Sanders Dax Form 4 July 19, 2018

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* Sanders Dax

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

KINDER MORGAN, INC. [KMI]

(Check all applicable)

(First)

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

07/17/2018

Director 10% Owner X\_ Officer (give title Other (specify

below) E.V.P.; Chief Strategy Officer

1001 LOUISIANA, SUITE 1000

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

HOUSTON, TX 77002

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

(Month/Day/Year)

(Middle)

2. Transaction Date 2A. Deemed Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) (Instr. 4)

Ownership (Instr. 4)

(A) Code V Amount (D) Price

Reported Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year)

Execution Date, if any

4. 5. Number of **Transaction**Derivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of 8. 1 **Underlying Securities** De (Instr. 3 and 4) Sec

#### Edgar Filing: Sanders Dax - Form 4

| (Instr. 3)               | Price of<br>Derivative<br>Security |            | (Month/Day/Year) | (Instr. | 8) | Acquired or Dispose (D) (Instr. 3, 4 and 5) | ed of |                     |                    |                            |                                     | (I |
|--------------------------|------------------------------------|------------|------------------|---------|----|---|-------|---------------------|--------------------|----------------------------|-------------------------------------|----|
|                          |                                    |            |                  | Code    | V  | (A)   | (D)   | Date<br>Exercisable | Expiration<br>Date | Title                      | Amount<br>or<br>Number<br>of Shares |    |
| Restricted<br>Stock Unit | <u>(1)</u>                         | 07/17/2018 |                  | A       |    | 84,794                                      |       | (2)                 | (2)                | Class P<br>Common<br>Stock | 84,794                              |    |
| Restricted<br>Stock Unit | (1)                                | 07/17/2018 |                  | A       |    | 84,794                                      |       | <u>(3)</u>          | (3)                | Class P<br>Common<br>Stock | 84,794                              |    |

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Sanders Dax 1001 LOUISIANA, SUITE 1000 HOUSTON, TX 77002

E.V.P.; Chief Strategy Officer

## **Signatures**

/s/ Dax Sanders 07/19/2018

\*\*Signature of Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents the right to receive, at settlement, one share of Class P Common Stock.
- (2) These restricted stock units are scheduled to vest on July 31, 2021, subject to achievement of certain performance goals and satisfaction of other vesting requirements.
- (3) These restricted stock units are scheduled to vest on July 31, 2022, subject to achievement of certain performance goals and satisfaction of other vesting requirements.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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