JMP GROUP LLC

Form 4

December 07, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB

Number:

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if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

See Instruction

1(b).

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person * JMP GROUP LLC			2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
			Harvest Capital Credit Corp [HCAP]	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				
			(Month/Day/Year)	DirectorX 10% Owner			
600 MONTGOMERY STREET, SUITE 1100			12/05/2017	Officer (give title Other (specify below)			
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)	Applicable Line) Form filed by One Reporting Person Y. Form filed by More than One Reporting			
SAN FRANCISCO CA 94111				_X_ Form filed by More than One Reporting			

Person

SAN FRANCISCO, CA 94111

(State)

(Zin)

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securi oror Dispo (Instr. 3,	sed of 4 and (A) or	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	12/05/2017		P	300	A	\$ 11	823,132	I	See Footnote (2)		
Common Stock	12/06/2017		P	100	A	\$ 11	823,232	I	See Footnote		
Common Stock	12/07/2017		P	2,100	A	\$ 10.9971 <u>(1)</u>	825,332	I	See Footnote (2)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transactio	5. orNumber	6. Date Exerc Expiration D		7. Title an Amount o		
Security (Instr. 3)	or Exercise Price of Derivative Security	(x.151111. Day) 1 can)	any (Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Month/Day/		Underlyin Securities (Instr. 3 au	Security (Instr. 5	y Secur
				Code V	(A) (D)	Date Exercisable	Expiration Date	or Title Numof	mber ares	

Reporting Owners

Reporting Owner Name / Address	Relationships					
copyring of the randomination	Director	10% Owner	Officer	Other		
JMP GROUP LLC 600 MONTGOMERY STREET, SUITE 1100 SAN FRANCISCO, CA 94111		X				
JMP Group Inc. 600 MONTGOMERY STREET, SUITE 1100 SAN FRANCISCO, CA 94111		X				
JMP SECURITIES LLC 600 MONTGOMERY STREET, SUITE 1100 SAN FRANCISCO, CA 94111		X				
JMP Holding LLC 600 MONTGOMERY STREET, SUITE 1100 SAN FRANCISCO, CA 94111		X				
JMP Investment Holdings LLC 600 MONTGOMERY STREET, SUITE 1100 SAN FRANCISCO, CA 94111		X				

Signatures

/s/ Walter Conroy, Authorized Person of JMP Group LLC

12/07/2017

**Signature of Reporting Person

Date

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/s/ Walter Conroy, Authorized Person of JMP Group Inc. 12/07/2017

**Signature of Reporting Person Date

/s/ Walter Conroy, Authorized Person of JMP Holding LLC 12/07/2017

**Signature of Reporting Person Date

/s/ Walter Conroy, Authorized Person of JMP Investment Holdings

LLC 12/07/2017

**Signature of Reporting Person Date

/s/ Walter Conroy, Authorized Person of JMP Securities LLC 12/07/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The range of prices for the common shares is from 10.98 to 11.00. The Reporting Person undertakes that he will provide, upon request by the staff of the U.S. Securities and Exchange Commission, full information regarding the number of securities sold at each separate price.
 - 819,674 of these securities are owned by JMP Investment Holdings LLC, which is a wholly owned subsidiary of JMP Group LLC. JMP Group LLC is the indirect beneficial owner of the reported securities. 5,658 of these securities are owned by JMP Securities LLC
- (2) pursuant to the Issuer's Dividend Reinvestment Plan. JMP Securities LLC is a wholly owned subsidiary of JMP Holding LLC, which is a wholly owned subsidiary of JMP Group Inc., which is a wholly owned subsidiary of JMP Group LLC. JMP Group LLC is the indirect beneficial owner of the reported securities.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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