

Clough Global Equity Fund  
Form N-PX  
August 23, 2017

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED  
MANAGEMENT INVESTMENT COMPANY

Investment Company Act file number: 811-21712

CLOUGH GLOBAL EQUITY FUND

(Exact name of registrant as specified in charter)

1290 Broadway, Suite 1100, Denver, Colorado 80203

(Address of principal executive offices) (Zip code)

Sareena Khwaja-Dixon, Esq.

Clough Global Equity Fund

1290 Broadway, Suite 1100

Denver, Colorado 80203

(Name and address of agent for service)

Registrant's Telephone Number, including Area Code: (303) 623-2577

Date of fiscal year end: October 31

Date of reporting period: July 1, 2016 – June 30, 2017

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Item 1 – Proxy Voting Record.

Vote Summary

AKORN, INC.

Security	009728106	Meeting Type	Annual
Ticker Symbol	AKRX	Meeting Date	01-Jul-2016
ISIN	US0097281069	Agenda	934429437 - Management
Record Date	11-May-2016	Holding Recon Date	11-May-2016
City / Country	/ United States	Vote Deadline Date	30-Jun-2016

SEDOL(s)

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 JOHN KAPOOR, PHD		For	For
	2 KENNETH ABRAMOWITZ		For	For
	3 ADRIENNE GRAVES, PHD		For	For
	4 RONALD JOHNSON		For	For
	5 STEVEN MEYER		For	For
	6 TERRY ALLISON RAPPUHN		For	For
	7 BRIAN TAMBI		For	For
	8 ALAN WEINSTEIN		For	For
2.	PROPOSAL TO RATIFY THE APPOINTMENT OF BDO USA, LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR YEAR ENDING DECEMBER 31, 2016.	Management	For	For
3.	PROPOSAL TO APPROVE, THROUGH A NON- BINDING ADVISORY VOTE, THE COMPANY'S EXECUTIVE COMPENSATION PROGRAM AS DESCRIBED IN THE COMPANY'S 2016 PROXY STATEMENT.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AS45	STATE STREET BANK	9,000	18,800	21-May-2016	21-May-2016

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997AL47	GLQ BNP PLEDGE	997AL47	BANK & TRUST CO STATE STREET	5,500	46,700	21-May-2016	21-May-2016
997AL49	GLO BNP PLEDGE	997AL49	BANK & TRUST CO STATE STREET	5,200	111,100	21-May-2016	21-May-2016

HERCULES CAPITAL INC

Security	427096508	Meeting Type	Annual
Ticker Symbol	HTGC	Meeting Date	07-Jul-2016
ISIN	US4270965084	Agenda	934423079 - Management
Record Date	15-Apr-2016	Holding Recon Date	15-Apr-2016
City / Country	/ United States	Vote Deadline Date	06-Jul-2016

SEDOL(s)

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: MANUEL A. HENRIQUEZ	Management	For	For
1B.	ELECTION OF DIRECTOR: JOSEPH F. HOFFMAN	Management	For	For
2.	APPROVE, ON AN ADVISORY BASIS, THE COMPANY'S NAMED EXECUTIVE OFFICER COMPENSATION.	Management	For	For
3.	RATIFICATION OF PRICEWATERHOUSECOOPERS LLP TO SERVE AS OUR INDEPENDENT PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE	37,900	0	11-May-2016	08-Jul-2016

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997GLQ	GLQ	997GLQ	61,900	0	11-May-2016	08-Jul-2016
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ARMSTRONG WORLD INDUSTRIES, INC.

Security	04247X102	Meeting Type	Annual
Ticker Symbol	AWI	Meeting Date	08-Jul-2016
ISIN	US04247X1028	Agenda	934420237 - Management
Record Date	15-Apr-2016	Holding Recon Date	15-Apr-2016
City / Country	/ United States	Vote Deadline Date	07-Jul-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 STAN A. ASKREN		For	For
	2 VICTOR D. GRIZZLE		For	For
	3 TAO HUANG		For	For
	4 LARRY S. MCWILLIAMS		For	For
	5 JAMES C. MELVILLE		For	For
	6 JAMES J. O'CONNOR		For	For
	7 JOHN J. ROBERTS		For	For
	8 GREGORY P. SPIVY		For	For
	9 CHERRYL T. THOMAS		For	For
2.	TO RATIFY THE SELECTION OF KPMG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2016.	Management	For	For
3.	TO APPROVE OF THE ARMSTRONG WORLD INDUSTRIES, INC. 2016 DIRECTORS' STOCK UNIT PLAN.	Management	For	For
4.	TO APPROVE OF THE ARMSTRONG WORLD INDUSTRIES, INC. 2016	Management	For	For

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LONG-TERM INCENTIVE PLAN.

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	113,400	0	07-May-2016	11-Jul-2016
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	27,400	0	07-May-2016	11-Jul-2016
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	45,200	0	07-May-2016	11-Jul-2016

CHINA LONGYUAN POWER GROUP CORPORATION LTD

Security	Y1501T101	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	12-Aug-2016
ISIN	CNE100000HD4	Agenda	707215744 - Management
Record Date	11-Jul-2016	Holding Recon Date	11-Jul-2016
City / Country	BEIJING / China	Vote Deadline Date	08-Aug-2016
SEDOL(s)	B3MFW30 - B4Q2TX3 - B4XWG35 - BP3RS86	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0624/LTN20160624371.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0624/LTN20160624371.pdf</a> ,- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0624/LTN20160624389.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0624/LTN20160624389.pdf</a>	Non-Voting		
1		Management	For	For

TO CONSIDER AND APPROVE THE APPOINTMENT OF MR. LUAN BAOXING AS A NONEXECUTIVE DIRECTOR OF THE COMPANY TO FILL THE VACANCY LEFT BY RESIGNATION OF MR. SHAO GUOYONG, WITH EFFECT FROM THE DATE OF THE EGM WHEN THE NOMINATION IS APPROVED BY THE SHAREHOLDERS OF THE COMPANY AND UNTIL THE EXPIRATION OF THE TERM OF THE CURRENT SESSION OF THE BOARD OF DIRECTORS OF THE COMPANY (THE "BOARD"). MEANWHILE, TO AUTHORIZE THE REMUNERATION AND ASSESSMENT COMMITTEE OF THE BOARD TO DETERMINE THE REMUNERATION OF THE NEW DIRECTORS ACCORDING TO THE DIRECTORS' AND SUPERVISORS' REMUNERATION PLAN FOR THE YEAR 2016 AS APPROVED AT THE ANNUAL GENERAL MEETING UPON THE CANDIDATES FOR THE NEW DIRECTORS AS APPROVED AT THE EGM, AND TO AUTHORIZE THE CHAIRMAN OF THE BOARD OF THE COMPANY OR ANY ONE OF THE EXECUTIVE DIRECTORS TO ENTER INTO A SERVICE CONTRACT WITH EACH OF THE NEW DIRECTORS AND HANDLE OTHER RELEVANT MATTERS ON BEHALF OF THE COMPANY UPON THE CANDIDATES FOR THE NEW DIRECTORS BEING APPROVED AT THE EGM

2	<p>TO CONSIDER AND APPROVE THE APPOINTMENT OF MR. YANG XIANGBIN AS A NONEXECUTIVE DIRECTOR OF THE COMPANY TO FILL THE VACANCY LEFT BY RESIGNATION OF MR. CHEN JINGDONG, WITH EFFECT FROM THE DATE OF THE EGM WHEN THE NOMINATION IS APPROVED BY THE SHAREHOLDERS OF THE COMPANY AND UNTIL THE</p>	Management	For	For
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EXPIRATION OF THE TERM OF THE CURRENT SESSION OF THE BOARD. MEANWHILE, TO AUTHORIZE THE REMUNERATION AND

ASSESSMENT COMMITTEE OF THE BOARD TO DETERMINE THE REMUNERATION OF THE NEW DIRECTORS ACCORDING TO THE DIRECTORS' AND SUPERVISORS' REMUNERATION PLAN FOR THE YEAR 2016 AS APPROVED AT THE ANNUAL GENERAL MEETING UPON THE CANDIDATES FOR THE NEW DIRECTORS AS APPROVED AT THE EGM, AND TO AUTHORIZE THE CHAIRMAN OF THE BOARD OF THE COMPANY OR ANY ONE OF THE EXECUTIVE DIRECTORS TO ENTER INTO A SERVICE CONTRACT WITH EACH OF THE NEW DIRECTORS AND HANDLE OTHER RELEVANT MATTERS ON BEHALF OF THE COMPANY UPON THE CANDIDATES FOR THE NEW DIRECTORS BEING APPROVED AT THE EGM.

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
GLV	GLV	STATE STREET BANK		771,000	0	25-Jun-2016	10-Aug-2016
GLQ	GLQ	STATE STREET BANK		1,253,000	0	25-Jun-2016	10-Aug-2016
GLO	GLO	STATE STREET BANK		3,161,000	0	25-Jun-2016	10-Aug-2016

LIBERTY MEDIA CORPORATION

Security	531229409	Meeting Type	Annual
Ticker Symbol	LSXMA	Meeting Date	23-Aug-2016
ISIN	US5312294094	Agenda	934458870 - Management
Record Date	01-Jul-2016	Holding Recon Date	01-Jul-2016
City / Country	/		22-Aug-2016

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SEDOL(s)	United States	Proposed by	Vote	For/Against Management	Vote Deadline Date	Quick Code
Item	Proposal					
1.	DIRECTOR	Management				
	1 JOHN C. MALONE		For	For		
	2 ROBERT R. BENNETT		For	For		
	3 M. IAN G. GILCHRIST		For	For		
2.	A PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS OUR INDEPENDENT AUDITORS FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016.	Management	For	For		

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	1,656	113,800	09-Jul-2016	24-Aug-2016
997AL61	GLQ MS PLEDGE	997AL61	STATE STREET BANK & TRUST CO	9,168	0	09-Jul-2016	24-Aug-2016

LIBERTY INTERACTIVE CORPORATION

Security	53071M880	Meeting Type	Annual
Ticker Symbol	LVNTA	Meeting Date	23-Aug-2016
ISIN	US53071M8800	Agenda	934458882 - Management
Record Date	01-Jul-2016	Holding Recon Date	01-Jul-2016
City / Country	/ United States	Vote Deadline Date	22-Aug-2016

SEDOL(s)	United States	Proposed by	Vote	For/Against Management	Vote Deadline Date	Quick Code
Item	Proposal					
1.	DIRECTOR	Management				
	1 JOHN C. MALONE		For	For		
	2 M. IAN G. GILCHRIST		For	For		



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3 MARK C. VADON For For  
 4 ANDREA L. WONG For For

2. A PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS OUR INDEPENDENT AUDITORS FOR THE FISCAL YEAR ENDING DECEMBER 31, 2016. Management For For

3. A PROPOSAL TO ADOPT THE LIBERTY INTERACTIVE CORPORATION 2016 OMNIBUS INCENTIVE PLAN. Management For For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	24,200	0	09-Jul-2016	24-Aug-2016
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	26,100	0	09-Jul-2016	24-Aug-2016
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	65,800	0	09-Jul-2016	24-Aug-2016
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	10,872	0	09-Jul-2016	24-Aug-2016
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	3,403	64,000	09-Jul-2016	24-Aug-2016
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK	14,471	109,900	09-Jul-2016	24-Aug-2016

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997AL60	GLV MS PLEDGE	997AL60	24,000	0	09-Jul-2016	24-Aug-2016
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VIASAT, INC.

Security	92552V100	Meeting Type	Annual
Ticker Symbol	VSAT	Meeting Date	08-Sep-2016
ISIN	US92552V1008	Agenda	934463643 - Management
Record Date	15-Jul-2016	Holding Recon Date	15-Jul-2016
City / Country	/ United States	Vote Deadline Date	07-Sep-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 RICHARD BALDRIDGE		For	For
	2 B. ALLEN LAY		For	For
	3 DR. JEFFREY NASH		For	For
2.	RATIFICATION OF APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS VIASAT'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL YEAR 2017	Management	For	For
3.	ADVISORY VOTE ON EXECUTIVE COMPENSATION	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	149	34,500	26-Jul-2016	09-Sep-2016
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK	142	63,600	26-Jul-2016	09-Sep-2016

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997AL49	GLO BNP PLEDGE	997AL49	135	145,600	26-Jul-2016	09-Sep-2016
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GOLDMAN SACHS BDC, INC.

Security	38147U107	Meeting Type	Annual
Ticker Symbol	GSBD	Meeting Date	29-Sep-2016
ISIN	US38147U1079	Agenda	934387362 - Management
Record Date	04-Apr-2016	Holding Recon Date	04-Apr-2016
City / Country	/ United States	Vote Deadline Date	28-Sep-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF CLASS II DIRECTOR: JAIME ARDILA	Management	For	For
1B.	ELECTION OF CLASS II DIRECTOR: RICHARD P. STRUBEL	Management	For	For
1C.	ELECTION OF CLASS II DIRECTOR: KATHERINE ("KAYSIE") UNIACKE	Management	For	For
2.	TO AUTHORIZE THE COMPANY, WITH THE APPROVAL OF THE COMPANY'S BOARD OF DIRECTORS, TO SELL OR OTHERWISE ISSUE SHARES OF THE COMPANY'S COMMON STOCK (DURING THE 12 MONTHS FOLLOWING SUCH AUTHORIZATION) AT A PRICE BELOW THE THEN- CURRENT NET ASSET VALUE PER SHARE, PROVIDED THAT THE NUMBER OF SHARES ISSUED DOES NOT EXCEED 25% OF THE COMPANY'S THEN- OUTSTANDING COMMON STOCK.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
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997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	53,000	0	13-Apr-2016	30-Sep-2016
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HILTON WORLDWIDE HOLDINGS INC.

Security	43300A104	Meeting Type	Special
Ticker Symbol	HLT	Meeting Date	04-Oct-2016
ISIN	US43300A1043	Agenda	934479216 - Management
Record Date	26-Aug-2016	Holding Recon Date	26-Aug-2016
City / Country	/ United States	Vote Deadline Date	03-Oct-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	TO APPROVE AND ADOPT AN AMENDMENT TO THE COMPANY'S CERTIFICATE OF INCORPORATION TO EFFECT A REVERSE STOCK SPLIT AND DECREASE AUTHORIZED SHARES.	Management	For	For
2.	TO APPROVE AN ADJOURNMENT OF THE SPECIAL MEETING.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	20,000	0	09-Sep-2016	05-Oct-2016
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	30,000	0	09-Sep-2016	05-Oct-2016
997GLO	GLO	997GLO	STATE STREET BANK & TRUST	2,400	0	09-Sep-2016	05-Oct-2016

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Security	ISIN	Record Date	Quantity	Market Value	Meeting Date	Meeting End Date
GLO BNP PLEDGE	997AL49	09-Sep-2016	100	159,900	09-Sep-2016	05-Oct-2016
GLV MS PLEDGE	997AL60	09-Sep-2016	19,800	0	09-Sep-2016	05-Oct-2016
GLQ MS PLEDGE	997AL61	09-Sep-2016	34,300	0	09-Sep-2016	05-Oct-2016

SINOPHARM GROUP CO. LTD.

Security	Y8008N107	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	18-Oct-2016
ISIN	CNE100000FN7	Agenda	707423264 - Management
Record Date	15-Sep-2016	Holding Recon Date	15-Sep-2016
City / Country	SHANGH AI / China	Vote Deadline Date	04-Oct-2016
SEDOL(s)	B3ZVDV0 - B4M8B73 - B5NVZ21 - BP3RXT2	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 675300 DUE TO ADDITION OF- RESOLUTION 2. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE DISREGARDED-AND YOU WILL NEED TO REINSTRUCT ON THIS MEETING NOTICE. THANK YOU	Non-Voting		
CMMT	NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING ON	Non-Voting		

THE-URL LINKS:-

<http://www.hkexnews.hk/listedco/listconews/sehk/2016/0930/ltm20160930476.pdf>, -  
<http://www.hkexnews.hk/listedco/listconews/sehk/2016/0930/ltm20160930421.pdf>, -  
<http://www.hkexnews.hk/listedco/listconews/sehk/2016/0901/ltm201609011181.pdf>-AND-  
<http://www.hkexnews.hk/listedco/listconews/sehk/2016/0901/ltm201609011318.pdf>

PLEASE NOTE IN THE HONG KONG MARKET THAT A VOTE OF

CMMT	"ABSTAIN" WILL BE TREATED-THE SAME AS A "TAKE NO ACTION" VOTE	Non-Voting
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TO CONSIDER AND, IF THOUGHT FIT, TO APPROVE: "THAT (I) THE ADOPTION OF THE SCHEME (AS DEFINED IN THE CIRCULAR DATED 1 SEPTEMBER 2016 DESPATCHED TO THE SHAREHOLDERS OF THE COMPANY) BY THE COMPANY AND THE DELEGATION OF AUTHORIZATION TO ANY DIRECTOR OF THE COMPANY OR AUTHORIZED REPRESENTATIVE OF THE BOARD TO EXECUTE ANY DOCUMENTS AND INSTRUMENTS AS MAY BE NECESSARY OR INCIDENTAL TO THE ADOPTION OF THE SCHEME AND TO DO ALL SUCH ACTS AND THINGS AS THEY CONSIDER NECESSARY OR EXPEDIENT OR DESIRABLE IN CONNECTION WITH THE IMPLEMENTATION OF THE SCHEME; AND (II) THE BOARD BE AND IS HEREBY AUTHORIZED TO IMPLEMENT THE SCHEME AS WELL AS TO APPROVE GRANTS OF RESTRICTED SHARES UNDER THE SCHEME FROM TIME TO TIME (INCLUDING BUT NOT LIMITED TO THE INITIAL GRANT OF THE SCHEME)"

1	INCIDENTAL TO THE ADOPTION OF THE SCHEME AND TO DO ALL SUCH ACTS AND THINGS AS THEY CONSIDER NECESSARY OR EXPEDIENT OR DESIRABLE IN CONNECTION WITH THE IMPLEMENTATION OF THE SCHEME; AND (II) THE BOARD BE AND IS HEREBY AUTHORIZED TO IMPLEMENT THE SCHEME AS WELL AS TO APPROVE GRANTS OF RESTRICTED SHARES UNDER THE SCHEME FROM TIME TO TIME (INCLUDING BUT NOT LIMITED TO THE INITIAL GRANT OF THE SCHEME)"	Management	For	For
2	TO CONSIDER AND, IF THOUGHT FIT, TO APPROVE THE APPOINTMENT OF MR. MA PING AS A NON- EXECUTIVE DIRECTOR	Management	For	For

OF THE COMPANY, TO AUTHORISE THE BOARD OF DIRECTORS OF THE COMPANY TO DETERMINE HIS REMUNERATION, AND TO AUTHORISE ANY EXECUTIVE DIRECTOR OF THE COMPANY TO EXECUTE A SERVICE CONTRACT OR SUCH OTHER DOCUMENTS OR SUPPLEMENTAL AGREEMENTS OR DEEDS ON BEHALF OF THE COMPANY

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
GLV	GLV	STATE STREET BANK		142,400	0	01-Oct-2016	12-Oct-2016
GLQ	GLQ	STATE STREET BANK		236,800	0	01-Oct-2016	12-Oct-2016
GLO	GLO	STATE STREET BANK		583,600	0	01-Oct-2016	12-Oct-2016

BYD COMPANY LTD, SHENZHEN

Security	Y1023R104	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	01-Nov-2016
ISIN	CNE100000296	Agenda	707516110 - Management
Record Date	30-Sep-2016	Holding Recon Date	30-Sep-2016
City / Country	SHENZH EN / China	Vote Deadline Date	04-Oct-2016
SEDOL(s)	6536651 - B01XKF2 - B0WVS95 - BD8GJR0	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 681715 DUE TO ADDITION OF-RESOLUTION 3. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE DISREGARDED-IF VOTE	Non-Voting		

DEADLINE EXTENSIONS ARE GRANTED. THEREFORE PLEASE REINSTRUCT ON THIS- MEETING NOTICE ON THE NEW JOB. IF HOWEVER VOTE DEADLINE EXTENSIONS ARE NOT-GRANTED IN THE MARKET, THIS MEETING WILL BE INACTIVATED AND YOUR VOTE-INTENTIONS ON THE ORIGINAL MEETING WILL BE APPLICABLE. PLEASE ENSURE VOTING-IS SUBMITTED PRIOR TO CUTOFF ON THE ORIGINAL MEETING, AND AS SOON AS POSSIBLE-ON THIS NEW AMENDED MEETING. THANK YOU.

PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:-

<http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0913/LTN20160913500.pdf>,-  
<http://www.hkexnews.hk/listedco/listconews/SEHK/2016/1019/LTN20161019505.pdf>-AND-  
<http://www.hkexnews.hk/listedco/listconews/SEHK/2016/0913/LTN20160913545.pdf>,-  
<http://www.hkexnews.hk/listedco/listconews/SEHK/2016/1019/LTN20161019509.pdf>

CMMT

Non-Voting

1	TO CONSIDER AND APPROVE THE INTERIM PROFIT DISTRIBUTION PLAN OF THE COMPANY FOR THE SIX MONTHS ENDED 30 JUNE 2016	Management	For	For
2	TO CONSIDER AND APPROVE THE PROPOSED CHANGE OF THE COMPANY'S BUSINESS SCOPE AND AMENDMENT TO THE ARTICLES OF ASSOCIATION OF THE COMPANY: ARTICLE 11	Management	For	For
3	TO CONSIDER AND APPROVE THE PROPOSED FURTHER CHANGE OF THE COMPANY'S BUSINESS SCOPE AND AMENDMENT TO THE ARTICLES OF ASSOCIATION OF THE COMPANY: ARTICLE 11	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
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GLQ	GLQ	STATE STREET 150,500 BANK	0	20-Oct-2016	27-Oct-2016
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GLO	GLO	STATE STREET 380,000 BANK	0	20-Oct-2016	27-Oct-2016
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LIBERTY INTERACTIVE CORPORATION

Security	53071M880	Meeting Type	Special
Ticker Symbol	LVNTA	Meeting Date	01-Nov-2016
ISIN	US53071M8800	Agenda	934488152 - Management
Record Date	28-Sep-2016	Holding Recon Date	28-Sep-2016
City / Country	/ United States	Vote Deadline Date	31-Oct-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
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1. A PROPOSAL TO APPROVE THE REDEMPTION BY LIBERTY INTERACTIVE CORPORATION OF A PORTION OF THE OUTSTANDING SHARES OF LIBERTY VENTURES COMMON STOCK FOR ALL OF THE OUTSTANDING SHARES OF LIBERTY EXPEDIA HOLDINGS, INC., WHICH WOULD HOLD LIBERTY INTERACTIVE CORPORATION'S OWNERSHIP AND VOTING INTERESTS IN .. (DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL).

Management For For

2. A PROPOSAL TO AUTHORIZE THE ADJOURNMENT OF THE SPECIAL MEETING BY LIBERTY INTERACTIVE CORPORATION TO PERMIT FURTHER SOLICITATION OF PROXIES, IF NECESSARY OR APPROPRIATE, IF SUFFICIENT VOTES ARE NOT REPRESENTED AT THE SPECIAL MEETING TO APPROVE THE OTHER PROPOSAL TO BE PRESENTED AT THE SPECIAL MEETING.

Management For For

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Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	1,572	0	05-Oct-2016	02-Nov-2016
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	4,200	0	05-Oct-2016	02-Nov-2016
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	15,003	44,000	05-Oct-2016	02-Nov-2016
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	3,871	109,900	05-Oct-2016	02-Nov-2016
997AL60	GLV MS PLEDGE	997AL60	STATE STREET BANK & TRUST CO	24,000	0	05-Oct-2016	02-Nov-2016

THE WILLIAMS COMPANIES, INC.

Security	969457100	Meeting Type	Annual
Ticker Symbol	WMB	Meeting Date	23-Nov-2016
ISIN	US9694571004	Agenda	934492579 - Management
Record Date	07-Oct-2016	Holding Recon Date	07-Oct-2016
City / Country	/ United States	Vote Deadline Date	22-Nov-2016

SEDOL(s)

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: ALAN S. ARMSTRONG	Management	For	For

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1B.	ELECTION OF DIRECTOR: STEPHEN W. BERGSTROM	Management	For	For
1C.	ELECTION OF DIRECTOR: STEPHEN I. CHAZEN	Management	For	For
1D.	ELECTION OF DIRECTOR: KATHLEEN B. COOPER	Management	For	For
1E.	ELECTION OF DIRECTOR: PETER A. RAGAUSS	Management	For	For
1F.	ELECTION OF DIRECTOR: SCOTT D. SHEFFIELD	Management	For	For
1G.	ELECTION OF DIRECTOR: MURRAY D. SMITH	Management	For	For
1H.	ELECTION OF DIRECTOR: WILLIAM H. SPENCE	Management	For	For
1I.	ELECTION OF DIRECTOR: JANICE D. STONEY	Management	For	For
2.	RATIFICATION OF ERNST & YOUNG LLP AS AUDITORS FOR 2016.	Management	For	For
3.	APPROVAL, BY NONBINDING ADVISORY VOTE, OF THE COMPANY'S EXECUTIVE COMPENSATION.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	100	63,100	20-Oct-2016	20-Oct-2016
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	100	159,700	20-Oct-2016	20-Oct-2016
997AL60	GLV MS PLEDGE	997AL60	STATE STREET BANK & TRUST CO	39,100	0	20-Oct-2016	20-Oct-2016

AMSURG CORP.

