

BROWN FORMAN CORP

Form 4

July 15, 2016

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Barzun Brooke Brown

2. Issuer Name **and** Ticker or Trading
Symbol
BROWN FORMAN CORP [BFA,
BFB]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

850 DIXIE HIGHWAY

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
05/18/2016

____ Director ____ ☒ 10% Owner
____ Officer (give title below) ____ Other (specify
below)

LOUISVILLE, KY 40210

(City) (State) (Zip)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Class A Common	05/18/2016		W	V	897	A	\$ 0	897	I	Owsley Brown II Estate
Class B Common	05/18/2016		W	V	611	A	\$ 0	611	I	Owsley Brown II Estate
Class A Common	06/14/2016		G	V	164,780	D	\$ 0	31,492	I	CLB Grandchildren Eq. Tr.
Class B Common	06/14/2016		G	V	101,880	D	\$ 0	20,805	I	CLB Grandchildren Eq. Tr.
	06/14/2016		G	V	15,916	A	\$ 0	15,916	I	

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Class A Common									CLB Trust fbo Jacques Barzun
Class B Common	06/14/2016	G	V	10,795	A	\$ 0	10,795	I	CLB Trust fbo Jacques Barzun
Class A Common	06/14/2016	G	V	15,916	A	\$ 0	15,916	I	CLB Trust fbo Eleanor Barzun
Class B Common	06/14/2016	G	V	10,795	A	\$ 0	10,795	I	CLB Trust fbo Eleanor Barzun
Class A Common	06/14/2016	G	V	19,730	A	\$ 0	19,730	I	CLB Trust fbo Charles Barzun
Class B Common	06/14/2016	G	V	11,749	A	\$ 0	11,749	I	CLB Trust fbo Charles Barzun
Class A Common	07/12/2016	W	V	12	A	\$ 0	12	I	OB2 Reverse QTIP Trust ⁽¹⁾
Class B Common	07/12/2016	W	V	8	A	\$ 0	51,448	I	OB2 Reverse QTIP Trust ⁽¹⁾
Class A Common	07/12/2016	W	V	885	A	\$ 0	885	I	OB2 Marital Trust ⁽²⁾
Class B Common	07/12/2016	W	V	603	A	\$ 0	202,339	I	OB2 Marital Trust ⁽²⁾
Class A Common	07/12/2016	W	V	897	D	\$ 0	0	I	Owsley Brown II Estate
Class B Common	07/12/2016	W	V	611	D	\$ 0	0	I	Owsley Brown II Estate
Class A Common							237,088	D	
Class B Common							27,381	D	
Class A Common							316,837	I	Hebe Three Limited Partnership
Class B Common							187	I	UTMA-1
Class B Common							562	I	UTMA-2
Class B Common							45,671	I	CLB 2012 Trust
Class A Common							740,610	I	Guilford-Brown LP
Class B Common							6,703,641	I	Olympus Three LLC

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Class B Common	22,897	I	BBB 2002 GRAT
Class B Common	95,485	I	OB3 GST Exempt Trust
Class A Common	30,472	I	OB3 GST Exempt Trust
Class A Common	18	I	Eleanor Lee Trusts

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Barzun Brooke Brown 850 DIXIE HIGHWAY LOUISVILLE, KY 40210	X

Signatures

Michael E. Carr, Atty in Fact for Brooke Brown
Barzun 07/15/2016

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Formerly known as the GST Exempt QTIP Trust.

(2) Formerly known as the GST Non-Exempt QTIP Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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