#### Edgar Filing: FORUM ENERGY TECHNOLOGIES, INC. - Form 4/A

FORUM ENERGY TECHNOLOGIES, INC. Form 4/A January 09, 2014 FORM 4 OMB APPROVAL UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Harris James Whelan Issuer Symbol FORUM ENERGY (Check all applicable) TECHNOLOGIES, INC. [FET] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) 920 MEMORIAL CITY 07/11/2013 SVP & CFO WAY, SUITE 1000 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person 07/12/2013 Form filed by More than One Reporting HOUSTON, TX 77024 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired 6. Ownership 7. Nature of 1.Title of 2. Transaction Date 2A. Deemed 3. 5. Amount of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect (Instr. 3) anv Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) Owned Indirect (I) Ownership (Instr. 4) (Instr. 4) Following Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common \$ 07/11/2013 31,450 Μ Α 206,434 D Stock (1) 6.08

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

#### 1. Title of 3. Transaction Date 3A. Deemed 5. Number of 6. Date Exercisable and 7. Title and Amount of 2. 4. Derivative Conversion (Month/Day/Year) Execution Date, if TransactionDerivative Expiration Date **Underlying Securities** (Instr. 3 and 4) Security or Exercise any Code Securities (Month/Day/Year) Acquired (A) Price of (Month/Day/Year) (Instr. 8) (Instr. 3) Derivative or Disposed of Security (D) (Instr. 3, 4, and 5) Amount Expiration Date or Title Exercisable Date Number Code V (A) (D) of Shares Employee Stock Common (2) 06/30/2014 31,450 Option \$ 6.08 07/11/2013 Μ 31,450 Stock (Right to Buy)

# Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Harris James Whelan 920 MEMORIAL CITY WAY SUITE 1000 HOUSTON, TX 77024			SVP & 0	CFO
Signatures				
/s/ James W. Harris by John C. Ivascu as Attorney-in-Fact			01/09/2014	
<u>**</u> Signature of Reportin	g Person			Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This Form 4/A is filed to reflect the broker assisted cashless exercise of options underlying the sale of common stock disclosed by the
 (1) Reporting Person on July 11, 2013 and correct the Reporting Person's beneficial ownership of common stock following the transactions reported in this Form 4/A.

(2) The options are exercisable in accordance with the following schedule: 1/4 of the options become exercisable on each of the first, second, third and fourth anniversaries of the date of grant (7/1/2009).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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