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Security	03232P405	Meeting Type	Special
Ticker Symbol	AMSG	Meeting Date	28-Nov-2016
ISIN	US03232P4054	Agenda	934494826 - Management
Record Date	07-Oct-2016	Holding Recon Date	07-Oct-2016
City / Country	/ United States	Vote Deadline Date	25-Nov-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	TO CONSIDER AND VOTE ON A PROPOSAL TO APPROVE THE AGREEMENT AND PLAN OF MERGER, DATED AS OF JUNE 15, 2016 (THE "MERGER AGREEMENT"), BY AND AMONG ENVISION HEALTHCARE HOLDINGS, INC., AMSURG CORP. AND NEW AMETHYST CORP., AND THE TRANSACTIONS CONTEMPLATED BY THE MERGER AGREEMENT.	Management	For	For
2.	TO CONSIDER AND VOTE ON A NON-BINDING, ADVISORY PROPOSAL TO APPROVE THE COMPENSATION THAT MAY BECOME PAYABLE TO AMSURG'S NAMED EXECUTIVE OFFICERS IN CONNECTION WITH THE CONSUMMATION OF THE MERGER.	Management	For	For
3.	TO CONSIDER A VOTE ON A PROPOSAL TO APPROVE ANY MOTION TO ADJOURN THE AMSURG SPECIAL MEETING IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLO	GLO	997GLO	STATE STREET BANK & TRUST	6,400	0	26-Oct-2016	29-Nov-2016

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997AL45	GLV BNP PLEDGE	997AL45	CO STATE STREET BANK & TRUST CO	2,200	6,200	26-Oct-2016	29-Nov-2016
997AL47	GLQ BNP PLEDGE	997AL47	CO STATE STREET BANK & TRUST CO	2,700	13,600	26-Oct-2016	29-Nov-2016
997AL49	GLO BNP PLEDGE	997AL49	CO STATE STREET BANK & TRUST CO	100	34,500	26-Oct-2016	29-Nov-2016

CHR. HANSEN HOLDING A/S

Security	K1830B107	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	29-Nov-2016
ISIN	DK0060227585	Agenda	707583793 - Management
Record Date	22-Nov-2016	Holding Recon Date	22-Nov-2016
City / Country	HORSHO LM / Denmark	Vote Deadline Date	18-Nov-2016
SEDOL(s)	B3LL574 - B3SMD32 - B573M11 - B63NJ00 - BHZLC88	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	IN THE MAJORITY OF MEETINGS THE VOTES ARE CAST WITH THE REGISTRAR WHO WILL-FOLLOW CLIENT INSTRUCTIONS. IN A SMALL PERCENTAGE OF MEETINGS THERE IS NO-REGISTRAR AND CLIENTS VOTES MAY BE CAST BY THE CHAIRMAN OF THE BOARD OR A-BOARD MEMBER AS PROXY. CLIENTS CAN ONLY EXPECT THEM TO ACCEPT PRO-MANAGEMENT-VOTES. THE	Non-Voting		

ONLY WAY TO GUARANTEE THAT ABSTAIN AND/OR AGAINST VOTES ARE-REPRESENTED AT THE MEETING IS TO SEND YOUR OWN REPRESENTATIVE OR ATTEND THE-MEETING IN PERSON. THE SUB CUSTODIAN BANKS OFFER REPRESENTATION SERVICES FOR- AN ADDED FEE IF REQUESTED. THANK YOU

PLEASE BE ADVISED THAT SPLIT AND PARTIAL VOTING IS NOT AUTHORISED FOR A-BENEFICIAL

CMMT OWNER IN THE DANISH MARKET. Non-Voting  
PLEASE CONTACT YOUR GLOBAL CUSTODIAN-FOR FURTHER INFORMATION.

IMPORTANT MARKET PROCESSING REQUIREMENT: A BENEFICIAL OWNER SIGNED POWER OF- ATTORNEY (POA) IS REQUIRED IN ORDER TO LODGE AND EXECUTE YOUR VOTING-

CMMT INSTRUCTIONS IN THIS MARKET. Non-Voting  
ABSENCE OF A POA, MAY CAUSE YOUR INSTRUCTIONS TO-BE REJECTED. IF YOU HAVE ANY QUESTIONS, PLEASE CONTACT YOUR CLIENT SERVICE- REPRESENTATIVE

PLEASE NOTE THAT SHAREHOLDERS ARE ALLOWED TO VOTE 'IN FAVOR' OR 'ABSTAIN'-ONLY FOR RESOLUTIONS 6.A.A, 6.B.A TO 6.B.F AND 7.A. THANK YOU

CMMT Non-Voting

1 REPORT ON THE COMPANY'S ACTIVITIES Non-Voting

2 APPROVAL OF THE 2015/16 ANNUAL REPORT Management For For

3 RESOLUTION ON THE APPROPRIATION OF PROFIT OR COVERING OF LOSS: DKK 5.23 PER SHARE Management For For

4 DECISION ON REMUNERATION OF MEMBERS OF THE BOARD OF DIRECTORS Management For For

5.A Management For For

	PROPOSALS FROM THE BOARD OF DIRECTOR: AMENDMENT OF ARTICLES OF ASSOCIATION TO REFLECT COMPUTERSHARE A/S AS NEW COMPANY REGISTRAR			
5.B	PROPOSALS FROM THE BOARD OF DIRECTOR: AMENDMENT OF ARTICLES OF ASSOCIATION TO REFLECT LEGAL NAME CHANGE OF NASDAQ OMX COPENHAGEN A/S	Management	For	For
6.A.A	RE-ELECTION OF CHAIRMAN OF THE BOARD OF DIRECTOR: OLE ANDERSEN	Management	For	For
6.B.A	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: FREDERIC STEVENIN	Management	For	For
6.B.B	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: MARK WILSON	Management	For	For
6.B.C	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: DOMINIQUE REINICHE	Management	For	For
6.B.D	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: TIINA MATTILA-SANDHOLM	Management	For	For
6.B.E	RE-ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: KRISTIAN VILLUMSEN	Management	For	For
6.B.F	ELECTION OF OTHER MEMBERS OF THE BOARD OF DIRECTOR: LUIS CANTARELL ROCAMORA	Management	For	For
7.A	RE-ELECTION OF PRICEWATERHOUSECOOPERS STATS AUTORISERET REVISIONSPARTNERSELSKAB	Management	For	For
8	AUTHORIZATION OF THE CHAIRMAN OF THE ANNUAL GENERAL MEETING	Management	For	For
CMMT	07 NOV 2016: PLEASE NOTE THAT THIS IS A REVISION DUE TO RECEIPT OF DIVIDEND-AMOUNT. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO NOT VOTE AGAIN-UNLESS YOU DECIDE TO AMEND YOUR	Non-Voting		

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ORIGINAL INSTRUCTIONS.  
THANK YOU.

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
GLV	GLV		STATE STREET BANK	19,244	0	05-Nov-2016	22-Nov-2016
GLQ	GLQ		STATE STREET BANK	31,409	0	05-Nov-2016	22-Nov-2016
GLO	GLO		STATE STREET BANK	78,002	0	05-Nov-2016	22-Nov-2016

MICROSOFT CORPORATION

Security	594918104	Meeting Type	Annual
Ticker Symbol	MSFT	Meeting Date	30-Nov-2016
ISIN	US5949181045	Agenda	934491224 - Management
Record Date	30-Sep-2016	Holding Recon Date	30-Sep-2016
City / Country	/ United States	Vote Deadline Date	29-Nov-2016

SEDOL(s)

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: WILLIAM H. GATES III	Management	For	For
1B.	ELECTION OF DIRECTOR: TERI L. LIST-STOLL	Management	For	For
1C.	ELECTION OF DIRECTOR: G. MASON MORFIT	Management	For	For
1D.	ELECTION OF DIRECTOR: SATYA NADELLA	Management	For	For
1E.	ELECTION OF DIRECTOR: CHARLES H. NOSKI	Management	For	For
1F.	ELECTION OF DIRECTOR: HELMUT PANKE	Management	For	For
1G.	ELECTION OF DIRECTOR: SANDRA E. PETERSON	Management	For	For
1H.	ELECTION OF DIRECTOR: CHARLES W. SCHARF	Management	For	For
1I.	ELECTION OF DIRECTOR: JOHN W. STANTON	Management	For	For



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1J.	ELECTION OF DIRECTOR: JOHN W. THOMPSON	Management	For	For
1K.	ELECTION OF DIRECTOR: PADMASREE WARRIOR	Management	For	For
2.	ADVISORY VOTE TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION	Management	For	For
3.	RATIFICATION OF DELOITTE & TOUCHE LLP AS OUR INDEPENDENT AUDITOR FOR FISCAL YEAR 2017	Management	For	For
4.	APPROVAL OF AMENDMENT TO OUR AMENDED AND RESTATED ARTICLES OF INCORPORATION	Management	For	For
5.	APPROVAL OF FRENCH SUB PLAN UNDER THE 2001 STOCK PLAN	Management	For	For
6.	SHAREHOLDER PROPOSAL - REQUESTING CERTAIN PROXY ACCESS BYLAW AMENDMENTS	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	100	39,600	20-Oct-2016	01-Dec-2016
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	100	48,500	20-Oct-2016	01-Dec-2016
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	100	121,300	20-Oct-2016	01-Dec-2016
997AL60	GLV MS PLEDGE	997AL60	STATE STREET BANK & TRUST CO	20,400	0	20-Oct-2016	01-Dec-2016

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DIAMONDBACK ENERGY, INC.

Security	25278X109	Meeting Type	Special
Ticker Symbol	FANG	Meeting Date	07-Dec-2016
ISIN	US25278X1090	Agenda	934506392 - Management
Record Date	11-Nov-2016	Holding Recon Date	11-Nov-2016
City / Country	/ United States	Vote Deadline Date	06-Dec-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	PROPOSAL TO APPROVE AN AMENDMENT TO DIAMONDBACK ENERGY, INC.'S AMENDED AND RESTATED CERTIFICATE OF INCORPORATION TO INCREASE THE TOTAL NUMBER OF AUTHORIZED SHARES OF COMMON STOCK FROM 100,000,000 TO 200,000,000.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	2,500	11,300	19-Nov-2016	08-Dec-2016
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	6,100	28,800	19-Nov-2016	08-Dec-2016

AGNC INVESTMENT CORP

Security	00123Q104	Meeting Type	Special
Ticker Symbol	AGNC	Meeting Date	09-Dec-2016
ISIN		Agenda	934498127 - Management
Record Date	24-Oct-2016	Holding Recon Date	24-Oct-2016
City / Country	/ United States	Vote Deadline Date	08-Dec-2016
SEDOL(s)		Quick Code	

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Item	Proposal	Proposed by	Vote	For/Against Management
1.	APPROVAL OF THE AGNC INVESTMENT CORP. 2016 EQUITY AND INCENTIVE COMPENSATION PLAN.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	165,300	0	04-Nov-2016	12-Dec-2016
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	269,600	0	04-Nov-2016	12-Dec-2016
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	679,599	0	04-Nov-2016	12-Dec-2016

ARES CAPITAL CORPORATION

Security	04010L103	Meeting Type	Special
Ticker Symbol	ARCC	Meeting Date	15-Dec-2016
ISIN	US04010L1035	Agenda	934494864 - Management
Record Date	17-Oct-2016	Holding Recon Date	17-Oct-2016
City / Country	/ United States	Vote Deadline Date	14-Dec-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	TO APPROVE THE ISSUANCE OF THE SHARES OF ARES CAPITAL COMMON STOCK TO BE ISSUED PURSUANT TO THE AGREEMENT AND PLAN OF MERGER, AS SUCH AGREEMENT MAY BE AMENDED FROM TIME TO TIME, DATED AS	Management	For	For

OF MAY 23, 2016 (THE "MERGER AGREEMENT"), BY AND AMONG ARES CAPITAL, AMERICAN CAPITAL, LTD., ORION ACQUISITION SUB, INC., IVY HILL ASSET MANAGEMENT, L.P. ("IHAM"), IVY HILL ASSET MANAGEMENT GP, LLC, IN ITS CAPACITY AS GENERAL PARTNER OF IHAM, AMERICAN CAPITAL ASSET MANAGEMENT, LLC, AND SOLELY FOR THE ...(DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL).

2. TO APPROVE THE ISSUANCE OF THE SHARES OF ARES CAPITAL COMMON STOCK TO BE ISSUED PURSUANT TO THE MERGER AGREEMENT IN ACCORDANCE WITH NASDAQ LISTING RULE REQUIREMENTS. Management For For

3. TO APPROVE THE ADJOURNMENT OF THE ARES CAPITAL SPECIAL MEETING, IF NECESSARY OR APPROPRIATE, TO SOLICIT ADDITIONAL PROXIES IF THERE ARE NOT SUFFICIENT VOTES AT THE TIME OF THE ARES CAPITAL SPECIAL MEETING TO APPROVE PROPOSAL 1 OR PROPOSAL 2. Management For For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	57,900	0	26-Oct-2016	16-Dec-2016
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	291,600	0	26-Oct-2016	16-Dec-2016
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET	335,300	0	26-Oct-2016	16-Dec-2016

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997AL49	GLO BNP PLEDGE	997AL49	BANK & TRUST CO STATE STREET	964,300	0	26-Oct-2016	16-Dec-2016
997AL53	GLO MS PLEDGE	997AL53	BANK & TRUST CO STATE STREET	80,000	0	26-Oct-2016	16-Dec-2016

AKORN, INC.

Security	009728106	Meeting Type	Special
Ticker Symbol	AKRX	Meeting Date	16-Dec-2016
ISIN	US0097281069	Agenda	934505225 - Management
Record Date	28-Oct-2016	Holding Recon Date	28-Oct-2016
City / Country	/ United States	Vote Deadline Date	15-Dec-2016
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	PROPOSAL TO APPROVE THE AKORN, INC. 2016 EMPLOYEE STOCK PURCHASE PLAN.	Management	For	For
2.	PROPOSAL TO APPROVE THE AMENDMENT AND RESTATEMENT OF THE AKORN, INC. 2014 STOCK OPTION PLAN.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	BANK & TRUST CO STATE STREET	20,500	0	18-Nov-2016	19-Dec-2016
997GLQ	GLQ	997GLQ	BANK & TRUST CO STATE STREET	7,200	0	18-Nov-2016	19-Dec-2016

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Item	Proposal	Security	Quantity	Meeting Type	Meeting Date	Vote	For/Against Management
997GLO	GLO	BANK & TRUST CO STATE STREET BANK & TRUST CO	34,300		18-Nov-2016	0	19-Dec-2016
997AL47	GLQ BNP PLEDGE	BANK & TRUST CO STATE STREET BANK & TRUST CO	5,800		18-Nov-2016	35,000	19-Dec-2016
997AL49	GLO BNP PLEDGE	BANK & TRUST CO STATE STREET BANK & TRUST CO	900		18-Nov-2016	71,800	19-Dec-2016

SINOPHARM GROUP CO. LTD.

Security	Y8008N107	Meeting Type	ExtraOrdinary General Meeting
Ticker Symbol		Meeting Date	30-Dec-2016
ISIN	CNE100000FN7	Agenda	707595990 - Management
Record Date	29-Nov-2016	Holding Recon Date	29-Nov-2016
City / Country	SHANGH AI / China	Vote Deadline Date	16-Dec-2016
SEDOL(s)	B3ZVDV0 - B4M8B73 - B5NVZ21 - BP3RXT2	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THE COMPANY NOTICE AND PROXY FORM ARE AVAILABLE BY CLICKING-ON THE URL LINKS:- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2016/1114/LTN20161114274.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2016/1114/LTN20161114274.pdf</a> -AND- <a href="http://www.hkexnews.hk/listedco/listconews/SEHK/2016/1114/LTN20161114240.pdf">http://www.hkexnews.hk/listedco/listconews/SEHK/2016/1114/LTN20161114240.pdf</a>	Non-Voting		
CMMT	PLEASE NOTE IN THE HONG	Non-Voting		

KONG MARKET THAT A VOTE OF 'ABSTAIN' WILL BE TREATED-THE SAME AS A 'TAKE NO ACTION' VOTE

TO CONSIDER AND, IF THOUGHT FIT, TO APPROVE (I) THE ISSUE OF THE ACCOUNTS RECEIVABLE ASSET-BACKED SECURITIES IN THE PRC OF NO MORE THAN RMB8 BILLION IN SCALE (THE "ASSET- BACKED SECURITIES"); AND (II) THE AUTHORISATION TO THE BOARD OF DIRECTORS OF THE COMPANY OR THE PERSON(S) AUTHORISED BY IT DEAL WITH ALL SPECIFIC MATTERS PURSUANT TO THE PROPOSAL IN RESPECT OF THE ISSUE OF THE ASSET-BACKED SECURITIES AS SET OUT IN THE NOTICE OF EGM OF THE COMPANY DATED 14 NOVEMBER 2016

1 Management For For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
GLV	GLV	STATE STREET BANK		142,400	0	15-Nov-2016	22-Dec-2016
GLQ	GLQ	STATE STREET BANK		236,800	0	15-Nov-2016	22-Dec-2016
GLO	GLO	STATE STREET BANK		583,600	0	15-Nov-2016	22-Dec-2016

D.R. HORTON, INC.

Security	23331A109			Meeting Type	Annual
Ticker Symbol	DHI			Meeting Date	19-Jan-2017
ISIN	US23331A1097			Agenda	934514147 - Management
Record Date	28-Nov-2016			Holding Recon Date	28-Nov-2016
City / Country		/	United States	Vote Deadline Date	18-Jan-2017
SEDOL(s)				Quick Code	
Item	Proposal		Proposed	Vote	For/Against

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		by		Management
1A.	ELECTION OF DIRECTOR: DONALD R. HORTON	Management	For	For
1B.	ELECTION OF DIRECTOR: BARBARA K. ALLEN	Management	For	For
1C.	ELECTION OF DIRECTOR: BRAD S. ANDERSON	Management	For	For
1D.	ELECTION OF DIRECTOR: MICHAEL R. BUCHANAN	Management	For	For
1E.	ELECTION OF DIRECTOR: MICHAEL W. HEWATT	Management	For	For
2.	APPROVAL OF THE ADVISORY RESOLUTION ON EXECUTIVE COMPENSATION.	Management	For	For
3.	RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	14,626	61,500	13-Dec-2016	20-Jan-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	23,621	104,400	13-Dec-2016	20-Jan-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	59,001	256,300	13-Dec-2016	20-Jan-2017
997AL61	GLQ MS PLEDGE	997AL61	STATE STREET BANK & TRUST CO	10,800	0	13-Dec-2016	20-Jan-2017



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GOLUB CAPITAL BDC, INC.

Security	38173M102	Meeting Type	Annual
Ticker Symbol	GBDC	Meeting Date	07-Feb-2017
ISIN	US38173M1027	Agenda	934516557 - Management
Record Date	12-Dec-2016	Holding Recon Date	12-Dec-2016
City / Country	/ United States	Vote Deadline Date	06-Feb-2017

SEDOL(s)

Item	Proposal	Proposed by	Vote	For/Against Management
1.1	ELECTION OF CLASS I DIRECTOR: DAVID B. GOLUB	Management	For	For
1.2	ELECTION OF CLASS I DIRECTOR: ANITA R. ROSENBERG	Management	For	For
2.	TO RATIFY THE SELECTION OF ERNST & YOUNG LLP TO SERVE AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING SEPTEMBER 30, 2017.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	24,000	0	22-Dec-2016	08-Feb-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	100	128,300	22-Dec-2016	08-Feb-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	150,809	0	22-Dec-2016	08-Feb-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET	157	454,300	22-Dec-2016	08-Feb-2017

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BANK  
&  
TRUST  
CO

RSP PERMIAN INC

Security	74978Q105	Meeting Type	Special
Ticker Symbol	RSPP	Meeting Date	24-Feb-2017
ISIN	US74978Q1058	Agenda	934528108 - Management
Record Date	19-Jan-2017	Holding Recon Date	19-Jan-2017
City / Country	/ United States	Vote Deadline Date	23-Feb-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
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1.	APPROVAL OF THE ISSUANCE OF 16,019,638 SHARES OF RSP PERMIAN, INC.'S (THE "COMPANY'S") COMMON STOCK TO SILVER HILL ENERGY PARTNERS II, LLC (THE "SHEP II SELLER"), PURSUANT TO AND SUBJECT TO ADJUSTMENTS PROVIDED IN THE MEMBERSHIP INTEREST PURCHASE AND SALE AGREEMENT, DATED AS OF OCTOBER 13, 2016, BY ...(DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL).	Management	For	For
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2.	APPROVAL OF THE ADJOURNMENT OR POSTPONEMENT OF THE SPECIAL MEETING, IF NECESSARY OR APPROPRIATE TO SOLICIT ADDITIONAL PROXIES IF THERE ARE INSUFFICIENT VOTES AT THE TIME OF THE SPECIAL MEETING TO APPROVE PROPOSAL 1.	Management	For	For
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Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK &	16,400	0	03-Feb-2017	27-Feb-2017

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TRUST  
CO

APPLE INC.

Security	037833100	Meeting Type	Annual
Ticker Symbol	AAPL	Meeting Date	28-Feb-2017
ISIN	US0378331005	Agenda	934520556 - Management
Record Date	30-Dec-2016	Holding Recon Date	30-Dec-2016
City / Country	/ United States	Vote Deadline Date	27-Feb-2017

SEDOL(s)

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: JAMES BELL	Management	For	For
1B.	ELECTION OF DIRECTOR: TIM COOK	Management	For	For
1C.	ELECTION OF DIRECTOR: AL GORE	Management	For	For
1D.	ELECTION OF DIRECTOR: BOB IGER	Management	For	For
1E.	ELECTION OF DIRECTOR: ANDREA JUNG	Management	For	For
1F.	ELECTION OF DIRECTOR: ART LEVINSON	Management	For	For
1G.	ELECTION OF DIRECTOR: RON SUGAR	Management	For	For
1H.	ELECTION OF DIRECTOR: SUE WAGNER	Management	For	For
2.	RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS APPLE'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017	Management	For	For
3.	ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION	Management	For	For
4.	ADVISORY VOTE ON THE FREQUENCY OF SHAREHOLDER VOTES ON EXECUTIVE COMPENSATION	Management	3 Years	Against
5.	A SHAREHOLDER PROPOSAL ENTITLED "CHARITABLE GIVING -	Shareholder	Against	For

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RECIPIENTS, INTENTS AND BENEFITS"

- |    |   |             |         |     |
|----|---|-------------|---------|-----|
| 6. | A SHAREHOLDER PROPOSAL REGARDING DIVERSITY AMONG OUR SENIOR MANAGEMENT AND BOARD OF DIRECTORS | Shareholder | Against | For |
| 7. | A SHAREHOLDER PROPOSAL ENTITLED "SHAREHOLDER PROXY ACCESS AMENDMENTS"                         | Shareholder | Against | For |
| 8. | A SHAREHOLDER PROPOSAL ENTITLED "EXECUTIVE COMPENSATION REFORM"                               | Shareholder | Against | For |
| 9. | A SHAREHOLDER PROPOSAL ENTITLED "EXECUTIVES TO RETAIN SIGNIFICANT STOCK"                      | Shareholder | Against | For |

Account Number	Account Name	Internal Account Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	STATE STREET BANK & TRUST CO	2,500	0	07-Jan-2017	01-Mar-2017
997GLQ	GLQ	STATE STREET BANK & TRUST CO	31,200	0	07-Jan-2017	01-Mar-2017
997GLO	GLO	STATE STREET BANK & TRUST CO	39,600	0	07-Jan-2017	01-Mar-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO	100	27,500	07-Jan-2017	01-Mar-2017
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO	100	32,400	07-Jan-2017	01-Mar-2017

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997AL49	GLO BNP PLEDGE	997AL49	100	81,900	07-Jan-2017	01-Mar-2017
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CO  
STATE  
STREET  
BANK  
&  
TRUST  
CO

TRANSDIGM GROUP INCORPORATED

Security	893641100	Meeting Type	Annual
Ticker Symbol	TDG	Meeting Date	01-Mar-2017
ISIN	US8936411003	Agenda	934523451 - Management
Record Date	03-Jan-2017	Holding Recon Date	03-Jan-2017
City / Country	/ United States	Vote Deadline Date	28-Feb-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 WILLIAM DRIES		For	For
	2 MERVIN DUNN		For	For
	3 MICHAEL GRAFF		For	For
	4 SEAN HENNESSY		For	For
	5 W. NICHOLAS HOWLEY		For	For
	6 RAYMOND LAUBENTHAL		For	For
	7 DOUGLAS PEACOCK		For	For
	8 ROBERT SMALL		For	For
	9 JOHN STAER		For	For
2.	TO APPROVE (IN AN ADVISORY VOTE) COMPENSATION PAID TO THE COMPANY'S NAMED EXECUTIVE OFFICERS	Management	For	For
3.	TO DETERMINE HOW OFTEN TO CONDUCT THE ADVISORY VOTE REGARDING COMPENSATION PAID TO THE COMPANY'S NAMED EXECUTIVE OFFICERS	Management	3 Years	
4.	TO RATIFY THE SELECTION OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT ACCOUNTANTS FOR THE FISCAL YEAR ENDING SEPTEMBER 30, 2017	Management	For	For

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Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	20,810	0	21-Jan-2017	02-Mar-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	33,850	0	21-Jan-2017	02-Mar-2017

HOLOGIC, INC.

