

Edgar Filing: SPOTT FOCUS TRUST INC. - Form NSAR-A

SPOTT FOCUS TRUST INC.

Form NSAR-A

August 28, 2017

PAGE 1
000 A000000 06/30/2017
000 C000000 0000825202
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 SPOTT FOCUS TRUST, INC.
001 B000000 811-05379
001 C000000 4169434065
002 A000000 200 BAY STREET, SUITE 2700
002 B000000 TORONTO
002 E010000 CANADA
002 E020000 M5J 2J1
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 SPOTT ASSET MANAGEMENT LP
008 B000001 A
008 C000001 801-72899
008 D010001 TORONTO
008 D050001 CANADA
008 D060001 M5J 2J1
008 A000002 SPOTT ASSET MANAGEMENT USA, INC.
008 B000002 S
008 C000002 801-66131
008 D010002 CARLSBAD
008 D020002 CA
008 D030002 92008
010 A000001 STATE STREET BANK AND TRUST COMPANY
010 B000001 85-05003
010 C010001 BOSTON
010 C020001 MA
010 C030001 02111
012 A000001 COMPUTERSHARE TRUST COMPANY
012 B000001 84-05925
012 C010001 CANTON
012 C020001 MA
012 C030001 02021
013 A000001 TAIT, WELLER & BAKER
013 B010001 PHILADELPHIA
013 B020001 PA
013 B030001 19103
014 A000001 SPOTT GLOBAL RESOURCE INVESTMENT LTD.
014 B000001 8-47039
PAGE 2
015 A000001 STATE STREET BANK AND TRUST COMPANY
015 B000001 C
015 C010001 QUINCY
015 C020001 MA
015 C030001 02169
015 E010001 X

Edgar Filing: SPROTT FOCUS TRUST INC. - Form NSAR-A

015 A000002 CANADA SELF CUSTODY CDSX DEBT CLEARING SYSTEM
015 B000002 S
015 C010002 TORONTO
015 D010002 CANADA
015 E040002 X
015 A000003 STATE STREET BK LONDON CREST
015 B000003 S
015 C010003 LONDON
015 D010003 UNITED KINGDOM
015 E040003 X
015 A000004 STANDARD CHARTERED BANK (HONG KONG) LIMITED
015 B000004 S
015 C010004 HONG KONG
015 D010004 HONG KONG
015 E040004 X
018 000000 Y
019 A000000 N
019 B000000 0
020 A000001 FIDELITY CLEARING CANADA
020 B000001 06-1303651
020 C000001 30
020 A000002 CANTOR FITZGERALD & CO.
020 B000002 13-3680184
020 C000002 26
020 A000003 STIFEL, NICOLAUS & COMPANY, INCORPORATED
020 B000003 43-0538770
020 C000003 10
020 A000004 MERRILL LYNCH & CO, INC
020 B000004 13-5674085
020 C000004 3
020 A000005 MACQUARIE SECURITIES
020 B000005 98-0141094
020 C000005 3
020 A000006 CORMARK SECURITIES, INC.
020 B000006 00-0000000
020 C000006 3
020 A000007 CIBC WORLD MARKETS CORP.
020 B000007 58-1760354
020 C000007 2
020 A000008 ITG INC.
020 B000008 95-4339369
020 C000008 2
020 A000009 UBS SECURITIES LLC
020 B000009 13-1939216
020 C000009 2
PAGE 3
020 A000010 JP MORGAN CLEARING CORP
020 B000010 13-3604093
020 C000010 2
021 000000 92
022 A000001 STATE STREET BANK & TRUST COMPANY
022 B000001 04-1867445
022 C000001 1943658
022 D000001 0
023 C000000 1943658
023 D000000 0
024 000000 Y
025 A000001 STATE STREET BANK & TRUST COMPANY
025 B000001 04-1867445
025 C000001 D
025 D000001 13779
026 A000000 N

Edgar Filing: SPROTT FOCUS TRUST INC. - Form NSAR-A

026	B000000	Y	
026	C000000	N	
026	D000000	Y	
026	E000000	N	
026	F000000	N	
026	G010000	N	
026	G020000	N	
026	H000000	N	
027	000000	N	
028	A010000		0
028	A020000		0
028	A030000		0
028	A040000		0
028	B010000		0
028	B020000		0
028	B030000		0
028	B040000		0
028	C010000		0
028	C020000		0
028	C030000		0
028	C040000		0
028	D010000		0
028	D020000		0
028	D030000		0
028	D040000		0
028	E010000		0
028	E020000		0
028	E030000		0
028	E040000		0
028	F010000		0
028	F020000		0
028	F030000		0
028	F040000		0
028	G010000		0
028	G020000		0
	PAGE	4	
028	G030000		0
028	G040000		0
028	H000000		0
030	A000000		0
030	B000000	0.00	
030	C000000	0.00	
031	A000000		0
031	B000000		0
032	000000		0
033	000000		0
035	000000		0
036	B000000		0
038	000000		0
042	A000000		0
042	B000000		0
042	C000000		0
042	D000000		0
042	E000000		0
042	F000000		0
042	G000000		0
042	H000000		0
043	000000		0
044	000000		0
045	000000	Y	
046	000000	N	
047	000000	Y	

