
997AL	40	S	STATE STREET SANK 30,570	43,700) 22-Feb-2017	05-Apr-2017
))/AL	1)		TRUST	43,700	22-1 60-2017	03-Арг-2017
LENNA	AR COF	RPORATION				
Securit	y	526057104		Meeting Type	Annual	
Ticker	Symbol	LEN		Meeting Date	18-Apr-201	17
ISIN		US5260571048		Agenda	934533678	- Management
Record	Date	21-Feb-2017		Holding Recon Date	21-Feb-201	7
City /	Count	ry / United States		Vote Deadline Date	17-Apr-201	17
SEDOI	_(s)			Quick Code		
Item	Propos		Proposed by	VATE	For/Against Management	
1.	DIRE		Management			
	1	IRVING BOLOTIN			For	
	2	STEVEN L. GERARD			For	
	3	THERON I. "TIG" GILLIAM SHERRILL W. HUDSON			For For	
	5	SIDNEY LAPIDUS			For	
	6	TERI P. MCCLURE			For	
	7	STUART MILLER			For	
	8	ARMANDO OLIVERA			For	
	9	DONNA SHALALA			For	

	10 JEFFREY SONNENFELD		For	For
2.	RATIFICATION OF THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS LENNAR'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING NOVEMBER 30, 2017.	Management	For	For
3.	APPROVAL, ON AN ADVISORY BASIS, OF THE COMPENSATION OF LENNAR'S NAMED EXECUTIVE OFFICERS.	Management	For	For
4.	APPROVAL, ON AN ADVISORY BASIS, OF THE FREQUENCY OF THE STOCKHOLDER VOTE ON THE COMPENSATION OF LENNAR'S NAMED EXECUTIVE OFFICERS.	Management	3 Years	Against
5.	APPROVAL OF A STOCKHOLDER PROPOSAL REGARDING OUR COMMON STOCK VOTING STRUCTURE.	Shareholder	Against	For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavail Shares	able Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997G NK 5,500 TRUST CO	0	08-Mar-2017	19-Apr-2017
997GLQ	GLQ	STATE STREET 997G & 8,700 TRUST CO	0	08-Mar-2017	19-Apr-2017
997GLO	GLO	STATE STREET 997G ANK 23,500 TRUST CO	0	08-Mar-2017	19-Apr-2017
997AL45	GLV BNP PLEDGE	997A &T5 ATE 8,700 STREET BANK &	30,900	08-Mar-2017	19-Apr-2017

		Lugar i iling. Old	agri Globai Equ	ity i und i on	111 I N	1 /	
			RUST O				
		S	TATE TREET				
997AL	47	GLQ BNP PLEDGE 997A	47 11,700 RUST	60,5	500	08-Mar-2017	19-Apr-2017
		C	O TATE				
997AL	40	S	TREET	121	500	09 Man 2017	10 4 2017
997AL	49		79 33,800 RUST O	131,	,500	08-Mar-2017	19-Apr-2017
CITIGI	ROUP IN						
Securit		172967424		Meeting Type	e	Annual	
	Symbol	С		Meeting Date		25-Apr-2017	
ISIN	·	US1729674242		Agenda		934541904 -	Management
Record	Date	27-Feb-2017		Holding Reco	on	27-Feb-2017	
City /	Country	y / United States		Vote Deadlin Date	ne	24-Apr-2017	
SEDOI	$\mathcal{L}(s)$			Quick Code			
Item	Proposa	al	Proposed by	Vote		/Against nagement	
1A.		TION OF DIRECTOR: AEL L. CORBAT	Management	For	For		
1B.		TON OF DIRECTOR: ELLEN STELLO	Management	For	For		
1C.		TON OF DIRECTOR: AN P. HENNES	Management	For	For		
1D.	ELECT B. HEN	TON OF DIRECTOR: PETER NRY	Management	For	For		
1E.	ELECT B. HUN	TION OF DIRECTOR: FRANZ MER	Management	For	For		
1F.	ELECT J. JAM	TION OF DIRECTOR: RENEE ES	Management	For	For		
1G.		TION OF DIRECTOR: NE M. MCQUADE	Management	For	For		
1H.		'ION OF DIRECTOR: AEL E. O'NEILL	Management	For	For		
1I.	ELECT M. REI	TION OF DIRECTOR: GARY NER	Management	For	For		

1J.	ELECTION OF DIRECTOR: ANTHONY M. SANTOMERO	Management	For	For
1K.	ELECTION OF DIRECTOR: DIANA L. TAYLOR	Management	For	For
1L.	ELECTION OF DIRECTOR: WILLIAM S. THOMPSON, JR.	Management	For	For
1M.	ELECTION OF DIRECTOR: JAMES S. TURLEY	Management	For	For
1N.	ELECTION OF DIRECTOR: DEBORAH C. WRIGHT	Management	For	For
10.	ELECTION OF DIRECTOR: ERNESTO ZEDILLO PONCE DE LEON	Management	For	For
2.	PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS CITI'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.	Management	For	For
3.	ADVISORY VOTE TO APPROVE CITI'S 2016 EXECUTIVE COMPENSATION.	Management	For	For
4.	ADVISORY VOTE TO APPROVE THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION.	Management	3 Years	Against
5.	STOCKHOLDER PROPOSAL REQUESTING A REPORT ON THE COMPANY'S POLICIES AND GOALS TO REDUCE THE GENDER PAY GAP.	Shareholder	Against	For
6.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD APPOINT A STOCKHOLDER VALUE COMMITTEE TO ADDRESS WHETHER THE DIVESTITURE OF ALL NON-CORE BANKING BUSINESS SEGMENTS WOULD ENHANCE SHAREHOLDER VALUE.	Shareholder	Against	For
7.	STOCKHOLDER PROPOSAL REQUESTING A REPORT ON LOBBYING AND GRASSROOTS LOBBYING CONTRIBUTIONS.	Shareholder	Against	For
8.	STOCKHOLDER PROPOSAL REQUESTING AN AMENDMENT TO THE GENERAL CLAWBACK	Shareholder	Against	For

POLICY TO PROVIDE THAT A SUBSTANTIAL PORTION OF ANNUAL TOTAL COMPENSATION OF EXECUTIVE OFFICERS SHALL BE DEFERRED AND FORFEITED, IN PART OR WHOLE, AT THE DISCRETION OF THE BOARD, TO HELP SATISFY ANY MONETARY PENALTY ASSOCIATED WITH A VIOLATION OF LAW. STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD

ADOPT A POLICY PROHIBITING

THE VESTING OF 9. **EQUITY-BASED AWARDS FOR** SENIOR EXECUTIVES DUE TO A **VOLUNTARY RESIGNATION TO** ENTER GOVERNMENT SERVICE.

Shareholder Against For

Account Number	Account Name	Internal Custodia Account	Ballot Shares	Unavaila Shares	ble Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997G & Q TRUST CO		0	17-Mar-2017	26-Apr-2017
997GLO	GLO	STATE STREET 997G&ONK TRUST CO	Γ 10,000	0	17-Mar-2017	26-Apr-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK 997A 245 TRUST CO	Γ 20,130	57,800	17-Mar-2017	26-Apr-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997A A TRUST CO	Γ 119	100,400	17-Mar-2017	26-Apr-2017
997AL49	GLO BNP PLEDGE	997A ST9 TE STREET		238,900	17-Mar-2017	26-Apr-2017