Security	436440101	Meeting Type	Annual
Ticker Symbol	HOLX	Meeting Date	08-Mar-2017
ISIN	US4364401012	Agenda	934522423 - Management
Record Date	09-Jan-2017	Holding Recon Date	09-Jan-2017
City / Country	/ United States	Vote Deadline Date	07-Mar-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 CHRISTOPHER J. COUGHLIN		For	For
	2 SALLY W. CRAWFORD		For	For
	3 SCOTT T. GARRETT		For	For
	4 LAWRENCE M. LEVY		For	For
	5 STEPHEN P. MACMILLAN		For	For
	6 CHRISTIANA STAMOULIS		For	For
	7 ELAINE S. ULLIAN		For	For
	8 AMY M. WENDELL		For	For
2.	A NON-BINDING ADVISORY RESOLUTION TO APPROVE EXECUTIVE COMPENSATION.	Management	For	For
3.	TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF EXECUTIVE COMPENSATION VOTES.	Management	3 Years	Against

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4. AMENDMENT TO HOLOGIC'S FIFTH AMENDED AND RESTATED BYLAWS. Management For For
5. RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL 2017. Management For For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	23,600	0	25-Jan-2017	09-Mar-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	100	28,500	25-Jan-2017	09-Mar-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	100	131,200	25-Jan-2017	09-Mar-2017

GW PHARMACEUTICALS PLC

Security	36197T103	Meeting Type	Annual
Ticker Symbol	GWPH	Meeting Date	14-Mar-2017
ISIN	US36197T1034	Agenda	934530660 - Management
Record Date	07-Feb-2017	Holding Recon Date	07-Feb-2017
City / Country	/ United States	Vote Deadline Date	06-Mar-2017

SEDOL(s)

Item	Proposal	Proposed by	Vote	For/Against Management
O1	TO RECEIVE, CONSIDER AND ADOPT THE DIRECTORS' AND AUDITORS' REPORTS AND STATEMENT OF ACCOUNTS FOR	Management	For	For

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THE YEAR ENDED 30 SEPTEMBER  
2016 AND NOTE THAT THE  
DIRECTORS DO NOT  
RECOMMEND PAYMENT OF A  
DIVIDEND

O2	TO APPROVE THE DIRECTORS' REMUNERATION REPORT	Management	For	For
O3	TO RE-ELECT GEOFFREY GUY AS A DIRECTOR	Management	For	For
O4	TO RE-ELECT THOMAS LYNCH AS A DIRECTOR	Management	For	For
O5	TO RE-ELECT CABOT BROWN AS A DIRECTOR	Management	For	For
O6	TO APPOINT DELOITTE LLP AS AUDITOR	Management	For	For
O7	TO AUTHORISE THE DIRECTORS TO DETERMINE THE AUDITOR'S REMUNERATION	Management	For	For
S8	TO APPROVE THE ADOPTION OF THE COMPANY'S LONG-TERM INCENTIVE PLAN	Management	For	For
S9	TO AUTHORISE THE DIRECTORS TO ALLOT EQUITY SECURITIES, UNDER SECTION 551 OF THE 2006 ACT	Management	For	For
S10	TO AUTHORISE THE DIRECTORS TO ALLOT EQUITY SECURITIES, UNDER SECTION 570 OF THE 2006 ACT AS IF SECTION 561(1) OF THE 2006 ACT DID NOT APPLY TO SUCH ALLOTMENT	Management	For	For

Account Number	Account Name	Internal Account	Custodian Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO 1,600	0	15-Feb-2017	08-Mar-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO 3,100	0	15-Feb-2017	08-Mar-2017



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997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	4,300	0	15-Feb-2017	08-Mar-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	8,300	0	15-Feb-2017	08-Mar-2017

SAMSUNG ELECTRONICS CO LTD, SUWON

Security	Y74718100	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	24-Mar-2017
ISIN	KR7005930003	Agenda	707790499 - Management
Record Date	31-Dec-2016	Holding Recon Date	31-Dec-2016

City / Country	SEOUL	Korea, Republic Of	Vote Deadline Date	14-Mar-2017
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SEDOL(s)	6771720 - B19VC15 - B74V052	Quick Code	
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Item	Proposal	Proposed by	Vote	For/Against Management
1	APPROVAL OF FINANCIAL STATEMENTS	Management	For	For
2	APPROVAL OF REMUNERATION FOR DIRECTOR	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
GLV	GLV		STATE STREET BANK	756	0	25-Feb-2017	16-Mar-2017
GLQ	GLQ		STATE STREET BANK	1,197	0	25-Feb-2017	16-Mar-2017
GLO	GLO		STATE STREET BANK	3,046	0	25-Feb-2017	16-Mar-2017

LINE CORPORATION

Security	53567X101	Meeting Type	Annual
Ticker Symbol	LN	Meeting Date	30-Mar-2017

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ISIN	US53567X1019	Agenda	934536268 - Management
Record Date	29-Dec-2016	Holding Recon Date	29-Dec-2016
City / Country	/ Japan	Vote Deadline Date	23-Mar-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	PARTIAL AMENDMENTS TO THE ARTICLES OF INCORPORATION	Management	For	Against
2.	DIRECTORS	Management		
	1 TAKESHI IDEZAWA		For	For
	2 JUN MASUDA		For	For
	3 JOONGHO SHIN		For	For
	4 IN JOON HWANG		For	For
	5 HAE-JIN LEE		For	For
	6 TADASHI KUNIHIRO		For	For
	7 KOJI KOTAKA		For	For
	8 REHITO HATOYAMA		For	For
3.	ELECTION OF ONE (1) SUBSTITUTE CORPORATE AUDITOR: NAOKI WATANABE	Management	For	Against
4.	DETERMINATION OF AMOUNTS AND DETAILS OF STOCK OPTIONS (WARRANTS) TO BE PAID AS REMUNERATION, ETC. TO DIRECTORS	Management	For	Against

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	10,300	0	04-Mar-2017	27-Mar-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	39,100	0	04-Mar-2017	27-Mar-2017

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997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	10,800	9,700	04-Mar-2017	27-Mar-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	9,800	28,300	04-Mar-2017	27-Mar-2017

BROADCOM LIMITED

Security	Y09827109	Meeting Type	Annual
Ticker Symbol	AVGO	Meeting Date	05-Apr-2017
ISIN	SG9999014823	Agenda	934531977 - Management
Record Date	08-Feb-2017	Holding Recon Date	08-Feb-2017
City / Country	/ Malaysia	Vote Deadline Date	03-Apr-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: MR. HOCK E. TAN	Management	For	For
1B.	ELECTION OF DIRECTOR: MR. JAMES V. DILLER	Management	For	For
1C.	ELECTION OF DIRECTOR: MR. LEWIS C. EGGBRECHT	Management	For	For
1D.	ELECTION OF DIRECTOR: MR. KENNETH Y. HAO	Management	For	For
1E.	ELECTION OF DIRECTOR: MR. EDDY W. HARTENSTEIN	Management	For	For
1F.	ELECTION OF DIRECTOR: MR. CHECK KIAN LOW	Management	For	For
1G.	ELECTION OF DIRECTOR: MR. DONALD MACLEOD	Management	For	For
1H.	ELECTION OF DIRECTOR: MR. PETER J. MARKS	Management	For	For
1I.	ELECTION OF DIRECTOR: DR. HENRY SAMUELI	Management	For	For
2.	TO APPROVE THE	Management	For	For

RE-APPOINTMENT OF  
 PRICEWATERHOUSECOOPERS LLP  
 AS BROADCOM'S INDEPENDENT  
 REGISTERED PUBLIC  
 ACCOUNTING FIRM AND  
 INDEPENDENT SINGAPORE  
 AUDITOR FOR THE FISCAL YEAR  
 ENDING OCTOBER 29, 2017 AND  
 TO AUTHORIZE THE AUDIT  
 COMMITTEE TO FIX ITS  
 REMUNERATION, AS SET FORTH  
 IN BROADCOM'S NOTICE OF, AND  
 PROXY STATEMENT RELATING  
 TO, ITS 2017 ANNUAL GENERAL  
 MEETING.

TO APPROVE THE GENERAL  
 AUTHORIZATION FOR THE  
 DIRECTORS OF BROADCOM TO  
 ALLOT AND ISSUE SHARES IN  
 OUR CAPITAL, AS SET FORTH IN  
 BROADCOM'S NOTICE OF, AND  
 PROXY STATEMENT RELATING  
 TO, ITS 2017 ANNUAL GENERAL  
 MEETING.

3.	TO APPROVE THE GENERAL AUTHORIZATION FOR THE DIRECTORS OF BROADCOM TO ALLOT AND ISSUE SHARES IN OUR CAPITAL, AS SET FORTH IN BROADCOM'S NOTICE OF, AND PROXY STATEMENT RELATING TO, ITS 2017 ANNUAL GENERAL MEETING.	Management	For	For
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TO APPROVE THE  
 COMPENSATION OF BROADCOM'S  
 NAMED EXECUTIVE OFFICERS, AS  
 DISCLOSED IN "COMPENSATION  
 DISCUSSION AND ANALYSIS"  
 AND IN THE COMPENSATION  
 TABLES AND ACCOMPANYING  
 NARRATIVE DISCLOSURE UNDER  
 "EXECUTIVE COMPENSATION" IN  
 BROADCOM'S PROXY  
 STATEMENT RELATING TO ITS  
 2017 ANNUAL GENERAL  
 MEETING.

4.	TO APPROVE THE COMPENSATION OF BROADCOM'S NAMED EXECUTIVE OFFICERS, AS DISCLOSED IN "COMPENSATION DISCUSSION AND ANALYSIS" AND IN THE COMPENSATION TABLES AND ACCOMPANYING NARRATIVE DISCLOSURE UNDER "EXECUTIVE COMPENSATION" IN BROADCOM'S PROXY STATEMENT RELATING TO ITS 2017 ANNUAL GENERAL MEETING.	Management	For	For
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TO RECOMMEND THAT A  
 NON-BINDING, ADVISORY VOTE  
 TO APPROVE THE  
 COMPENSATION OF THE  
 BROADCOM'S NAMED  
 EXECUTIVE OFFICERS BE PUT TO  
 SHAREHOLDERS FOR THEIR  
 CONSIDERATION EVERY: ONE;  
 TWO; OR THREE YEARS, AS SET  
 FORTH IN BROADCOM'S NOTICE  
 OF, AND PROXY STATEMENT  
 RELATING TO, ITS 2017 ANNUAL

5.	TO RECOMMEND THAT A NON-BINDING, ADVISORY VOTE TO APPROVE THE COMPENSATION OF THE BROADCOM'S NAMED EXECUTIVE OFFICERS BE PUT TO SHAREHOLDERS FOR THEIR CONSIDERATION EVERY: ONE; TWO; OR THREE YEARS, AS SET FORTH IN BROADCOM'S NOTICE OF, AND PROXY STATEMENT RELATING TO, ITS 2017 ANNUAL	Management	3 Years	Against
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GENERAL MEETING.

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	5,500	0	22-Feb-2017	05-Apr-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	14,705	6,400	22-Feb-2017	05-Apr-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	33,570	17,400	22-Feb-2017	05-Apr-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	30,570	43,700	22-Feb-2017	05-Apr-2017

LENNAR CORPORATION

Security	526057104	Meeting Type	Annual
Ticker Symbol	LEN	Meeting Date	18-Apr-2017
ISIN	US5260571048	Agenda	934533678 - Management
Record Date	21-Feb-2017	Holding Recon Date	21-Feb-2017
City / Country	/ United States	Vote Deadline Date	17-Apr-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 IRVING BOLOTIN		For	For
	2 STEVEN L. GERARD		For	For
	3 THERON I. "TIG" GILLIAM		For	For
	4 SHERRILL W. HUDSON		For	For

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5	SIDNEY LAPIDUS		For	For
6	TERI P. MCCLURE		For	For
7	STUART MILLER		For	For
8	ARMANDO OLIVERA		For	For
9	DONNA SHALALA		For	For
10	JEFFREY SONNENFELD		For	For

2. RATIFICATION OF THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS LENNAR'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING NOVEMBER 30, 2017. Management For For

3. APPROVAL, ON AN ADVISORY BASIS, OF THE COMPENSATION OF LENNAR'S NAMED EXECUTIVE OFFICERS. Management For For

4. APPROVAL, ON AN ADVISORY BASIS, OF THE FREQUENCY OF THE STOCKHOLDER VOTE ON THE COMPENSATION OF LENNAR'S NAMED EXECUTIVE OFFICERS. Management 3 Years Against

5. APPROVAL OF A STOCKHOLDER PROPOSAL REGARDING OUR COMMON STOCK VOTING STRUCTURE. Shareholder Against For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	5,500	0	08-Mar-2017	19-Apr-2017
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	8,700	0	08-Mar-2017	19-Apr-2017
997GLO	GLO	997GLO	STATE STREET BANK	23,500	0	08-Mar-2017	19-Apr-2017

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997AL45	GLV BNP PLEDGE	997AL45	8,700	30,900	08-Mar-2017	19-Apr-2017
		& TRUST CO STATE STREET BANK & TRUST CO STATE STREET BANK & TRUST CO STATE STREET BANK & TRUST CO				
997AL47	GLQ BNP PLEDGE	997AL47	11,700	60,500	08-Mar-2017	19-Apr-2017
997AL49	GLO BNP PLEDGE	997AL49	33,800	131,500	08-Mar-2017	19-Apr-2017

CITIGROUP INC.

Security	172967424	Meeting Type	Annual
Ticker Symbol	C	Meeting Date	25-Apr-2017
ISIN	US1729674242	Agenda	934541904 - Management
Record Date	27-Feb-2017	Holding Recon Date	27-Feb-2017
City / Country	/ United States	Vote Deadline Date	24-Apr-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: MICHAEL L. CORBAT	Management	For	For
1B.	ELECTION OF DIRECTOR: ELLEN M. COSTELLO	Management	For	For
1C.	ELECTION OF DIRECTOR: DUNCAN P. HENNES	Management	For	For
1D.	ELECTION OF DIRECTOR: PETER B. HENRY	Management	For	For
1E.	ELECTION OF DIRECTOR: FRANZ B. HUMER	Management	For	For
1F.	ELECTION OF DIRECTOR: RENEE J. JAMES	Management	For	For

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1G.	ELECTION OF DIRECTOR: EUGENE M. MCQUADE	Management	For	For
1H.	ELECTION OF DIRECTOR: MICHAEL E. O'NEILL	Management	For	For
1I.	ELECTION OF DIRECTOR: GARY M. REINER	Management	For	For
1J.	ELECTION OF DIRECTOR: ANTHONY M. SANTOMERO	Management	For	For
1K.	ELECTION OF DIRECTOR: DIANA L. TAYLOR	Management	For	For
1L.	ELECTION OF DIRECTOR: WILLIAM S. THOMPSON, JR.	Management	For	For
1M.	ELECTION OF DIRECTOR: JAMES S. TURLEY	Management	For	For
1N.	ELECTION OF DIRECTOR: DEBORAH C. WRIGHT	Management	For	For
1O.	ELECTION OF DIRECTOR: ERNESTO ZEDILLO PONCE DE LEON	Management	For	For
2.	PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS CITI'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.	Management	For	For
3.	ADVISORY VOTE TO APPROVE CITI'S 2016 EXECUTIVE COMPENSATION.	Management	For	For
4.	ADVISORY VOTE TO APPROVE THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION.	Management	3 Years	Against
5.	STOCKHOLDER PROPOSAL REQUESTING A REPORT ON THE COMPANY'S POLICIES AND GOALS TO REDUCE THE GENDER PAY GAP.	Shareholder	Against	For
6.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD APPOINT A STOCKHOLDER VALUE COMMITTEE TO ADDRESS WHETHER THE DIVESTITURE OF ALL NON-CORE BANKING BUSINESS SEGMENTS WOULD ENHANCE SHAREHOLDER VALUE.	Shareholder	Against	For
7.	STOCKHOLDER PROPOSAL	Shareholder	Against	For



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REQUESTING A REPORT ON LOBBYING AND GRASSROOTS LOBBYING CONTRIBUTIONS.

STOCKHOLDER PROPOSAL REQUESTING AN AMENDMENT TO THE GENERAL CLAWBACK POLICY TO PROVIDE THAT A SUBSTANTIAL PORTION OF ANNUAL TOTAL COMPENSATION OF EXECUTIVE OFFICERS SHALL BE DEFERRED AND FORFEITED, IN PART OR WHOLE, AT THE DISCRETION OF THE BOARD, TO HELP SATISFY ANY MONETARY PENALTY ASSOCIATED WITH A VIOLATION OF LAW.

8. Shareholder Against For

STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD ADOPT A POLICY PROHIBITING THE VESTING OF EQUITY-BASED AWARDS FOR SENIOR EXECUTIVES DUE TO A VOLUNTARY RESIGNATION TO ENTER GOVERNMENT SERVICE.

9. Shareholder Against For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	31,200	0	17-Mar-2017	26-Apr-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	10,000	0	17-Mar-2017	26-Apr-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	20,130	57,800	17-Mar-2017	26-Apr-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK	119	100,400	17-Mar-2017	26-Apr-2017

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997AL49	GLO BNP PLEDGE	997AL49	BANK & TRUST CO STATE STREET	527	238,900	17-Mar-2017	26-Apr-2017
997AL53	GLO MS PLEDGE	997AL53	BANK & TRUST CO STATE STREET	70,100	0	17-Mar-2017	26-Apr-2017

GALAPAGOS N V

Security	36315X101	Meeting Type	Annual
Ticker Symbol	GLPG	Meeting Date	25-Apr-2017
ISIN	US36315X1019	Agenda	934565459 - Management
Record Date	20-Mar-2017	Holding Recon Date	20-Mar-2017
City / Country	/ United States	Vote Deadline Date	17-Apr-2017

SEDOL(s)

Item	Proposal	Proposed by	Vote	For/Against Management
O2	COMMUNICATION AND APPROVAL OF THE NON-CONSOLIDATED ANNUAL ACCOUNTS OF THE COMPANY FOR THE FINANCIAL YEAR ENDED ON 31 DECEMBER 2016 AND APPROVAL OF THE ALLOCATION OF THE ANNUAL RESULT AS PROPOSED BY THE BOARD OF DIRECTORS.	Management	For	
O5	COMMUNICATION AND APPROVAL OF THE REMUNERATION REPORT.	Management	For	
O6	RELEASE FROM LIABILITY TO BE GRANTED TO THE DIRECTORS AND THE STATUTORY AUDITOR FOR THE PERFORMANCE OF THEIR DUTIES IN THE COURSE OF THE FINANCIAL YEAR ENDED 31 DECEMBER 2016.	Management	For	

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O7	RATIFICATION OF THE STATUTORY AUDITOR'S REMUNERATION FOR THE FINANCIAL YEAR ENDED ON 31 DECEMBER 2016.	Management	For
O8	RE-APPOINTMENT OF STATUTORY AUDITOR AND DETERMINATION OF STATUTORY AUDITOR'S REMUNERATION.	Management	For
O9A	RE-APPOINTMENT OF MR. ONNO VAN DE STOLPE AS DIRECTOR OF THE COMPANY.	Management	For
O9B	RE-APPOINTMENT OF DR. RAJ PAREKH AS DIRECTOR OF THE COMPANY.	Management	For
O9C	RE-APPOINTMENT OF MS. KATRINE BOSLEY AS INDEPENDENT DIRECTOR OF THE COMPANY.	Management	For
O10	REMUNERATION OF DIRECTORS.	Management	For
O11	OFFER OF WARRANTS.	Management	For
O12	APPLICATION OF ARTICLE 556 OF THE BELGIAN COMPANIES CODE.	Management	For
E2	AUTHORIZATION TO THE BOARD OF DIRECTORS TO INCREASE THE SHARE CAPITAL WITHIN THE FRAMEWORK OF THE AUTHORIZED CAPITAL BY UP TO 20% OF THE SHARE CAPITAL.	Management	For
E3	AUTHORIZATION TO THE BOARD OF DIRECTORS TO INCREASE THE SHARE CAPITAL WITHIN THE FRAMEWORK OF THE AUTHORIZED CAPITAL BY UP TO 33% OF THE SHARE CAPITAL, IN SPECIFIC CIRCUMSTANCES.	Management	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	26,100	0	30-Mar-2017	19-Apr-2017

BANK OF AMERICA CORPORATION

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Security	060505104	Meeting Type	Annual
Ticker Symbol	BAC	Meeting Date	26-Apr-2017
ISIN	US0605051046	Agenda	934543453 - Management
Record Date	02-Mar-2017	Holding Recon Date	02-Mar-2017
City / Country	/ United States	Vote Deadline Date	25-Apr-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: SHARON L. ALLEN	Management	For	For
1B.	ELECTION OF DIRECTOR: SUSAN S. BIES	Management	For	For
1C.	ELECTION OF DIRECTOR: JACK O. BOVENDER, JR.	Management	For	For
1D.	ELECTION OF DIRECTOR: FRANK P. BRAMBLE, SR.	Management	For	For
1E.	ELECTION OF DIRECTOR: PIERRE J.P. DE WECK	Management	For	For
1F.	ELECTION OF DIRECTOR: ARNOLD W. DONALD	Management	For	For
1G.	ELECTION OF DIRECTOR: LINDA P. HUDSON	Management	For	For
1H.	ELECTION OF DIRECTOR: MONICA C. LOZANO	Management	For	For
1I.	ELECTION OF DIRECTOR: THOMAS J. MAY	Management	For	For
1J.	ELECTION OF DIRECTOR: BRIAN T. MOYNIHAN	Management	For	For
1K.	ELECTION OF DIRECTOR: LIONEL L. NOWELL, III	Management	For	For
1L.	ELECTION OF DIRECTOR: MICHAEL D. WHITE	Management	For	For
1M.	ELECTION OF DIRECTOR: THOMAS D. WOODS	Management	For	For
1N.	ELECTION OF DIRECTOR: R. DAVID YOST	Management	For	For
2.	APPROVING OUR EXECUTIVE COMPENSATION (AN ADVISORY, NON-BINDING "SAY ON PAY" RESOLUTION)	Management	For	For
3.		Management	3 Years	Against

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A VOTE ON THE FREQUENCY OF  
FUTURE ADVISORY "SAY ON  
PAY" RESOLUTIONS (AN  
ADVISORY, NON-BINDING "SAY  
ON FREQUENCY" RESOLUTION)

RATIFYING THE APPOINTMENT  
OF OUR INDEPENDENT  
REGISTERED PUBLIC  
ACCOUNTING FIRM FOR 2017

4. Management For For

STOCKHOLDER PROPOSAL -  
CLAWBACK AMENDMENT

5. Shareholder Against For

STOCKHOLDER PROPOSAL -  
DIVESTITURE & DIVISION STUDY  
SESSIONS

6. Shareholder Against For

STOCKHOLDER PROPOSAL -  
INDEPENDENT BOARD  
CHAIRMAN

7. Shareholder Against For

STOCKHOLDER PROPOSAL -  
REPORT CONCERNING GENDER  
PAY EQUITY

8. Shareholder Against For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	24,500	0	16-Mar-2017	27-Apr-2017
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	38,400	0	16-Mar-2017	27-Apr-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	131,800	0	16-Mar-2017	27-Apr-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	191	148,400	16-Mar-2017	27-Apr-2017

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Item	Proposal	STATE STREET BANK & TRUST CO	105	261,400	16-Mar-2017	27-Apr-2017
997AL47	GLQ BNP PLEDGE	997AL47				
997AL49	GLO BNP PLEDGE	997AL49	110	580,000	16-Mar-2017	27-Apr-2017

EOG RESOURCES, INC.

Security	26875P101	Meeting Type	Annual
Ticker Symbol	EOG	Meeting Date	27-Apr-2017
ISIN	US26875P1012	Agenda	934538476 - Management
Record Date	27-Feb-2017	Holding Recon Date	27-Feb-2017
City / Country	/ United States	Vote Deadline Date	26-Apr-2017

SEDOL(s)

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: JANET F. CLARK	Management	For	For
1B.	ELECTION OF DIRECTOR: CHARLES R. CRISP	Management	For	For
1C.	ELECTION OF DIRECTOR: ROBERT P. DANIELS	Management	For	For
1D.	ELECTION OF DIRECTOR: JAMES C. DAY	Management	For	For
1E.	ELECTION OF DIRECTOR: DONALD F. TEXTOR	Management	For	For
1F.	ELECTION OF DIRECTOR: WILLIAM R. THOMAS	Management	For	For
1G.	ELECTION OF DIRECTOR: FRANK G. WISNER	Management	For	For
2.	TO RATIFY THE APPOINTMENT BY THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS OF DELOITTE & TOUCHE LLP, INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM, AS AUDITORS FOR THE COMPANY	Management	For	For

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FOR THE YEAR ENDING  
DECEMBER 31, 2017.

3. TO APPROVE AN AMENDMENT OF THE COMPANY'S RESTATED CERTIFICATE OF INCORPORATION TO INCREASE THE NUMBER OF AUTHORIZED SHARES OF COMMON STOCK FROM 640 MILLION TO 1.28 BILLION. Management For For
4. TO APPROVE, BY NON-BINDING VOTE, THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS. Management For For
5. TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF HOLDING ADVISORY VOTES ON THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS. Management 3 Years Against

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	5,700	0	18-Mar-2017	28-Apr-2017
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	7,100	0	18-Mar-2017	28-Apr-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	17,800	0	18-Mar-2017	28-Apr-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	600	23,500	18-Mar-2017	28-Apr-2017

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997AL47	GLQ BNP PLEDGE	997AL47	1,900	29,900	18-Mar-2017	28-Apr-2017
		CO STATE STREET BANK & TRUST CO				
997AL49	GLO BNP PLEDGE	997AL49	500	79,500	18-Mar-2017	28-Apr-2017
		CO STATE STREET BANK & TRUST CO				

PFIZER INC.

Security	717081103	Meeting Type	Annual
Ticker Symbol	PFE	Meeting Date	27-Apr-2017
ISIN	US7170811035	Agenda	934540798 - Management
Record Date	28-Feb-2017	Holding Recon Date	28-Feb-2017
City / Country	/ United States	Vote Deadline Date	26-Apr-2017

SEDOL(s)

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: DENNIS A. AUSIELLO	Management	For	For
1B.	ELECTION OF DIRECTOR: RONALD E. BLAYLOCK	Management	For	For
1C.	ELECTION OF DIRECTOR: W. DON CORNWELL	Management	For	For
1D.	ELECTION OF DIRECTOR: JOSEPH J. ECHEVARRIA	Management	For	For
1E.	ELECTION OF DIRECTOR: FRANCES D. FERGUSSON	Management	For	For
1F.	ELECTION OF DIRECTOR: HELEN H. HOBBS	Management	For	For
1G.	ELECTION OF DIRECTOR: JAMES M. KILTS	Management	For	For
1H.	ELECTION OF DIRECTOR: SHANTANU NARAYEN	Management	For	For
1I.	ELECTION OF DIRECTOR: SUZANNE NORA JOHNSON	Management	For	For
1J.	ELECTION OF DIRECTOR: IAN C.	Management	For	For



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READ

1K.	ELECTION OF DIRECTOR: STEPHEN W. SANGER	Management	For	For
1L.	ELECTION OF DIRECTOR: JAMES C. SMITH	Management	For	For
2.	RATIFY THE SELECTION OF KPMG LLP AS INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017	Management	For	For
3.	ADVISORY APPROVAL OF EXECUTIVE COMPENSATION	Management	For	For
4.	ADVISORY VOTE ON THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION	Management	3 Years	Against
5.	SHAREHOLDER PROPOSAL REGARDING THE HOLY LAND PRINCIPLES	Shareholder	Against	For
6.	SHAREHOLDER PROPOSAL REGARDING SPECIAL SHAREOWNER MEETINGS	Shareholder	Against	For
7.	SHAREHOLDER PROPOSAL REGARDING INDEPENDENT CHAIR POLICY	Shareholder	Against	For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	STATE STREET BANK & TRUST CO 997GLV	17,800	0	17-Mar-2017	28-Apr-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	100	43,500	17-Mar-2017	28-Apr-2017
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	100	81,500	17-Mar-2017	28-Apr-2017

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SECURITY	ISSUER	STATE	STREET	CITY	SHARES	MEETING DATE	RECORD DATE
997AL49	GLO BNP PLEDGE	997AL49	BANK & TRUST CO		100	17-Mar-2017	28-Apr-2017
997AL60	GLV MS PLEDGE	997AL60	BANK & TRUST CO		12,000	17-Mar-2017	28-Apr-2017

AKORN, INC.

