#### **COLUMBUS MCKINNON CORP**

Form 4 May 22, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Korman Alan S Issuer Symbol COLUMBUS MCKINNON CORP (Check all applicable) [CMCO] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify (Month/Day/Year) below) 140 JOHN JAMES AUDUBON 05/20/2015 VP, Gen'l Counsel & Secretary **PARKWAY** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Applicable Line) Filed(Month/Day/Year) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting AMHERST, NY 14228 Person

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Acquired (A) for Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Common Stock							14,279.0994	D		
Common Stock	05/20/2015		F	200.162 (1)	D	\$ 24.67	14,078.9374	D		
Common Stcok	05/21/2015		F	251.1625 (2)	D	\$ 24.88	13,827.7749	D		
Common Stock	05/21/2015		F	1,019 (3)	D	\$ 24.88	12,808.7749 (4)	D		
Common Stock							302.39 (5)	D		

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

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Estimated average

burden hours per

### Edgar Filing: COLUMBUS MCKINNON CORP - Form 4

Common Stock	431,321.61	I	shares held by ESOP; reporting person is 1 of 3 trustees; DISCLAIMS beneficial
			owernship.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Options (Right to Buy)	\$ 18.63					01/24/2014	01/23/2021	Common Stock	10,000
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012	05/22/2021	Common Stock	3,230
Non-Qualified Stock Options (Right to Buy)	\$ 13.43					05/21/2013	05/20/2022	Common Stock	5,424
Non-Qualified Stock Options (Right to Buy)	\$ 18.95					05/20/2014	05/23/2023	Common Stock	4,601
Non-Qualified Stock Options (Right to Buy)	\$ 27.12					05/19/2015	05/19/2024	Common Stock	4,386

Non-Qualified Stock Options \$ 2-(Right to Buy)

\$ 24.94

05/18/2016 05/17/2025

Common Stock

Other

5,609

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer

Korman Alan S 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228

VP, Gen'l Counsel & Secretary

## **Signatures**

Alan S. Korman

05/22/2015

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to satisfy tax withholding obligation upon vesting 549.162 restricted stock units on 5/20/2015.
- (2) Represents shares withheld to satisfy tax withholding obligation upon vesting 681.1625 restricted stock units on 5/21/2015.
- (3) Represents shares withheld to satisfy tax withholding obligation upon vesting 2,826 restricted stock units on 5/21/2015.
  - Includes 6776.7749 shares of restricted stock issued to reporting person subject to forfeiture in whole or part; 431.2687 shares become fully vested and non-forfeitable on 5/23/2015; 682.1701 shares become fully vested and non-forfeitable on 5/21/2016; 1,099.3318 shares
- become fully vested and non-forfeitable 50% for two years beginning 5/20/2016; 1,307.0043 shares become fully vested and non-forfeitable 33.33% for three years beginning 5/19/2016; 1,309 shares become fully vested and non-forfeitable on 5/20/2016; and the remaining 1,948 shares become fully vested and non-forfeitable 25% for four years beginning 5/18/2016 (incorrectly reported as 5/19/2016 on Form 4 filed 5/20/2015), if reporting person remains an employee of issuer.
- (5) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (6) Exercisable 33.33% per year for three years beginning on January 24, 2014, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (9) Exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an officer of issuer.
- (10) Exercisable 25% per year for four years beginning 5/19/2015, if reporting person remains an employee of issuer.
- (11) Exercisable 25% per year for four years beginning 5/18/2016, if reporting person remains an employee of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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