Longren David C. Form 4 May 30, 2018

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to

Section 16. Form 4 or Form 5

obligations may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

(Print or Type Responses)

1(b).

(Last)

1.Title of

Security

(Instr. 3)

Common

Stock

1. Name and Address of Reporting Person \* Longren David C.

(First)

(Middle)

511 W. FRESHWATER WAY

(Street)

MILWAUKEE, WI 53204

2. Issuer Name and Ticker or Trading

Symbol Rexnord Corp [RXN]

3. Date of Earliest Transaction

(Month/Day/Year) 05/25/2018

4. If Amendment, Date Original

Filed(Month/Day/Year)

**OMB APPROVAL** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

X\_ Director 10% Owner Other (specify Officer (give title below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

(City) (State)

05/25/2018

(Zip) 2. Transaction Date 2A. Deemed

(Month/Day/Year) Execution Date, if

(Month/Day/Year)

4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

Securities Beneficially Owned Following

Form: Direct (D) or Indirect (I) (Instr. 4)

6. Ownership 7. Nature of Indirect Beneficial Ownership (Instr. 4)

Reported Transaction(s)

5. Amount of

or (Instr. 3 and 4) Price

Code V Amount (D)

A

3,805 A 17,028 <u>(1)</u>

(A)

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Longren David C. - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Securitie<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, |   | rNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed | S                   |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  | 8. Pric<br>Deriva<br>Securi<br>(Instr. |
|---|--|--------------------------------------|---|---|---|---|---------------------|--------------------|---|--|--|
|   |  |                                      |   | Code  | V | (A) (D  | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |  |
| Depositary<br>Shares (2)                            | (3) (4)  |                                      |   |   |   |   | 12/07/2016          | (3)(4)             | Common<br>Stock   | 5,955<br>(5)                           |  |

# **Reporting Owners**

Relationships Reporting Owner Name / Address

Director 10% Owner Officer Other

Longren David C. 511 W. FRESHWATER WAY X MILWAUKEE, WI 53204

#### **Signatures**

/s/ Jeffrey J. LaValle under Power of Attorney for David C. Longren

05/30/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Restricted stock unit grant, which vested immediately, but is not paid out until six months after the Reporting Person's cessation of **(1)** service as a director.
- Each depositary share represents a 1/20th interest in a share of Rexnord Corporation's 5.75% Series A Mandatory Convertible Preferred **(2)** Stock, \$0.01 par value per shares ("Mandatory Convertible Preferred Stock").
- Prior to the expected November 15, 2019 mandatory conversion date, shares of Mandatory Convertible Preferred Stock may be converted at the holder's option at the minimum equivalent conversion rate of 1.9851 shares of common stock per depositary share, subject to anti-dilution and other adjustments.
- Unless converted prior to the mandatory conversion date, each share of Mandatory Convertible Preferred Stock will automatically convert on or about November 15, 2019, at the equivalent conversion rate per depositary share of not more than 2.3821 shares of common stock and not less than shares of 1.9851 common stock, depending on the applicable value of Rexnord Corporation's common stock and subject to certain anti-dilution adjustments.
- (5) Represents the minimum number of shares of common stock into which the depositary shares are convertible.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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