Security	009728106	Meeting Type	Annual
Ticker Symbol	AKRX	Meeting Date	27-Apr-2017
ISIN	US0097281069	Agenda	934560308 - Management
Record Date	13-Mar-2017	Holding Recon Date	13-Mar-2017
City / Country	/ United States	Vote Deadline Date	26-Apr-2017

SEDOL(s)

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 JOHN KAPOOR, PHD		For	For
	2 KENNETH ABRAMOWITZ		For	For
	3 ADRIENNE GRAVES, PHD		For	For
	4 RONALD JOHNSON		For	For
	5 STEVEN MEYER		For	For
	6 TERRY ALLISON RAPPUHN		For	For
	7 BRIAN TAMBI		For	For
	8 ALAN WEINSTEIN		For	For
2.	PROPOSAL TO RATIFY THE APPOINTMENT OF BDO USA, LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	PROPOSAL TO APPROVE THE 2017 OMNIBUS INCENTIVE COMPENSATION PLAN.	Management	For	For
4.		Management	3 Years	Against

PROPOSAL TO APPROVE,  
THROUGH A NON- BINDING  
ADVISORY VOTE, THE  
FREQUENCY OF FUTURE  
NON-BINDING ADVISORY VOTES  
ON THE COMPANY'S EXECUTIVE  
COMPENSATION PROGRAMS.

PROPOSAL TO APPROVE,  
THROUGH A NON- BINDING  
ADVISORY VOTE, THE

5. COMPANY'S EXECUTIVE Management For For  
COMPENSATION PROGRAM AS  
DESCRIBED IN THE COMPANY'S  
2017 PROXY STATEMENT.

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	39,000	0	28-Mar-2017	28-Apr-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	96,700	0	28-Mar-2017	28-Apr-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	38,600	0	28-Mar-2017	28-Apr-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	54,500	0	28-Mar-2017	28-Apr-2017
997AL53	GLO MS PLEDGE	997AL53	STATE STREET BANK & TRUST CO	20,700	0	28-Mar-2017	28-Apr-2017

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BRISTOL-MYERS SQUIBB COMPANY

Security	110122108	Meeting Type	Annual
Ticker Symbol	BMY	Meeting Date	02-May-2017
ISIN	US1101221083	Agenda	934547538 - Management
Record Date	14-Mar-2017	Holding Recon Date	14-Mar-2017
City / Country	/ United States	Vote Deadline Date	01-May-2017

SEDOL(s)

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: P. J. ARDUINI	Management	For	For
1B.	ELECTION OF DIRECTOR: R. J. BERTOLINI	Management	For	For
1C.	ELECTION OF DIRECTOR: G. CAFORIO, M.D.	Management	For	For
1D.	ELECTION OF DIRECTOR: M. W. EMMENS	Management	For	For
1E.	ELECTION OF DIRECTOR: L. H. GLIMCHER, M.D.	Management	For	For
1F.	ELECTION OF DIRECTOR: M. GROBSTEIN	Management	For	For
1G.	ELECTION OF DIRECTOR: A. J. LACY	Management	For	For
1H.	ELECTION OF DIRECTOR: D. C. PALIWAL	Management	For	For
1I.	ELECTION OF DIRECTOR: T. R. SAMUELS	Management	For	For
1J.	ELECTION OF DIRECTOR: G. L. STORCH	Management	For	For
1K.	ELECTION OF DIRECTOR: V. L. SATO, PH.D.	Management	For	For
2.	ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	For	For
3.	ADVISORY VOTE ON THE FREQUENCY OF THE ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	3 Years	Against
4.	RE-APPROVAL OF THE MATERIALS TERMS OF THE	Management	For	For

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PERFORMANCE-BASED AWARDS  
UNDER THE COMPANY'S 2012  
STOCK AWARD AND INCENTIVE  
PLAN (AS AMENDED).

5. APPROVAL OF AN AMENDMENT  
TO THE COMPANY'S 2012 STOCK  
AWARD AND INCENTIVE PLAN. Management For For

6. RATIFICATION OF THE  
APPOINTMENT OF INDEPENDENT  
REGISTERED PUBLIC  
ACCOUNTING FIRM. Management For For

7. SHAREHOLDER PROPOSAL TO  
LOWER THE SHARE OWNERSHIP  
THRESHOLD TO CALL SPECIAL  
SHAREHOLDER MEETINGS. Shareholder Against For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	STATE STREET BANK & TRUST CO 997GLV	9,200	0	24-Mar-2017	03-May-2017
997GLQ	GLQ	STATE STREET BANK & TRUST CO 997GLQ	24,200	0	24-Mar-2017	03-May-2017
997GLO	GLO	STATE STREET BANK & TRUST CO 997GLO	49,700	0	24-Mar-2017	03-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	187	23,100	24-Mar-2017	03-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	46,616	40,000	24-Mar-2017	03-May-2017

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Item	Proposal	Security	Quantity	Meeting Type	Meeting Date	Vote Deadline
997AL49	GLO BNP PLEDGE	997AL49	39,400	0	24-Mar-2017	03-May-2017
		CO STATE STREET BANK & TRUST CO				
997AL53	GLO MS PLEDGE	997AL53	29,000	0	24-Mar-2017	03-May-2017
		CO STATE STREET BANK & TRUST CO				
997AL60	GLV MS PLEDGE	997AL60	900	0	24-Mar-2017	03-May-2017
		CO STATE STREET BANK & TRUST CO				

MTGE INVESTMENT CORP.

Security	55378A105	Meeting Type	Annual
Ticker Symbol	MTGE	Meeting Date	02-May-2017
ISIN	US55378A1051	Agenda	934555472 - Management
Record Date	08-Mar-2017	Holding Recon Date	08-Mar-2017
City / Country	/ United States	Vote Deadline Date	01-May-2017

SEDOL(s)

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: GARY D. KAIN	Management	For	For
1B.	ELECTION OF DIRECTOR: STEVEN W. ABRAHAMS	Management	For	For
1C.	ELECTION OF DIRECTOR: JULIA L. CORONADO	Management	For	For
1D.	ELECTION OF DIRECTOR: ROBERT M. COUCH	Management	For	For
1E.	ELECTION OF DIRECTOR: RANDY E.DOBBS	Management	For	For
2.	ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	For	For
3.		Management	3 Years	Against

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ADVISORY VOTE TO SELECT THE  
FREQUENCY OF FUTURE  
ADVISORY VOTES ON THE  
COMPENSATION OF OUR NAMED  
EXECUTIVE OFFICERS.

RATIFICATION OF APPOINTMENT  
OF ERNST & YOUNG LLP AS OUR  
INDEPENDENT PUBLIC  
ACCOUNTANT FOR THE YEAR  
ENDING DECEMBER 31, 2017.

4. Management For For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	25,100	0	24-Mar-2017	03-May-2017
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	99,700	0	24-Mar-2017	03-May-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	69,061	0	24-Mar-2017	03-May-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	11,400	27,000	24-Mar-2017	03-May-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	3,700	57,700	24-Mar-2017	03-May-2017

CABLE ONE, INC.

Security 12685J105

Ticker Symbol CABO

Meeting Type Annual

Meeting Date 02-May-2017

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ISIN	US12685J1051	Agenda	934571084 - Management
Record Date	10-Mar-2017	Holding Recon Date	10-Mar-2017
City / Country	/ United States	Vote Deadline Date	01-May-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: ALAN G. SPOON	Management	For	For
1B.	ELECTION OF DIRECTOR: WALLACE R. WEITZ	Management	For	For
2.	TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF THE COMPANY FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017	Management	For	For
3.	TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS FOR 2016 ON AN ADVISORY BASIS	Management	For	For
4.	TO SELECT THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION ON AN ADVISORY BASIS	Management	3 Years	Against
5.	TO APPROVE THE AMENDED AND RESTATED CABLE ONE, INC. 2015 OMNIBUS INCENTIVE COMPENSATION PLAN	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	1,700	0	04-Apr-2017	03-May-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	4,508	0	04-Apr-2017	03-May-2017



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997AL60	GLV MS PLEDGE	997AL60	1,100	0	04-Apr-2017	03-May-2017
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CO  
STATE  
STREET  
BANK  
&  
TRUST  
CO

EVERSOURCE ENERGY

Security	30040W108	Meeting Type	Annual
Ticker Symbol	ES	Meeting Date	03-May-2017
ISIN	US30040W1080	Agenda	934545558 - Management
Record Date	06-Mar-2017	Holding Recon Date	06-Mar-2017
City / Country	/ United States	Vote Deadline Date	02-May-2017

SEDOL(s)

Item	Proposal	Proposed by	Vote	For/Against Management
01	ELECTION OF DIRECTOR: JOHN S. CLARKESON	Management	For	For
02	ELECTION OF DIRECTOR: COTTON M. CLEVELAND	Management	For	For
03	ELECTION OF DIRECTOR: SANFORD CLOUD, JR.	Management	For	For
04	ELECTION OF DIRECTOR: JAMES S. DISTASIO	Management	For	For
05	ELECTION OF DIRECTOR: FRANCIS A. DOYLE	Management	For	For
06	ELECTION OF DIRECTOR: CHARLES K. GIFFORD	Management	For	For
07	ELECTION OF DIRECTOR: JAMES J. JUDGE	Management	For	For
08	ELECTION OF DIRECTOR: PAUL A. LA CAMERA	Management	For	For
09	ELECTION OF DIRECTOR: KENNETH R. LEIBLER	Management	For	For
10	ELECTION OF DIRECTOR: WILLIAM C. VAN FAASEN	Management	For	For
11	ELECTION OF DIRECTOR: FREDERICA M. WILLIAMS	Management	For	For
12	ELECTION OF DIRECTOR: DENNIS R. WRAASE	Management	For	For
2.		Management	For	For

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APPROVE PROPOSED AMENDMENT TO THE COMPANY'S DECLARATION OF TRUST TO INCLUDE A PROXY ACCESS PROVISION.

3. CONSIDER AN ADVISORY PROPOSAL APPROVING THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS. Management For For

4. CONSIDER AN ADVISORY PROPOSAL ON THE FREQUENCY OF FUTURE ADVISORY PROPOSALS ON EXECUTIVE COMPENSATION. Management 3 Years Against

5. RE-APPROVE THE MATERIAL TERMS OF THE PERFORMANCE GOALS UNDER THE 2009 EVERSOURCE INCENTIVE PLAN AS REQUIRED BY SECTION 162(M) OF THE INTERNAL REVENUE CODE. Management For For

6. RATIFY THE SELECTION OF DELOITTE & TOUCHE LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017. Management For For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	48,800	0	25-Mar-2017	04-May-2017

PHYSICIANS REALTY TRUST

Security	71943U104	Meeting Type	Annual
Ticker Symbol	DOC	Meeting Date	03-May-2017
ISIN	US71943U1043	Agenda	934549429 - Management
Record Date	01-Mar-2017	Holding Recon Date	01-Mar-2017
City / Country	/ United States	Vote Deadline Date	02-May-2017
SEDOL(s)		Quick Code	
Item	Proposal	Vote	

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		Proposed by		For/Against Management
1.	DIRECTOR	Management		
	1 JOHN T. THOMAS		For	For
	2 TOMMY G. THOMPSON		For	For
	3 STANTON D. ANDERSON		For	For
	4 MARK A. BAUMGARTNER		For	For
	5 ALBERT C. BLACK, JR.		For	For
	6 WILLIAM A. EBINGER, M.D		For	For
	7 RICHARD A. WEISS		For	For
	TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.			
2.		Management	For	For
	TO APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS, AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT.			
3.		Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	47,200	0	24-Mar-2017	04-May-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	100	88,700	24-Mar-2017	04-May-2017

PULTEGROUP, INC.

Security	745867101	Meeting Type	Annual
Ticker Symbol	PHM	Meeting Date	03-May-2017
ISIN	US7458671010	Agenda	934549758 - Management
Record Date	10-Mar-2017		10-Mar-2017

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City / Country	United States	Holding Recon Date	Vote Deadline Date	02-May-2017
SEDOL(s)		Quick Code		
Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: BRIAN P. ANDERSON	Management	For	For
1B.	ELECTION OF DIRECTOR: BRYCE BLAIR	Management	For	For
1C.	ELECTION OF DIRECTOR: RICHARD W. DREILING	Management	For	For
1D.	ELECTION OF DIRECTOR: THOMAS J. FOLLIARD	Management	For	For
1E.	ELECTION OF DIRECTOR: JOSHUA GOTBAUM	Management	For	For
1F.	ELECTION OF DIRECTOR: CHERYL W. GRISE	Management	For	For
1G.	ELECTION OF DIRECTOR: ANDRE J. HAWAUX	Management	For	For
1H.	ELECTION OF DIRECTOR: RYAN R. MARSHALL	Management	For	For
1I.	ELECTION OF DIRECTOR: PATRICK J. O'LEARY	Management	For	For
1J.	ELECTION OF DIRECTOR: JOHN R. PESHKIN	Management	For	For
1K.	ELECTION OF DIRECTOR: SCOTT F. POWERS	Management	For	For
1L.	ELECTION OF DIRECTOR: WILLIAM J. PULTE	Management	For	For
2.	THE RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management	For	For
3.	AN ADVISORY VOTE TO APPROVE EXECUTIVE COMPENSATION.	Management	For	For
4.	AN ADVISORY VOTE TO APPROVE THE FREQUENCY OF THE ADVISORY VOTE REGARDING EXECUTIVE COMPENSATION.	Management	3 Years	Against

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Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	16,700	0	29-Mar-2017	04-May-2017
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	31,500	0	29-Mar-2017	04-May-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	80,000	0	29-Mar-2017	04-May-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	100	50,200	29-Mar-2017	04-May-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	100	120,300	29-Mar-2017	04-May-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	100	253,500	29-Mar-2017	04-May-2017
997AL60	GLV MS PLEDGE	997AL60	STATE STREET BANK & TRUST CO	15,000	0	29-Mar-2017	04-May-2017

DIALOG SEMICONDUCTOR PLC, LONDON

Security G5821P111

Ticker Symbol

Meeting Type Annual General Meeting

Meeting Date 04-May-2017

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ISIN	GB0059822006	Agenda	707978675 - Management
Record Date		Holding Recon Date	02-May-2017
City / Country	LONDON / United Kingdom	Vote Deadline Date	18-Apr-2017
SEDOL(s)	5982200 - 5982590 - B28ZZL6 - B2QFBR6 - BHZLDS5	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1	ACCEPT FINANCIAL STATEMENTS AND STATUTORY REPORTS	Management	For	For
2	APPROVE REMUNERATION REPORT	Management	For	For
3	REAPPOINT DELOITTE LLP AS AUDITORS	Management	For	For
4	AUTHORISE BOARD TO FIX REMUNERATION OF AUDITORS	Management	For	For
5	RE-ELECT EAMONN O'HARE AS DIRECTOR	Management	For	For
6	RE-ELECT CHRISTOPHER BURKE AS DIRECTOR	Management	For	For
7	RE-ELECT AIDAN HUGHES AS DIRECTOR	Management	For	For
8	ELECT MARY CHAN AS DIRECTOR	Management	For	For
9	ELECT NICHOLAS JEFFERY AS DIRECTOR	Management	For	For
10	AUTHORISE ISSUE OF EQUITY WITH PRE-EMPTIVE RIGHTS	Management	For	For
11	AUTHORISE ISSUE OF EQUITY WITH PRE-EMPTIVE RIGHTS IN CONNECTION WITH A RIGHTS ISSUE	Management	For	For
12	AUTHORISE ISSUE OF EQUITY WITHOUT PRE-EMPTIVE RIGHTS	Management	For	For
13	AUTHORISE ISSUE OF EQUITY WITHOUT PRE-EMPTIVE RIGHTS IN CONNECTION WITH AN ACQUISITION OR OTHER CAPITAL INVESTMENT	Management	For	For
14	AUTHORISE THE COMPANY TO ENTER INTO A CONTINGENT FORWARD SHARE PURCHASE	Management	For	For

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CONTRACT WITH BARCLAYS BANK PLC

15	AUTHORISE THE COMPANY TO ENTER INTO A CONTINGENT FORWARD SHARE PURCHASE CONTRACT WITH GOLDMAN SACHS INTERNATIONAL	Management	For	For
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16	AUTHORISE THE COMPANY TO ENTER INTO A CONTINGENT FORWARD SHARE PURCHASE CONTRACT WITH HSBC BANK PLC	Management	For	For
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17	AUTHORISE THE COMPANY TO ENTER INTO A CONTINGENT FORWARD SHARE PURCHASE CONTRACT WITH MERRILL LYNCH INTERNATIONAL	Management	For	For
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18	AUTHORISE THE COMPANY TO CALL GENERAL MEETING WITH TWO WEEKS' NOTICE	Management	For	For
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Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
GLQ	GLQ	STATE STREET BANK		62,318	0	14-Apr-2017	21-Apr-2017
GLO	GLO	STATE STREET BANK		154,934	0	14-Apr-2017	21-Apr-2017

DUKE ENERGY CORPORATION

Security	26441C204	Meeting Type	Annual
Ticker Symbol	DUK	Meeting Date	04-May-2017
ISIN	US26441C2044	Agenda	934544102 - Management
Record Date	06-Mar-2017	Holding Recon Date	06-Mar-2017
City / Country	/ United States	Vote Deadline Date	03-May-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 MICHAEL J. ANGELAKIS		For	For
	2 MICHAEL G. BROWNING		For	For

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	3	THEODORE F. CRAVER, JR.		For	For
	4	DANIEL R. DIMICCO		For	For
	5	JOHN H. FORSGREN		For	For
	6	LYNN J. GOOD		For	For
	7	JOHN T. HERRON		For	For
	8	JAMES B. HYLER, JR.		For	For
	9	WILLIAM E. KENNARD		For	For
	10	E. MARIE MCKEE		For	For
	11	CHARLES W. MOORMAN IV		For	For
	12	CARLOS A. SALADRIGAS		For	For
	13	THOMAS E. SKAINS		For	For
	14	WILLIAM E. WEBSTER, JR.		For	For
2.		RATIFICATION OF DELOITTE & TOUCHE LLP AS DUKE ENERGY CORPORATION'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017	Management	For	For
3.		ADVISORY VOTE TO APPROVE DUKE ENERGY CORPORATION'S NAMED EXECUTIVE OFFICER COMPENSATION	Management	For	For
4.		ADVISORY VOTE ON THE FREQUENCY OF THE VOTE ON EXECUTIVE COMPENSATION	Management	3 Years	Against
5.		AMENDMENT TO THE AMENDED AND RESTATED CERTIFICATE OF INCORPORATION OF DUKE ENERGY CORPORATION TO ELIMINATE SUPERMAJORITY VOTING REQUIREMENTS	Management	For	For
6.		SHAREHOLDER PROPOSAL REGARDING PROVIDING AN ANNUAL REPORT ON DUKE ENERGY'S LOBBYING EXPENSES	Shareholder	Against	For
7.		SHAREHOLDER PROPOSAL REGARDING PREPARING AN ASSESSMENT OF THE IMPACTS ON DUKE ENERGY'S PORTFOLIO OF CLIMATE CHANGE CONSISTENT WITH A TWO DEGREE SCENARIO	Shareholder	Against	For
8.		SHAREHOLDER PROPOSAL REGARDING PROVIDING A REPORT ON THE PUBLIC HEALTH	Shareholder	Against	For



RISKS OF DUKE ENERGY'S COAL USE

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	35,200	0	24-Mar-2017	05-May-2017

STARWOOD PROPERTY TRUST INC

Security	85571B105	Meeting Type	Annual
Ticker Symbol	STWD	Meeting Date	04-May-2017
ISIN	US85571B1052	Agenda	934571844 - Management
Record Date	17-Mar-2017	Holding Recon Date	17-Mar-2017
City / Country	/ United States	Vote Deadline Date	03-May-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 RICHARD D. BRONSON		For	For
	2 JEFFREY G. DISHNER		For	For
	3 CAMILLE J. DOUGLAS		For	For
	4 SOLOMON J. KUMIN		For	For
	5 BARRY S. STERNLICHT		For	For
	6 STRAUSS ZELNICK		For	For
2.	TO APPROVE, ON AN ADVISORY BASIS, THE COMPANY'S EXECUTIVE COMPENSATION AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT.	Management	For	For
3.	TO APPROVE, ON AN ADVISORY BASIS, THE FREQUENCY OF THE ADVISORY VOTE ON THE COMPANY'S EXECUTIVE COMPENSATION.	Management	3 Years	Against
4.	TO APPROVE THE STARWOOD PROPERTY TRUST, INC. 2017 MANAGER EQUITY PLAN, WHICH	Management	For	For

SUCCEEDS AND REPLACES THE STARWOOD PROPERTY TRUST, INC. MANAGER EQUITY PLAN, AS AMENDED, AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT.

TO APPROVE THE STARWOOD PROPERTY TRUST, INC. 2017 EQUITY PLAN, WHICH SUCCEEDS AND REPLACES THE STARWOOD PROPERTY TRUST, INC. EQUITY PLAN, AS AMENDED, AND THE STARWOOD PROPERTY TRUST, INC. NON- EXECUTIVE DIRECTOR STOCK PLAN, AS AMENDED, AS DISCLOSED IN THE ACCOMPANYING PROXY STATEMENT.

5.	Management	For	For
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TO RATIFY THE AUDIT COMMITTEE'S APPOINTMENT OF DELOITTE & TOUCHE LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE CALENDAR YEAR ENDING DECEMBER 31, 2017.

6.	Management	For	For
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Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	15,000	0	04-Apr-2017	05-May-2017
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	79,500	0	04-Apr-2017	05-May-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	90,586	0	04-Apr-2017	05-May-2017
997AL45	GLV BNP PLEDGE	997AL45		100	100,600	04-Apr-2017	05-May-2017

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997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	100	205,800	04-Apr-2017	05-May-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	100	499,900	04-Apr-2017	05-May-2017
997AL53	GLO MS PLEDGE	997AL53	STATE STREET BANK & TRUST CO	70,000	0	04-Apr-2017	05-May-2017
997AL60	GLV MS PLEDGE	997AL60	STATE STREET BANK & TRUST CO	45,000	0	04-Apr-2017	05-May-2017
997AL61	GLQ MS PLEDGE	997AL61	STATE STREET BANK & TRUST CO	15,000	0	04-Apr-2017	05-May-2017

BOSTON SCIENTIFIC CORPORATION

Security	101137107	Meeting Type	Annual
Ticker Symbol	BSX	Meeting Date	09-May-2017
ISIN	US1011371077	Agenda	934558543 - Management
Record Date	15-Mar-2017	Holding Recon Date	15-Mar-2017
City / Country	/ United States	Vote Deadline Date	08-May-2017
SEDOL(s)		Quick Code	
Item	Proposal	Vote	

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		Proposed by		For/Against Management
1A.	ELECTION OF DIRECTOR: NELDA J. CONNORS	Management	For	For
1B.	ELECTION OF DIRECTOR: CHARLES J. DOCKENDORFF	Management	For	For
1C.	ELECTION OF DIRECTOR: YOSHIAKI FUJIMORI	Management	For	For
1D.	ELECTION OF DIRECTOR: DONNA A. JAMES	Management	For	For
1E.	ELECTION OF DIRECTOR: EDWARD J. LUDWIG	Management	For	For
1F.	ELECTION OF DIRECTOR: STEPHEN P. MACMILLAN	Management	For	For
1G.	ELECTION OF DIRECTOR: MICHAEL F. MAHONEY	Management	For	For
1H.	ELECTION OF DIRECTOR: DAVID J. ROUX	Management	For	For
1I.	ELECTION OF DIRECTOR: JOHN E. SUNUNU	Management	For	For
1J.	ELECTION OF DIRECTOR: ELLEN M. ZANE	Management	For	For
2.	TO APPROVE, ON A NON-BINDING, ADVISORY BASIS, NAMED EXECUTIVE OFFICER COMPENSATION.	Management	For	For
3.	TO APPROVE, ON A NON-BINDING, ADVISORY BASIS, THE FREQUENCY OF FUTURE NAMED EXECUTIVE OFFICER COMPENSATION ADVISORY VOTES.	Management	3 Years	Against
4.	TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE 2017 FISCAL YEAR.	Management	For	For

Account Number	Account Name	Internal Account	Custodian Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed	
997GLQ	GLQ	997GSD	STATE STREET BANK & TRUST	52,700	0	29-Mar-2017	10-May-2017

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Security	ISIN	Country	Shares	Percentage	Meeting Date	Record Date
997GLO	GLO	CO	99,900	0	29-Mar-2017	10-May-2017
997AL47	GLQ BNP PLEDGE	CO	100	74,700	29-Mar-2017	10-May-2017
997AL53	GLO MS PLEDGE	CO	30,000	0	29-Mar-2017	10-May-2017

GILEAD SCIENCES, INC.

Security	375558103	Meeting Type	Annual
Ticker Symbol	GILD	Meeting Date	10-May-2017
ISIN	US3755581036	Agenda	934558810 - Management
Record Date	16-Mar-2017	Holding Recon Date	16-Mar-2017
City / Country	/ United States	Vote Deadline Date	09-May-2017

SEDOL(s)

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: JOHN F. COGAN, PH.D.	Management	For	For
1B.	ELECTION OF DIRECTOR: KELLY A. KRAMER	Management	For	For
1C.	ELECTION OF DIRECTOR: KEVIN E. LOFTON	Management	For	For
1D.	ELECTION OF DIRECTOR: JOHN C. MARTIN, PH.D.	Management	For	For
1E.	ELECTION OF DIRECTOR: JOHN F. MILLIGAN, PH.D.	Management	For	For
1F.	ELECTION OF DIRECTOR: NICHOLAS G. MOORE	Management	For	For
1G.	ELECTION OF DIRECTOR: RICHARD J. WHITLEY, M.D	Management	For	For

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1H.	ELECTION OF DIRECTOR: GAYLE E. WILSON	Management	For	For
1I.	ELECTION OF DIRECTOR: PER WOLD-OLSEN	Management	For	For
2.	RATIFICATION OF THE SELECTION OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management	For	For
3.	RESTATEMENT OF THE GILEAD SCIENCES, INC. 2004 EQUITY INCENTIVE PLAN.	Management	For	For
4.	ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	For	For
5.	ADVISORY VOTE AS TO THE FREQUENCY OF FUTURE ADVISORY SHAREHOLDER VOTES ON EXECUTIVE COMPENSATION.	Management	3 Years	Against
6.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD TAKE STEPS TO PERMIT STOCKHOLDER ACTION BY WRITTEN CONSENT.	Shareholder	Against	For
7.	STOCKHOLDER PROPOSAL REQUESTING THAT THE BOARD ADOPT A POLICY THAT THE CHAIRMAN OF THE BOARD OF DIRECTORS BE AN INDEPENDENT DIRECTOR.	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	3,600	6,200	29-Mar-2017	11-May-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	1,300	19,900	29-Mar-2017	11-May-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET	4,000	35,200	29-Mar-2017	11-May-2017

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997AL61	GLQ MS PLEDGE	997AL61	BANK & TRUST CO STATE STREET BANK & TRUST CO	3,700	0	29-Mar-2017	11-May-2017
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DOMINION RESOURCES, INC.

