#### Edgar Filing: Brown George Garvin IV - Form 4

Brown Geor Form 4 January 12,	rge Garvin IV 2012											
Washington, D.C. 20549										3235-0287 January 31,		
Check th if no lon	ger	STATEMENT OF CHANGES IN DENIFFICIAL OWNEDSHIP OF										
subject t Section	16.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES										
Form 4 or Form 5 obligations may continue.response0.5See Instruction 1(b).Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 19400.5												
(Print or Type Responses)												
Brown George Garvin IV Symbol					Ticker or Ti	c		5. Relationship of Issuer	. Relationship of Reporting Person(s) to ssuer			
BROW [BFA/B				<b>XIVI</b> 2	AN CORP			(Check all applicable)				
(Last) (First) (Middle) 3. Date of (Month/D: 850 DIXIE HWY 12/05/20				helow)					(give title 0ther (specify below) ecutive Vice President			
					nent, Date Original 6. Individual or Joint/Group Fi					rson		
	LE, KY 40210							Person	lore than One Ke	porung		
(City)	(State) (Zip)	Tabl	e I - No	on-D	erivative Se	curiti	es Acq	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)				Following Reported					6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(			
Class A Common	12/19/2011		W	V	190,906	A	\$0	522,156	D			
Class A Common	12/19/2011		W	v	381,811	D	\$ 0	0	I	George Garvin Brown III Trust UW		
Class A Common								2,642,357	I	CBGB LLC (5)		
Class A Common	06/21/2011		G	V	1,316	D	\$0	36,551	Ι	Trust u/a FBO Geo. Garvin		

								Brown IV
Class A Common	12/06/2011	G	V 513	D	\$ 0	36,038	Ι	Trust u/a FBO Geo. Garvin Brown IV
Class A Common	12/09/2011	G	V 1,27	1 D	\$ 0	34,767	I	Trust u/a FBO Geo. Garvin Brown IV
Class A Common						353,036	Ι	GGB4 2010#1 LLC
Class B Common						71,429	Ι	GGB4 2010 #2 LLC
Class A Common	12/09/2011	G	V 1,27	1 A	\$0	1,271	Ι	By Spouse
Class B Common	06/15/2011	G	V 1,13	4 D	\$0	100,777	D	
Class B Common	12/19/2011	W	V 47,7	26 A	\$0	148,503	D	
Class B Common	12/19/2011	W	V 95,4	52 D	\$ 0	0	Ι	George Garvin Brown III Trust UW
Class B Common						360,589	I	CBGB, LLC (5)
Class B Common	06/15/2011	G	V 1,13	4 A	\$ 0	11,121	Ι	Trust u/a FBO Geo. Garvin Brown IV
Class B Common	06/21/2011	G	V 329	D	\$ 0	10,792	Ι	Trust u/a FBO Geo. Garvin Brown IV
Class B Common						6,626.357 <u>(1)</u>	Ι	401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. iorNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Dat (Month/Day/Y re s	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Non-Qualified Stock Option (right to buy)	\$ 24.7					05/01/2005	04/30/2012	Class B Common	820	
Non-Qualified Stock Option (right to buy)	\$ 30.18					05/01/2006	04/30/2013	Class B Common	1,179	
Non-Qualified Stock Option (right to buy)	\$ 35.83					05/01/2007	04/30/2014	Class B Common	1,938	
Stock Appreciation Right	\$ 45.53					05/01/2008	04/30/2015	Class B Common	670	
Stock Appreciation Right	\$ 55.69					05/01/2009	04/30/2016	Class B Common	920	
Stock Appreciation Right	\$ 53.8					05/01/2010	04/30/2017	Class B Common	1,053	
Stock Appreciation Right	\$ 56.58					05/01/2011	07/24/2018	Class B Common	967	
Stock Appreciation Right	\$ 43.1					05/01/2012	04/30/2019	Class B Common	2,957	
Restricted Stock Unit	<u>(2)</u>					(3)	<u>(3)</u>	Class B Common	545	
Restricted Stock Unit	<u>(2)</u>					<u>(4)</u>	<u>(4)</u>	Class B Common	2,078	

## **Reporting Owners**

Brown IV

Reporting Owner Name / Address			Relationships		
	Director	10% Owner	Officer	Other	
Brown George Garvin IV 850 DIXIE HWY LOUISVILLE, KY 40210	Х		Executive Vice President		
Signatures					
Diane M. Barhorst, Atty. in Fac	01/12/2012				

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Number of shares the reporting person has acquired under the Brown-Forman 401(k) plan as of January 10, 2012.
- (2) Each restricted stock unit represents a contingent right to receive one share of Brown-Forman Class B common stock.
- (3) The restricted stock units vest April 30, 2014.
- (4) The restricted stock units vest April 30, 2015.
- (5) The reporting person disclaims beneficial ownership of the reported securities except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.