MidWestOne Financial Group, Inc.

Form 4 April 03, 2015

FORM 4

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

Washington, D.C. 20549

January 31, Expires: 2005

Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Evans Susan R

(Last)

(City)

Symbol MidWestOne Financial Group, Inc.

(Check all applicable)

[MOFG]

Director 10% Owner Other (specify

(Middle) 3. Date of Earliest Transaction (Month/Day/Year)

X_ Officer (give title below)

102 S. CLINTON STREET, P.O.

(First)

04/02/2015

Chief Operating Officer

BOX 1700

6. Individual or Joint/Group Filing(Check Applicable Line)

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

(Zip)

X Form filed by One Reporting Person Form filed by More than One Reporting

I

Person

IOWA CITY, IA 52244-1700

(State)

04/02/2015

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) (Month/Day/Year)

3. 4. Securities Acquired 5. Amount of Transaction(A) or Disposed of Code (D) (Instr. 3, 4 and 5) (Instr. 8)

Securities Beneficially (D) or Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Ownership Indirect (I) (Instr. 4) (Instr. 4)

M

(A) or (D)

Transaction(s) (Instr. 3 and 4)

Code V Amount Price

15,913 D

 $2,665 \frac{(1)}{}$

Stock Common

Stock

Common

500 9.34

By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

8. I Der Sec

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 16.69					(2)	04/01/2018	Common Stock	500
Employee Stock Option (Right to Buy)	\$ 9.34	04/02/2015		M	500	(3)	01/22/2019	Common Stock	500
Employee Stock Option (Right to Buy)	\$ 7.02					<u>(4)</u>	07/16/2019	Common Stock	1,200

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

Evans Susan R 102 S. CLINTON STREET P.O BOX 1700 IOWA CITY, IA 52244-1700

Chief Operating Officer

Signatures

Kenneth R. Urmie, under Power of Attorney dated July 17, 2009 04/03/2015

**Signature of Reporting Person Date

Reporting Owners 2

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held in the MidWestOne Financial Group, Inc. Employee Stock Ownership Plan as of April 2, 2015. Shares increased by 342 shares since the date of the reporting person's last filing due to additional shares allocated to the reporting person's ESOP account.
- (2) The option vests in four equal annual installments beginning on April 1, 2009.
- (3) The option vests in four equal annual installments beginning on January 22, 2010.
- (4) The option vests in four equal annual installments beginning on July 16, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.