

BROWN FORMAN CORP

Form 4

August 01, 2016

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box
 if no longer
 subject to
 Section 16.
 Form 4 or
 Form 5
 obligations
 may continue.
See Instruction
 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 de Chabert Ralph E

2. Issuer Name **and** Ticker or Trading
 Symbol
 BROWN FORMAN CORP [BFA,
 BFB]

5. Relationship of Reporting Person(s) to
 Issuer

(Check all applicable)

(Last) (First) (Middle)
 850 DIXIE HIGHWAY
 (Street)

3. Date of Earliest Transaction
 (Month/Day/Year)
 07/28/2016

____ Director ____ 10% Owner
 ____X____ Officer (give title below) ____ Other (specify below)
 SVP, Chief Diversity Officer

LOUISVILLE, KY 40210

4. If Amendment, Date Original
 Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
 Applicable Line)
 ____X____ Form filed by One Reporting Person
 ____ Form filed by More than One Reporting
 Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class B Common			Code	V Amount (D) Price	407	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 information contained in this form are not
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SEC 1474
 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)**

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Security (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Appreciation Right ⁽¹⁾	\$ 98.01	07/28/2016		A	3,216	05/01/2019 04/30/2026	Class B Common	3,216
Restricted Stock Units	⁽²⁾					04/30/2017 ⁽³⁾	Class B Common	1,000
Restricted Stock Units	⁽²⁾					04/30/2018 ⁽⁴⁾	Class B Common	1,000
Stock Appreciation Right	\$ 27.05					05/01/2012 04/30/2019	Class B Common	5,000
Stock Appreciation Right	\$ 46.4					05/01/2014 04/30/2021	Class B Common	1,000
Stock Appreciation Right	\$ 72.42					05/01/2016 04/30/2023	Class B Common	5,000
Stock Appreciation Right	\$ 91.97					05/01/2017 04/30/2024	Class B Common	6,000
Stock Appreciation Right	\$ 102.25					05/01/2018 04/30/2025	Class B Common	2,800

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
de Chabert Ralph E 850 DIXIE HIGHWAY LOUISVILLE, KY 40210			SVP, Chief Diversity Officer	

Signatures

Michael E. Carr, Jr., Attorney in Fact for Ralph E. de
Chabert

08/01/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) No money was paid to or received by the reporting person for these SSARs.
- (2) Each restricted stock unit represents a contingent right to receive one share of Brown-Forman Class B common stock.
- (3) The Restricted Stock Units were granted on July 25, 2013, and vest April 30, 2017. The vesting date shown in the table has been corrected.
- (4) The Restricted Stock Units were granted on July 24, 2014, and vest April 30, 2018. The vesting date shown in the table has been corrected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.