Tuttle Laurie B. Form 4 November 02, 2012

# FORM 4

#### **OMB APPROVAL**

3235-0287

0.5

OMB

Number:

response...

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005 Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940

1(b).

Form 5

obligations

(Print or Type Responses)

11/02/2012

Stock

See Instruction

1. Name and Address of Reporting Person * Tuttle Laurie B.			2. Issuer Name <b>and</b> Ticker or Trading Symbol Allison Transmission Holdings Inc [ALSN]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last) ONE ALLISO	(Last) (First) (Middle) ONE ALLISON WAY		3. Date of Earliest Transaction (Month/Day/Year) 10/31/2012	Director 10% Owner State of the control of the con		
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person		
INDIANAPO	LIS, IN 462	22		Form filed by More than One Reporting Person		

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	Secu	rities Acquir	ed, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit DID Dispos (Instr. 3, 4	ed of (	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/31/2012		M	2,500	A	\$ 12.66	8,417	D	
Common Stock	10/31/2012		S(1)	1,666	D	\$ 20	6,751	D	
Common Stock	11/01/2012		M	6,000	A	\$ 8.44	12,751	D	
Common Stock	11/01/2012		S <u>(1)</u>	6,400	D	\$ 20.0211 (2)	6,351	D	
Common	11/02/2012		M	6,000	Δ	\$ 16.88	12 351	D	

6,000

\$ 16.88

12,351

D

M

### Edgar Filing: Tuttle Laurie B. - Form 4

Common Stock	11/02/2012	M	8,000	A	\$ 12.66	20,351	D
Common Stock	11/02/2012	S(1)	13,318	D	\$ 21	7,033	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 12.66	10/31/2012		M	2,500	(3)	09/30/2017	Common Stock	2,500
Employee Stock Option (right to buy)	\$ 8.44	11/01/2012		M	6,000	(3)	09/30/2017	Common Stock	6,000
Employee Stock Option (right to buy)	\$ 16.88	11/02/2012		M	6,000	(3)	09/30/2017	Common Stock	6,000
Employee Stock Option (right to buy)	\$ 12.66	11/02/2012		M	8,000	(3)	09/30/2017	Common Stock	8,000

Edgar Filing: Tuttle Laurie B. - Form 4

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Tuttle Laurie B.

ONE ALLISON WAY VP, Hybrid Programs INDIANAPOLIS, IN 46222

**Signatures** 

/s/ Eric C. Scroggins attorney-in-fact 11/02/2012

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported in this Form 4 were affected pursuant to a Rule 10b5-1 plan adopted by the reporting person on August 30, 2012.
- The price reported is a weighted average price. These shares were sold in multiple transactions at per share prices ranging from 19.9100 (2) to 20.5500. The reporting person undertakes to provide the Company, any security holder of the Company or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the range set fort in this footnote.
- (3) The option vested in five equal installments beginning on August 7, 2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3