BANK & **TRUST** CO **STATE STREET** 997AL53 **GLO MS PLEDGE** 70,100 0 997AL53 17-Mar-2017 26-Apr-2017 **TRUST** CO GALAPAGOS N V Meeting Type Security 36315X101 Annual Meeting Date Ticker Symbol **GLPG** 25-Apr-2017 **ISIN** US36315X1019 Agenda 934565459 - Management Holding Recon Record Date 20-Mar-2017 20-Mar-2017 Date United Vote Deadline 17-Apr-2017 City / Country States Date SEDOL(s) Quick Code Proposed For/Against Item **Proposal** Vote by Management **COMMUNICATION AND** APPROVAL OF THE NON-CONSOLIDATED ANNUAL ACCOUNTS OF THE COMPANY FOR THE FINANCIAL YEAR O2 Management For ENDED ON 31 DECEMBER 2016 AND APPROVAL OF THE ALLOCATION OF THE ANNUAL RESULT AS PROPOSED BY THE BOARD OF DIRECTORS. **COMMUNICATION AND O5** APPROVAL OF THE Management For REMUNERATION REPORT. RELEASE FROM LIABILITY TO BE GRANTED TO THE DIRECTORS AND THE STATUTORY AUDITOR FOR THE Management 06 For PERFORMANCE OF THEIR DUTIES IN THE COURSE OF THE FINANCIAL YEAR ENDED 31 DECEMBER 2016. **O**7 RATIFICATION OF THE Management For STATUTORY AUDITOR'S REMUNERATION FOR THE FINANCIAL YEAR ENDED ON 31

	DECEMBED 2016		
O8	DECEMBER 2016. RE-APPOINTMENT OF STATUTORY AUDITOR AND DETERMINATION OF STATUTORY AUDITOR'S REMUNERATION.	Management	For
O9A	RE-APPOINTMENT OF MR. ONNO VAN DE STOLPE AS DIRECTOR OF THE COMPANY.	Management	For
O9B	RE-APPOINTMENT OF DR. RAJ PAREKH AS DIRECTOR OF THE COMPANY.	Management	For
O9C	RE-APPOINTMENT OF MS. KATRINE BOSLEY AS INDEPENDENT DIRECTOR OF THE COMPANY.	Management	For
O10	REMUNERATION OF DIRECTORS.	Management	For
O11	OFFER OF WARRANTS.	Management	For
O12	APPLICATION OF ARTICLE 556 OF THE BELGIAN COMPANIES CODE.	Management	For
E2	AUTHORIZATION TO THE BOARD OF DIRECTORS TO INCREASE THE SHARE CAPITAL WITHIN THE FRAMEWORK OF THE AUTHORIZED CAPITAL BY UP TO 20% OF THE SHARE CAPITAL.	Management	For
E3	AUTHORIZATION TO THE BOARD OF DIRECTORS TO INCREASE THE SHARE CAPITAL WITHIN THE FRAMEWORK OF THE AUTHORIZED CAPITAL BY UP TO 33% OF THE SHARE CAPITAL, IN SPECIFIC CIRCUMSTANCES.	Management	For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavail Shares	able Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997 $G_{\&Q}^{ extbf{BANK}}$ 26,100 TRUST CO	0	30-Mar-2017	19-Apr-2017

BANK OF AMERICA CORPORATION

BANK	OF AME	ERICA CORPOR	ATION				
Security	y	060505104			Meeting Type	e	Annual
Ticker	Symbol	BAC			Meeting Date	;	26-Apr-2017
ISIN		US0605051046			Agenda		934543453 - Management
Record	Date	02-Mar-2017			Holding Reco	n	02-Mar-2017
City /	Country	y	/ United States		Vote Deadlin Date	e	25-Apr-2017
SEDOI	L(s)				Quick Code		
Item	Proposa	al		Proposed by	Vote		Against agement
1A.		TION OF DIRECT ON L. ALLEN	ΓOR:	Management	For	For	
1B.	ELECT S. BIES	TION OF DIRECT	ΓOR: SUSAN	Management	For	For	
1C.		TION OF DIRECT ENDER, JR.	ΓOR: JACK	Management	For	For	
1D.		TION OF DIRECT K P. BRAMBLE,		Management	For	For	
1E.		TON OF DIRECT E J.P. DE WECK		Management	For	For	
1F.		TON OF DIRECT		Management	For	For	
1G.	ELECT P. HUD	TON OF DIRECT	ΓOR: LINDA	Management	For	For	
1H.		TION OF DIRECT CA C. LOZANO	ΓOR:	Management	For	For	
1I.		TION OF DIRECT AS J. MAY	ΓOR:	Management	For	For	
1J.		TION OF DIRECT YNIHAN	ΓOR: BRIAN	Management	For	For	
1K.		TION OF DIRECT L L. NOWELL, I		Management	For	For	
1L.		TION OF DIRECT AEL D. WHITE	ΓOR:	Management	For	For	
1M.		TION OF DIRECT AS D. WOODS	ΓOR:	Management	For	For	
1N.		TION OF DIRECT O YOST	ΓOR: R.	Management	For	For	
2.	COMPI NON-B	OVING OUR EXI ENSATION (AN BINDING "SAY (LUTION)	ADVISORY,	Management	For	For	

3.	A VOTE ON THE FREQUENCY OF FUTURE ADVISORY "SAY ON PAY" RESOLUTIONS (AN ADVISORY, NON-BINDING "SAY ON FREQUENCY" RESOLUTION)	Management	3 Years	Against
4.	RATIFYING THE APPOINTMENT OF OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017	Management	For	For
5.	STOCKHOLDER PROPOSAL - CLAWBACK AMENDMENT	Shareholder	Against	For
6.	STOCKHOLDER PROPOSAL - DIVESTITURE & DIVISION STUDY SESSIONS	Shareholder	Against	For
7.	STOCKHOLDER PROPOSAL - INDEPENDENT BOARD CHAIRMAN	Shareholder	Against	For
8.	STOCKHOLDER PROPOSAL - REPORT CONCERNING GENDER PAY EQUITY	Shareholder	Against	For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavaila Shares	able Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997G ^{BANK} 24,500 TRUST CO	0	16-Mar-2017	27-Apr-2017
997GLQ	GLQ	STATE STREET 997G ANK 38,400 TRUST CO	0	16-Mar-2017	27-Apr-2017
997GLO	GLO	STATE STREET 997G&ONK 131,800 TRUST CO	0	16-Mar-2017	27-Apr-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997A 45 191 TRUST CO	148,400	16-Mar-2017	27-Apr-2017

		G	Ū		•			
997AL4	1 7	GLQ BNP PLE	S' DGE 997A T	TATE TREET ANK 105 RUST	261,	400 1	6-Mar-2017	27-Apr-2017
997AL4	1 9	GLO BNP PLE	S' DGE 997A T	TATE TREET ANK 49 110 C RUST O	580,	000 1	6-Mar-2017	27-Apr-2017
EOG R	ESOURC	CES, INC.						
Security	7	26875P101			Meeting Type	2	Annual	
Ticker S	Symbol	EOG			Meeting Date	:	27-Apr-2017	
ISIN		US26875P1012			Agenda		934538476 - N	Management
Record	Date	27-Feb-2017			Holding Reco	on	27-Feb-2017	
City /	Country		/ United States		Vote Deadline Date	e	26-Apr-2017	
SEDOL	(s)				Quick Code			
Item	Proposa	1		Proposed by	Vote		Against agement	
1A.	ELECT F. CLA	ION OF DIRECT RK	TOR: JANET	Management	For	For		
1B.		ION OF DIRECT LES R. CRISP	TOR:	Management	For	For		
1C.		ION OF DIRECT T P. DANIELS	TOR:	Management	For	For		
1D.	ELECT C. DAY	ION OF DIRECT	TOR: JAMES	Management	For	For		
1E.	DONAI	ION OF DIRECT LD F. TEXTOR		Management	For	For		
1F.		ION OF DIRECT AM R. THOMAS		Management	For	For		
1G.		ION OF DIRECT G. WISNER	TOR:	Management	For	For		
2.	BY THE THE BO DELOI' INDEPI PUBLIO	TIFY THE APPO E AUDIT COMM DARD OF DIREC TTE & TOUCHE ENDENT REGIS C ACCOUNTING ORS FOR THE O	TITTEE OF CTORS OF ELLP, TERED G FIRM, AS	Management	For	For		

FOR THE YEAR ENDING DECEMBER 31, 2017. TO APPROVE AN AMENDMENT OF THE COMPANY'S RESTATED **CERTIFICATE OF** INCORPORATION TO INCREASE 3. Management For For THE NUMBER OF AUTHORIZED SHARES OF COMMON STOCK FROM 640 MILLION TO 1.28 BILLION. TO APPROVE, BY NON-BINDING VOTE, THE COMPENSATION OF 4. Management For For THE COMPANY'S NAMED EXECUTIVE OFFICERS. TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF HOLDING 5. ADVISORY VOTES ON THE 3 Years Against Management COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.

