Edgar Filing: CITIZENS & NORTHERN CORP - Form 4

CITIZENS & NORTHERN CO Form 4 January 08, 2016	ORP						
FORM A	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549					PROVAL 3235-0287 January 31,	
Section 16. Form 4 or Form 5 Filed purs	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940						
(Print or Type Responses)							
1. Name and Address of Reporting P Hoose Harold F III	Symbol	r Name and Ticker o ENS & NORTHE]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last) (First) (M 286 HUNTER'S TRAIL ROA	(Month/D	f Earliest Transactior Day/Year) 016		Director X Officer (give t below) Executiv		Owner r (specify nt	
(Street)	endment, Date Origin nth/Day/Year)		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
WELLSBORO, PA 16901	7:->			Person		-	
1.Title of Security2. Transaction Date (Month/Day/Year)(Instr. 3)	2A. Deemed Execution Date, if any	le I - Non-Derivative 3. 4. Securi Transactionor Dispo Code (Instr. 3, (Instr. 8) Code V Amount	ties Acquired (A) sed of (D) 4 and 5) (A) or	· • ·	or Beneficiall 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	y Owned 7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common 01/06/2016 Stock		$J_{(1)}^{(1)} 449$	A \$ 20.9899	6,517	Ι	By ESOP	
Common Stock				10,440	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	le and unt of rlying tities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Hoose Harold F III 286 HUNTER'S TRAIL ROAD WELLSBORO, PA 16901			Executive Vice President				
Signatures							
/s/ Teri L. Mitchell POA for Harold F. Hoose III 7/23/09			01/08/2016				
<u>**</u> Signature of Reporting I	Person		Date				

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Exempt acquisition via employer year end contribution to ESOP

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.