Forsythe Patrick John Form 4 August 14, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** OMB

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Check this box if no longer subject to Section 16. Form 4 or

**SECURITIES** Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Forsythe Patrick John

(Last) (First) (Middle)

S45 W29290 HWY.59, C/O

GENERAC HOLDINGS INC.

(Street)

(State)

08/10/2018

2. Issuer Name and Ticker or Trading

Symbol

GENERAC HOLDINGS INC. [GNRC]

3. Date of Earliest Transaction

(Month/Day/Year) 08/10/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify below)

EVP - Global Engineering

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

WAUKESHA, WI 53189

(City)

Common

Stock

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Month/Day/Year)

(Zip)

3. 4. Securities Acquired (A) 5. Amount of Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

1.800

(1)

(A)

Code V Amount (D) Price \$ D

54.7475

Securities Beneficially Owned Following Reported

6. 7. Nature of Ownership Indirect Form: Beneficial Direct (D) Ownership or Indirect (Instr. 4)

(Instr. 4)

Transaction(s) (Instr. 3 and 4)

D 21,758

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(9-02)

#### Edgar Filing: Forsythe Patrick John - Form 4

| 1. Title o | of 2.         | 3. Transaction Date | 3A. Deemed         | 4.                | 5.         | 6. Date Exer        | cisable and     | 7. Title | e and    | 8. Price of | 9. Nu  |
|------------|---------------|---------------------|--------------------|-------------------|------------|---------------------|-----------------|----------|----------|-------------|--------|
| Derivativ  | ve Conversion | (Month/Day/Year)    | Execution Date, if | TransactionNumber |            | Expiration D        | ate             | Amou     | nt of    | Derivative  | Deriv  |
| Security   | or Exercise   |                     | any                | Code              | of         | (Month/Day/         | Year)           | Under    | lying    | Security    | Secui  |
| (Instr. 3) | Price of      |                     | (Month/Day/Year)   | (Instr. 8)        | Derivativ  | e                   |                 | Securi   | ties     | (Instr. 5)  | Bene   |
|            | Derivative    |                     |                    |                   | Securities |                     |                 | (Instr.  | 3 and 4) | 4)          | Own    |
|            | Security      |                     |                    |                   | Acquired   |                     |                 |          |          |             | Follo  |
|            | •             |                     |                    |                   | (A) or     |                     |                 |          |          |             | Repo   |
|            |               |                     |                    |                   | Disposed   |                     |                 |          |          |             | Trans  |
|            |               |                     |                    |                   | of (D)     |                     |                 |          |          |             | (Instr |
|            |               |                     |                    |                   | (Instr. 3, |                     |                 |          |          |             | `      |
|            |               |                     |                    |                   | 4, and 5)  |                     |                 |          |          |             |        |
|            |               |                     |                    |                   | , ,        |                     |                 |          |          |             |        |
|            |               |                     |                    |                   |            |                     |                 |          | Amount   |             |        |
|            |               |                     |                    |                   |            | Date<br>Exercisable | Expiration Date |          | or       |             |        |
|            |               |                     |                    |                   |            |                     |                 | Title    | Number   |             |        |
|            |               |                     |                    |                   |            | LACICISADIC         |                 |          | of       |             |        |
|            |               |                     |                    | Code V            | (A) (D)    |                     |                 |          | Shares   |             |        |

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Forsythe Patrick John S45 W29290 HWY.59 C/O GENERAC HOLDINGS INC. WAUKESHA, WI 53189

**EVP** - Global Engineering

## **Signatures**

/s/ Raj Kanuru, as Attorney in Fact

08/14/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld to fund the tax liability associated with vesting of restricted shares.

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