Account Number	Account Name	Internal Ballot Account Shares	Unavail Shares	able Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997G&V 5,700 TRUST CO	0	18-Mar-2017	28-Apr-2017
997GLQ	GLQ	STATE STREET 997G&O 7,100 TRUST CO	0	18-Mar-2017	28-Apr-2017
997GLO	GLO	STATE STREET 997G ANK 17,800 TRUST CO	0	18-Mar-2017	28-Apr-2017
997AL45	GLV BNP PLEDGE	997A ET ATE 600 STREET BANK & TRUST	23,500	18-Mar-2017	28-Apr-2017

		· ·	5	' '			
997AL4	47	GLQ BNP PLEDGE 9	CO STATE STREET 97A A 1,900 TRUST CO		29,900	18-Mar-2017	28-Apr-2017
997AL4	49	GLO BNP PLEDGE 9	STATE STREET 97AL 500 TRUST CO		79,500	18-Mar-2017	28-Apr-2017
PFIZEF	R INC.						
Security	y	717081103		Meetin	g Type	Annual	
Ticker	Symbol	PFE		Meeting	g Date	27-Apr-2017	
ISIN		US7170811035		Agenda	ı	934540798 -	Management
Record	Date	28-Feb-2017		Holding Date	g Recon	28-Feb-2017	
City /	Country	/ Unit State		Vote D Date	eadline	26-Apr-2017	
SEDOL	رs)			Quick (Code		
Item	Proposa	ıl	Proposed by	Vote		r/Against anagement	
1A.		ION OF DIRECTOR: S A. AUSIELLO	Managemer	nt For	Fo	r	
1B.		ION OF DIRECTOR: LD E. BLAYLOCK	Managemer	nt For	Fo	r	
1C.		ION OF DIRECTOR: W ORNWELL	Managemer	nt For	Fo	r	
1D.		ION OF DIRECTOR: H J. ECHEVARRIA	Managemer	nt For	Fo	r	
1E.		ION OF DIRECTOR: CES D. FERGUSSON	Managemer	nt For	Fo	r	
1F.	ELECT H. HOE	ION OF DIRECTOR: HI BBS	ELEN Managemer	nt For	Fo	r	
1G.	ELECT M. KIL	ION OF DIRECTOR: JA TS	MES Managemen	nt For	Fo	r	
1H.		ION OF DIRECTOR: TANU NARAYEN	Managemer	nt For	Fo	r	
1I.		ION OF DIRECTOR: INE NORA JOHNSON	Managemer	nt For	Fo	r	
1J.	ELECT	ION OF DIRECTOR: IA	N C. Managemer	nt For	Fo	r	

	READ			
1K.	ELECTION OF DIRECTOR: STEPHEN W. SANGER	Management	For	For
1L.	ELECTION OF DIRECTOR: JAMES C. SMITH	Management	For	For
2.	RATIFY THE SELECTION OF KPMG LLP AS INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017	Management	For	For
3.	ADVISORY APPROVAL OF EXECUTIVE COMPENSATION	Management	For	For
4.	ADVISORY VOTE ON THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION	Management	3 Years	Against
5.	SHAREHOLDER PROPOSAL REGARDING THE HOLY LAND PRINCIPLES	Shareholder	Against	For
6.	SHAREHOLDER PROPOSAL REGARDING SPECIAL SHAREOWNER MEETINGS	Shareholder	Against	For
	SHAREHOLDER PROPOSAL			

7.

REGARDING INDEPENDENT

CHAIR POLICY

Account Number	Account Name	Internal Ballot Account Shares	Unavaila Shares	able Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997G NK 17,800 TRUST CO	0	17-Mar-2017	28-Apr-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997A ANK 45 100 TRUST CO	43,500	17-Mar-2017	28-Apr-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 100 TRUST CO	81,500	17-Mar-2017	28-Apr-2017

Shareholder

Against

For

997AL4	49	ST GLO BNP PLEDGE 997A	RUST	157,	100 1	7-Mar-2017	28-Apr-2017
997AL6	50	ST GLV MS PLEDGE 997A	RUST	0	1′	7-Mar-2017	28-Apr-2017
AKORI	N, INC.						
Security	y	009728106		Meeting Type	e	Annual	
Ticker S	Symbol	AKRX		Meeting Date		27-Apr-2017	
ISIN		US0097281069		Agenda		934560308 - 1	Management
Record	Date	13-Mar-2017		Holding Reco	on	13-Mar-2017	
City /	Count	ry / United States		Vote Deadline Date	e	26-Apr-2017	
SEDOL	L(s)			Quick Code			
Item	Propo	sal	Proposed by	Vote		Against Agement	
1.	DIRE	CTOR	Management				
	1	JOHN KAPOOR, PHD		For	For		
	2	KENNETH ABRAMOWITZ		For	For		
	3	ADRIENNE GRAVES, PHD		For	For		
	4	RONALD JOHNSON		For	For		
	5	STEVEN MEYER		For	For		
	6	TERRY ALLISON RAPPUHN		For	For		
	7	BRIAN TAMBI		For	For		
	8	ALAN WEINSTEIN		For	For		
2.	APPC AS TI INDE PUBL	OSAL TO RATIFY THE DINTMENT OF BDO USA, LLP HE COMPANY'S PENDENT REGISTERED LIC ACCOUNTING FIRM FOR YEAR ENDING DECEMBER 117.	Management	For	For		
3.	PROP 2017	OSAL TO APPROVE THE OMNIBUS INCENTIVE PENSATION PLAN.	Management	For	For		
4.			Management	3 Years	Agair	nst	

PROPOSAL TO APPROVE, THROUGH A NON- BINDING ADVISORY VOTE, THE FREQUENCY OF FUTURE NON-BINDING ADVISORY VOTES ON THE COMPANY'S EXECUTIVE COMPENSATION PROGRAMS.

PROPOSAL TO APPROVE, THROUGH A NON- BINDING ADVISORY VOTE, THE

5. COMPANY'S EXECUTIVE COMPENSATION PROGRAM AS DESCRIBED IN THE COMPANY'S 2017 PROXY STATEMENT.

Management For For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavaila Shares	able Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997G Q 39,000 TRUST CO	0	28-Mar-2017	28-Apr-2017
997GLO	GLO	STATE STREET 997G&O 96,700 TRUST CO	0	28-Mar-2017	28-Apr-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997A A 38,600 TRUST CO	0	28-Mar-2017	28-Apr-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997A AP 54,500 TRUST CO	0	28-Mar-2017	28-Apr-2017
997AL53	GLO MS PLEDGE	STATE STREET 997A & 20,700 TRUST CO	0	28-Mar-2017	28-Apr-2017

BRISTOL-MYERS SQUIBB COMPANY

BRISTO	OL-MYERS SQUIBB COMPANY				
Security	110122108		Meeting Typ	e	Annual
Ticker S	Symbol BMY		Meeting Date	•	02-May-2017
ISIN	US1101221083		Agenda		934547538 - Management
Record	Date 14-Mar-2017		Holding Reco	on	14-Mar-2017
City /	Country / United States		Vote Deadlin Date	ie	01-May-2017
SEDOL	L(S)		Quick Code		
Item	Proposal	Proposed by	Vote		Against agement
1A.	ELECTION OF DIRECTOR: P. J. ARDUINI	Management	For	For	
1B.	ELECTION OF DIRECTOR: R. J. BERTOLINI	Management	For	For	
1C.	ELECTION OF DIRECTOR: G. CAFORIO, M.D.	Management	For	For	
1D.	ELECTION OF DIRECTOR: M. W. EMMENS	Management	For	For	
1E.	ELECTION OF DIRECTOR: L. H. GLIMCHER, M.D.	Management	For	For	
1F.	ELECTION OF DIRECTOR: M. GROBSTEIN	Management	For	For	
1G.	ELECTION OF DIRECTOR: A. J. LACY	Management	For	For	
1H.	ELECTION OF DIRECTOR: D. C. PALIWAL	Management	For	For	
1I.	ELECTION OF DIRECTOR: T. R. SAMUELS	Management	For	For	
1J.	ELECTION OF DIRECTOR: G. L. STORCH	Management	For	For	
1K.	ELECTION OF DIRECTOR: V. L. SATO, PH.D.	Management	For	For	
2.	ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	For	For	
3.	ADVISORY VOTE ON THE FREQUENCY OF THE ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	3 Years	Agai	nst
4.	RE-APPROVAL OF THE MATERIALS TERMS OF THE	Management	For	For	

