SCOTTS MIRACLE-GRO CO

Form 4

September 14, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB 3235-0287 Number:

January 31,

Expires: Estimated average

2005

burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person *

(First)

HAGEDORN JAMES

2. Issuer Name and Ticker or Trading

Symbol

SCOTTS MIRACLE-GRO CO

[SMG]

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

C/O SCOTTS CO, 14111

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 08/31/2015

_X__ 10% Owner _X__ Director X_ Officer (give title _ Other (specify

below) Chairman and CEO

SCOTTSLAWN ROAD

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

| MARYSVI | LLE, | OH 4 | 43041 |
|---------|------|------|-------|
|---------|------|------|-------|

| (City) | (State) | (Zip) Tal | ole I - N | lon- | Derivative S | Securi | ties Acquire | d, Disposed of, o | r Beneficially | Owned |
|--------------------------------------|--------------------------------------|---|---------------------------------|------|--|------------------|--|---|---|----------------------|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transa Code (Instr. | | 4. Securities Acquired (A) or ionDisposed of (D) (Instr. 3, 4 and 5) | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | Code | V | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | (Instr. 4) | |
| Common Shares | 08/31/2015 | | J | V | 35.7334 | A | \$ 55.97 | 7,110.9474 | D | |
| Common Shares | 09/10/2015 | | J | V | 38.7819 | A | \$ 61.7364 | 7,149.7293 | D | |
| Common Shares | | | | | | | | 40,290.696 | I | By 401(K) Plan |
| Common Shares | | | | | | | | 1,880,789 | I | HPLP (1) |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | 8 E S (I |
|---|---|--------------------------------------|---|--|--|---------------------|--------------------|---|----------------------------------|-------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Phantom Stock | (2) | 09/10/2015 | | A | 409.778 | (3) | (3) | Common Shars | 409.778 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|---------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| HAGEDORN JAMES C/O SCOTTS CO 14111 SCOTTSLAWN ROAD MARYSVILLE, OH 43041 | X | X | Chairman and CEO | | | | |
| Signatures | | | | | | | |

Kathy L. Uttley as attorney-in-fact for James 09/14/2015 Hagedorn

> **Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Pursuant to Exchange Act Rule 16a-1(a)(1), the reporting person may be deemed, solely for purposes of determining whether he is a beneficial owner of more than 10% of the common shares of the Issuer (Common Shares), to be the beneficial owner of the securities of

- (1) the Issuer that are held by Hagedorn Partnership, L.P., a Delaware limited partnership in which the reporting person is a general partner (the Partnership). Represents the aggregate proportionate interest of the reporting person and those family members in whose holdings he may be deemed to have a pecuniary interest, in Common Shares held by the Partnership.
- (2) Each share of phantom stock represents the right to receive one Common Share or the cash value thereof.
- Shares of phantom stock are payable in cash following termination of the reporting persons employment with Issuer. The reporting person may transfer his/her phantom stock account into an alternative investment account at any time.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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