Security	25746U109	Meeting Type	Annual
Ticker Symbol	D	Meeting Date	10-May-2017
ISIN	US25746U1097	Agenda	934559038 - Management
Record Date	03-Mar-2017	Holding Recon Date	03-Mar-2017
City / Country	/ United States	Vote Deadline Date	09-May-2017

SEDOL(s)

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: WILLIAM P. BARR	Management	For	For
1B.	ELECTION OF DIRECTOR: HELEN E. DRAGAS	Management	For	For
1C.	ELECTION OF DIRECTOR: JAMES O. ELLIS, JR.	Management	For	For
1D.	ELECTION OF DIRECTOR: THOMAS F. FARRELL II	Management	For	For
1E.	ELECTION OF DIRECTOR: JOHN W. HARRIS	Management	For	For
1F.	ELECTION OF DIRECTOR: RONALD W. JIBSON	Management	For	For
1G.	ELECTION OF DIRECTOR: MARK J. KINGTON	Management	For	For
1H.	ELECTION OF DIRECTOR: JOSEPH M. RIGBY	Management	For	For
1I.	ELECTION OF DIRECTOR: PAMELA J. ROYAL, M.D.	Management	For	For
1J.	ELECTION OF DIRECTOR: ROBERT H. SPILMAN, JR.	Management	For	For
1K.	ELECTION OF DIRECTOR: SUSAN N. STORY	Management	For	For

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1L.	ELECTION OF DIRECTOR: MICHAEL E. SZYMANCZYK	Management	For	For
2.	RATIFICATION OF APPOINTMENT OF THE INDEPENDENT AUDITORS FOR 2017	Management	For	For
3.	ADVISORY VOTE ON APPROVAL OF EXECUTIVE COMPENSATION (SAY ON PAY)	Management	For	For
4.	ADVISORY VOTE ON THE FREQUENCY OF THE SAY ON PAY VOTE	Management	3 Years	Against
5.	APPROVAL OF AMENDMENT TO ARTICLES OF INCORPORATION TO CHANGE THE COMPANY'S NAME TO DOMINION ENERGY, INC.	Management	For	For
6.	SHAREHOLDER PROPOSAL REGARDING A REPORT ON LOBBYING	Shareholder	Against	For
7.	SHAREHOLDER PROPOSAL REGARDING THE NOMINATION OF A DIRECTOR WITH ENVIRONMENTAL EXPERTISE	Shareholder	Against	For
8.	SHAREHOLDER PROPOSAL REGARDING AN ASSESSMENT OF THE IMPACT OF PUBLIC POLICIES AND TECHNOLOGICAL ADVANCES CONSISTENT WITH LIMITING GLOBAL WARMING	Shareholder	Against	For
9.	SHAREHOLDER PROPOSAL REGARDING A REPORT ON METHANE EMISSIONS	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	38,000	0	01-Apr-2017	11-May-2017

SERVICE CORPORATION INTERNATIONAL

Security	817565104	Meeting Type	Annual
Ticker Symbol	SCI	Meeting Date	10-May-2017
ISIN	US8175651046	Agenda	934564356 - Management



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Record Date 13-Mar-2017 Holding Recon Date 13-Mar-2017  
 City / Country / United States Vote Deadline Date 09-May-2017

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 THOMAS L. RYAN		For	For
	2 CLIFTON H. MORRIS, JR.		For	For
	3 W. BLAIR WALTRIP		For	For
2.	TO APPROVE THE SELECTION OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL 2017.	Management	For	For
3.	TO APPROVE, BY ADVISORY VOTE, NAMED EXECUTIVE OFFICER COMPENSATION.	Management	For	For
4.	TO APPROVE HOLDING AN ADVISORY VOTE ON NAMED EXECUTIVE OFFICER COMPENSATION EVERY ONE, TWO OR THREE YEARS, AS INDICATED.	Management	3 Years	Against
5.	TO APPROVE THE AMENDED AND RESTATED 2016 EQUITY INCENTIVE PLAN.	Management	For	For
6.	TO APPROVE THE SHAREHOLDER PROPOSAL TO REQUIRE INDEPENDENT BOARD CHAIRMAN.	Shareholder	Against	For
7.	TO APPROVE THE SHAREHOLDER PROPOSAL TO ADOPT SIMPLE MAJORITY VOTE.	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	900	28,200	31-Mar-2017	11-May-2017

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Item	Proposal	State	Street	Bank & Trust Co	Shares	Value	Meeting Date	Vote Deadline
997AL47	GLQ BNP PLEDGE	997AL47	BANK & TRUST CO		5,400	35,500	31-Mar-2017	11-May-2017
997AL49	GLO BNP PLEDGE	997AL49	BANK & TRUST CO		1,300	70,900	31-Mar-2017	11-May-2017

ALEXION PHARMACEUTICALS, INC.

Security	015351109	Meeting Type	Annual
Ticker Symbol	ALXN	Meeting Date	10-May-2017
ISIN	US0153511094	Agenda	934568710 - Management
Record Date	14-Mar-2017	Holding Recon Date	14-Mar-2017
City / Country	/ United States	Vote Deadline Date	09-May-2017

SEDOL(s)

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: FELIX J. BAKER	Management	For	For
1B.	ELECTION OF DIRECTOR: DAVID R. BRENNAN	Management	For	For
1C.	ELECTION OF DIRECTOR: M. MICHELE BURNS	Management	For	For
1D.	ELECTION OF DIRECTOR: CHRISTOPHER J. COUGHLIN	Management	For	For
1E.	ELECTION OF DIRECTOR: LUDWIG N. HANTSON	Management	For	For
1F.	ELECTION OF DIRECTOR: JOHN T. MOLLEN	Management	For	For
1G.	ELECTION OF DIRECTOR: R. DOUGLAS NORBY	Management	For	For
1H.	ELECTION OF DIRECTOR: ALVIN S. PARVEN	Management	For	For
1I.	ELECTION OF DIRECTOR: ANDREAS RUMMELT	Management	For	For
1J.	ELECTION OF DIRECTOR: ANN M. VENEMAN	Management	For	For

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- |    |   |             |         |         |
|----|---|-------------|---------|---------|
| 2. | TO APPROVE ALEXION'S 2017 INCENTIVE PLAN.   | Management  | For     | For     |
| 3. | RATIFICATION OF APPOINTMENT BY THE BOARD OF DIRECTORS OF PRICEWATERHOUSECOOPERS LLP AS ALEXION'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM. | Management  | For     | For     |
| 4. | APPROVAL OF A NON-BINDING ADVISORY VOTE OF THE 2016 COMPENSATION PAID TO ALEXION'S NAMED EXECUTIVE OFFICERS.                                    | Management  | For     | For     |
| 5. | TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION.  | Management  | 3 Years | Against |
| 6. | TO REQUEST THE BOARD IMPLEMENT CONFIDENTIAL SHAREHOLDER VOTING ON EXECUTIVE PAY MATTERS.  | Shareholder | Against | For     |

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	19,500	0	01-Apr-2017	11-May-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	14,100	0	01-Apr-2017	11-May-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	100	34,900	01-Apr-2017	11-May-2017

JPMORGAN CHASE & CO.

Security 46625H100

Ticker Symbol JPM

Meeting Type Annual

Meeting Date 16-May-2017

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ISIN	US46625H1005	Agenda	934561665 - Management	
Record Date	17-Mar-2017	Holding Recon Date	17-Mar-2017	
City / Country	/ United States	Vote Deadline Date	15-May-2017	
SEDOL(s)		Quick Code		
Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: LINDA B. BAMMANN	Management	For	For
1B.	ELECTION OF DIRECTOR: JAMES A. BELL	Management	For	For
1C.	ELECTION OF DIRECTOR: CRANDALL C. BOWLES	Management	For	For
1D.	ELECTION OF DIRECTOR: STEPHEN B. BURKE	Management	For	For
1E.	ELECTION OF DIRECTOR: TODD A. COMBS	Management	For	For
1F.	ELECTION OF DIRECTOR: JAMES S. CROWN	Management	For	For
1G.	ELECTION OF DIRECTOR: JAMES DIMON	Management	For	For
1H.	ELECTION OF DIRECTOR: TIMOTHY P. FLYNN	Management	For	For
1I.	ELECTION OF DIRECTOR: LABAN P. JACKSON, JR.	Management	For	For
1J.	ELECTION OF DIRECTOR: MICHAEL A. NEAL	Management	For	For
1K.	ELECTION OF DIRECTOR: LEE R. RAYMOND	Management	For	For
1L.	ELECTION OF DIRECTOR: WILLIAM C. WELDON	Management	For	For
2.	ADVISORY RESOLUTION TO APPROVE EXECUTIVE COMPENSATION	Management	For	For
3.	RATIFICATION OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM	Management	For	For
4.	ADVISORY VOTE ON FREQUENCY OF ADVISORY RESOLUTION TO APPROVE EXECUTIVE COMPENSATION	Management	3 Years	Against
5.	INDEPENDENT BOARD	Shareholder	Against	For

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CHAIRMAN

6.	VESTING FOR GOVERNMENT SERVICE	Shareholder	Against	For
7.	CLAWBACK AMENDMENT	Shareholder	Against	For
8.	GENDER PAY EQUITY	Shareholder	Against	For
9.	HOW VOTES ARE COUNTED	Shareholder	Against	For
10.	SPECIAL SHAREOWNER MEETINGS	Shareholder	Against	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	100	8,000	07-Apr-2017	17-May-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	100	13,100	07-Apr-2017	17-May-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	100	33,000	07-Apr-2017	17-May-2017

ALIGN TECHNOLOGY, INC.

Security	016255101	Meeting Type	Annual
Ticker Symbol	ALGN	Meeting Date	17-May-2017
ISIN	US0162551016	Agenda	934559874 - Management
Record Date	22-Mar-2017	Holding Recon Date	22-Mar-2017
City / Country	/ United States	Vote Deadline Date	16-May-2017

SEDOL(s)

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: JOSEPH M. HOGAN	Management	For	For
1B.	ELECTION OF DIRECTOR: JOSEPH	Management	For	For

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LACOB

1C.	ELECTION OF DIRECTOR: C. RAYMOND LARKIN, JR.	Management	For	For
1D.	ELECTION OF DIRECTOR: GEORGE J. MORROW	Management	For	For
1E.	ELECTION OF DIRECTOR: THOMAS M. PRESCOTT	Management	For	For
1F.	ELECTION OF DIRECTOR: ANDREA L. SAIA	Management	For	For
1G.	ELECTION OF DIRECTOR: GREG J. SANTORA	Management	For	For
1H.	ELECTION OF DIRECTOR: SUSAN E. SIEGEL	Management	For	For
1I.	ELECTION OF DIRECTOR: WARREN S. THALER	Management	For	For
2.	PROPOSAL TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS ALIGN TECHNOLOGY, INC.'S INDEPENDENT REGISTERED PUBLIC ACCOUNTANTS FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	ADVISORY VOTE TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION.	Management	For	For
4.	ADVISORY VOTE ON THE FREQUENCY OF HOLDING AN ADVISORY VOTE ON EXECUTIVE COMPENSATION.	Management	3 Years	Against

Account Number	Account Name	Internal Account Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLO	GLO	STATE STREET BANK & TRUST CO	21,600	0	08-Apr-2017	18-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO	22,200	0	08-Apr-2017	18-May-2017

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997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	100	49,900	08-Apr-2017	18-May-2017
997AL53	GLO MS PLEDGE	997AL53	STATE STREET BANK & TRUST CO	10,000	0	08-Apr-2017	18-May-2017
997AL61	GLQ MS PLEDGE	997AL61	STATE STREET BANK & TRUST CO	10,000	0	08-Apr-2017	18-May-2017

ELLIE MAE, INC.

Security	28849P100	Meeting Type	Annual
Ticker Symbol	ELLI	Meeting Date	17-May-2017
ISIN	US28849P1003	Agenda	934563746 - Management
Record Date	22-Mar-2017	Holding Recon Date	22-Mar-2017
City / Country	/ United States	Vote Deadline Date	16-May-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 KAREN BLASING		For	For
	2 JONATHAN CORR		For	For
	3 ROBERT J. LEVIN		For	For
	4 JEB S. SPENCER		For	For
2.	TO RATIFY THE SELECTION, BY THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS, OF GRANT THORNTON LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF THE COMPANY FOR ITS FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	TO APPROVE, ON AN ADVISORY	Management	For	For

BASIS, THE NAMED EXECUTIVE OFFICER COMPENSATION FOR THE FISCAL YEAR ENDED DECEMBER 31, 2016 AS DISCLOSED IN THE PROXY STATEMENT

TO CONSIDER A STOCKHOLDER PROPOSAL IF PROPERLY PRESENTED AT THE MEETING, RELATED TO THE DECLASSIFICATION OF THE BOARD OF DIRECTORS.

4. Shareholder Against For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	19,100	0	07-Apr-2017	18-May-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	47,900	0	07-Apr-2017	18-May-2017

SOLAR CAPITAL LTD

Security	83413U100	Meeting Type	Annual
Ticker Symbol	SLRC	Meeting Date	17-May-2017
ISIN	US83413U1007	Agenda	934568518 - Management
Record Date	22-Mar-2017	Holding Recon Date	22-Mar-2017
City / Country	/ United States	Vote Deadline Date	16-May-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 MR. BRUCE SPOHLER		For	For
	2 MR. STEVEN HOCHBERG		For	For
2.	TO APPROVE A PROPOSAL TO AUTHORIZE THE COMPANY TO SELL SHARES OF ITS COMMON	Management	For	For



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STOCK AT A PRICE OR PRICES BELOW THE COMPANY'S THEN CURRENT NET ASSET VALUE PER SHARE IN ONE OR MORE OFFERINGS, IN EACH CASE SUBJECT TO THE APPROVAL OF ITS BOARD OF DIRECTORS AND COMPLIANCE WITH THE CONDITIONS SET FORTH IN THE PROXY STATEMENT PERTAINING THERETO (INCLUDING, WITHOUT LIMITATION, THAT THE NUMBER OF SHARES ISSUED DOES NOT EXCEED 25% OF THE COMPANY'S THEN OUTSTANDING COMMON STOCK IMMEDIATELY PRIOR TO EACH SUCH OFFERING).

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	30,900	0	01-Apr-2017	18-May-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	100	47,000	01-Apr-2017	18-May-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	100	75,000	01-Apr-2017	18-May-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	37,631	0	01-Apr-2017	18-May-2017

ARBOR REALTY TRUST, INC.

Security 038923108

Ticker Symbol ABR

Meeting Type Annual

Meeting Date 17-May-2017

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ISIN	US0389231087	Agenda	934587330 - Management
Record Date	04-Apr-2017	Holding Recon Date	04-Apr-2017
City / Country	/ United States	Vote Deadline Date	16-May-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 IVAN KAUFMAN		For	For
	2 MELVIN F. LAZAR		For	For
	3 GEORGE TSUNIS		For	For
2.	APPROVAL OF AN AMENDMENT AND RESTATEMENT TO THE ARBOR REALTY TRUST, INC. 2014 OMNIBUS STOCK INCENTIVE PLAN (THE "PLAN") TO AUTHORIZE AN ADDITIONAL 5,000,000 SHARES OF COMMON STOCK OF ARBOR REALTY TRUST, INC. FOR ISSUANCE UNDER THE PLAN.	Management	For	For
3.	RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM OF ARBOR REALTY TRUST, INC. FOR FISCAL YEAR 2017.	Management	For	For
4.	ADVISORY VOTE TO APPROVE THE COMPENSATION OF ARBOR REALTY TRUST, INC.'S NAMED EXECUTIVE OFFICERS AS DISCLOSED IN THE PROXY STATEMENT.	Management	For	For
5.	ADVISORY VOTE ON THE FREQUENCY OF FUTURE ADVISORY VOTES TO APPROVE THE COMPENSATION OF ARBOR REALTY TRUST, INC.'S NAMED EXECUTIVE OFFICERS.	Management	3 Years	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ		172,300	0	12-Apr-2017	18-May-2017

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STATE  
STREET  
BANK  
&  
TRUST  
CO

TPG SPECIALTY LENDING, INC.

Security	87265K102	Meeting Type	Annual
Ticker Symbol	TSLX	Meeting Date	18-May-2017
ISIN	US87265K1025	Agenda	934566285 - Management
Record Date	24-Mar-2017	Holding Recon Date	24-Mar-2017
City / Country	/ United States	Vote Deadline Date	17-May-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 JOSHUA EASTERLY		For	For
	2 MICHAEL FISHMAN		For	For
2.	TO RATIFY THE SELECTION OF KPMG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	100	49,400	08-Apr-2017	19-May-2017

TPG SPECIALTY LENDING, INC.

Security	87265K102	Meeting Type	Special
Ticker Symbol	TSLX	Meeting Date	18-May-2017
ISIN	US87265K1025	Agenda	934576147 - Management
Record Date	24-Mar-2017	Holding Recon Date	24-Mar-2017
City / Country	/ United States	Vote Deadline Date	17-May-2017

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SEDOL(s)		Quick Code		
Item	Proposal	Proposed by	Vote	For/Against Management
1.	TO APPROVE A PROPOSAL TO AUTHORIZE THE COMPANY TO SELL OR OTHERWISE ISSUE SHARES OF ITS COMMON STOCK AT A PRICE BELOW ITS THEN-CURRENT NET ASSET VALUE PER SHARE IN ONE OR MORE OFFERINGS, IN EACH CASE SUBJECT TO THE APPROVAL OF ITS BOARD OF DIRECTORS AND SUBJECT TO THE CONDITIONS SET FORTH IN THE ACCOMPANYING PROXY STATEMENT	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	100	49,400	08-Apr-2017	19-May-2017

GLOBAL MEDICAL REIT INC.

Security	37954A204	Meeting Type	Annual
Ticker Symbol	GMRE	Meeting Date	18-May-2017
ISIN	US37954A2042	Agenda	934609340 - Management
Record Date	31-Mar-2017	Holding Recon Date	31-Mar-2017
City / Country	/ United States	Vote Deadline Date	17-May-2017

SEDOL(s)		Quick Code		
Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 DAVID A. YOUNG		For	For
	2 JEFFREY BUSCH		For	For
	3 HENRY COLE		For	For
	4 MATTHEW L. CYPHER, PHD		For	For
	5 KURT R. HARRINGTON		For	For

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6	ZHANG JINGGUO	For	For
7	RONALD MARSTON	For	For
8	DR. ROSCOE MOORE	For	For
9	ZHANG HUIQI	For	For

2. TO RATIFY THE APPOINTMENT OF MALONEBAILEY, LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2017. Management For For

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	STATE STREET BANK & TRUST CO	50,000	0	28-Apr-2017	19-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO	27,000	0	28-Apr-2017	19-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO	121,000	0	28-Apr-2017	19-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO	310,000	0	28-Apr-2017	19-May-2017

GOLDMAN SACHS BDC, INC.

Security	38147U107	Meeting Type	Annual
Ticker Symbol	GSBD	Meeting Date	19-May-2017
ISIN	US38147U1079	Agenda	934560346 - Management
Record Date	20-Mar-2017	Holding Recon Date	20-Mar-2017
City / Country	/		18-May-2017

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SEDOL(s)		United States	Proposed by	Vote	Vote Deadline Date	For/Against Management
Item	Proposal					
1A.	ELECTION OF DIRECTOR: ROSS J. KARI		Management	For		For
1B.	ELECTION OF DIRECTOR: ANN B. LANE		Management	For		For
2.	TO RATIFY THE SELECTION OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.		Management	For		For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	53,000	0	28-Mar-2017	22-May-2017

NATIONAL GRID PLC

Security	636274300	Meeting Type	Annual
Ticker Symbol	NGG	Meeting Date	19-May-2017
ISIN	US6362743006	Agenda	934599436 - Management
Record Date	12-Apr-2017	Holding Recon Date	12-Apr-2017
City / Country	/ United States	Vote Deadline Date	12-May-2017

SEDOL(s)

Item	Proposal	Proposed by	Vote	For/Against Management
1.	TO APPROVE THE CONSOLIDATION OF SHARES	Management	For	For
2.	TO AUTHORISE THE DIRECTORS TO ALLOT NEW ORDINARY SHARES	Management	For	For
3.	TO DISAPPLY PRE-EMPTION RIGHTS	Management	For	For

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|----|--|------------|-----|-----|
| 4. | TO DISAPPLY PRE-EMPTION RIGHTS FOR ACQUISITIONS                  | Management | For | For |
| 5. | TO AUTHORISE THE COMPANY TO PURCHASE ITS OWN NEW ORDINARY SHARES | Management | For | For |

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	45,800	0	20-Apr-2017	16-May-2017

ARES CAPITAL CORPORATION

Security	04010L103	Meeting Type	Annual
Ticker Symbol	ARCC	Meeting Date	22-May-2017
ISIN	US04010L1035	Agenda	934557426 - Management
Record Date	09-Mar-2017	Holding Recon Date	09-Mar-2017
City / Country	/ United States	Vote Deadline Date	19-May-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: MICHAEL J AROUGHETI (CLASS I DIRECTOR FOR A TERM OF 3 YEARS EXPIRING IN 2020)	Management	For	For
1B.	ELECTION OF DIRECTOR: ANN TORRE BATES (CLASS I DIRECTOR FOR A TERM OF 3 YEARS EXPIRING IN 2020)	Management	For	For
1C.	ELECTION OF DIRECTOR: STEVE MCKEEVER (CLASS I DIRECTOR FOR A TERM OF 3 YEARS EXPIRING IN 2020)	Management	For	For
2.	TO RATIFY THE SELECTION OF KPMG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2017.	Management	For	For

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Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	50,000	0	25-Mar-2017	23-May-2017
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	20,000	0	25-Mar-2017	23-May-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	8,309	0	25-Mar-2017	23-May-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	168,300	0	25-Mar-2017	23-May-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	301,400	0	25-Mar-2017	23-May-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	100	826,991	25-Mar-2017	23-May-2017
997AL60	GLV MS PLEDGE	997AL60	STATE STREET BANK & TRUST CO	15,000	0	25-Mar-2017	23-May-2017
997AL61	GLQ MS PLEDGE	997AL61	STATE STREET BANK &	110,000	0	25-Mar-2017	23-May-2017



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TRUST  
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ARES CAPITAL CORPORATION

Security	04010L103	Meeting Type	Special
Ticker Symbol	ARCC	Meeting Date	22-May-2017
ISIN	US04010L1035	Agenda	934560598 - Management
Record Date	09-Mar-2017	Holding Recon Date	09-Mar-2017
City / Country	/ United States	Vote Deadline Date	19-May-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
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1.	TO AUTHORIZE THE COMPANY, WITH THE APPROVAL OF ITS BOARD OF DIRECTORS, TO SELL OR OTHERWISE ISSUE SHARES OF ITS COMMON STOCK AT A PRICE BELOW ITS THEN CURRENT NET ASSET VALUE PER SHARE SUBJECT TO THE LIMITATIONS SET FORTH IN THE PROXY STATEMENT FOR THE SPECIAL MEETING OF STOCKHOLDERS (INCLUDING, ...(DUE TO SPACE LIMITS, SEE PROXY STATEMENT FOR FULL PROPOSAL).	Management	For	For
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Account Number	Account Name	Internal Account Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	STATE STREET BANK & TRUST CO	50,000	0	28-Mar-2017	23-May-2017
997GLQ	GLQ	STATE STREET BANK & TRUST CO	20,000	0	28-Mar-2017	23-May-2017
997GLO	GLO	STATE STREET BANK	8,309	0	28-Mar-2017	23-May-2017

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997AL45	GLV BNP PLEDGE	997AL45	168,300	0	28-Mar-2017	23-May-2017
		& TRUST CO STATE STREET BANK & TRUST CO				
997AL47	GLQ BNP PLEDGE	997AL47	301,400	0	28-Mar-2017	23-May-2017
		STATE STREET BANK & TRUST CO				
997AL49	GLO BNP PLEDGE	997AL49	100	826,991	28-Mar-2017	23-May-2017
		STATE STREET BANK & TRUST CO				
997AL60	GLV MS PLEDGE	997AL60	15,000	0	28-Mar-2017	23-May-2017
		STATE STREET BANK & TRUST CO				
997AL61	GLQ MS PLEDGE	997AL61	110,000	0	28-Mar-2017	23-May-2017
		STATE STREET BANK & TRUST CO				

MERCK & CO., INC.