PERFORMANCE-BASED AWARDS UNDER THE COMPANY'S 2012 STOCK AWARD AND INCENTIVE PLAN (AS AMENDED). APPROVAL OF AN AMENDMENT 5. TO THE COMPANY'S 2012 STOCK Management For For AWARD AND INCENTIVE PLAN. RATIFICATION OF THE APPOINTMENT OF 6. Management For For INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM. SHAREHOLDER PROPOSAL TO LOWER THE SHARE OWNERSHIP Shareholder 7. Against For THRESHOLD TO CALL SPECIAL SHAREHOLDER MEETINGS.

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavail Shares	able Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997G _{&} V 9,200 TRUST CO	0	24-Mar-2017	03-May-2017
997GLQ	GLQ	STATE STREET 997G ANK 24,200 TRUST CO	0	24-Mar-2017	03-May-2017
997GLO	GLO	STATE STREET 997G ANK 49,700 TRUST CO	0	24-Mar-2017	03-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997AL45 187 TRUST CO	23,100	24-Mar-2017	03-May-2017
997AL47	GLQ BNP PLEDGE	997A STA TE 46,616 STREET BANK &	40,000	24-Mar-2017	03-May-2017

				RUST O				
				TATE TREET				
997AL	49	GLO BNP PLEDGE			0	2	4-Mar-2017	03-May-2017
			T	RUST O				
				TATE TREET				
997AL	53	GLO MS PLEDGE	997A	ANK 29,000	0	2	4-Mar-2017	03-May-2017
			T	RUST O				
				TATE TREET				
997AL	60	GLV MS PLEDGE	997A		0	2	4-Mar-2017	03-May-2017
			T	RUST O				
MTGE	INVEST	MENT CORP.						
Security		55378A105			Meeting Type		Annual	
•	Symbol	MTGE			Meeting Date		02-May-2017	7
ISIN	•	US55378A1051			Agenda		934555472 -	
Record	Date	08-Mar-2017			Holding Reco Date	n	08-Mar-2017	-
City /	Country	i I	Inited tates		Vote Deadline Date	2	01-May-2017	7
SEDOL	L(s)				Quick Code			
Item	Proposa	al		Proposed by	Vote		Against agement	
1A.	ELECT D. KAI	TION OF DIRECTOR: N	GARY	Management	For	For		
1B.		TION OF DIRECTOR: EN W. ABRAHAMS		Management	For	For		
1C.		TION OF DIRECTOR: RONADO	JULIA	Management	For	For		
1D.		TION OF DIRECTOR: RT M. COUCH		Management	For	For		
1E.		TION OF DIRECTOR: Y E.DOBBS		Management	For	For		
2.	THE C	ORY VOTE TO APPI OMPENSATION OF O D EXECUTIVE OFFI	OUR	Management	For	For		

3.	ADVISORY VOTE TO SELECT THE FREQUENCY OF FUTURE ADVISORY VOTES ON THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	3 Years	Against
4.	RATIFICATION OF APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT PUBLIC ACCOUNTANT FOR THE YEAR ENDING DECEMBER 31, 2017.	Management	For	For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavail Shares	able Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997GKV 25,100 TRUST CO	0	24-Mar-2017	03-May-2017
997GLQ	GLQ	STATE STREET 997GLO 99,700 TRUST CO	0	24-Mar-2017	03-May-2017
997GLO	GLO	STATE STREET 997G&ONK 69,061 TRUST CO	0	24-Mar-2017	03-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997Ak45 11,400 TRUST CO	27,000	24-Mar-2017	03-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997AL47 3,700 TRUST CO	57,700	24-Mar-2017	03-May-2017

CABLE ONE, INC.

Security 12685J105 Meeting Type Annual
Ticker Symbol CABO Meeting Date 02-May-2017

ISIN	US12685J1051		Agenda	934571084 -	Management
Record	Date 10-Mar-2017		Holding Reco		
City /	(Country /	United States	Vote Deadlin	ne 01-May-201	7
SEDOI	L(s)		Quick Code		
Item	Proposal	Proposed by	Vote	For/Against Management	
1A.	ELECTION OF DIRECTOR G. SPOON	: ALAN Management	For	For	
1B.	ELECTION OF DIRECTOR WALLACE R. WEITZ	: Management	For	For	
2.	TO RATIFY THE APPOINT OF PRICEWATERHOUSECOCULE AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF TOUR COMPANY FOR THE FISCULE OF THE FI	PERS NT Management HE AL	For	For	
3.	TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFF FOR 2016 ON AN ADVISO BASIS	ICERS Management	For	For	
4.	TO SELECT THE FREQUE FUTURE ADVISORY VOT EXECUTIVE COMPENSAT AN ADVISORY BASIS	ES ON Management	3 Years	Against	
5.	TO APPROVE THE AMENT AND RESTATED CABLE OF INC. 2015 OMNIBUS INCE COMPENSATION PLAN	ONE, Management	For	For	
Accour Numbe	Account Name	Internal Ballot Custodian Account Shares	Una Sha	vailable Vote Date res	Date Confirmed
997GL	Q GLQ	STATE STREET 997G&O 1,700 TRUST CO	0	04-Apr-2017	03-May-2017
997GL	O GLO	997G EO ATE 4,508	0	04-Apr-2017	03-May-2017

STREET

BANK & **TRUST** CO **STATE STREET** 997AL60 **GLV MS PLEDGE** 1,100 0 04-Apr-2017 03-May-2017 997AL60 **TRUST** CO **EVERSOURCE ENERGY** Meeting Type Security 30040W108 Annual Meeting Date Ticker Symbol 03-May-2017 ES **ISIN** US30040W1080 Agenda 934545558 - Management Holding Recon Record Date 06-Mar-2017 06-Mar-2017 Date United Vote Deadline 02-May-2017 City / Country States Date SEDOL(s) Quick Code **Proposed** For/Against Item **Proposal** Vote by Management **ELECTION OF DIRECTOR: JOHN** 01 Management For For S. CLARKESON **ELECTION OF DIRECTOR:** 02 Management For For COTTON M. CLEVELAND **ELECTION OF DIRECTOR:** 03 Management For For SANFORD CLOUD, JR. **ELECTION OF DIRECTOR: JAMES** 04 Management For For S. DISTASIO **ELECTION OF DIRECTOR:** 05 Management For For FRANCIS A. DOYLE **ELECTION OF DIRECTOR:** 06 Management For For CHARLES K. GIFFORD **ELECTION OF DIRECTOR: JAMES** 07 Management For For J. JUDGE ELECTION OF DIRECTOR: PAUL 08 Management For For A. LA CAMERA **ELECTION OF DIRECTOR:** 09 Management For For KENNETH R. LEIBLER **ELECTION OF DIRECTOR:** 10 Management For For WILLIAM C. VAN FAASEN **ELECTION OF DIRECTOR:** 11 Management For For FREDERICA M. WILLIAMS

