#### Edgar Filing: LIBERTY ALL STAR GROWTH FUND INC. - Form 3

#### LIBERTY ALL STAR GROWTH FUND INC.

Form 3

August 13, 2015

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement LIBERTY ALL STAR GROWTH FUND INC. [ASG]  **ALPS HOLDINGS INC** (Month/Day/Year) 12/18/2006 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O LIBERTY ALL-STAR (Check all applicable) FUNDS, Â 1290 BROADWAY **SUITE 1100** 10% Owner Director Officer (Street) \_\_X\_\_ Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) Affiliate of the Advisor \_X\_ Form filed by One Reporting Person DENVER, COÂ 80203 \_ Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Reminder: Report on a separate line for each class of securities beneficially

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	· ·		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		or Exercise	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	,	Amount or	Derivative Security	Security: Direct (D)	

Shares

or Indirect
(I)
(Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
ALPS HOLDINGS INC						
C/O LIBERTY ALL-STAR FUNDS	â	â	Â	Affiliate of the		
1290 BROADWAY SUITE 1100	A	А	A	Advisor		
DENVER Â COÂ 80203						

## **Signatures**

/s/ Thomas A.
Carter

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

#### No securities are beneficially owned

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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#### **Remarks:**

ALPS Advisors, Inc. is a subsidiary of ALPS Holdings, Inc., which is a wholly owned subsidiary Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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