Security	58933Y105	Meeting Type	Annual
Ticker Symbol	MRK	Meeting Date	23-May-2017
ISIN	US58933Y1055	Agenda	934581439 - Management
Record Date	27-Mar-2017	Holding Recon Date	27-Mar-2017
City / Country	/ United States	Vote Deadline Date	22-May-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: LESLIE	Management	For	For

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A. BRUN

1B.	ELECTION OF DIRECTOR: THOMAS R. CECH	Management	For	For
1C.	ELECTION OF DIRECTOR: PAMELA J. CRAIG	Management	For	For
1D.	ELECTION OF DIRECTOR: KENNETH C. FRAZIER	Management	For	For
1E.	ELECTION OF DIRECTOR: THOMAS H. GLOCER	Management	For	For
1F.	ELECTION OF DIRECTOR: ROCHELLE B. LAZARUS	Management	For	For
1G.	ELECTION OF DIRECTOR: JOHN H. NOSEWORTHY	Management	For	For
1H.	ELECTION OF DIRECTOR: CARLOS E. REPRESAS	Management	For	For
1I.	ELECTION OF DIRECTOR: PAUL B. ROTHMAN	Management	For	For
1J.	ELECTION OF DIRECTOR: PATRICIA F. RUSSO	Management	For	For
1K.	ELECTION OF DIRECTOR: CRAIG B. THOMPSON	Management	For	For
1L.	ELECTION OF DIRECTOR: WENDELL P. WEEKS	Management	For	For
1M.	ELECTION OF DIRECTOR: PETER C. WENDELL	Management	For	For
2.	NON-BINDING ADVISORY VOTE TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	For	For
3.	NON-BINDING ADVISORY VOTE ON THE FREQUENCY OF FUTURE VOTES TO APPROVE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	3 Years	Against
4.	RATIFICATION OF THE APPOINTMENT OF THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.	Management	For	For
5.	SHAREHOLDER PROPOSAL REQUESTING AN INDEPENDENT BOARD CHAIRMAN.	Shareholder	Against	For
6.	SHAREHOLDER PROPOSAL REQUESTING IMPLEMENTATION	Shareholder	Against	For

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OF A SET OF EMPLOYEE PRACTICES IN ISRAEL/PALESTINE.

7. SHAREHOLDER PROPOSAL REQUESTING A REPORT ON CONDUCTING BUSINESS IN CONFLICT- AFFECTED AREAS. Shareholder Against For

8. SHAREHOLDER PROPOSAL REQUESTING A REPORT ON BOARD OVERSIGHT OF PRODUCT SAFETY AND QUALITY. Shareholder Against For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	12,900	0	11-Apr-2017	24-May-2017
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	13,900	0	11-Apr-2017	24-May-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	34,500	0	11-Apr-2017	24-May-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	100	43,300	11-Apr-2017	24-May-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	38,200	41,800	11-Apr-2017	24-May-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK	100	174,400	11-Apr-2017	24-May-2017

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997AL60	GLV MS PLEDGE	997AL60	10,000	0	11-Apr-2017	24-May-2017
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&  
 TRUST  
 CO  
 STATE  
 STREET  
 BANK  
 &  
 TRUST  
 CO

LIBERTY INTERACTIVE CORPORATION

Security	53071M856	Meeting Type	Annual
Ticker Symbol	LVNTA	Meeting Date	24-May-2017
ISIN	US53071M8560	Agenda	934605859 - Management
Record Date	03-Apr-2017	Holding Recon Date	03-Apr-2017
City / Country	/ United States	Vote Deadline Date	23-May-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 EVAN D. MALONE		For	For
	2 DAVID E. RAPLEY		For	For
	3 LARRY E. ROMRELL		For	For
2.	A PROPOSAL TO RATIFY THE SELECTION OF KPMG LLP AS OUR INDEPENDENT AUDITORS FOR THE FISCAL YEAR ENDING DECEMBER 31,2017	Management	For	For
3.	THE SAY-ON-PAY PROPOSAL, TO APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	For	For
4.	THE SAY-ON-FREQUENCY PROPOSAL, TO APPROVE, ON AN ADVISORY BASIS, THE FREQUENCY AT WHICH STOCKHOLDERS ARE PROVIDED AN ADVISORY VOTE ON THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.	Management	3 Years	For

Account Name

Custodian

Vote Date

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Account Number		Internal Account	Ballot Shares	Unavailable Shares		Date Confirmed
997GLV	GLV	STATE STREET BANK & TRUST CO 997GLV	14,200	0	26-Apr-2017	25-May-2017
997GLQ	GLQ	STATE STREET BANK & TRUST CO 997GLQ	20,000	0	26-Apr-2017	25-May-2017
997GLO	GLO	STATE STREET BANK & TRUST CO 997GLO	5,937	0	26-Apr-2017	25-May-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL45	100	50,900	26-Apr-2017	25-May-2017
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL47	101	136,300	26-Apr-2017	25-May-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK & TRUST CO 997AL49	384,525	0	26-Apr-2017	25-May-2017
997AL60	GLV MS PLEDGE	STATE STREET BANK & TRUST CO 997AL60	35,343	0	26-Apr-2017	25-May-2017
997AL61	GLQ MS PLEDGE	STATE STREET BANK & TRUST CO 997AL61	2,520	0	26-Apr-2017	25-May-2017

TRUST  
CO

ENVISION HEALTHCARE CORPORATION

Security	29414D100	Meeting Type	Annual
Ticker Symbol	EVHC	Meeting Date	25-May-2017
ISIN	US29414D1000	Agenda	934582304 - Management
Record Date	29-Mar-2017	Holding Recon Date	29-Mar-2017
City / Country	/ United States	Vote Deadline Date	24-May-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 CAROL J. BURT		For	For
	2 CHRISTOPHER A. HOLDEN		For	For
	3 CYNTHIA S. MILLER		For	For
	4 RONALD A. WILLIAMS		For	For
2.	APPROVAL, ON A NON-BINDING ADVISORY BASIS, OF ENVISION HEALTHCARE CORPORATION'S EXECUTIVE COMPENSATION.	Management	For	For
3.	APPROVAL, ON A NON-BINDING ADVISORY BASIS, OF THE FREQUENCY OF FUTURE ADVISORY VOTES APPROVING ENVISION HEALTHCARE CORPORATION'S EXECUTIVE COMPENSATION.	Management	3 Years	Against
4.	RATIFICATION OF THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS ENVISION HEALTHCARE CORPORATION'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2017.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GSD	STATE STREET BANK &	9,900	0	14-Apr-2017	26-May-2017

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997GLO	GLO	997GLO	TRUST CO STATE STREET BANK & TRUST CO	23,900	0	14-Apr-2017	26-May-2017
997AL47	GLQ BNP PLEDGE	997AL47	TRUST CO STATE STREET BANK & TRUST CO	100	9,500	14-Apr-2017	26-May-2017
997AL49	GLO BNP PLEDGE	997AL49	TRUST CO STATE STREET BANK & TRUST CO	100	18,500	14-Apr-2017	26-May-2017

PENNYMAC MORTGAGE INVESTMENT TRUST

Security	70931T103	Meeting Type	Annual
Ticker Symbol	PMT	Meeting Date	25-May-2017
ISIN	US70931T1034	Agenda	934583685 - Management
Record Date	31-Mar-2017	Holding Recon Date	31-Mar-2017
City / Country	/ United States	Vote Deadline Date	24-May-2017

SEDOL(s)

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 PRESTON DUFAUCHARD		For	For
	2 NANCY MCALLISTER		For	For
	3 STACEY D. STEWART		For	For
2.	TO RATIFY THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	TO APPROVE, BY NON-BINDING VOTE, OUR EXECUTIVE COMPENSATION.	Management	For	For



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4. TO RECOMMEND, BY  
NON-BINDING VOTE, THE  
FREQUENCY OF OUR EXECUTIVE  
COMPENSATION VOTE. Management 3 Years Against

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	10,314	79,400	15-Apr-2017	26-May-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	7,088	199,900	15-Apr-2017	26-May-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	114	373,900	15-Apr-2017	26-May-2017

NXSTAGE MEDICAL, INC.

Security	67072V103	Meeting Type	Annual
Ticker Symbol	NXTM	Meeting Date	25-May-2017
ISIN	US67072V1035	Agenda	934607473 - Management
Record Date	27-Mar-2017	Holding Recon Date	27-Mar-2017
City / Country	/ United States	Vote Deadline Date	24-May-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 JEFFREY H. BURBANK		For	For
	2 HEYWARD R. DONIGAN		For	For
	3 ROBERT G. FUNARI		For	For
	4 DANIEL A. GIANNINI		For	For
	5 EARL R. LEWIS		For	For
	6 JEAN K. MIXER		For	For

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	7	CRAIG W. MOORE		For	For
	8	REID S. PERPER		For	For
	9	JAMES J. PETERS		For	For
2.		ADVISORY VOTE ON OUR NAMED EXECUTIVE OFFICERS' COMPENSATION.	Management	For	For
3.		ADVISORY VOTE ON THE FREQUENCY OF FUTURE ADVISORY VOTES ON OUR NAMED EXECUTIVE OFFICERS' COMPENSATION.	Management	3 Years	Against
4.		TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE 2017 FISCAL YEAR.	Management	For	For
5.		TO APPROVE BY-LAW AMENDMENT TO ADOPT MAJORITY VOTING STANDARD FOR UNCONTESTED DIRECTOR ELECTIONS.	Management	For	For
6.		TO APPROVE AMENDED AND RESTATED 2014 OMNIBUS INCENTIVE PLAN AND ITS MATERIAL TERMS FOR INTERNAL REVENUE CODE SECTION 162(M) PURPOSES.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	53,600	0	27-Apr-2017	26-May-2017

RSP PERMIAN INC

Security	74978Q105	Meeting Type	Annual
Ticker Symbol	RSPP	Meeting Date	25-May-2017
ISIN	US74978Q1058	Agenda	934611600 - Management
Record Date	03-Apr-2017	Holding Recon Date	03-Apr-2017
City / Country	/ United States	Vote Deadline Date	24-May-2017

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SEDOL(s)		Quick Code		
Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 STEVEN GRAY		For	For
	2 MICHAEL GRIMM		For	For
	3 SCOTT MCNEILL		For	For
2.	RATIFICATION OF THE APPOINTMENT OF GRANT THORNTON LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	APPROVAL, ON AN ADVISORY BASIS, OF THE COMPANY'S EXECUTIVE COMPENSATION.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	16,400	0	29-Apr-2017	26-May-2017

TRI POINTE GROUP, INC.

Security	87265H109	Meeting Type	Annual
Ticker Symbol	TPH	Meeting Date	26-May-2017
ISIN	US87265H1095	Agenda	934571591 - Management
Record Date	28-Mar-2017	Holding Recon Date	28-Mar-2017
City / Country	/ United States	Vote Deadline Date	25-May-2017

SEDOL(s)		Quick Code		
Item	Proposal	Proposed by	Vote	For/Against Management
1.1	ELECTION OF DIRECTOR: DOUGLAS F. BAUER	Management	For	For
1.2	ELECTION OF DIRECTOR: LAWRENCE B. BURROWS	Management	For	For
1.3		Management	For	For

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ELECTION OF DIRECTOR: DANIEL S. FULTON

1.4 ELECTION OF DIRECTOR: STEVEN J. GILBERT Management For For

1.5 ELECTION OF DIRECTOR: CONSTANCE B. MOORE Management For For

1.6 ELECTION OF DIRECTOR: THOMAS B. ROGERS Management For For

2. APPROVAL, ON AN ADVISORY BASIS, OF THE COMPENSATION OF TRI POINTE GROUP, INC.'S NAMED EXECUTIVE OFFICERS. Management For For

3. RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS TRI POINTE GROUP, INC.'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017. Management For For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	85,500	0	15-Apr-2017	15-Apr-2017

CRISPR THERAPEUTICS AG

Security	H17182108	Meeting Type	Annual
Ticker Symbol	CRSP	Meeting Date	31-May-2017
ISIN	CH0334081137	Agenda	934597812 - Management
Record Date	03-Apr-2017	Holding Recon Date	03-Apr-2017
City / Country	/ Switzerland	Vote Deadline Date	30-May-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	THE APPROVAL OF THE ANNUAL REPORT, THE CONSOLIDATED FINANCIAL STATEMENTS AND	Management	For	For

THE STATUTORY FINANCIAL  
STATEMENTS OF THE COMPANY  
FOR THE YEAR ENDED  
DECEMBER 31, 2016.

2.	THE APPROVAL OF THE APPROPRIATION OF FINANCIAL RESULTS.	Management	For	For
3.	THE DISCHARGE OF THE MEMBERS OF THE BOARD OF DIRECTORS AND EXECUTIVE COMMITTEE.	Management	For	For
4A.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: N. ANTHONY COLES, M.D., AS MEMBER AND CHAIRMAN.	Management	For	For
4B.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: RODGER NOVAK, M.D.	Management	For	For
4C.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: BRADLEY BOLZON, PH.D.	Management	For	For
4D.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: ALI BEHBAHANI, M.D.	Management	For	For
4E.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: KURT VON EMSTER.	Management	For	For
4F.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: SIMEON J. GEORGE, M.D.	Management	For	For
4G.	RE-ELECTION OF MEMBERS TO THE BOARD OF DIRECTOR: THOMAS WOIWODE, PH.D.	Management	For	For
4H.	RE-ELECTION OF MEMBER TO THE BOARD OF DIRECTOR: PABLO CAGNONI, M.D.	Management	For	For
5A.	RE-ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: THOMAS WOIWODE, PH.D.	Management	For	For
5B.	RE-ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: PABLO CAGNONI, M.D.	Management	For	For
5C.	RE-ELECTION OF MEMBER OF THE COMPENSATION	Management	For	For

COMMITTEE: N. ANTHONY  
COLES, M.D.

5D.	RE-ELECTION OF MEMBER OF THE COMPENSATION COMMITTEE: SIMEON J. GEORGE, M.D.	Management	For	For
6A.	BINDING VOTE ON TOTAL NON-PERFORMANCE- RELATED COMPENSATION FOR MEMBERS OF THE BOARD OF DIRECTORS FROM THE 2017 ANNUAL GENERAL MEETING TO THE 2018 ANNUAL GENERAL MEETING OF SHAREHOLDERS.	Management	For	For
6B.	BINDING VOTE ON EQUITY FOR MEMBERS OF THE BOARD OF DIRECTORS FROM THE 2017 ANNUAL GENERAL MEETING TO THE 2018 ANNUAL GENERAL MEETING OF SHAREHOLDERS.	Management	For	For
6C.	BINDING VOTE ON TOTAL NON-PERFORMANCE- RELATED COMPENSATION FOR MEMBERS OF THE EXECUTIVE COMMITTEE FROM JULY 1, 2017 TO JUNE 30, 2018.	Management	For	For
6D.	BINDING VOTE ON TOTAL VARIABLE COMPENSATION FOR MEMBERS OF THE EXECUTIVE COMMITTEE FOR THE CURRENT YEAR ENDING DECEMBER 31, 2017.	Management	For	For
6E.	BINDING VOTE ON EQUITY FOR MEMBERS OF THE EXECUTIVE COMMITTEE FROM THE 2017 ANNUAL GENERAL MEETING TO THE 2018 ANNUAL GENERAL MEETING OF SHAREHOLDERS.	Management	For	For
7.	THE APPROVAL OF AN INCREASE IN THE CONDITIONAL SHARE CAPITAL FOR EMPLOYEE BENEFIT PLANS.	Management	For	For
8.	THE APPROVAL OF THE AMENDED AND RESTATED CRISPR THERAPEUTICS AG 2016 STOCK OPTION AND INCENTIVE PLAN.	Management	For	For

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9.	THE RE-ELECTION OF THE INDEPENDENT VOTING RIGHTS REPRESENTATIVE.	Management	For	For
10.	THE RE-ELECTION OF THE AUDITORS.	Management	For	For
11.	THE APPROVAL OF THE CHANGE OF THE REGISTERED OFFICE.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	57,100	0	22-Apr-2017	01-Jun-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	145,900	0	22-Apr-2017	01-Jun-2017

LOGMEIN, INC

Security	54142L109	Meeting Type	Annual
Ticker Symbol	LOGM	Meeting Date	01-Jun-2017
ISIN	US54142L1098	Agenda	934582784 - Management
Record Date	03-Apr-2017	Holding Recon Date	03-Apr-2017
City / Country	/ United States	Vote Deadline Date	31-May-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.1	ELECTION OF CLASS II DIRECTOR: DAVID J. HENSHALL	Management	For	For
1.2	ELECTION OF CLASS II DIRECTOR: PETER J. SACRIPANTI	Management	For	For
1.3	ELECTION OF CLASS II DIRECTOR: WILLIAM R. WAGNER	Management	For	For
2.	RATIFICATION OF APPOINTMENT OF DELOITTE & TOUCHE LLP AS INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL YEAR ENDING DECEMBER	Management	For	For

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31, 2017.

- |    |   |            |         |         |
|----|---|------------|---------|---------|
| 3. | ADVISORY VOTE FOR THE APPROVAL OF THE COMPANY'S EXECUTIVE COMPENSATION.         | Management | For     | For     |
| 4. | ADVISORY VOTE ON THE FREQUENCY OF FUTURE EXECUTIVE COMPENSATION ADVISORY VOTES. | Management | 3 Years | Against |

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	18,300	0	14-Apr-2017	02-Jun-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	100	18,100	14-Apr-2017	02-Jun-2017

PARSLEY ENERGY, INC.

Security	701877102	Meeting Type	Annual
Ticker Symbol	PE	Meeting Date	02-Jun-2017
ISIN	US7018771029	Agenda	934591238 - Management
Record Date	06-Apr-2017	Holding Recon Date	06-Apr-2017
City / Country	/ United States	Vote Deadline Date	01-Jun-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 BRYAN SHEFFIELD		For	For
	2 A. R. ALAMEDDINE		For	For
2.	TO RATIFY THE APPOINTMENT OF KPMG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.		Management	For	For



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TO APPROVE, ON A NON-BINDING  
ADVISORY BASIS, THE  
COMPANY'S NAMED EXECUTIVE  
OFFICER COMPENSATION FOR  
THE FISCAL YEAR ENDED  
DECEMBER 31, 2016.

TO ADOPT AN AMENDMENT TO  
THE COMPANY'S AMENDED AND  
RESTATED BYLAWS TO  
IMPLEMENT A MAJORITY VOTING  
STANDARD IN UNCONTESTED  
DIRECTOR ELECTIONS.

4. Management For For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	22,900	0	19-Apr-2017	05-Jun-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	59,700	0	19-Apr-2017	05-Jun-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	400	20,100	19-Apr-2017	05-Jun-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	590	51,200	19-Apr-2017	05-Jun-2017

COGNIZANT TECHNOLOGY SOLUTIONS CORP.

Security	192446102	Meeting Type	Annual
Ticker Symbol	CTSH	Meeting Date	06-Jun-2017
ISIN	US1924461023	Agenda	934600265 - Management
Record Date	10-Apr-2017	Holding Recon Date	10-Apr-2017
City / Country	/		05-Jun-2017

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SEDOL(s)		United States	Vote Deadline Date	
Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: ZEIN ABDALLA	Management	For	For
1B.	ELECTION OF DIRECTOR: BETSY S. ATKINS	Management	For	For
1C.	ELECTION OF DIRECTOR: MAUREEN BREAKIRON- EVANS	Management	For	For
1D.	ELECTION OF DIRECTOR: JONATHAN CHADWICK	Management	For	For
1E.	ELECTION OF DIRECTOR: JOHN M. DINEEN	Management	For	For
1F.	ELECTION OF DIRECTOR: FRANCISCO D'SOUZA	Management	For	For
1G.	ELECTION OF DIRECTOR: JOHN N. FOX, JR.	Management	For	For
1H.	ELECTION OF DIRECTOR: JOHN E. KLEIN	Management	For	For
1I.	ELECTION OF DIRECTOR: LEO S. MACKAY, JR.	Management	For	For
1J.	ELECTION OF DIRECTOR: MICHAEL PATSALOS-FOX	Management	For	For
1K.	ELECTION OF DIRECTOR: ROBERT E. WEISSMAN	Management	For	For
2.	APPROVAL, ON AN ADVISORY (NON-BINDING) BASIS, OF THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.	Management	For	For
3.	APPROVAL, ON AN ADVISORY (NON-BINDING) BASIS, OF THE FREQUENCY OF FUTURE ADVISORY VOTES ON THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.	Management	3 Years	Against
4.	APPROVAL OF THE COMPANY'S 2017 INCENTIVE AWARD PLAN.	Management	For	For
5.	RATIFICATION OF THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP	Management	For	For

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AS THE COMPANY'S  
INDEPENDENT REGISTERED  
PUBLIC ACCOUNTING FIRM FOR  
THE YEAR ENDING DECEMBER 31,  
2017.

- |    |   |             |         |     |
|----|---|-------------|---------|-----|
| 6. | STOCKHOLDER PROPOSAL<br>REQUESTING THAT THE BOARD<br>OF DIRECTORS TAKE THE STEPS<br>NECESSARY TO ELIMINATE THE<br>SUPERMAJORITY VOTING<br>PROVISIONS OF THE COMPANY'S<br>CERTIFICATE OF<br>INCORPORATION AND BY-LAWS. | Management  | For     | For |
| 7. | STOCKHOLDER PROPOSAL<br>REQUESTING THAT THE BOARD<br>OF DIRECTORS TAKE THE STEPS<br>NECESSARY TO PERMIT<br>STOCKHOLDER ACTION BY<br>WRITTEN CONSENT.  | Shareholder | Against | For |

Account Number	Account Name	Internal Custodian Account	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ STATE STREET BANK & TRUST CO	23,500	0	21-Apr-2017	07-Jun-2017
997GLO	GLO	997GLO STATE STREET BANK & TRUST CO	39,400	0	21-Apr-2017	07-Jun-2017
997AL45	GLV BNP PLEDGE	997AL45 STATE STREET BANK & TRUST CO	13,900	0	21-Apr-2017	07-Jun-2017
997AL49	GLO BNP PLEDGE	997AL49 STATE STREET BANK & TRUST CO	100	76,600	21-Apr-2017	07-Jun-2017
997AL60	GLV MS PLEDGE	997AL60	5,000	0	21-Apr-2017	07-Jun-2017

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997AL61	GLQ MS PLEDGE	997AL61	STATE STREET BANK & TRUST CO	23,000	0	21-Apr-2017	07-Jun-2017
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LADDER CAPITAL CORP

Security	505743104	Meeting Type	Annual
Ticker Symbol	LADR	Meeting Date	06-Jun-2017
ISIN	US5057431042	Agenda	934601560 - Management
Record Date	10-Apr-2017	Holding Recon Date	10-Apr-2017
City / Country	/ United States	Vote Deadline Date	05-Jun-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 BRIAN HARRIS		For	For
	2 MARK ALEXANDER		For	For
2.	TO RATIFY THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	107,000	0	25-Apr-2017	07-Jun-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK &	102	55,416	25-Apr-2017	07-Jun-2017

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997AL47	GLQ BNP PLEDGE	997AL47	TRUST CO STATE STREET BANK & 193	131,186	25-Apr-2017	07-Jun-2017
997AL49	GLO BNP PLEDGE	997AL49	TRUST CO STATE STREET BANK & 230,242	0	25-Apr-2017	07-Jun-2017
997AL61	GLQ MS PLEDGE	997AL61	TRUST CO STATE STREET BANK & 30,000	0	25-Apr-2017	07-Jun-2017

BIOMARIN PHARMACEUTICAL INC.

Security	09061G101	Meeting Type	Annual
Ticker Symbol	BMRN	Meeting Date	06-Jun-2017
ISIN	US09061G1013	Agenda	934601611 - Management
Record Date	10-Apr-2017	Holding Recon Date	10-Apr-2017
City / Country	/ United States	Vote Deadline Date	05-Jun-2017

SEDOL(s)

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 JEAN-JACQUES BIENAIME		For	For
	2 WILLARD DERE		For	For
	3 MICHAEL GREY		For	For
	4 ELAINE J. HERON		For	For
	5 V. BRYAN LAWLIS		For	For
	6 ALAN J. LEWIS		For	For
	7 RICHARD A. MEIER		For	For
	8 DAVID PYOTT		For	For
	9 DENNIS J. SLAMON		For	For
2.	TO RATIFY THE SELECTION OF KPMG LLP AS THE INDEPENDENT	Management	For	For

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REGISTERED PUBLIC  
ACCOUNTING FIRM FOR  
BIOMARIN FOR THE FISCAL YEAR  
ENDING DECEMBER 31, 2017.

- |    |   |            |         |         |
|----|---|------------|---------|---------|
| 3. | TO APPROVE, ON AN ADVISORY BASIS, THE FREQUENCY OF THE STOCKHOLDERS' APPROVAL, ON AN ADVISORY BASIS, OF THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS AS DISCLOSED IN THE PROXY STATEMENT.   | Management | 3 Years | Against |
| 4. | TO APPROVE, ON AN ADVISORY BASIS, THE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS AS DISCLOSED IN THE PROXY STATEMENT.   | Management | For     | For     |
| 5. | TO APPROVE THE 2017 EQUITY INCENTIVE PLAN.  | Management | For     | For     |
| 6. | TO APPROVE AMENDMENTS TO BIOMARIN'S AMENDED AND RESTATED CERTIFICATE OF INCORPORATION, AS AMENDED, TO (I) INCREASE THE TOTAL NUMBER OF AUTHORIZED SHARES OF COMMON STOCK FROM 250,000,000 SHARES TO 500,000,000 SHARES, AND (II) MAKE CERTAIN MINOR ADMINISTRATIVE CHANGES. | Management | For     | For     |

Account Number	Account Name	Internal Account	Custodian, Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO 10,700	0	26-Apr-2017	07-Jun-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO 15,500	0	26-Apr-2017	07-Jun-2017
997AL47	GLQ BNP PLEDGE	997AL47	100	7,400	26-Apr-2017	07-Jun-2017

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997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	100	11,800	26-Apr-2017	07-Jun-2017
997AL61	GLQ MS PLEDGE	997AL61	STATE STREET BANK & TRUST CO	4,100	0	26-Apr-2017	07-Jun-2017

SALESFORCE.COM, INC.