12	ELECTION OF DIRECTORY DENNIS R. WRAASE	OR: Management	For	For	
2.	APPROVE PROPOSED AMENDMENT TO THE COMPANY'S DECLARA TRUST TO INCLUDE A ACCESS PROVISION.	C	For	For	
3.	CONSIDER AN ADVISO PROPOSAL APPROVIN COMPENSATION OF O NAMED EXECUTIVE O	G THE Management UR	For	For	
4.	CONSIDER AN ADVISOR PROPOSAL ON THE FROF FUTURE ADVISOR PROPOSALS ON EXECUTION OF THE PROPOSALS ON EXECUTION.	EQUENCY Management	3 Years	Against	
5.	RE-APPROVE THE MATTERMS OF THE PERFO GOALS UNDER THE 20 EVERSOURCE INCENT AS REQUIRED BY SECTION 162(M) OF THE INTERMINE REVENUE CODE.	RMANCE 109 TVE PLAN Management TION	For	For	
6.	RATIFY THE SELECTION DELOITTE & TOUCHE THE INDEPENDENT REPUBLIC ACCOUNTING 2017.	LLP AS EGISTERED Management	For	For	
Accour Numbe	Account Name	Internal Ballot Custodian Account Shares		available ares Vote Date	Date Confirmed
997GL	Q GLQ	STATE STREET 997G Q 48,800 TRUST CO	0	25-Mar-2017	04-May-2017
PHYSI	CIANS REALTY TRUST				
Securit	y 71943U104		Meeting Ty	pe Annual	
Ticker	Symbol DOC		Meeting Dat	te 03-May-201	7
ISIN	US71943U1043		Agenda	934549429 -	Management
Record	Date 01-Mar-2017		Holding Red Date	01-Mar-201	7
City /	Country	/ United States	Vote Deadli Date	ne 02-May-201	7

SEDOL(s)				Quick Code				
Item	Proposal		Proposed by	Vote		For/Against Management		
1.	DIREC	DIRECTOR		Management				
	1	JOHN T. THOMAS			For	F	For	
	2	TOMMY G. THOMPS	ON		For	F	For	
	3	STANTON D. ANDER	RSON		For	F	For	
	4	MARK A. BAUMGAR	RTNER		For	F	For	
	5	ALBERT C. BLACK,	JR.		For	F	For	
	6	WILLIAM A. EBINGE	ER, M.D		For	F	For	
	7	RICHARD A. WEISS			For	F	For	
2.	OF ER COMF REGIS ACCC FISCA	ATIFY THE APPOINTS RNST & YOUNG LLP APPOINTS PANY'S INDEPENDENT STERED PUBLIC DUNTING FIRM FOR TALLYEAR ENDING MBER 31, 2017.	AS THE NT	Management	For	F	For	
3.	BASIS THE C EXEC DISCI ACCC	PPROVE, ON AN ADV S, THE COMPENSATION COMPANY'S NAMED UTIVE OFFICERS, AS LOSED IN THE OMPANYING PROXY EMENT.	ON OF	Management	For	F	For	
Accour Numbe		Account Name	Interna Accour	austodian		Unava Shares	ilable Vote Date	Date Confirmed
997GL	.Q	GLQ	997G T	TATE TREET ANK Q 47,200 RUST		0	24-Mar-2017	04-May-2017
997AL	47	GLQ BNP PLEDGE	S S 997A T	TATE TREET		88,700	24-Mar-2017	04-May-2017
PULTI	EGROUI	P, INC.						
Securit	.y	745867101			Meeting	g Type	Annual	
Ticker	Symbol	PHM			Meeting	g Date	03-May-201	7
ISIN		US7458671010			Agenda	ı	934549758 -	Management

Record	Date 10-Mar-2017		Holding Reco	on 10-Mar-2017
City /	Country / United States		Vote Deadlin Date	e 02-May-2017
SEDOI	L (s)		Quick Code	
Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: BRIAN P. ANDERSON	Management	For	For
1B.	ELECTION OF DIRECTOR: BRYCE BLAIR	Management	For	For
1C.	ELECTION OF DIRECTOR: RICHARD W. DREILING	Management	For	For
1D.	ELECTION OF DIRECTOR: THOMAS J. FOLLIARD	Management	For	For
1E.	ELECTION OF DIRECTOR: JOSHUA GOTBAUM	Management	For	For
1F.	ELECTION OF DIRECTOR: CHERYL W. GRISE	Management	For	For
1G.	ELECTION OF DIRECTOR: ANDRE J. HAWAUX	Management	For	For
1H.	ELECTION OF DIRECTOR: RYAN R. MARSHALL	Management	For	For
1I.	ELECTION OF DIRECTOR: PATRICK J. O'LEARY	Management	For	For
1J.	ELECTION OF DIRECTOR: JOHN R. PESHKIN	Management	For	For
1K.	ELECTION OF DIRECTOR: SCOTT F. POWERS	Management	For	For
1L.	ELECTION OF DIRECTOR: WILLIAM J. PULTE	Management	For	For
2.	THE RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management	For	For
3.	AN ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION.	Management	For	For
4.	AN ADVISORY VOTE TO APPROVE THE FREQUENCY OF THE ADVISORY VOTE REGARDING EXECUTIVE COMPENSATION.	Management	3 Years	Against

Account Number	Account Name	Internal Ballot Custodian Shares	Unavaila Shares	ible Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997G&V 16,700 TRUST CO	0	29-Mar-2017	04-May-2017
997GLQ	GLQ	STATE STREET 997G&O TRUST CO	0	29-Mar-2017	04-May-2017
997GLO	GLO	STATE STREET 997G NK 80,000 TRUST CO	0	29-Mar-2017	04-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET 997A&A5 100 TRUST CO	50,200	29-Mar-2017	04-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997A&A 100 TRUST CO	120,300	29-Mar-2017	04-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997A A 100 TRUST CO	253,500	29-Mar-2017	04-May-2017
997AL60	GLV MS PLEDGE	STATE STREET 997A & 15,000 TRUST CO	0	29-Mar-2017	04-May-2017
	IICONDUCTOR PLC, L	LONDON			
Security	G5821P111		Meeting Type	Annual Gener	ral Meeting

Ticker Symbol ISIN GB0059822006				Meeting Date Agenda		04-May-2017 707978675 - Management
Record	Date	GD0037022000		Holding Recon Date		02-May-2017
City /	Country	y LONDON / United Kingdom		Vote Deadlin	ie	18-Apr-2017
SEDOI	L(s)	5982200 - 5982590 - B28ZZL6 - B2QFBR6 - BHZLDS5		Quick Code		
Item	Proposa	al	Proposed by	Vote		Against agement
1		PT FINANCIAL EMENTS AND STATUTORY ETS	Management	For	For	
2		OVE REMUNERATION	Management	For	For	
3	REAPF AUDIT	POINT DELOITTE LLP AS	Management	For	For	
4		ORISE BOARD TO FIX NERATION OF AUDITORS	Management	For	For	
5	RE-ELI DIREC	ECT EAMONN O'HARE AS TOR	Management	For	For	
6		ECT CHRISTOPHER BURKE RECTOR	Management	For	For	
7	RE-ELI DIREC	ECT AIDAN HUGHES AS TOR	Management	For	For	
8	ELECT DIREC	MARY CHAN AS TOR	Management	For	For	
9	ELECT DIREC	NICHOLAS JEFFERY AS TOR	Management	For	For	
10		ORISE ISSUE OF EQUITY PRE-EMPTIVE RIGHTS	Management	For	For	
11	WITH	ORISE ISSUE OF EQUITY PRE-EMPTIVE RIGHTS IN ECTION WITH A RIGHTS	Management	For	For	
12		ORISE ISSUE OF EQUITY OUT PRE- EMPTIVE RIGHTS	Management	For	For	
13	WITHO IN COM ACQU	ORISE ISSUE OF EQUITY OUT PRE- EMPTIVE RIGHTS NNECTION WITH AN ISITION OR OTHER AL INVESTMENT	S Management	For	For	

