## Edgar Filing: Harker William R - Form 4

Harker Will Form 4							
August 15, <b>FORM</b>	ЛЛ	STATES SEC	URITIES AND EXCHANGE	COMMISSION		PPROVAL	
			Washington, D.C. 20549			3235-0287	
Check t if no lor subject Section Form 4	nger <b>STATEN</b> to 16.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section					
Form 5 obligati may con <i>See</i> Inst 1(b).	ons Section 170 ruction						
(Print or Type	Responses)						
			Symbol Issuer		of Reporting Person(s) to		
		Allis [ALS	on Transmission Holdings Inc SN]	(Check all applicable)			
	(First) ( SON TRANSMIS SS INC., ONE AL	(Mon SION 08/1	e of Earliest Transaction h/Day/Year) 1/2017	X Director Officer (give below)		% Owner her (specify	
			Amendment, Date Original Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
INDIANA	POLIS, IN 46222				More than One R		
(City)	(State)	(Zip)	able I - Non-Derivative Securities A	Acquired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date any (Month/Day/Year)		2A. Deemed Execution Date, i	<ul> <li>3. 4. Securities</li> <li>f TransactionAcquired (A) or Code Disposed of (D)</li> <li>(Instr. 8) (Instr. 3, 4 and 5)</li> </ul> (A) or	5. Amount of 6 Securities H Beneficially ( Owned (	6. Ownership Form: Direct D) or Indirect I) Instr. 4)	7. Nature of Indirect	
			Code V Amount (D) Price				
Reminder: Re	port on a separate line	e for each class of s	ecurities beneficially owned directly	or indirectly.			

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Execution Date, if T any C	4. Transacti Code (Instr. 8)	5. Number onof Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	Expiration I e (Month/Day	Date	7. Title and A Underlying S (Instr. 3 and	Securities	8. Price of Derivativ Security (Instr. 5)
				Code V	(A) (E	) Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Deferred Stock Units <sup>(1)</sup>	<u>(2)</u>	08/11/2017		А	580	(2)	(2)	Common Stock	580	\$ 0 <u>(3</u>

## **Reporting Owners**

Reporting Owner Name / Address		Relationships				
	Director	10% Owner	Officer	Other		
Harker William R C/O ALLISON TRANSMISSION HOLDINGS INC. ONE ALLISON WAY INDIANAPOLIS, IN 46222	Х					
Signatures						
/s/ Eric C. Scroggins, attorney-in-fact 08/15/2017	7					

Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The deferred stock units ("DSUs") represent a quarterly payment of the reporting person's annual retainer and other fees under the Allison Transmission Holdings, Inc. (the "Company") Second Amended and Restated Non-Employee Director Compensation Policy deferred (1)

<sup>1)</sup> pursuant to the Company's Amended and Restated Non-Employee Director Deferred Compensation Plan. The annual retainer and other fees are payable quarterly in arrears.

Each DSU is the economic equivalent of one share of the Company's common stock. The DSUs become payable in common stock or, at(2) the Company's election, cash, at the earlier of the reporting person's separation from service or a change in control. DSUs earn dividend equivalents when dividends are declared on the Company's common stock.

(3) The number of DSUs received was calculated based on \$36.57, which was the closing price of the Company's common stock on the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.