Security	79466L302	Meeting Type	Annual
Ticker Symbol	CRM	Meeting Date	06-Jun-2017
ISIN	US79466L3024	Agenda	934606178 - Management
Record Date	12-Apr-2017	Holding Recon Date	12-Apr-2017
City / Country	/ United States	Vote Deadline Date	05-Jun-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: MARC BENIOFF	Management	For	For
1B.	ELECTION OF DIRECTOR: KEITH BLOCK	Management	For	For
1C.	ELECTION OF DIRECTOR: CRAIG CONWAY	Management	For	For
1D.	ELECTION OF DIRECTOR: ALAN HASSENFELD	Management	For	For
1E.	ELECTION OF DIRECTOR: NEELIE KROES	Management	For	For
1F.	ELECTION OF DIRECTOR: COLIN POWELL	Management	For	For
1G.	ELECTION OF DIRECTOR: SANFORD ROBERTSON	Management	For	For
1H.		Management	For	For

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ELECTION OF DIRECTOR: JOHN V. ROOS

- |     |  |             |         |         |
|-----|--|-------------|---------|---------|
| 1I. | ELECTION OF DIRECTOR: ROBIN WASHINGTON   | Management  | For     | For     |
| 1J. | ELECTION OF DIRECTOR: MAYNARD WEBB   | Management  | For     | For     |
| 1K. | ELECTION OF DIRECTOR: SUSAN WOJCICKI   | Management  | For     | For     |
| 2.  | APPROVAL TO AMEND OUR 2013 EQUITY INCENTIVE PLAN, INCLUDING TO INCREASE THE NUMBER OF SHARES AUTHORIZED FOR GRANT BY 37 MILLION SHARES.                | Management  | For     | For     |
| 3.  | APPROVAL TO AMEND OUR 2004 EMPLOYEE STOCK PURCHASE PLAN TO INCREASE THE NUMBER OF SHARES AUTHORIZED FOR EMPLOYEE PURCHASE BY 8 MILLION SHARES.         | Management  | For     | For     |
| 4.  | RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING JANUARY 31, 2018. | Management  | For     | For     |
| 5.  | TO APPROVE, ON AN ADVISORY BASIS, THE FISCAL 2017 COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS.  | Management  | For     | For     |
| 6.  | TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF EXECUTIVE COMPENSATION VOTES.  | Management  | 3 Years | Against |
| 7.  | A STOCKHOLDER PROPOSAL REQUESTING, ON AN ADVISORY BASIS, ACTION TO ALLOW STOCKHOLDERS TO REQUEST SPECIAL MEETINGS OF STOCKHOLDERS.                     | Shareholder | Against | For     |

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997G	STATE STREET	15,100	0	27-Apr-2017	07-Jun-2017



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997GLO	GLO	BANK & TRUST CO	997GLO	28,400	0	27-Apr-2017	07-Jun-2017
		STATE STREET					
997AL53	GLO MS PLEDGE	BANK & TRUST CO	997AL53	10,000	0	27-Apr-2017	07-Jun-2017
		STATE STREET					

IMAX CORPORATION

Security	45245E109	Meeting Type	Annual
Ticker Symbol	IMAX	Meeting Date	06-Jun-2017
ISIN	CA45245E1097	Agenda	934607891 - Management
Record Date	11-Apr-2017	Holding Recon Date	11-Apr-2017
City / Country	/ Canada	Vote Deadline Date	01-Jun-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
01	DIRECTOR	Management		
	1 NEIL S. BRAUN		For	For
	2 ERIC A. DEMIRIAN		For	For
	3 KEVIN DOUGLAS		For	For
	4 GREG FOSTER		For	For
	5 RICHARD L. GELFOND		For	For
	6 DAVID W. LEEBRON		For	For
	7 MICHAEL LYNNE		For	For
	8 MICHAEL MACMILLAN		For	For
	9 DANA SETTLE		For	For
	10 DARREN THROOP		For	For
	11 BRADLEY J. WECHSLER		For	For
02	IN RESPECT OF THE APPOINTMENT OF	Management	For	For

PRICEWATERHOUSECOOPERS LLP  
AS AUDITORS OF THE COMPANY  
AND AUTHORIZING THE  
DIRECTORS TO FIX THEIR  
REMUNERATION. NOTE: VOTING  
WITHHOLD IS THE EQUIVALENT  
TO VOTING ABSTAIN.

03 ADVISORY RESOLUTION TO  
APPROVE THE COMPENSATION  
OF THE COMPANY'S NAMED  
EXECUTIVE OFFICERS AS SET  
FORTH IN THE ACCOMPANYING  
PROXY CIRCULAR. NOTE: VOTING  
ABSTAIN IS THE EQUIVALENT TO  
VOTING WITHHOLD.

Management For For

04 ADVISORY RESOLUTION ON THE  
FREQUENCY OF FUTURE  
ADVISORY VOTES ON EXECUTIVE  
COMPENSATION. NOTE: VOTING  
ABSTAIN IS THE EQUIVALENT TO  
VOTING WITHHOLD.

Management 3 Years Against

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	6,200	0	28-Apr-2017	07-Jun-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	95	114,200	28-Apr-2017	07-Jun-2017

BIOGEN INC.

Security	09062X103	Meeting Type	Annual
Ticker Symbol	BIIB	Meeting Date	07-Jun-2017
ISIN	US09062X1037	Agenda	934600568 - Management
Record Date	10-Apr-2017	Holding Recon Date	10-Apr-2017
City / Country	/ United States	Vote Deadline Date	06-Jun-2017
SEDOL(s)		Quick Code	

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Item	Proposal	Proposed by	Vote	For/Against Management
1A.	ELECTION OF DIRECTOR: ALEXANDER J. DENNER	Management	For	For
1B.	ELECTION OF DIRECTOR: CAROLINE D. DORSA	Management	For	For
1C.	ELECTION OF DIRECTOR: NANCY L. LEAMING	Management	For	For
1D.	ELECTION OF DIRECTOR: RICHARD C. MULLIGAN	Management	For	For
1E.	ELECTION OF DIRECTOR: ROBERT W. PANGIA	Management	For	For
1F.	ELECTION OF DIRECTOR: STELIOS PAPAPOPOULOS	Management	For	For
1G.	ELECTION OF DIRECTOR: BRIAN S. POSNER	Management	For	For
1H.	ELECTION OF DIRECTOR: ERIC K. ROWINSKY	Management	For	For
1I.	ELECTION OF DIRECTOR: LYNN SCHENK	Management	For	For
1J.	ELECTION OF DIRECTOR: STEPHEN A. SHERWIN	Management	For	For
1K.	ELECTION OF DIRECTOR: MICHEL VOUNATSOS	Management	For	For
2.	TO RATIFY THE SELECTION OF PRICEWATERHOUSECOOPERS LLP AS BIOGEN INC.'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	SAY ON PAY - TO APPROVE AN ADVISORY VOTE ON EXECUTIVE COMPENSATION.	Management	For	For
4.	SAY WHEN ON PAY - TO APPROVE AN ADVISORY VOTE ON THE FREQUENCY OF THE ADVISORY VOTE ON EXECUTIVE COMPENSATION.	Management	3 Years	Against
5.	TO APPROVE THE BIOGEN INC. 2017 OMNIBUS EQUITY PLAN.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
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997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	240	1,500	27-Apr-2017	08-Jun-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	190	11,600	27-Apr-2017	08-Jun-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	570	17,200	27-Apr-2017	08-Jun-2017
997AL61	GLQ MS PLEDGE	997AL61	STATE STREET BANK & TRUST CO	2,000	0	27-Apr-2017	08-Jun-2017

ALPHABET INC

Security	02079K305	Meeting Type	Annual
Ticker Symbol	GOOGL	Meeting Date	07-Jun-2017
ISIN	US02079K3059	Agenda	934604946 - Management
Record Date	19-Apr-2017	Holding Recon Date	19-Apr-2017
City / Country	/ United States	Vote Deadline Date	06-Jun-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 LARRY PAGE		For	For
	2 SERGEY BRIN		For	For
	3 ERIC E. SCHMIDT		For	For
	4 L. JOHN DOERR		For	For
	5 ROGER W. FERGUSON, JR.		For	For
	6 DIANE B. GREENE		For	For
	7 JOHN L. HENNESSY		For	For

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	8	ANN MATHER		For	For
	9	ALAN R. MULALLY		For	For
	10	PAUL S. OTELLINI		For	For
	11	K. RAM SHRIRAM		For	For
	12	SHIRLEY M. TILGHMAN		For	For
2.		THE RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS ALPHABET'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.		THE APPROVAL OF AN AMENDMENT TO ALPHABET'S 2012 STOCK PLAN TO INCREASE THE SHARE RESERVE BY 15,000,000 SHARES OF CLASS C CAPITAL STOCK.	Management	For	For
4.		THE APPROVAL OF THE 2016 COMPENSATION AWARDED TO NAMED EXECUTIVE OFFICERS.	Management	For	For
5.		THE FREQUENCY OF FUTURE STOCKHOLDER ADVISORY VOTES REGARDING COMPENSATION AWARDED TO NAMED EXECUTIVE OFFICERS.	Management	3 Years	For
6.		A STOCKHOLDER PROPOSAL REGARDING EQUAL SHAREHOLDER VOTING, IF PROPERLY PRESENTED AT THE MEETING.	Shareholder	Against	For
7.		A STOCKHOLDER PROPOSAL REGARDING A LOBBYING REPORT, IF PROPERLY PRESENTED AT THE MEETING.	Shareholder	Against	For
8.		A STOCKHOLDER PROPOSAL REGARDING A POLITICAL CONTRIBUTIONS REPORT, IF PROPERLY PRESENTED AT THE MEETING.	Shareholder	Against	For
9.		A STOCKHOLDER PROPOSAL REGARDING A REPORT ON GENDER PAY, IF PROPERLY PRESENTED AT THE MEETING.	Shareholder	Against	For
10.		A STOCKHOLDER PROPOSAL REGARDING A CHARITABLE	Shareholder	Against	For

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CONTRIBUTIONS REPORT, IF PROPERLY PRESENTED AT THE MEETING.

11. A STOCKHOLDER PROPOSAL REGARDING THE IMPLEMENTATION OF "HOLY LAND PRINCIPLES," IF PROPERLY PRESENTED AT THE MEETING. Shareholder Against For

12. A STOCKHOLDER PROPOSAL REGARDING A REPORT ON "FAKE NEWS," IF PROPERLY PRESENTED AT THE MEETING. Shareholder Against For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	2,000	0	29-Apr-2017	08-Jun-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	883	0	29-Apr-2017	08-Jun-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	167	8,500	29-Apr-2017	08-Jun-2017
997AL61	GLQ MS PLEDGE	997AL61	STATE STREET BANK & TRUST CO	500	0	29-Apr-2017	08-Jun-2017

ARES COMMERCIAL REAL ESTATE CORP

Security	04013V108	Meeting Type	Annual
Ticker Symbol	ACRE	Meeting Date	07-Jun-2017
ISIN	US04013V1089	Agenda	934606015 - Management
Record Date	12-Apr-2017	Holding Recon Date	12-Apr-2017

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City / Country / United States / Vote Deadline Date 06-Jun-2017

SEDOL(s)

Quick Code

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 CAROLINE E. BLAKELY		For	For
	2 ROBERT L. ROSEN		For	For
2.	TO RATIFY THE SELECTION OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	17,900	0	28-Apr-2017	08-Jun-2017
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	75,153	0	28-Apr-2017	08-Jun-2017
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	100	52,300	28-Apr-2017	08-Jun-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	203,747	0	28-Apr-2017	08-Jun-2017

CREDIT ACCEPTANCE CORPORATION

Security 225310101

Meeting Type Annual

Ticker Symbol CACC

Meeting Date 07-Jun-2017

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ISIN	US2253101016	Agenda	934606142 - Management
Record Date	13-Apr-2017	Holding Recon Date	13-Apr-2017
City / Country	/ United States	Vote Deadline Date	06-Jun-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 GLENDA J. FLANAGAN		For	For
	2 BRETT A. ROBERTS		For	For
	3 THOMAS N. TRYFOROS		For	For
	4 SCOTT J. VASSALLUZZO		For	For
2.	ADVISORY VOTE TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION.	Management	For	For
3.	ADVISORY VOTE ON THE FREQUENCY OF FUTURE ADVISORY VOTES TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION.	Management	3 Years	Against
4.	RATIFICATION OF THE SELECTION OF GRANT THORNTON LLP AS CREDIT ACCEPTANCE CORPORATION'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR 2017.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	8,800	0	28-Apr-2017	08-Jun-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	15,000	0	28-Apr-2017	08-Jun-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE	162	9,900	28-Apr-2017	08-Jun-2017



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997AL49	GLO BNP PLEDGE	997AL49	STREET BANK & TRUST CO	100	25,562	28-Apr-2017	08-Jun-2017
997AL53	GLO MS PLEDGE	997AL53	STATE STREET BANK & TRUST CO	6,950	0	28-Apr-2017	08-Jun-2017

ADURO BIOTECH INC

Security	00739L101	Meeting Type	Annual
Ticker Symbol	ADRO	Meeting Date	08-Jun-2017
ISIN	US00739L1017	Agenda	934604112 - Management
Record Date	19-Apr-2017	Holding Recon Date	19-Apr-2017
City / Country	/ United States	Vote Deadline Date	07-Jun-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 ROSS HAGHIGHAT		For	For
	2 FRANK MCCORMICK, PH.D.		For	For
2.	TO RATIFY THE SELECTION BY THE AUDIT COMMITTEE OF OUR BOARD OF DIRECTORS OF DELOITTE & TOUCHE LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR OUR FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For

Account Number	Account Name	Internal Account	Ballot Custodian	Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET	4,400	0	25-Apr-2017	09-Jun-2017

997AL47	GLQ BNP PLEDGE	997AL47	100	77,500	25-Apr-2017	09-Jun-2017
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BANK  
&  
TRUST  
CO  
STATE  
STREET  
BANK  
&  
TRUST  
CO

VERTEX PHARMACEUTICALS INCORPORATED

Security	92532F100	Meeting Type	Annual
Ticker Symbol	VRTX	Meeting Date	08-Jun-2017
ISIN	US92532F1003	Agenda	934615278 - Management
Record Date	12-Apr-2017	Holding Recon Date	12-Apr-2017
City / Country	/ United States	Vote Deadline Date	07-Jun-2017

SEDOL(s)

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 ALAN GARBER		For	For
	2 MARGARET G. MCGLYNN		For	For
	3 WILLIAM D. YOUNG		For	For
2.	AMENDMENTS TO OUR CHARTER AND BY-LAWS TO PROVIDE FOR THE DECLASSIFICATION OF OUR BOARD OF DIRECTORS.	Management	For	For
3.	AMENDMENT AND RESTATEMENT OF OUR 2013 STOCK AND OPTION PLAN, TO AMONG OTHER THINGS, INCREASE THE NUMBER OF SHARES AVAILABLE UNDER THE PLAN BY 6.75 MILLION SHARES.	Management	For	For
4.	RATIFICATION OF THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2017.	Management	For	For
5.	ADVISORY VOTE ON OUR NAMED	Management	For	For

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EXECUTIVE OFFICER  
COMPENSATION.

6. ADVISORY VOTE ON THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION PROGRAM. Management 3 Years Against

7. SHAREHOLDER PROPOSAL, IF PROPERLY PRESENTED AT THE MEETING, REQUESTING THAT WE TAKE STEPS NECESSARY TO ELIMINATE SUPERMAJORITY PROVISIONS FROM OUR CHARTER AND BY-LAWS. Shareholder Against For

8. SHAREHOLDER PROPOSAL, IF PROPERLY PRESENTED AT THE MEETING, REQUESTING THAT WE PREPARE A REPORT ON OUR POLICIES AND ACTIVITIES WITH RESPECT TO LOBBYING. Shareholder Against For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	10,300	0	03-May-2017	09-Jun-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	25,800	0	03-May-2017	09-Jun-2017

AMS AG, UNTERPREMSTAETTEN

Security	A0400Q115	Meeting Type	Ordinary General Meeting
Ticker Symbol		Meeting Date	09-Jun-2017
ISIN	AT0000A18XM4	Agenda	708173808 - Management
Record Date	30-May-2017	Holding Recon Date	30-May-2017
City / Country	PREMST AETTEN / Austria	Vote Deadline Date	24-May-2017
SEDOL(s)	BPF0537 - BPF0548 - BPFJ772 - BPH3KB7	Quick Code	

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Item	Proposal	Proposed by	Vote	For/Against Management
1	PRESENTATION OF ANNUAL REPORTS	Non-Voting		
2	ALLOCATION OF NET PROFITS: DIVIDENDS OF EUR 0.30	Management	For	For
3	DISCHARGE OF MANAGEMENT BOARD	Management	For	For
4	DISCHARGE OF SUPERVISORY BOARD	Management	For	For
5	REMUNERATION FOR SUPERVISORY BOARD	Management	For	For
6	ELECTION OF EXTERNAL AUDITOR: KPMG	Management	For	For
7	CANCELLATION OF AUTHORIZED CAPITAL AND AMENDMENT OF ARTICLES	Management	For	For
8	NEW AUTHORIZED CAPITAL AND AMENDMENT OF ARTICLES	Management	For	For
9	AUTHORIZATION FOR ISSUANCE OF FINANCIAL INSTRUMENTS	Management	For	For
10	CONDITIONAL CAPITAL INCREASE	Management	For	For
11	BUYBACK AND USAGE OF OWN SHS	Management	For	For
12	REPORT ON OWN SHARES	Non-Voting		
CMMT	22 MAY 2017: PLEASE NOTE THAT THIS IS A REVISION DUE TO RECEIPT OF DIVIDEND-AMOUNT AND AUDITOR NAME. IF YOU HAVE ALREADY SENT IN YOUR VOTES, PLEASE DO-NOT VOTE AGAIN UNLESS YOU DECIDE TO AMEND YOUR ORIGINAL INSTRUCTIONS. THANK-YOU.	Non-Voting		

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
GLQ	GLQ	STATE STREET BANK		25,029	0	11-May-2017	02-Jun-2017
KURA ONCOLOGY, INC.							
Security	50127T109			Meeting Type	Annual		
Ticker Symbol	KURA			Meeting Date	09-Jun-2017		

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ISIN	US50127T1097	Agenda	934606419 - Management
Record Date	11-Apr-2017	Holding Recon Date	11-Apr-2017
City / Country	/ United States	Vote Deadline Date	08-Jun-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 TROY E. WILSON, PHD, JD		For	For
	2 FAHEEM HASNAIN		For	For
	3 ROBERT E. HOFFMAN		For	For
	4 THOMAS MALLEY		For	For
	5 STEVEN H. STEIN, M.D.		For	For
2.	TO RATIFY SELECTION OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR OUR FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	TO APPROVE AN AMENDMENT TO OUR AMENDED AND RESTATED CERTIFICATE OF INCORPORATION, AS AMENDED, AS SET FORTH IN THE PROXY STATEMENT.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	51,636	0	26-Apr-2017	12-Jun-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	100	21,000	26-Apr-2017	12-Jun-2017

T-MOBILE US, INC.

Security	872590104	Meeting Type	Annual
Ticker Symbol	TMUS	Meeting Date	13-Jun-2017

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ISIN	US8725901040	Agenda	934605936 - Management
Record Date	17-Apr-2017	Holding Recon Date	17-Apr-2017
City / Country	/ United States	Vote Deadline Date	12-Jun-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 W. MICHAEL BARNES		For	For
	2 THOMAS DANNENFELDT		For	For
	3 SRIKANT M. DATAR		For	For
	4 LAWRENCE H. GUFFEY		For	For
	5 TIMOTHEUS HOTTGES		For	For
	6 BRUNO JACOBFEUERBORN		For	For
	7 RAPHAEL KUBLER		For	For
	8 THORSTEN LANGHEIM		For	For
	9 JOHN J. LEGERE		For	For
	10 TERESA A. TAYLOR		For	For
	11 KELVIN R. WESTBROOK		For	For
2.	RATIFICATION OF THE APPOINTMENT OF PRICEWATERHOUSECOOPERS LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR FISCAL YEAR 2017.	Management	For	For
3.	ADVISORY VOTE TO APPROVE THE COMPENSATION PROVIDED TO THE COMPANY'S NAMED EXECUTIVE OFFICERS FOR 2016.	Management	For	For
4.	ADVISORY VOTE ON THE FREQUENCY OF FUTURE ADVISORY VOTES ON THE COMPENSATION PROVIDED TO THE COMPANY'S NAMED EXECUTIVE OFFICERS.	Management	3 Years	For
5.	STOCKHOLDER PROPOSAL FOR IMPLEMENTATION OF PROXY ACCESS.	Shareholder	Against	For
6.	STOCKHOLDER PROPOSAL FOR LIMITATIONS ON ACCELERATED VESTING OF EQUITY AWARDS IN	Shareholder	Against	For

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THE EVENT OF A CHANGE OF CONTROL.

STOCKHOLDER PROPOSAL FOR AN AMENDMENT OF THE COMPANY'S CLAWBACK POLICY.

7. Shareholder Against For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLV	GLV	997GLV	STATE STREET BANK & TRUST CO	6,300	0	28-Apr-2017	14-Jun-2017
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	15,700	0	28-Apr-2017	14-Jun-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	19,500	0	28-Apr-2017	14-Jun-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	100	77,800	28-Apr-2017	14-Jun-2017
997AL61	GLQ MS PLEDGE	997AL61	STATE STREET BANK & TRUST CO	10,000	0	28-Apr-2017	14-Jun-2017

DERMIRA, INC.

Security	24983L104	Meeting Type	Annual
Ticker Symbol	DERM	Meeting Date	13-Jun-2017
ISIN	US24983L1044	Agenda	934615646 - Management
Record Date	19-Apr-2017	Holding Recon Date	19-Apr-2017
City / Country	/ United	Vote Deadline	12-Jun-2017

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SEDOL(s)	States	Date	Quick Code
Item	Proposal	Proposed by	Vote For/Against Management
1.	DIRECTOR	Management	
	1 MARK D. MCDADE		For For
	2 JAKE R. NUNN		For For
	3 THOMAS G. WIGGANS		For For
2.	APPROVE, ON A NON-BINDING ADVISORY BASIS, THE COMPENSATION PAID BY US TO OUR NAMED EXECUTIVE OFFICERS FOR THE YEAR ENDED DECEMBER 31, 2016.	Management	For For
3.	VOTE, ON A NON-BINDING ADVISORY BASIS, ON THE FREQUENCY OF FUTURE ADVISORY VOTES ON EXECUTIVE COMPENSATION.	Management	3 Years Against
4.	RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS OUR INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2017.	Management	For For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	17,100	0	03-May-2017	14-Jun-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	100	6,800	03-May-2017	14-Jun-2017

CELGENE CORPORATION

Security	151020104	Meeting Type	Annual
Ticker Symbol	CELG	Meeting Date	14-Jun-2017
ISIN	US1510201049	Agenda	934609023 - Management



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Record Date	20-Apr-2017	Holding Recon Date	20-Apr-2017	
City / Country	/ United States	Vote Deadline Date	13-Jun-2017	
SEDOL(s)		Quick Code		
Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 ROBERT J. HUGIN		For	For
	2 MARK J. ALLES		For	For
	3 RICHARD W BARKER D PHIL		For	For
	4 MICHAEL W. BONNEY		For	For
	5 MICHAEL D. CASEY		For	For
	6 CARRIE S. COX		For	For
	7 MICHAEL A. FRIEDMAN, MD		For	For
	8 JULIA A. HALLER, M.D.		For	For
	9 GILLA S. KAPLAN, PH.D.		For	For
	10 JAMES J. LOUGHLIN		For	For
	11 ERNEST MARIO, PH.D.		For	For
2.	RATIFICATION OF THE APPOINTMENT OF KPMG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
3.	APPROVAL OF AN AMENDMENT AND RESTATEMENT OF THE COMPANY'S STOCK INCENTIVE PLAN.	Management	For	For
4.	APPROVAL, BY NON-BINDING VOTE, OF EXECUTIVE COMPENSATION OF THE COMPANY'S NAMED EXECUTIVE OFFICERS.	Management	For	For
5.	TO RECOMMEND, BY NON-BINDING VOTE, THE FREQUENCY OF EXECUTIVE COMPENSATION VOTES.	Management	3 Years	Against
6.	STOCKHOLDER PROPOSAL TO REQUEST A BY-LAW PROVISION LIMITING MANAGEMENT'S ACCESS TO VOTE TALLIES PRIOR	Shareholder	Against	For

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TO THE ANNUAL MEETING WITH  
RESPECT TO CERTAIN  
EXECUTIVE PAY MATTERS,  
DESCRIBED IN MORE DETAIL IN  
THE PROXY STATEMENT.

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	14,900	0	28-Apr-2017	15-Jun-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	17,200	0	28-Apr-2017	15-Jun-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	100	19,900	28-Apr-2017	15-Jun-2017

SONY CORPORATION

Security	J76379106	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	15-Jun-2017
ISIN	JP3435000009	Agenda	708212484 - Management
Record Date	31-Mar-2017	Holding Recon Date	31-Mar-2017
City / Country	TOKYO / Japan	Vote Deadline Date	07-Jun-2017
SEDOL(s)	0821687 - 4823203 - 5485602 - 6821506 - B01DR28 - B0K3NH6 - B0ZMMV5	Quick Code	67580

Item	Proposal	Proposed by	Vote	For/Against Management
	Please reference meeting materials.	Non-Voting		
1.1	Appoint a Director Hirai, Kazuo	Management	For	For
1.2	Appoint a Director Yoshida, Kenichiro	Management	For	For

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1.3	Appoint a Director Nagayama, Osamu	Management	For	For
1.4	Appoint a Director Nimura, Takaaki	Management	For	For
1.5	Appoint a Director Harada, Eiko	Management	For	For
1.6	Appoint a Director Tim Schaaff	Management	For	For
1.7	Appoint a Director Matsunaga, Kazuo	Management	For	For
1.8	Appoint a Director Miyata, Koichi	Management	For	For
1.9	Appoint a Director John V. Roos	Management	For	For
1.10	Appoint a Director Sakurai, Eriko	Management	For	For
1.11	Appoint a Director Minakawa, Kunihito	Management	For	For
1.12	Appoint a Director Sumi, Shuzo	Management	For	For
2	Approve Issuance of Share Acquisition Rights as Stock Options for Executive Officers and Employees of the Company and Directors and Employees of the Company's Subsidiaries	Management	For	For

Account Number	Account Name	Internal Account	Ballot Custodian Shares	Unavailable Shares	Vote Date	Date Confirmed
GLV	GLV	STATE STREET BANK	27,700	0	22-May-2017	14-Jun-2017
GLQ	GLQ	STATE STREET BANK	44,600	0	22-May-2017	14-Jun-2017
GLO	GLO	STATE STREET BANK	113,000	0	22-May-2017	14-Jun-2017

EDITAS MEDICINE INC

Security	28106W103	Meeting Type	Annual
Ticker Symbol	EDIT	Meeting Date	15-Jun-2017
ISIN	US28106W1036	Agenda	934602598 - Management
Record Date	18-Apr-2017	Holding Recon Date	18-Apr-2017
City / Country	/ United States	Vote Deadline Date	14-Jun-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 ALEXIS BORISY		For	For
	2 DOUGLAS G. COLE, M.D.		For	For

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3 A.K. VAISHNAW MD PHD For For

RATIFICATION OF THE  
SELECTION OF ERNST & YOUNG  
LLP AS THE COMPANY'S

2. INDEPENDENT REGISTERED Management For For  
PUBLIC ACCOUNTING FIRM FOR  
THE FISCAL YEAR ENDING  
DECEMBER 31, 2017.

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	62,300	0	27-Apr-2017	16-Jun-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	54,800	0	27-Apr-2017	16-Jun-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	75,000	25,000	27-Apr-2017	16-Jun-2017

YELP INC

Security	985817105	Meeting Type	Annual
Ticker Symbol	YELP	Meeting Date	15-Jun-2017
ISIN	US9858171054	Agenda	934613616 - Management
Record Date	17-Apr-2017	Holding Recon Date	17-Apr-2017
City / Country	/ United States	Vote Deadline Date	14-Jun-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 DIANE M. IRVINE		For	For
	2 MARIAM NAFICY		For	For
2.		Management	For	For

TO RATIFY THE SELECTION OF  
DELOITTE & TOUCHE LLP AS  
YELP'S INDEPENDENT  
REGISTERED PUBLIC  
ACCOUNTING FIRM FOR THE  
YEAR ENDING DECEMBER 31,  
2017.

