#### Edgar Filing: SPAR GROUP INC - Form 4

| SPAR GROU<br>Form 4   |  |   |                      |   |                |           |                                     |  |                                 |                        |
|---|--|---|----------------------|---|----------------|-----------|-------------------------------------|--|---------------------------------|------------------------|
| January 13, 2   |  |   |                      |   |                |           |                                     |  | OMB A                           | PPROVAL                |
| FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION         |  |   |                      |   | OMB<br>Number: | 3235-0287 |                                     |  |                                 |                        |
| Check thi<br>if no long<br>subject to<br>Section 1<br>Form 4 or | ger <b>STATE</b><br>6.   | Washington, D.C. 20549<br>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES |                      |   |                |           |                                     |  |                                 |                        |
| Form 5<br>obligation<br>may cont<br><i>See</i> Instru<br>1(b).  | inue. Section 17   | (a) of the  |                      | ility Hold  | ing Com        | pany      | Act of                              | e Act of 1934,<br>f 1935 or Section<br>40                            | n                               |                        |
| (Print or Type F  | Responses)   |   |                      |   |                |           |                                     |  |                                 |                        |
| 1. Name and A<br>BROWN RO                                       | ddress of Reporting<br>DBERT G/  | Person <u>*</u>   | Symbol               | Name and  |                |           | g                                   | 5. Relationship of<br>Issuer   |                                 |                        |
| (Last)  | (Last) (First) (Middle) 3. Date of Earliest Tra  |   |                      | ansaction   |                |           | ck all applicable)                  |  |                                 |                        |
|   | GROUP, INC., 3<br>STER AVE, SO<br>2204   |   | (Month/D<br>12/27/20 | •   |                |           |                                     | X Director<br>X Officer (give<br>below)                              | title Oth<br>below)<br>Chairman | % Owner<br>er (specify |
|   | (Street)   |   |                      | ndment, Dat<br>th/Day/Year)   | -              |           |                                     | 6. Individual or Jo<br>Applicable Line)<br>_X_ Form filed by C       | One Reporting Pe                | erson                  |
| WHITE PLA   | AINS, NY 10604   | 1   |                      |   |                |           |                                     | Form filed by M<br>Person  | fore than One Re                | eporting               |
| (City)  | (State)  | (Zip)   | Table                | e I - Non-D   | erivative S    | ecurit    | ties Acq                            | uired, Disposed of   | , or Beneficial                 | lly Owned              |
| 1.Title of<br>Security<br>(Instr. 3)                            | 2. Transaction Date 2A. Deemed<br>(Month/Day/Year) Execution Date, any<br>(Month/Day/Year) |   | on Date, if          | <ul> <li>3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)</li> <li>(A) or Code V Amount (D) Price</li> </ul> |                |           | Securities<br>Beneficially<br>Owned | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |                                 |                        |
| Common<br>Stock, \$.01<br>par value                             | 12/27/2016   |   |                      | G   | 20,000         |           | <u>(1)</u>                          | 5,671,489  | D                               |                        |
| Common<br>Stock, \$.01<br>par value                             | 12/27/2016   |   |                      | G   | 20,000         | D         | <u>(1)</u>                          | 5,651,489  | D                               |                        |
| Common<br>Stock, \$.01<br>par value                             | 12/27/2016   |   |                      | G   | 20,000         | D         | <u>(1)</u>                          | 5,631,489  | D                               |                        |
| Common  | 12/27/2016   |   |                      | G   | 25,000         | D         | <u>(1)</u>                          | 5,606,489  | D                               |                        |

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Stock, \$.01 par value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5.<br>of<br>Of<br>Derivative<br>Securities |                     | ate                | 7. Title<br>Amount<br>Underly<br>Securitie<br>(Instr. 3 | t of<br>ying<br>les | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owne |
|---|---|---|--|--|---------------------|--------------------|---|---------------------|---|--|
|   | Security  |   |  | Acquired (A) or                            |                     |                    |   |                     |   | Follo<br>Repo                          |
|   |   |   |  | Disposed<br>of (D)                         |                     |                    |   |                     |   | Trans<br>(Instr                        |
|   |   |   |  | (Instr. 3,<br>4, and 5)                    |                     |                    |   |                     |   | (mou                                   |
|   |   |   |  | r, and 5)                                  |                     |                    | A   | Amount              |   |  |
|   |   |   |  |  | Date<br>Exercisable | Expiration<br>Date | Title N   | or<br>Number<br>of  |   |  |
|   |   |   | Code V                                 | (A) (D)                                    |                     |                    |   | Shares              |   |  |

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b>  |          | Relation  |          |       |
|--|----------|-----------|----------|-------|
| the provide a strate of the st | Director | 10% Owner | Officer  | Other |
| BROWN ROBERT G/<br>C/O SPAR GROUP, INC.<br>333 WESTCHESTER AVE, SOUTH BLDG, STE 204<br>WHITE PLAINS, NY 10604  | Х        | Х         | Chairman |       |

## Signatures

| /s/ James Segreto, as attorney-in-fact under Power of Attorney Grant and Confirming<br>Statement dated November 7, 2002 | 01/13/2017 |
|---|------------|
|---|------------|

\*\*Signature of Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Not applicable

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date