14	ENTER FORW	ORISE THE COM INTO A CONTII ARD SHARE PUF RACT WITH BAR PLC	NGENT RCHASE	Management	For	F	or	
15	ENTER FORWA CONTE	ORISE THE COM INTO A CONTIL ARD SHARE PUR RACT WITH GOL INTERNATION	NGENT RCHASE DMAN	Management	For	F	°or	
16	ENTER FORW	ORISE THE COM INTO A CONTIL ARD SHARE PUR RACT WITH HSB	NGENT RCHASE	Management	For	F	or	
17	ENTER FORWA CONTR	ORISE THE COM INTO A CONTIL ARD SHARE PUR RACT WITH MER INTERNATION	NGENT RCHASE RRILL	Management	For	F	or	
18	CALL (ORISE THE COM GENERAL MEET VEEKS' NOTICE			For	F	or	
Accoun Number		Account Name	Inter Acco	nal Ballot Custodian Shares		Unavai Shares	lable Vote Date	Date Confirmed
GLQ		GLQ		STATE STREET62,318 BANK		0	14-Apr-2017	21-Apr-2017
GLO		GLO		STATE STREET154,934 BANK		0	14-Apr-2017	21-Apr-2017
DUKE	ENERGY	Y CORPORATIO	1					
Security	I	26441C204			Meetin	g Type	Annual	
Ticker S	Symbol	DUK			Meetin	g Date	04-May-2017	7
ISIN		US26441C2044			Agenda	ì	934544102 -	Management
Record	Date	06-Mar-2017			Holding Date	g Recon	06-Mar-2017	7
City /	Country	7	/ United States		Vote D Date	eadline	03-May-2017	7
SEDOL	$L(\mathbf{s})$				Quick (Code		
Item	Proposa	ıl		Proposed by	Vote		or/Against Management	

Management

1.

DIRECTOR

	1	MICHAEL J. ANGELAKIS		For	For
	2	MICHAEL G. BROWNING		For	For
	3	THEODORE F. CRAVER, JR.		For	For
	4	DANIEL R. DIMICCO		For	For
	5	JOHN H. FORSGREN		For	For
	6	LYNN J. GOOD		For	For
	7	JOHN T. HERRON		For	For
	8	JAMES B. HYLER, JR.		For	For
	9	WILLIAM E. KENNARD		For	For
	10	E. MARIE MCKEE		For	For
	11	CHARLES W. MOORMAN IV		For	For
	12	CARLOS A. SALADRIGAS		For	For
	13	THOMAS E. SKAINS		For	For
	14	WILLIAM E. WEBSTER, JR.		For	For
2.	TOUC CORF REGI	FICATION OF DELOITTE & CHE LLP AS DUKE ENERGY PORATION'S INDEPENDENT STERED PUBLIC DUNTING FIRM FOR 2017	Management	For	For
3.	DUKI NAM	SORY VOTE TO APPROVE E ENERGY CORPORATION'S ED EXECUTIVE OFFICER PENSATION	Management	For	For
4.	FREQ	SORY VOTE ON THE QUENCY OF THE VOTE ON CUTIVE COMPENSATION	Management	3 Years	Against
5.	AND OF IN ENER ELIM	NDMENT TO THE AMENDED RESTATED CERTIFICATE ICORPORATION OF DUKE GY CORPORATION TO INATE SUPERMAJORITY NG REQUIREMENTS	Management	For	For
6.	REGA ANNI	REHOLDER PROPOSAL ARDING PROVIDING AN UAL REPORT ON DUKE RGY'S LOBBYING EXPENSES	Shareholder	Against	For
7.	REGA ASSE ON D OF CI CONS	REHOLDER PROPOSAL ARDING PREPARING AN SSMENT OF THE IMPACTS UKE ENERGY'S PORTFOLIO LIMATE CHANGE SISTENT WITH A TWO REE SCENARIO	Shareholder	Against	For

8.	REGA REPO HEAL	REHOLDER PROPOSAI ARDING PROVIDING A ORT ON THE PUBLIC LTH RISKS OF DUKE RGY'S COAL USE		Share	cholder	Against		For		
Account		Account Name	Internal Accoun	l ustodia it	Ballot Shares		Unav Share	ailab es	le Vote Date	Date Confirmed
997GLQ)	GLQ	S7 S7 997G	TATE TREET ANK Q RUST			0	2	24-Mar-2017	05-May-2017
STARW	OOD	PROPERTY TRUST IN	С							
Security	7	85571B105				Meeting	Type		Annual	
Ticker S	Symbol	STWD				Meeting	Date		04-May-201	7
ISIN		US85571B1052				Agenda			934571844	- Management
Record	Date	17-Mar-2017				Holding Date	Reco	n	17-Mar-201	7
City /	Count	rv /	nited ates			Vote De Date	adline	;	03-May-201	7
SEDOL	(s)					Quick C	ode			
Item	Propo	sal		Propo by	osed	Vote			Against agement	
1.	DIRE	CTOR		Mana	ngement					
	1	RICHARD D. BRONSO	ON			For		For		
	2	JEFFREY G. DISHNEF	}			For		For		
	3	CAMILLE J. DOUGLA	S			For		For		
	4	SOLOMON J. KUMIN				For		For		
	5	BARRY S. STERNLIC	HT			For		For		
	6	STRAUSS ZELNICK				For		For		
2.	BASIS EXEC DISCI ACCO	PPROVE, ON AN ADVI S, THE COMPANY'S CUTIVE COMPENSATI LOSED IN THE DMPANYING PROXY EMENT.		Mana	agement	For		For		
3.	BASIS ADVI	PPROVE, ON AN ADVI S, THE FREQUENCY C SORY VOTE ON THE PANY'S EXECUTIVE		Mana	igement	3 Years		Agai	nst	

COMPENSATION.

4.	TO APPROVE THE STARWOOD PROPERTY TRUST, INC. 2017 MANAGER EQUITY PLAN, WHICH SUCCEEDS AND REPLACES THE STARWOOD PROPERTY TRUST, INC. MANAGER EQUITY PLAN, AS AMENDED, AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT.	Management	For	For
5.	TO APPROVE THE STARWOOD PROPERTY TRUST, INC. 2017 EQUITY PLAN, WHICH SUCCEEDS AND REPLACES THE STARWOOD PROPERTY TRUST, INC. EQUITY PLAN, AS AMENDED, AND THE STARWOOD PROPERTY TRUST, INC. NON- EXECUTIVE DIRECTOR STOCK PLAN, AS AMENDED, AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT.	Management	For	For
6.	TO RATIFY THE AUDIT COMMITTEE'S APPOINTMENT OF DELOITTE & TOUCHE LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE CALENDAR YEAR ENDING DECEMBER 31, 2017.	Management	For	For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavail Shares	able Vote Date	Date Confirmed
997GLV	GLV	STATE STREET 997G NK 15,000 TRUST CO	0	04-Apr-2017	05-May-2017
997GLQ	GLQ	STATE STREET $997G_{\&}^{BANK}$ $79,500$ TRUST CO	0	04-Apr-2017	05-May-2017
997GLO	GLO	997G ETO ATE 90,586 STREET	0	04-Apr-2017	05-May-2017

		BANK & TRUST CO			
997AL45	GLV BNP PLEDGE	STATE STREET	100,600	04-Apr-2017	05-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET 997A A 100 TRUST CO	205,800	04-Apr-2017	05-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET 997A AP 100 TRUST CO	499,900	04-Apr-2017	05-May-2017
997AL53	GLO MS PLEDGE	STATE STREET 997A & 70,000 TRUST CO	0	04-Apr-2017	05-May-2017
997AL60	GLV MS PLEDGE	STATE STREET 997A&OV 45,000 TRUST CO	0	04-Apr-2017	05-May-2017
997AL61	GLQ MS PLEDGE	STATE STREET 997A&61 15,000 TRUST CO	0	04-Apr-2017	05-May-2017
BOSTON SCIE	NTIFIC CORPORATI	ON			
Security	101137107		Meeting Type	Annual	
Ticker Symbol	BSX		Meeting Date	09-May-2017	7
ISIN	US1011371077		Agenda	934558543 -	Management
Record Date	15-Mar-2017		Holding Recon Date	15-Mar-2017	

