#### Edgar Filing: RILEY KEVIN L - Form 4

RILEY KEV Form 4											
July 28, 2017									OMB AF	PPROVAL	
Washington, D.C										3235-0287	
Check thi if no long subject to Section 1 Form 4 or	6.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940								January 31, 2005 average rs per 0.5	
Form 5 obligatior may conti <i>See</i> Instru 1(b).	<sup>18</sup> Section 17( action										
(Print or Type R	Responses)										
1. Name and Address of Reporting Person <u>*</u> RILEY KEVIN L			2. Issuer Name <b>and</b> Ticker or Trading Symbol HAWTHORN BANCSHARES, INC. [HWBK]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
	HORN BANCS AST HIGH STR		3. Date of (Month/D 07/26/2	-	ransaction			Director Officer (give below)		Owner er (specify	
	(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)					<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>					
	N CITY, MO 65							Person		porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)		ansaction Date 2A. Deemed hth/Day/Year) Execution Date, if any (Month/Day/Year)			4. Securi on(A) or Di (Instr. 3,	ispose 4 and (A) or	d of (D) 5)	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	07/26/2017	07/26/2	2017	Code V P	Amount 375	(D) A	Price \$ 20.75	$\begin{array}{c} (1100,100,100,100,100,100,100,100,100,10$	Ι	Trust	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day/ e	ate Exercisable and iration Date nth/Day/Year)		e and int of lying ities 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Relationships

10% Owner Officer Other

Director

## **Reporting Owners**

**Reporting Owner Name / Address** 

**RILEY KEVIN L** C/O HAWTHORN BANCSHARES, INC. 132 EAST HIGH STREET, PO BOX 688 JEFFERSON CITY, MO 65102

# Signatures

/s/ Kevin L. 07/28/2017 Riley

\*\*Signature of

Date

Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes shares acquired since last report from the Company's July 1, 2017 4% stock dividend.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.