#### Edgar Filing: SAROFIM FAYEZ - Form 4

| if no lo<br>subject<br>Sectior<br>Form 4<br>Form 5<br>obligat<br>may co                                      | , 2019<br><b>M 4</b> UNITED<br>this box<br>nger<br>to<br>16.<br>or<br>Filed pu<br>Section 17 | MENT OI<br>rsuant to S<br>(a) of the I | Wa<br>F CHA<br>Section<br>Public U | ashingt<br>NGES<br>SEC<br>16(a) of<br>Utility H         | ion<br>IN<br>U<br>f ti<br>Ho  | n, D.C. 20<br>N BENEF<br>RITIES<br>he Securi | 0549<br>FICL | <b>AL OW</b><br>Exchang<br>1y Act o | COMMISSIO<br>NERSHIP OF<br>ge Act of 1934,<br>f 1935 or Secti<br>40   | E<br>E<br>b                    | OME<br>Jumber<br>Expires:<br>Estimate<br>burden h<br>esponse                       | :<br>ed ave<br>nours        | rage            | 0287         |
|--|--|--|------------------------------------|---|---|--|--------------|-------------------------------------|---|--------------------------------|--|-----------------------------|-----------------|--------------|
| 1. Name and<br>SAROFIN<br>(Last)   | Address of Reporting<br>I FAYEZ  | (Middle)                               | Symbol<br>KIND<br>3. Date          | ER MO<br>of Earlies<br>/Day/Yea                         | R<br>st 7   | nd Ticker ο<br>GAN, IN<br>Γransaction        | C. [I        | -                                   | 5. Relationship of<br>Issuer<br>(Cho<br>X_ Director<br>Officer (giv<br>below)   | eck al                         | ll applica   | able)<br>10% Ov<br>Other (s | wner            |              |
| FANNIN, SUITE 2907<br>(Street)<br>HOUSTON, TX 77010  |  |  |                                    | 4. If Amendment, Date Original<br>Filed(Month/Day/Year) |   |  |              |                                     | <ul> <li>6. Individual or Joint/Group Filing(Check<br/>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting<br/>Person</li> </ul> |                                |  |                             |                 |              |
| (City) (State) (Zip) <b>Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned</b> |  |  |                                    |   |   |  |              |                                     |   |                                |  |                             |                 |              |
| 1.Title of<br>Security<br>(Instr. 3)   | 2. Transaction Date<br>(Month/Day/Year)  | saction Date 2A. Deemed                |                                    |   | 3. 4. Securities Acquired<br>Transaction(A) or Disposed of (D)<br>Code (Instr. 3, 4 and 5)<br>(Instr. 8)<br>(A)<br>or |  |              |                                     | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)  | Form<br>Direct<br>or In<br>(I) | wnership Indirect<br>orm: Beneficial<br>irect (D) Ownership<br>Indirect (Instr. 4) |                             |                 |              |
| Class P<br>Common<br>Stock   | 01/15/2019   |  |                                    | Code A  | V   | Amount<br>11,550<br>(1)                      | (D)<br>A     | Price<br>\$<br>17.32                |   | D                              |  |                             |                 |              |
| Class P<br>Common<br>Stock   |  |  |                                    |   |   |  |              |                                     | 106,343   | Ι                              |  | By S                        | Spous           | e <u>(2)</u> |
| Class P<br>Common<br>Stock   |  |  |                                    |   |   |  |              |                                     | 7,124,429   | I                              |  | By F                        | FSI Co          | orp.         |
| Class P<br>Common  |  |  |                                    |   |   |  |              |                                     | 1,382,041   | Ι                              |  | -                           | Sarofi<br>matio |              |

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| Stock                      |         |   | Management<br>Co.            |
|----------------------------|---------|---|------------------------------|
| Class P<br>Common<br>Stock | 609,712 | I | By Fayez<br>Sarofim &<br>Co. |
| Class P<br>Common<br>Stock | 13,800  | Ι | As Trustee                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5.<br>onNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, |                     | ate                | 7. Title<br>Amour<br>Under<br>Securi<br>(Instr. | nt of<br>lying               | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|--|--|---------------------|--------------------|---|------------------------------|---|---|
|   |   |   |  | (Instr. 3, 4, and 5)   |                     |                    |   |                              |   |   |
|   |   |   |  |  | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of |   |   |

Code V (A) (D)

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |         |       |  |  |  |  |
|--|---------------|-----------|---------|-------|--|--|--|--|
|  | Director      | 10% Owner | Officer | Other |  |  |  |  |
| SAROFIM FAYEZ<br>TWO HOUSTON CENTER<br>909 FANNIN, SUITE 2907<br>HOUSTON, TX 77010 | Х             |           |         |       |  |  |  |  |

### **Signatures**

| /s/ Fayez 01/15<br>Sarofim 01/15 | 5/2019 |
|----------------------------------|--------|
|----------------------------------|--------|

<u>\*\*</u>Signature of Reporting Person Date

**Reporting Owners** 

Shares

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares are subject to forfeiture restrictions that lapse on July 15, 2019.
- (2) The reporting person disclaims any and all beneficial or pecuniary interest in the shares owned by his spouse.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.