City /	Country	/ Un Sta	ited tes	Vote Dea Date	dline	08-May-2017	7
SEDOI	$\mathcal{L}(s)$			Quick Co	ode		
Item	Proposal		Proposed by	Vote		Against agement	
1A.	ELECTION NELDA J. (OF DIRECTOR: CONNORS	Managemen	t For	For		
1B.		OF DIRECTOR: J. DOCKENDORF	Managemen F	t For	For		
1C.		OF DIRECTOR: FUJIMORI	Managemen	t For	For		
1D.	ELECTION DONNA A.	OF DIRECTOR: JAMES	Managemen	t For	For		
1E.	ELECTION EDWARD I	OF DIRECTOR: J. LUDWIG	Managemen	t For	For		
1F.		OF DIRECTOR: P. MACMILLAN	Managemen	t For	For		
1G.		OF DIRECTOR: F. MAHONEY	Managemen	t For	For		
1H.	ELECTION J. ROUX	OF DIRECTOR: D	OAVID Managemen	t For	For		
1I.	ELECTION E. SUNUNI	OF DIRECTOR: J	OHN Managemen	t For	For		
1J.	ELECTION M. ZANE	OF DIRECTOR: E	ELLEN Managemen	t For	For		
2.	BASIS, NA	VE, ON A VING, ADVISORY MED EXECUTIVE COMPENSATION.	Managemen	t For	For		
3.	BASIS, THI FUTURE N	ING, ADVISORY E FREQUENCY OI AMED EXECUTIV COMPENSATION	Managemen	t 3 Years	Agai	nst	
4.	OF ERNST INDEPEND PUBLIC AC	Y THE APPOINTM & YOUNG LLP AS DENT REGISTERES CCOUNTING FIRM FISCAL YEAR.	S OUR D Managemen	t For	For		
Accour Numbe	Δcco	unt Name	Internal Ballot Custodian Account Shares		Unavailabl Shares	e Ote Date	Date Confirmed
997GL			997GLQ 52,700			9-Mar-2017	10-May-2017

			S' B &	RUST						
997GL0	0	GLO	S' 997G&	RUST	99,900		0	29	9-Mar-2017	10-May-2017
997AL4	47	GLQ BNP PLEDGE	S' 997A&	RUST	100		74,700	29	9-Mar-2017	10-May-2017
997AL:	53	GLO MS PLEDGE	S' 997A	RUST	30,000		0	29	9-Mar-2017	10-May-2017
GILEA	D SCIEN	ICES, INC.	C	O						
Security		375558103				Meeting '	Туре		Annual	
Ticker		GILD				Meeting			10-May-201	7
ISIN		US3755581036				Agenda			934558810 -	· Management
Record	Date	16-Mar-2017				Holding Date	Recon		16-Mar-201	7
City /	Country	<i>I</i>	Jnited States			Vote Dea Date	adline		09-May-201	7
SEDOL	L(s)					Quick Co	ode			
Item	Proposa	ıl		Propos by	sed	Vote			gainst gement	
1A.		TION OF DIRECTOR: SAN, PH.D.	JOHN	Manag	gement	For	F	or		
1B.	ELECT A. KRA	TON OF DIRECTOR: AMER	KELLY	Manag	gement	For	F	or		
1C.	ELECT E. LOF	TION OF DIRECTOR: TON	KEVIN	Manag	gement	For	F	or		
1D.		TION OF DIRECTOR: RTIN, PH.D.	JOHN	Manag	gement	For	F	or		

1F.	ELECTION OF DIRECTOR: NICHOLAS G. MOORE	Management	For	For
1G.	ELECTION OF DIRECTOR: RICHARD J. WHITLEY, M.D	Management	For	For
1H.	ELECTION OF DIRECTOR: GAYLE E. WILSON	Management	For	For
1I.	ELECTION OF DIRECTOR: PER WOLD-OLSEN	Management	For	For
2.	RATIFICATION OF THE SELECTION OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management	For	For
3.	RESTATEMENT OF THE GILEAD SCIENCES, INC. 2004 EQUITY INCENTIVE PLAN.	Management	For	For
4.	ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	For	For
5.	ADVISORY VOTE AS TO THE FREQUENCY OF FUTURE ADVISORY SHAREHOLDER VOTES ON EXECUTIVE COMPENSATION.	Management	3 Years	Against
6.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD TAKE STEPS TO PERMIT STOCKHOLDER ACTION BY WRITTEN CONSENT.	Shareholder	Against	For
7.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD ADOPT A POLICY THAT THE CHAIRMAN OF THE BOARD OF DIRECTORS BE AN INDEPENDENT DIRECTOR.	Shareholder	Against	For
Accoun	t Interna	l Ballot	Una	vailable _

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavail Shares	able Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	STATE STREET 997A AS 3,600 TRUST CO	6,200	29-Mar-2017	11-May-2017
997AL47	GLQ BNP PLEDGE	997A &TA TE 1,300 STREET BANK	19,900	29-Mar-2017	11-May-2017

		9 9	9 1	,	
		8 T	t RUST		
		C	CO		
			TATE		
			TREET		
997AL	49	GLO BNP PLEDGE 997A	4,000	35,2	00 29-Mar-2017 11-May-2017
		T	RUST		
		(CO		
			TATE		
			TREET		
997AL	61	GLQ MS PLEDGE 997A	261 3,700	0	29-Mar-2017 11-May-2017
			RUST		
		(CO		
DOMI	NION RE	SOURCES, INC.			
Securit	y	25746U109		Meeting Type	e Annual
Ticker	Symbol	D		Meeting Date	e 10-May-2017
ISIN		US25746U1097		Agenda	934559038 - Management
Record	Date	03-Mar-2017		Holding Reco	on 03-Mar-2017
City /	Country	/ United States		Vote Deadlin Date	e 09-May-2017
SEDOI	$\mathcal{L}(\mathbf{s})$			Quick Code	
Item	Proposa	ıl	Proposed by	Vote	For/Against Management
1A.		ION OF DIRECTOR: AM P. BARR	Management	For	For
1B.	ELECT E. DRA	ION OF DIRECTOR: HELEN	Management	For	For
		ION OF DIRECTOR: JAMES	<u> </u>		
1C.	O. ELL		Management	For	For
1D.		ION OF DIRECTOR: AS F. FARRELL II	Management	For	For
1E.	ELECT W. HA	ION OF DIRECTOR: JOHN RRIS	Management	For	For
1F.		ION OF DIRECTOR: LD W. JIBSON	Management	For	For
1G.	ELECT J. KINO	ION OF DIRECTOR: MARK GTON	Management	For	For
1H.		ION OF DIRECTOR: H M. RIGBY	Management	For	For
1I.		ION OF DIRECTOR: LA J. ROYAL, M.D.	Management	For	For

1J.	ELECTION OF DIRECTOR: ROBERT H. SPILMAN, JR.	Management	For	For	
1K.	ELECTION OF DIRECTOR: SUSAN N. STORY	Management	For	For	
1L.	ELECTION OF DIRECTOR: MICHAEL E. SZYMANCZYK	Management	For	For	
2.	RATIFICATION OF APPOINTMENT OF THE INDEPENDENT AUDITORS FOR 2017	Management	For	For	
3.	ADVISORY VOTE ON APPROVAL OF EXECUTIVE COMPENSATION (SAY ON PAY)	Management	For	For	
4.	ADVISORY VOTE ON THE FREQUENCY OF THE SAY ON PAY VOTE	Management	3 Years	Against	
5.	APPROVAL OF AMENDMENT TO ARTICLES OF INCORPORATION TO CHANGE THE COMPANY'S NAME TO DOMINION ENERGY, INC.	Management	For	For	
6.	SHAREHOLDER PROPOSAL REGARDING A REPORT ON LOBBYING	Shareholder	Against	For	
7.	SHAREHOLDER PROPOSAL REGARDING THE NOMINATION OF A DIRECTOR WITH ENVIRONMENTAL EXPERTISE	Shareholder	Against	For	
8.	SHAREHOLDER PROPOSAL REGARDING AN ASSESSMENT OF THE IMPACT OF PUBLIC POLICIES AND TECHNOLOGICAL ADVANCES CONSISTENT WITH LIMITING GLOBAL WARMING	Shareholder	Against	For	
9.	SHAREHOLDER PROPOSAL REGARDING A REPORT ON METHANE EMISSIONS	Shareholder	Against	For	
Accoun Number	r Account Name Account	ustodian TATE	Una Sha	vailable Vote Date res	Date Confirmed
997AL4	GLQ BNP PLEDGE 997A	TREET ANK 47 38,000 RUST O	0	01-Apr-2017	11-May-2017

