Edgar Filing: Altmaier Judy L - Form 4

Altmaier Ju Form 4	ıdy L							
February 2	1, 2019							
FORM	Л Д				OMB A	PPROVAL		
	CURINI 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSIO Washington, D.C. 20549					3235-0287		
Check t if no los subject Section Form 4 Form 5	nger to STATEN 16. or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						
obligati may co <i>See</i> Inst 1(b).	ntinue. Section 17(Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940						
(Print or Type	e Responses)							
1. Name and Address of Reporting Person <u>*</u> Altmaier Judy L			suer Name and Ticker or Trading ol on Transmission Holdings Inc SN]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle) C/O ALLISON TRANSMISSION HOLDINGS, INC., ONE ALLISON WAY			te of Earliest Transaction th/Day/Year) 9/2019	X Director Officer (give t below)		6 Owner er (specify		
	(Street)		Amendment, Date Original Month/Day/Year)	Applicable Line)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
INDIANA	POLIS, IN 46222			Form filed by M Person				
(City)	(State)	(Zip) T	Cable I - Non-Derivative Securities	Acquired, Disposed of	, or Beneficial	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		Code Disposed of (D)	SecuritiesFeBeneficially(IOwned(IFollowing(IReportedTransaction(s)(Instr. 3 and 4)	Ownership orm: Direct D) or Indirect) nstr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
		6 1 1 6						
Keminder: Re	eport on a separate line	e for each class of s	securities beneficially owned directly	or indirectly.	lon of	FO 1474		

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number onof Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	Expiration I e (Month/Day	6. Date Exercisable and Expiration Date Underlyin (Month/Day/Year) (Instr. 3 a			8. Price o Derivativ Security (Instr. 5)
			Code V	(A) (E) Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Deferred Stock Units (1)	<u>(2)</u>	02/19/2019	А	605	(2)	(2)	Common Stock	605	\$ 0 <u>(3</u>

Reporting Owners

Reporting Owner Name / Address		Relationships					
		Director	10% Owner	Officer	Other		
Altmaier Judy L C/O ALLISON TRANSMISSION HOLI ONE ALLISON WAY INDIANAPOLIS, IN 46222	DINGS, INC.	Х					
Signatures							
/s/ Jacalyn C. Bolles, attorney-in-fact	02/21/2019						
****	D.						

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The deferred stock units ("DSUs") represent the portion of the reporting person's prorated annual equity award under the Allison
 (1) Transmission Holdings, Inc. (the "Company") Third Amended and Restated Non-Employee Director Compensation Policy deferred pursuant to the Company's Amended and Restated Non-Employee Director Deferred Compensation Plan.

Each DSU is the economic equivalent of one share of the Company's common stock. The DSUs vest on the date of the next annual meeting of the stockholders of the Company and become payable, in common stock, or at the Company's election, cash, at the earlier of

- (2) Intering of the stockholders of the company and become payable, in common stock, of at the company's election, easily at the carrier of the reporting person's separation from service or a change in control. DSUs earn dividend equivalents when dividends are declared on the Company's common stock.
- (3) The number of DSUs received was calculated based on \$49.56 which was the closing price of the Company's common stock on the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.