## Edgar Filing: GAUT C CHRISTOPHER - Form 5

### GAUT C CHRISTOPHER

Form 5

Stock

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280,628

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February 13, 2018

February 13	, 2018										
FORM	15							OMB AF	PROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION							OMB Number:	3235-0362			
Check thi no longer		Wa	Washington, D.C. 20549					Expires:	January 31, 2005		
to Sectior Form 4 or 5 obligati may conti	r Form ANN ons inue.		CATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES				FICIAL	Estimated average burden hours per response 1.0			
See Instru 1(b). Form 3 H Reported Form 4 Transactic Reported	Filed pur foldings Section 17(s	suant to Section 1 a) of the Public U 30(h) of the Ir	tility Holdin	ng Comp	any 1	Act of 1		1			
	Address of Reporting HRISTOPHER	Person * 2. Issuer Symbol					5. Relationship of Reporting Person(s) to Issuer				
							(Check all applicable)				
(Last)	(First) (M	(Month/I	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  12/31/2017					title 10% Owner Other (specify below)			
920 MEMO WAY, SU	ORIAL CITY JITE 1000	12/31/2	.017								
(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)					6	6. Individual or Joint/Group Reporting  (check applicable line)					
HOHEMON	. î m. î										
HOUSTON	I, TX 77024					_	X_ Form Filed by C Form Filed by Merson				
(City)	(State)	(Zip) Tab	le I - Non-Der	rivative Se	curiti	es Acqui	red, Disposed of	, or Beneficiall	y Owned		
1.Title of Security (Instr. 3)	y (Month/Day/Year) Execution any						Owned at end of Issuer's Fiscal Year (Instr. 3 and	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	06/30/2017	Â	A	Amount 551 (1)		Price \$ 13.26	4) 927,806 <u>(2)</u>	D	Â		
Common Stock	12/31/2017	Â	A	764 <u>(1)</u>	A	\$ 13.22	928,570	D	Â		
Common	â	â	<b>?</b>	â	â	â	200 (20	T	See		

Footnote

(3)

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See Common Â Â ÂÂ I Footnote 280,628 Stock Persons who respond to the collection of information Reminder: Report on a separate line for each class of SEC 2270 securities beneficially owned directly or indirectly. contained in this form are not required to respond unless (9-02)the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amor Unde Secur	le and unt of rlying rities . 3 and 4)	8. Price of Derivative Security (Instr. 5)
				(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

of D

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

GAUT C CHRISTOPHER

920 MEMORIAL CITY WAY SUITE 1000

HOUSTON, TXÂ 77024

## **Signatures**

/s/ C. Christopher Gaut by John C. Ivascu as Attorney-in-Fact 02/13/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported securities were acquired under the Forum Energy Technologies, Inc. Employee Stock Purchase Plan in exempt transactions under both Rule 16b-3(d) and Rule 16b-3(c).
- (2) The amount of shares beneficially owned reflects a distribution of 56,432 shares of common stock by trusts held for the benefit of the reporting person and his spouse.
- (3) These securities are held in trusts for the benefit of the reporting person. The reporting person is the trustee of the trusts. The reporting person disclaims beneficial ownership of the reported securities except to the extent of any pecuniary interest therein, and the inclusion of

Reporting Owners 2

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these securities in this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

These securities are held in trusts for the benefit of the reporting person's spouse. The reporting person's spouse is the trustee of the trusts.

The reporting person disclaims beneficial ownership of the reported securities except to the extent of any pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.