Edgar Filing: IRIDEX CORP - Form 4

IRIDEX CORP Form 4 August 15, 2012 FORM 4 INITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES OMB APPROVAL State of the pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 16(a) of the Investment Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1940 State of the section 1940										
(Print or Type F	Responses)									
	ddress of Reporting Person <u>*</u> atalyst Fund IX, L.P.	Symbol	2. Issuer Name and Ticker or Trading Symbol IRIDEX CORP [IRIX]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			te of Earliest Transaction th/Day/Year) 0/2012				DirectorX 10% Owner Officer (give title Other (specify below) below)			
			nendment, Date Original Ionth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting Person 			
(City)	(State) (Zip)	Table I - Non-I	Derivative S	Securi	ties Acq	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	any		4. Securit on(A) or Di (Instr. 3,	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)			
Common		Code V	Amount	(D)	Price	(Instr. 3 and 4)				
Common Stock (1)	08/13/2012	Р	5,000	D	\$ 3.25	2,549,636	D			
Common Stock (1)	08/14/2012	Р	5,000	D	\$ 3.22	2,554,636	D			
Common Stock (2)	08/14/2012	Р	15,000	D	\$ 3.21	2,569,636	D			
Common Stock (1)	08/15/2012	Р	10,000	D	\$ 3.15	2,579,636	D			
Common Stock (2)	08/15/2012	Р	25,900	D	\$ 3.18	2,605,536	D			

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transactio	5. onNumber	6. Date Exer Expiration D		7. Title Amoun		8. Price of Derivative	9. Nu Deriv
Security	or Exercise		any	Code	of	(Month/Day/		Underl		Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	· ·	,	Securit		(Instr. 5)	Bene
	Derivative		-		Securities			(Instr. 3	3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
								,	Amount		
						Date	Expiration	(or		
						Exercisable	Date	Title	Number of		

Code V (A) (D)

Reporting Owners

Reporting Owner Name / Address	Relationships						
Reporting Owner Panie / Pauless	Director	10% Owner	Officer	Other			
BlueLine Catalyst Fund IX, L.P. 402 RAILROAD AVENUE SUITE 201 DANVILLE, CA 94526		Х					
BlueLine Capital Partners, L.P. 402 RAILROAD AVENUE SUITE 201 DANVILLE, CA 94526		Х					
BlueLine Partners II, LLC 402 RAILROAD AVENUE SUITE 201 DANVILLE, CA 94526		Х					
BlueLine Capital Partners III, LP 402 RAILROAD AVENUE SUITE 201 DANVILLE, CA 94526		Х					
BlueLine Partners, L.L.C. 402 RAILROAD AVENUE SUITE 201		Х					

Shares

DANVILLE, CA 94526

Signatures

Scott A. Shuda

08/15/2012

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These securities were owned by BlueLine Capital Partners II, L.P.
- (2) These securities were owned by BlueLine Catalyst Fund IX, L.P.

Remarks:

Scott A. Shuda, by power of attorney for all reporting persons. As described in Amendment No. 4 to Schedule 13D ("Amend

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.