SERVICE CORPORATION INTERNATIONAL

Security	7	817565104		Meeting Type	e	Annual
Ticker S	Symbol	SCI		Meeting Date		10-May-2017
ISIN		US8175651046		Agenda		934564356 - Management
Record	Date	13-Mar-2017		Holding Reco	on	13-Mar-2017
City /	Countr	y / United States		Vote Deadline Date	e	09-May-2017
SEDOL	(s)			Quick Code		
Item	Propos	al	Proposed by	Vote		against gement
1.	DIREC	CTOR	Management			
	1 '	THOMAS L. RYAN		For	For	
	2	CLIFTON H. MORRIS, JR.		For	For	
	3	W. BLAIR WALTRIP		For	For	
	OF	PROVE THE SELECTION				
2.	LLP A INDEP PUBLI	WATERHOUSECOOPERS S THE COMPANY'S PENDENT REGISTERED C ACCOUNTING FIRM FOR L 2017.	Management	For	For	
3.	VOTE,	PROVE, BY ADVISORY , NAMED EXECUTIVE ER COMPENSATION.	Management	For	For	
4.	ADVIS EXECT COMP	PROVE HOLDING AN SORY VOTE ON NAMED UTIVE OFFICER ENSATION EVERY ONE, OR THREE YEARS, AS ATED.	Management	3 Years	Agair	nst
5.	AND F	PROVE THE AMENDED RESTATED 2016 EQUITY ITIVE PLAN.	Management	For	For	
6.	SHAR! REQU	PROVE THE EHOLDER PROPOSAL TO IRE INDEPENDENT BOARD RMAN.	Shareholder	Against	For	
7.	SHAR	PROVE THE EHOLDER PROPOSAL TO T SIMPLE MAJORITY	Shareholder	Against	For	

Account Name Custodian Vote Date

		Internal Accoun		Ballot Shares	Unavailable Shares		Date Confirmed			
997AL	45	GLV BNP PLEDGE	ST 997A	TATE TREET ANK 45 RUST O	Γ 900		28,200	3	1-Mar-2017	11-May-2017
997AL	47	GLQ BNP PLEDGE	ST 997A	RUST	Γ 5,400		35,500	3	1-Mar-2017	11-May-2017
997AL	49	GLO BNP PLEDGE	ST 997A	RUST	Γ 1,300		70,900	3	1-Mar-2017	11-May-2017
ALEXI	ON PHA	RMACEUTICALS, IN	NC.							
Security	y	015351109				Meeting	Type		Annual	
Ticker	Symbol	ALXN		Me		Meeting Date 10-May-20		10-May-2017	7	
ISIN US0153511094			Agenda		934568710 - Management					
Record Date 14-Mar-2017					Holding Recon Date 14-Mar-20		14-Mar-2017			
City /	Country	<i>I</i>	nited tates			Vote Dea Date	adline		09-May-2017	7
SEDOL(s) Quick Code										
Item	Proposa	al		Propo by	osed	Vote			Against agement	
1A.	ELECT J. BAK	TION OF DIRECTOR: ER	FELIX	Mana	igement	For	F	or		
1B.	ELECT R. BRE	TION OF DIRECTOR: ENNAN	DAVID	Mana	igement	For	F	or		
1C.	ELECTION OF DIRECTOR: M. MICHELE BURNS		M.	Mana	igement	For	F	For		
1D.	ELECTION OF DIRECTOR: CHRISTOPHER J. COUGHLIN		Mana	igement	For	F	For			
1E.	ELECTION OF DIRECTOR: LUDWIG N. HANTSON			Mana	igement	For	F	For		
1F.	ELECT T. MOI	TION OF DIRECTOR: LLEN	JOHN	Mana	igement	For	F	or		
1G.				Mana	ngement	For	F	or		

ELECTION OF DIRECTOR: R. DOUGLAS NORBY

1H.	ELECTION OF DIRECTOR: ALVIN S. PARVEN	Management	For	For
1I.	ELECTION OF DIRECTOR: ANDREAS RUMMELT	Management	For	For
1J.	ELECTION OF DIRECTOR: ANN M. VENEMAN	Management	For	For
2.	TO APPROVE ALEXION'S 2017 INCENTIVE PLAN.	Management	For	For
3.	RATIFICATION OF APPOINTMENT BY THE BOARD OF DIRECTORS OF PRICEWATERHOUSECOOPERS LLP AS ALEXION'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management	For	For
4.	APPROVAL OF A NON-BINDING ADVISORY VOTE OF THE 2016 COMPENSATION PAID TO ALEXION'S NAMED EXECUTIVE OFFICERS.	Management	For	For
5.	TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION.	Management	3 Years	Against
6.	TO REQUEST THE BOARD IMPLEMENT CONFIDENTIAL SHAREHOLDER VOTING ON EXECUTIVE PAY MATTERS.	Shareholder	Against	For

Account Number	Account Name	Internal Ballot Custodian Account Shares	Unavail Shares	able Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET 997G&O 19,500 TRUST CO	0	01-Apr-2017	11-May-2017
997GLO	GLO	STATE STREET 997G&O 14,100 TRUST CO	0	01-Apr-2017	11-May-2017

STATE STREET

GLO BNP PLEDGE 997A 49 100 997AL49 34,900 01-Apr-2017 11-May-2017 **TRUST** CO

APPROVE EXECUTIVE

JPMORGAN CHASE & CO.							
Security 46625H100			Meeting Type		Annual		
Ticker Symbol JPM			Meeting Date		16-May-2017		
ISIN	US46625H1005		Agenda		934561665 - Management		
Record	Date 17-Mar-2017		Holding Reco	on	17-Mar-2017		
City /	Country / United States		Vote Deadlir Date	ne	15-May-2017		
SEDOI	L(s)		Quick Code				
Item	Proposal	Proposed by	Vote		Against agement		
1A.	ELECTION OF DIRECTOR: LINDA B. BAMMANN	Management	For	For			
1B.	ELECTION OF DIRECTOR: JAMES A. BELL	Management	For	For			
1C.	ELECTION OF DIRECTOR: CRANDALL C. BOWLES	Management	For	For			
1D.	ELECTION OF DIRECTOR: STEPHEN B. BURKE	Management	For	For			
1E.	ELECTION OF DIRECTOR: TODD A. COMBS	Management	For	For			
1F.	ELECTION OF DIRECTOR: JAMES S. CROWN	Management	For	For			
1G.	ELECTION OF DIRECTOR: JAMES DIMON	Management	For	For			
1H.	ELECTION OF DIRECTOR: TIMOTHY P. FLYNN	Management	For	For			
1I.	ELECTION OF DIRECTOR: LABAN P. JACKSON, JR.	Management	For	For			
1J.	ELECTION OF DIRECTOR: MICHAEL A. NEAL	Management	For	For			
1K.	ELECTION OF DIRECTOR: LEE R. RAYMOND	Management	For	For			
1L.	ELECTION OF DIRECTOR: WILLIAM C. WELDON	Management	For	For			
2.	ADVISORY RESOLUTION TO	Management	For	For			

COMPENSATION

3.	RATIFICATION OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM	Management	For	For
4.	ADVISORY VOTE ON FREQUENCY OF ADVISORY RESOLUTION TO APPROVE EXECUTIVE COMPENSATION	Management	3 Years	Against
5.	INDEPENDENT BOARD CHAIRMAN	Shareholder	Against	For
6.	VESTING FOR GOVERNMENT SERVICE	Shareholder	Against	For
7.	CLAWBACK AMENDMENT	Shareholder	Against	For
8.	GENDER PAY EQUITY	Shareholder	Against	For
9.	HOW VOTES ARE COUNTED	Shareholder	Against &#</td><td></td></tr></tbody></table>	