TO APPROVE, ON AN ADVISORY  
BASIS, THE COMPENSATION OF  
YELP'S NAMED EXECUTIVE  
OFFICERS, AS DISCLOSED IN THE  
ACCOMPANYING PROXY  
STATEMENT.

3. Management For For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	19,700	0	02-May-2017	16-Jun-2017
997GLO	GLO	997GLO	STATE STREET BANK & TRUST CO	40,000	0	02-May-2017	16-Jun-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	99,400	38,900	02-May-2017	16-Jun-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	100	267,600	02-May-2017	16-Jun-2017
997AL53	GLO MS PLEDGE	997AL53	STATE STREET BANK & TRUST CO	40,000	0	02-May-2017	16-Jun-2017

CATCHER TECHNOLOGY CO LTD

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Security	Y1148A101	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	19-Jun-2017
ISIN	TW0002474004	Agenda	708208839 - Management
Record Date	20-Apr-2017	Holding Recon Date	20-Apr-2017
City / Country	TAINAN / Taiwan, Province of China	Vote Deadline Date	09-Jun-2017
SEDOL(s)	6186669 - B02W2D0	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT IN CASES WHERE THE CLIENT INSTRUCTS US TO VOTE AGAINST ANY-PROPOSAL TO BE DISCUSSED AT A SHAREHOLDERS MEETING AND THE VOTING WITH-RESPECT TO SUCH PROPOSAL IS DONE BY BALLOT, WE OR OUR DESIGNEE WILL FILL OUT-THE BALLOT IN RESPECT OF SUCH PROPOSAL IN ACCORDANCE WITH THE CLIENTS-INSTRUCTIONS. HOWEVER, IF THE VOTING AT THE SHAREHOLDERS MEETING IS DONE BY-ACCLAMATION, WE/OUR DESIGNEE WILL NOT TAKE ANY ACTION IN RESPECT OF THE-RELEVANT PROPOSAL. THANK YOU	Non-Voting		
1	TO ACCEPT 2016 BUSINESS REPORT AND FINANCIAL STATEMENTS.	Management	For	For
2	TO ACCEPT THE PROPOSAL FOR DISTRIBUTION OF 2016 PROFITS.PROPOSED CASH DIVIDEND :TWD 10 PER SHARE.	Management	For	For
3	TO APPROVE THE ISSUANCE OF NEW COMMON SHARES FOR CASH AND OR ISSUANCE OF GDR.	Management	For	For
4	TO AMEND THE COMPANYS AQUISITION OR DISPOSAL OF ASSETS PROCEDURE.	Management	For	For

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Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
GLQ	GLQ		STATE STREET BANK	194,000	0	20-May-2017	09-Jun-2017
CARDIOME PHARMA CORP.							
Security	14159U301				Meeting Type	Annual and Special Meeting	
Ticker Symbol	CRME				Meeting Date	20-Jun-2017	
ISIN	CA14159U3010				Agenda	934638098 - Management	
Record Date	16-May-2017				Holding Recon Date	16-May-2017	
City / Country		/	Canada		Vote Deadline Date	15-Jun-2017	
SEDOL(s)					Quick Code		

Item	Proposal	Proposed by	Vote	For/Against Management
01	DIRECTOR	Management		
	1 RICHARD M. GLICKMAN		For	For
	2 W. JAMES O'SHEA		For	For
	3 WILLIAM L. HUNTER		For	For
	4 MARK H. CORRIGAN		For	For
	5 ARTHUR H. WILLMS		For	For
	6 ROBERT J. MEYER		For	For
02	APPOINTMENT OF KPMG LLP AS AUDITORS OF THE CORPORATION FOR THE ENSUING YEAR AND AUTHORIZING THE DIRECTORS TO FIX THEIR REMUNERATION.	Management	For	For
03	TO APPROVE AN ORDINARY RESOLUTION APPROVING CERTAIN AMENDMENTS TO AND UNALLOCATED ENTITLEMENTS UNDER THE CORPORATION'S RESTRICTED SHARE UNIT PLAN AS MORE PARTICULARLY SET OUT IN THE ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR.	Management	For	For
04	TO APPROVE AN ORDINARY RESOLUTION APPROVING CERTAIN AMENDMENTS TO AND UNALLOCATED OPTIONS UNDER	Management	For	For

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THE CORPORATION'S INCENTIVE STOCK OPTION PLAN AS MORE PARTICULARLY SET OUT IN THE ACCOMPANYING MANAGEMENT INFORMATION CIRCULAR.

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997AL45	GLV BNP PLEDGE	997AL45	STATE STREET BANK & TRUST CO	100	149,100	31-May-2017	21-Jun-2017
997AL47	GLQ BNP PLEDGE	997AL47	STATE STREET BANK & TRUST CO	100	418,100	31-May-2017	21-Jun-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	1,042,181	0	31-May-2017	21-Jun-2017

BLACKSTONE MORTGAGE TRUST, INC

Security	09257W100	Meeting Type	Annual
Ticker Symbol	BXMT	Meeting Date	21-Jun-2017
ISIN	US09257W1009	Agenda	934603881 - Management
Record Date	13-Apr-2017	Holding Recon Date	13-Apr-2017
City / Country	/ United States	Vote Deadline Date	20-Jun-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR	Management		
	1 MICHAEL B. NASH		For	For
	2 STEPHEN D. PLAVIN		For	For
	3 LEONARD W. COTTON		For	For
	4 THOMAS E. DOBROWSKI		For	For
	5 MARTIN L. EDELMAN		For	For



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6	HENRY N. NASSAU	For	For
7	JONATHAN L. POLLACK	For	For
8	LYNNE B. SAGALYN	For	For

2.	RATIFICATION OF THE APPOINTMENT OF DELOITTE & TOUCHE LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
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3.	ADVISORY VOTE ON EXECUTIVE COMPENSATION: TO APPROVE IN A NON- BINDING, ADVISORY VOTE, THE COMPENSATION PAID TO OUR NAMED EXECUTIVE OFFICERS.	Management	For	For
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Account Number	Account Name	Internal Account Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	STATE STREET BANK & TRUST CO	59,700	0	28-Apr-2017	22-Jun-2017
997GLO	GLO	STATE STREET BANK & TRUST CO	58,200	0	28-Apr-2017	22-Jun-2017
997AL45	GLV BNP PLEDGE	STATE STREET BANK & TRUST CO	32,500	39,700	28-Apr-2017	22-Jun-2017
997AL47	GLQ BNP PLEDGE	STATE STREET BANK & TRUST CO	80,100	69,900	28-Apr-2017	22-Jun-2017
997AL49	GLO BNP PLEDGE	STATE STREET BANK &	100	206,100	28-Apr-2017	22-Jun-2017

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997AL53	GLO MS PLEDGE	997AL53	TRUST CO STATE STREET BANK &	50,000	0	28-Apr-2017	22-Jun-2017
997AL60	GLV MS PLEDGE	997AL60	TRUST CO STATE STREET BANK &	5,000	0	28-Apr-2017	22-Jun-2017
997AL61	GLQ MS PLEDGE	997AL61	TRUST CO STATE STREET BANK &	10,800	0	28-Apr-2017	22-Jun-2017

SEMICONDUCTOR MANUFACTURING INTERNATIONAL CORP

Security	G8020E119	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	23-Jun-2017
ISIN	KYG8020E1199	Agenda	708261982 - Management
Record Date	19-Jun-2017	Holding Recon Date	19-Jun-2017
City / Country	SHANGH AI / China	Vote Deadline Date	16-Jun-2017
SEDOL(s)	BDFBM13 - BDFC8W9 - BDH2T79 - BDRKV77 - BDRKVB1 - BYZLGH4 - BYZMKY2	Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
CMMT	PLEASE NOTE THAT THIS IS AN AMENDMENT TO MEETING ID 779586 DUE TO RESOLUTIONS-7 TO 15 ARE SINGLE ITEMS. ALL VOTES RECEIVED ON THE PREVIOUS MEETING WILL BE-DISREGARDED AND YOU WILL NEED TO REINSTRUCT ON THIS MEETING NOTICE. THANK YOU	Non-Voting		
CMMT	PLEASE NOTE THAT	Non-Voting		

SHAREHOLDERS ARE ALLOWED  
TO VOTE 'IN FAVOR' OR 'AGAINST'  
FOR- ALL RESOLUTIONS,  
ABSTAIN IS NOT A VOTING  
OPTION ON THIS MEETING

PLEASE NOTE THAT THE  
COMPANY NOTICE AND PROXY  
FORM ARE AVAILABLE BY  
CLICKING-ON THE URL LINKS:-

CMMT (http://www.hkexnews.hk/listedco/listconews/sehk/2017/0523/ltn20170523419.pdf)-,-  
Non-Voting  
(http://www.hkexnews.hk/listedco/listconews/sehk/2017/0523/ltn20170523382.pdf)-AND-  
(http://www.hkexnews.hk/listedco/listconews/sehk/2017/0531/ltn20170531819.pdf)

1	TO RECEIVE AND CONSIDER THE AUDITED CONSOLIDATED FINANCIAL STATEMENTS AND THE REPORTS OF THE DIRECTORS OF THE COMPANY ("DIRECTOR(S)") AND THE AUDITORS OF THE COMPANY FOR THE YEAR ENDED 31 DECEMBER 2016	Management	For	For
2.A	TO RE-ELECT DR. ZHOU ZIXUE AS AN EXECUTIVE DIRECTOR	Management	For	For
2.B	TO RE-ELECT DR. TZU-YIN CHIU AS A NON- EXECUTIVE DIRECTOR	Management	For	For
2.C	TO RE-ELECT DR. GAO YONGGANG AS AN EXECUTIVE DIRECTOR	Management	For	For
2.D	TO RE-ELECT MR. WILLIAM TUDOR BROWN AS AN INDEPENDENT NON-EXECUTIVE DIRECTOR	Management	For	For
2.E	TO RE-ELECT DR. TONG GUOHUA AS A NON- EXECUTIVE DIRECTOR	Management	For	For
2.F	TO RE-ELECT DR. SHANG-YI CHIANG AS AN INDEPENDENT NON-EXECUTIVE DIRECTOR	Management	For	For
2.G	TO RE-ELECT DR. JASON JINGSHENG CONG AS AN INDEPENDENT NON-EXECUTIVE DIRECTOR	Management	For	For
2.H	TO AUTHORIZE THE BOARD OF DIRECTORS (THE "BOARD") TO FIX THEIR REMUNERATION	Management	For	For

3	<p>TO RE-APPOINT PRICEWATERHOUSECOOPERS AND PRICEWATERHOUSECOOPERS ZHONG TIAN LLP AS THE AUDITORS OF THE COMPANY FOR HONG KONG FINANCIAL REPORTING AND U.S. FINANCIAL REPORTING PURPOSES, RESPECTIVELY, AND TO AUTHORISE THE AUDIT COMMITTEE OF THE BOARD TO FIX THEIR REMUNERATION</p>	Management	For	For
4	<p>TO GRANT A GENERAL MANDATE TO THE BOARD TO ALLOT, ISSUE, GRANT, DISTRIBUTE AND OTHERWISE DEAL WITH ADDITIONAL SHARES IN THE COMPANY, NOT EXCEEDING TWENTY PER CENT. OF THE NUMBER OF ISSUED SHARES OF THE COMPANY AT THE DATE OF THIS RESOLUTION</p>	Management	For	For
5	<p>TO GRANT A GENERAL MANDATE TO THE BOARD TO REPURCHASE SHARES OF THE COMPANY, NOT EXCEEDING TEN PER CENT. OF THE NUMBER OF ISSUED SHARES OF THE COMPANY AT THE DATE OF THIS RESOLUTION</p>	Management	For	For
6	<p>CONDITIONAL ON THE PASSING OF RESOLUTIONS 4 AND 5, TO AUTHORIZE THE BOARD TO EXERCISE THE POWERS TO ALLOT, ISSUE, GRANT, DISTRIBUTE AND OTHERWISE DEAL WITH THE ADDITIONAL AUTHORIZED BUT UNISSUED SHARES IN THE COMPANY REPURCHASED BY THE COMPANY</p>	Management	For	For
7	<p>(A) TO AUTHORIZE THE INCREASE IN THE AUTHORISED SHARE CAPITAL OF THE COMPANY FROM USD 22,000,000 TO USD 42,000,000 BY THE CREATION OF AN ADDITIONAL 5,000,000,000 COMMON SHARES IN THE SHARE CAPITAL OF THE</p>	Management	For	For

COMPANY; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY TO DO ALL SUCH ACTS ON BEHALF OF THE COMPANY AS HE/SHE MAY CONSIDER NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH, THE IMPLEMENTATION OF AND GIVING EFFECT TO THE INCREASE IN AUTHORIZED SHARE CAPITAL OF THE COMPANY

(A) TO AUTHORIZE THE REDUCTION OF THE AMOUNT STANDING TO THE CREDIT OF THE SHARE PREMIUM ACCOUNT OF THE COMPANY BY AN AMOUNT OF USD 910,849,175.17 AND TO AUTHORIZE THE

8	DIRECTORS OF THE COMPANY TO APPLY SUCH AMOUNT TO ELIMINATE THE ACCUMULATED LOSSES OF THE COMPANY AS AT 31 DECEMBER 2016 IN THE SAME AMOUNT; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY	Management	For	For
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TO DO ALL ACTS ON BEHALF OF THE COMPANY AS HE/SHE MAY CONSIDER NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH, THE IMPLEMENTATION OF AND COMPLETION OF THE SHARE PREMIUM REDUCTION OF THE COMPANY

9	(A) TO APPROVE AND CONFIRM THE PROPOSED GRANT OF 2,109,318 RSUS TO DR. TZU-YIN CHIU, THE FORMER CHIEF EXECUTIVE OFFICER OF THE COMPANY AND A NON-EXECUTIVE DIRECTOR, IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN, AND SUBJECT TO ALL	Management	For	For
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APPLICABLE LAWS, RULES, REGULATIONS AND THE OTHER APPLICABLE DOCUMENTS; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY TO EXERCISE THE POWERS OF THE COMPANY TO ALLOT AND ISSUE THE COMMON SHARES OF THE COMPANY PURSUANT TO THE PROPOSED RSU GRANT UNDER THE SPECIFIC MANDATE GRANTED TO THE DIRECTORS BY THE SHAREHOLDERS AT THE ANNUAL GENERAL MEETING OF THE COMPANY HELD ON 13 JUNE 2013 IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN AND/OR TO DO ALL SUCH ACTS ON BEHALF OF THE COMPANY AS HE/SHE MAY CONSIDER NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH THE IMPLEMENTATION AND COMPLETION OF THE TRANSACTIONS CONTEMPLATED PURSUANT TO THE PROPOSED RSU GRANT

10	(A) TO APPROVE AND CONFIRM THE PROPOSED GRANT OF 62,500 RSUS TO DR. CHEN SHANZHI, A NON-EXECUTIVE DIRECTOR, IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN, AND SUBJECT TO ALL APPLICABLE LAWS, RULES, REGULATIONS AND THE OTHER APPLICABLE DOCUMENTS; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY TO EXERCISE THE POWERS OF THE COMPANY TO ALLOT AND ISSUE THE COMMON SHARES OF THE COMPANY PURSUANT TO THE PROPOSED RSU GRANT UNDER THE SPECIFIC MANDATE GRANTED TO THE DIRECTORS BY THE SHAREHOLDERS AT THE ANNUAL GENERAL MEETING OF	Management	For	For
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THE COMPANY HELD ON 13 JUNE 2013 IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN AND/OR TO DO ALL SUCH ACTS ON BEHALF OF THE COMPANY AS HE/SHE MAY CONSIDER NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH THE IMPLEMENTATION AND COMPLETION OF THE TRANSACTIONS CONTEMPLATED PURSUANT TO THE PROPOSED RSU GRANT

11	<p>(A) TO APPROVE AND CONFIRM THE PROPOSED GRANT OF 62,500 RSUS TO MR. LIP-BU TAN, AN INDEPENDENT NON-EXECUTIVE DIRECTOR, IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN, AND SUBJECT TO ALL APPLICABLE LAWS, RULES, REGULATIONS AND THE OTHER APPLICABLE DOCUMENTS; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY TO EXERCISE THE POWERS OF THE COMPANY TO ALLOT AND ISSUE THE COMMON SHARES OF THE COMPANY PURSUANT TO THE PROPOSED RSU GRANT UNDER THE SPECIFIC MANDATE GRANTED TO THE DIRECTORS BY THE SHAREHOLDERS AT THE ANNUAL GENERAL MEETING OF THE COMPANY HELD ON 13 JUNE 2013 IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN AND/OR TO DO ALL SUCH ACTS ON BEHALF OF THE COMPANY AS HE/SHE MAY CONSIDER NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH THE IMPLEMENTATION AND COMPLETION OF THE TRANSACTIONS CONTEMPLATED</p>	Management	For	For
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PURSUANT TO THE PROPOSED  
RSU GRANT

(A) TO APPROVE AND CONFIRM  
THE PROPOSED GRANT OF 187,500  
RSUS TO DR. SHANG-YI CHIANG,  
AN INDEPENDENT  
NON-EXECUTIVE DIRECTOR, IN  
ACCORDANCE WITH THE TERMS  
OF THE 2014 EQUITY INCENTIVE  
PLAN, AND SUBJECT TO ALL  
APPLICABLE LAWS, RULES,  
REGULATIONS AND THE OTHER  
APPLICABLE DOCUMENTS; AND  
(B) TO AUTHORIZE ANY

12	THE SPECIFIC MANDATE GRANTED TO THE DIRECTORS BY THE SHAREHOLDERS AT THE ANNUAL GENERAL MEETING OF THE COMPANY HELD ON 13 JUNE 2013 IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INVENTIVE PLAN AND/OR TO DO ALL SUCH ACTS ON BEHALF OF THE COMPANY AS HE/SHE MAY CONSIDER NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH THE IMPLEMENTATION AND COMPLETION OF THE TRANSACTIONS CONTEMPLATED PURSUANT TO THE PROPOSED RSU GRANT	Management	For	For
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13	(A) TO APPROVE AND CONFIRM THE PROPOSED GRANT OF 187,500 RSUS TO DR. TONG GUOHUA, A NON-EXECUTIVE DIRECTOR, IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN, AND SUBJECT TO ALL APPLICABLE LAWS, RULES, REGULATIONS AND THE OTHER APPLICABLE DOCUMENTS; AND (B) TO AUTHORIZE ANY	Management	For	For
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DIRECTOR OF THE COMPANY TO EXERCISE THE POWERS OF THE COMPANY TO ALLOT AND ISSUE THE COMMON SHARES OF THE COMPANY

PURSUANT TO THE PROPOSED RSU GRANT UNDER THE SPECIFIC MANDATE GRANTED TO THE DIRECTORS BY THE SHAREHOLDERS AT THE ANNUAL GENERAL MEETING OF THE COMPANY HELD ON 13 JUNE 2013 IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN AND/OR TO DO ALL SUCH ACTS ON BEHALF OF THE COMPANY AS HE/SHE MAY CONSIDER NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH THE IMPLEMENTATION AND COMPLETION OF THE TRANSACTIONS CONTEMPLATED PURSUANT TO THE PROPOSED RSU GRANT

14	<p>(A) TO APPROVE AND CONFIRM THE PROPOSED GRANT OF 187,500 RSUS TO DR. JASON JINGSHENG CONG, AN INDEPENDENT NON-EXECUTIVE DIRECTOR, IN ACCORDANCE WITH THE TERMS OF THE 2014 EQUITY INCENTIVE PLAN, AND SUBJECT TO ALL APPLICABLE LAWS, RULES, REGULATIONS AND THE OTHER APPLICABLE DOCUMENTS; AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY TO EXERCISE THE POWERS OF THE COMPANY TO ALLOT AND ISSUE THE COMMON SHARES OF THE COMPANY PURSUANT TO THE PROPOSED RSU GRANT UNDER THE SPECIFIC MANDATE GRANTED TO THE DIRECTORS BY THE SHAREHOLDERS AT THE ANNUAL GENERAL MEETING OF THE COMPANY HELD ON 13 JUNE 2013 IN ACCORDANCE WITH THE</p>	Management	For	For
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TERMS OF THE 2014 EQUITY  
INVENTIVE PLAN AND/OR TO DO  
ALL SUCH ACTS ON BEHALF OF  
THE COMPANY AS HE/SHE MAY  
CONSIDER NECESSARY,  
DESIRABLE OR EXPEDIENT FOR  
THE PURPOSE OF, OR IN  
CONNECTION WITH THE  
IMPLEMENTATION AND  
COMPLETION OF THE  
TRANSACTIONS CONTEMPLATED  
PURSUANT TO THE PROPOSED  
RSU GRANT

(A) TO APPROVE AND CONFIRM  
THE PROPOSED PAYMENT OF USD  
688,000 TO DR.CHIU, THE NON-  
EXECUTIVE VICE CHAIRMAN  
AND A NON- EXECUTIVE  
DIRECTOR, AS A TOKEN OF  
APPRECIATION FOR HIS  
CONTRIBUTIONS TO THE  
COMPANY DURING HIS TENURE  
AS CHIEF EXECUTIVE OFFICER OF  
THE COMPANY BETWEEN 5  
AUGUST 2011 AND 10 MAY 2017;

15	AND (B) TO AUTHORIZE ANY DIRECTOR OF THE COMPANY (WITH THE EXCEPTION OF DR. CHIU) BE AND IS HEREBY AUTHORISED TO DO ALL ACTS ON BEHALF OF THE COMPANY AS HE/SHE MAY CONSIDER NECESSARY, DESIRABLE OR EXPEDIENT FOR THE PURPOSE OF, OR IN CONNECTION WITH, THE GRATUITY OF THE PROPOSED PAYMENT TO DR. CHIU	Management	For	For
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Account Number	Account Name	Internal Account	Custodian, Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
GLQ	GLQ		STATE STREET 780,000 BANK	0	02-Jun-2017	19-Jun-2017
GLO	GLO		STATE STREET 1,960,000 BANK	0	02-Jun-2017	19-Jun-2017

INTRA-CELLULAR THERAPIES INC

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Security	46116X101	Meeting Type	Annual
Ticker Symbol	ITCI	Meeting Date	27-Jun-2017
ISIN	US46116X1019	Agenda	934621978 - Management
Record Date	28-Apr-2017	Holding Recon Date	28-Apr-2017
City / Country	/ United States	Vote Deadline Date	26-Jun-2017
SEDOL(s)		Quick Code	

Item	Proposal	Proposed by	Vote	For/Against Management
1.	DIRECTOR 1 RICHARD LERNER, M.D. TO RATIFY THE APPOINTMENT OF ERNST & YOUNG LLP AS THE COMPANY'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE FISCAL YEAR ENDING DECEMBER 31, 2017.	Management	For	For
2.	TO APPROVE BY AN ADVISORY VOTE THE COMPENSATION OF OUR NAMED EXECUTIVE OFFICERS, AS DISCLOSED IN THE PROXY STATEMENT.	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
997GLQ	GLQ	997GLQ	STATE STREET BANK & TRUST CO	69,200	0	10-May-2017	28-Jun-2017
997AL49	GLO BNP PLEDGE	997AL49	STATE STREET BANK & TRUST CO	210,500	0	10-May-2017	28-Jun-2017
997AL53	GLO MS PLEDGE	997AL53	STATE STREET BANK & TRUST CO	30,000	0	10-May-2017	28-Jun-2017

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997AL61	GLQ MS PLEDGE	997AL61	STATE STREET BANK & TRUST CO	25,000	0	10-May-2017	28-Jun-2017
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NINTENDO CO.,LTD.

Security	J51699106	Meeting Type	Annual General Meeting
Ticker Symbol		Meeting Date	29-Jun-2017
ISIN	JP3756600007	Agenda	708274446 - Management
Record Date	31-Mar-2017	Holding Recon Date	31-Mar-2017
City / Country	KYOTO / Japan	Vote Deadline Date	21-Jun-2017
SEDOL(s)	5334209 - 6639550 - B02JMD1 - B0ZGTW7 - BRTM8H8	Quick Code	79740

Item	Proposal	Proposed by	Vote	For/Against Management
	Please reference meeting materials.	Non-Voting		
1	Approve Appropriation of Surplus	Management	For	For
2.1	Appoint a Director except as Supervisory Committee Members Kimishima, Tatsumi	Management	For	For
2.2	Appoint a Director except as Supervisory Committee Members Miyamoto, Shigeru	Management	For	For
2.3	Appoint a Director except as Supervisory Committee Members Takahashi, Shinya	Management	For	For
2.4	Appoint a Director except as Supervisory Committee Members Furukawa, Shuntaro	Management	For	For
2.5	Appoint a Director except as Supervisory Committee Members Shiota, Ko	Management	For	For

Account Number	Account Name	Internal Account	Custodian	Ballot Shares	Unavailable Shares	Vote Date	Date Confirmed
GLV	GLV		STATE STREET BANK	1,800	0	07-Jun-2017	28-Jun-2017

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GLQ	GLQ	STATE STREET 5,700 BANK	0	07-Jun-2017	28-Jun-2017
GLO	GLO	STATE STREET 14,800 BANK	0	07-Jun-2017	28-Jun-2017

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SIGNATURE

Pursuant to the requirements of the Investment Company Act of 1940, the Registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Clough Global Equity  
Fund

By: /s/ Edmund J. Burke  
Edmund J. Burke  
President

Date: August 23, 2017