### Edgar Filing: STUBBS DACE BROWN - Form 4

#### STUBBS DACE BROWN

Form 4 July 30, 2012

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**SECURITIES** 

**OMB APPROVAL** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

response...

subject to Section 16. Form 4 or Form 5 obligations

if no longer

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, may continue.

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person * STUBBS DACE BROWN			2. Issuer Name <b>and</b> Ticker or Trading Symbol BROWN FORMAN CORP [BFA,	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
			BFB]	(Check an applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	X Director 10% Owner Officer (give title Other (specify		
850 DIXIE HIGHWAY			07/26/2012	below) below)		
•	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person		
LOUISVILLE, KY 40210				Form filed by More than One Reporting Person		
(City)	(State)	(Zin)				

(City)	(State) (Z	Table	I - Non-De	rivative S	ecurities Ac	quired, Disposed	of, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securit nAcquired Disposed (Instr. 3, 4	(A) or of (D)	5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership
			Code V	Amount	(A) or (D) Price	Following Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)
Class A Common						213,396	D	
Class A Common						2,588,178	I	Log House 2011 LP
Class B Common						319,068	D	
Class B Common						385,044	I	Log House 2011 LP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

### Edgar Filing: STUBBS DACE BROWN - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Secur (Instr. 3 and 4)	
				Code V	(A) (D	Date Exercisable	Expiration Date	Title	Am or Nu of S
Non-Qualified Stock Option (right to buy)	\$ 30.18					05/01/2003	04/30/2013	Class B Common	3,
Non-Qualified Stock Option (right to buy)	\$ 35.83					07/22/2004	04/30/2014	Class B Common	3,
Stock Appreciation Rights	\$ 45.53					07/28/2005	04/30/2015	Class B Common	3,
Stock Appreciation Right	\$ 55.69					07/27/2006	04/30/2016	Class B Common	3,
Stock Appreciation Right	\$ 53.8					07/26/2007	04/30/2017	Class B Common	3,
Stock Appreciation Right	\$ 56.58					07/24/2008	04/30/2018	Class B Common	3,
Stock Appreciation Right	\$ 43.1					07/23/2009	04/30/2019	Class B Common	4,
Deferred Stock Units	(1)	07/26/2012		A	614.71	<u>(2)</u>	(2)	Class B Common	61

# **Reporting Owners**

Reporting Owner Name / Address	Relationship
Reporting Owner Name / Address	

Reporting Owners 2

#### Edgar Filing: STUBBS DACE BROWN - Form 4

Director 10% Owner Officer Other

STUBBS DACE BROWN 850 DIXIE HIGHWAY LOUISVILLE, KY 40210

X

## **Signatures**

Diane M. Barhorst, Atty. in Fact for: Dace Brown Stubbs

07/30/2012

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Under the Brown-Forman Corporation Non-Employee Director Deferred Stock Unit Program each DSU represents the right to receive one share of the Company's Class B common stock. Grants made on July 26, 2012, were based on the closing price of the Company's Class B Common stock on that date (\$93.54). On each dividend payment date, participants are credited with DSU equivalents.
- Annual grants of DSUs vest over the course of the Board year. DSUs are paid out in class B common stock on the first February 1 that is at least six months following the Director's termination from Board service.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3