

GOLDMAN NEAL I  
Form 3/A  
April 15, 2019

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Â GOLDMAN NEAL I

(Last) (First) (Middle)

C/O MILESTONE SCIENTIFIC  
INC.,Â 220 SOUTH ORANGE  
AVENUE

(Street)

LIVINGSTON,Â NJÂ 07039

(City) (State) (Zip)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

04/03/2019

3. Issuer Name **and** Ticker or Trading Symbol

MILESTONE SCIENTIFIC INC. [MLSS]

4. Relationship of Reporting  
Person(s) to Issuer

(Check all applicable)

☒ Director ☐ 10% Owner  
☐ Officer ☐ Other  
(give title below) (specify below)

5. If Amendment, Date Original  
Filed(Month/Day/Year)

04/15/2019

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting  
Person

☐ Form filed by More than One  
Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1.Title of Security  
(Instr. 4)

2. Amount of Securities  
Beneficially Owned  
(Instr. 4)

3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)

4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock, Par Value \$0.001

751,193

D

Â

Common Stock, Par Value \$0.001

305,000

I

By Neal and Marlene Goldman  
Foundation

Common Stock, Par Value \$0.001

115,000

I

By Individual Retirement  
Account

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

## Edgar Filing: GOLDMAN NEAL I - Form 3/A

| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and Expiration Date<br>(Month/Day/Year) |                 | 3. Title and Amount of Securities Underlying Derivative Security<br>(Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I)<br>(Instr. 5) | 6. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|---|---|-----------------|--|--|--|--|
|   | Date Exercisable  | Expiration Date | Title  | Amount or Number of Shares                             |  |  |
| Warrants (right to buy)                       | 03/18/2019  | 02/06/2024      | Common Stock   | 142,500  | \$ 0.5   | D     Â  |
| Warrants (right to buy)                       | 12/16/2016  | 12/16/2019      | Common Stock   | 75,000   | \$ 2.55  | I     By Neal and Marlene Goldman Foundation             |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| GOLDMAN NEAL I<br>C/O MILESTONE SCIENTIFIC INC.<br>220 SOUTH ORANGE AVENUE<br>LIVINGSTON, NJ 07039 | Â X           | Â         | Â       | Â     |

## Signatures

/s/ Neal  
Goldman

04/15/2019

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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