## Edgar Filing: Allison Transmission Holdings Inc - Form 4

Allison Transmission Holdings Inc Form 4 June 14, 2013

June 14, 20	13									
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL		
Check t	his box	Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF							3235-0287 January 31,	
if no lor									2005	
Section	subject to Section 16. SECURITIES						Estimated average burden hours per			
Form 4 Form 5								response	0.5	
obligations may continue.Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).										
(Print or Type	Responses)									
1. Name and Price Robe	er Name <b>and</b> Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer					
	on Transmission Holdings Inc N]				(Check all applicable)					
(Last)	(First) (Mid		of Earliest	Fransaction		-	Director _X Officer (give t		Owner r (specify	
ONE ALL	Day/Year) 2013				below) below) VP, Human Resources					
			nendment, Date Original onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
INDIANA	POLIS, IN 46222					- I	Form filed by Mo Person	ore than One Rej	porting	
(City)	(State) (Zi	<sup>ip)</sup> Tal	ble I - Non-	Derivative	Secu	rities Acqui	ired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	(Month/Day/Year) E	Transaction Date 2A. Deemed onth/Day/Year) Execution Date, if any (Month/Day/Year)			ies Ac ed of 4 and 3 (A) or	5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	OwnershipIndirectForm:BeneficiaDirect (D)Ownership	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common			Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common Stock	04/11/2013		М	11,356	А	\$ 8.44	35,056	D		
Common Stock	04/11/2013		М	12,000	А	\$ 12.66	47,056	D		
Common Stock	04/11/2013		М	10,000	А	\$ 16.88	57,056	D		
Common Stock	04/11/2013		S <u>(1)</u>	33,356	D	\$ 23.1148 (2)	23,700	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 16.88	06/11/2013		М	10,000	(3)	09/30/2017	Common Stock	10,000
Employee Stock Option (right to buy)	\$ 8.44	06/11/2013		М	11,356	<u>(3)</u>	09/30/2017	Common Stock	11,356
Employee Stock Option (right to buy)	\$ 12.66	06/11/2013		М	12,000	(3)	09/30/2017	Common Stock	12,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
Price Robert M. ONE ALLISON WAY INDIANAPOLIS, IN 46222			VP, Human Resources				
Signatures							
/s/ Eric C. Scroggins, attorney-in-fact		06/13/2013					
<u>**</u> Signature of Reporting Person		Date					

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 30, 2012.
- The price reported is a weighted average price. These shares were sold in multiple transactions at per share prices ranging from \$22.9500
   (2) to \$23.4600. The reporting person undertakes to provide the Company, any security holder of the Company or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (3) The option vested in five equal annual installments beginning on August 7, 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.