#### **COLUMBUS MCKINNON CORP**

Form 4 May 22, 2014

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Korman Alan S Issuer Symbol COLUMBUS MCKINNON CORP (Check all applicable) [CMCO] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title \_ Other (specify (Month/Day/Year) below) 140 JOHN JAMES AUDUBON 05/20/2014 VP, Gen'l Counsel & Secretary **PARKWAY** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person \_ Form filed by More than One Reporting AMHERST, NY 14228

			i cison							
(City)	(State)	(Zip) Ta	Table I - Non-Derivative Securities Ac			cquired, Disposed of, or Beneficially Owned				
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	(Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock							13,847	D		
Common Stock	05/20/2014		F	230 (1)	D	\$ 27.17	13,617 (2)	D		
Common Stock							346.6073 <u>(3)</u>	D		
Common Stock							471,483.3927	I	Additional shares held be ESOP;	

by

reporting

person is 1 of 3 trustees; DISCLAIMS beneficial owernship.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		e	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Options (Right to Buy)	\$ 18.63					01/24/2014	01/23/2021	Common Stock	10,000
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012	05/22/2021	Common Stock	3,230
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013	05/20/2022	Common Stock	5,424
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014	05/23/2023	Common Stock	4,601 (7)
Non-Qualified Stock Options (Right to Buy)	\$ 27.12					05/19/2015	05/19/2024	Common Stock	4,386

## **Reporting Owners**

Reporting Owner Name / Address Relationships

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#### Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

Director 10% Owner Officer Other

Korman Alan S 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228

VP, Gen'l Counsel & Secretary

### **Signatures**

Alan S. Korman

05/22/2014

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to satisfy tax withholding obligation upon vesting 545 restricted stock units on 5/20/2014.
  - Includes 11,718 shares of restricted stock units issued to reporting person, subject to forfeiture in whole or part; 856 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/23/2014; 1,353 shares become fully vested and non-forfeitable 50% per year for two years beginning 5/21/2015, 1,636 shares become fully vested and non-forfeitable 33.33% for three years beginning
- (2) 5/20/2015; 2,826 shares become fully vested and non-forfeitable on 5/21/2015, 1,731 shares become fully vested and non-forfeitable for four years beginning 5/19/2015, 2,007 shares become fully vested and non-forfeitable on 5/23/2014 and the remaining 1,309 share become fully vested and non-forfeitable on 5/20/2016, if reporting person remains an employee of issuer. This information corrects the information included in footnote number 3 of the Form 4 filed on 5/21/2014.
- (3) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (4) Exercisable 33.33% per year for three years beginning on January 24, 2014, if reporting person remains an employee of issuer.
- (5) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (6) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an officer of issuer.
- (8) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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