Edgar Filing: SPROTT FOCUS TRUST INC. - Form NSAR-A

048	000000	1.000
048	A010000	0
048	A020000	0.000
048	B010000	0
048	B020000	0.000
048	C010000	0
048	C020000	0.000
048	D010000	0
048	D020000	0.000
048	E010000	0
048	E020000	0.000
048	F010000	0
048	F020000	0.000
048	G010000	0
048	G020000	0.000
048	H010000	0
048	H020000	0.000
048	I010000	0
048	I020000	0.000
048	J010000	0
048	J020000	0.000
048	K010000	0
048	K020000	0.000
049	000000	N
050	000000	N
	PAGE	5
051	000000	N
052	000000	N
053	A000000	N
054	A000000	N
054	B000000	N
054	C000000	N
054	D000000	N
054	E000000	N
054	F000000	N
054	G000000	N
054	H000000	Y
054	I000000	N
054	J000000	N
054	K000000	N
054	L000000	N
054	M000000	Y
054	N000000	N
054	O000000	N
055	A000000	N
055	B000000	N
056	000000	Y
057	000000	N
058	A000000	N
059	000000	Y
060	A000000	Y
060	B000000	Y
061	000000	0
062	A000000	N
062	B000000	0.0
062	C000000	0.0
062	D000000	0.0
062	E000000	0.0
062	F000000	0.0
062	G000000	0.0
062	H000000	0.0
062	I000000	0.0

Edgar Filing: SPROTT FOCUS TRUST INC. - Form NSAR-A

062	J000000	0.0
062	K000000	0.0
062	L000000	0.0
062	M000000	0.0
062	N000000	0.0
062	O000000	0.0
062	P000000	0.0
062	Q000000	0.0
062	R000000	0.0
063	A000000	0
063	B000000	0.0
066	A000000	Y
066	B000000	N
066	C000000	Y
066	D000000	N
	PAGE	6
066	E000000	N
066	F000000	N
066	G000000	N
067	000000	N
068	A000000	N
068	B000000	N
069	000000	N
070	A010000	Y
070	A020000	Y
070	B010000	Y
070	B020000	N
070	C010000	Y
070	C020000	N
070	D010000	Y
070	D020000	N
070	E010000	N
070	E020000	N
070	F010000	N
070	F020000	N
070	G010000	Y
070	G020000	N
070	H010000	N
070	H020000	N
070	I010000	N
070	I020000	N
070	J010000	Y
070	J020000	N
070	K010000	Y
070	K020000	Y
070	L010000	Y
070	L020000	Y
070	M010000	Y
070	M020000	Y
070	N010000	Y
070	N020000	Y
070	O010000	Y
070	O020000	N
070	P010000	Y
070	P020000	N
070	Q010000	N
070	Q020000	N
070	R010000	Y
070	R020000	N
071	A000000	29983
071	B000000	33498
071	C000000	190312

Edgar Filing: SPROTT FOCUS TRUST INC. - Form NSAR-A

071	D000000	16
072	A000000	6
072	B000000	5
072	C000000	2127
072	D000000	0
	PAGE	7
072	E000000	291
072	F000000	1026
072	G000000	43
072	H000000	0
072	I000000	14
072	J000000	15
072	K000000	15
072	L000000	11
072	M000000	13
072	N000000	11
072	O000000	0
072	P000000	0
072	Q000000	0
072	R000000	18
072	S000000	139
072	T000000	0
072	U000000	0
072	V000000	1
072	W000000	16
072	X000000	1322
072	Y000000	91
072	Z000000	1192
072AA	000000	9277
072BB	000000	0
072CC	010000	2086
072CC	020000	0
072DD	010000	4911
072DD	020000	0
072EE	000000	0
073	A010000	0.2000
073	A020000	0.0000
073	B000000	0.0000
073	C000000	0.0000
074	A000000	23
074	B000000	18370
074	C000000	0
074	D000000	0
074	E000000	0
074	F000000	189696
074	G000000	0
074	H000000	0
074	I000000	13779
074	J000000	0
074	K000000	23
074	L000000	302
074	M000000	15
074	N000000	222208
074	O000000	0
074	P000000	172
074	Q000000	0
074	R010000	0
	PAGE	8
074	R020000	0
074	R030000	0
074	R040000	13965
074	S000000	0

Edgar Filing: SPROTT FOCUS TRUST INC. - Form NSAR-A

074	T000000	208071
074	U010000	24875
074	U020000	0
074	V010000	8.36
074	V020000	0.00
074	W000000	0.0000
074	X000000	409
074	Y000000	0
075	A000000	0
075	B000000	206873
076	000000	7.37
077	A000000	N
078	000000	N
080	C000000	0
081	B000000	0
082	B000000	0
083	B000000	0
084	B000000	0
086	A010000	427
086	A020000	3122
086	B010000	0
086	B020000	0
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	COMMON STOCK
087	A020000	78080N108
087	A030000	FUND
088	A000000	N
088	B000000	N
088	C000000	N
088	D000000	N
SIGNATURE	THOMAS ULRICH	
TITLE	PRESIDENT	