## Edgar Filing: Romano Paul Anthony JR - Form 4

Romano Pau Form 4 December 2	ll Anthony JR 1, 2017										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL			
Check th	Washington, D.C. 20549							Number:	3235-0287 January 31,		
if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). StateMent OF CHANGES IN BENEFICIAL OWNERSH SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of Section 17(a) of the Public Utility Holding Company Act of 1935 or 30(h) of the Investment Company Act of 1940							e Act of 1934, f 1935 or Section	Expires: Estimated a burden hou response	2005 average		
(Print or Type l	Responses)										
1. Name and A Romano Pa	suer Name <b>and</b> Ticker or Trading ol Financial Holdings, Inc. [AFH]				5. Relationship of Reporting Person(s) to Issuer						
(Last)	(First) (Mi		3. Date of Earliest Transaction				(Chec	(Check all applicable)			
			nth/Day/Year) 20/2017				Director 10% Owner X_ Officer (give title Other (specify below) below) Vice President				
(Street) 4. If Ame Filed(Mor				ate Origina r)	ıl		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
ST. CHARLES, IL 60174 Form filed by More than One Reporting Person								porting			
(City)	(State) (Z	Zip) T	able I - Non-	Derivative	Secur	rities Acq	uired, Disposed of	, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, any (Month/Day/Yes	Code r) (Instr. 8)	4. Securion(A) or D (Instr. 3,	ispose 4 and (A) or	ed of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Shares	12/20/2017		S	852	D	\$ 18.75 (1)	77,655	D			
Common Shares	12/21/2017		S	2,139	D	\$ 18.75 (1)	75,516	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title Amou Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Addres	SS	Relationships							
1	Director	10% Owner	Officer	Other					
Romano Paul Anthony JR 1103 KING EDWARD ST. CHARLES, IL 60174			Vice President						
Signatures									
Diana Jenkins	12/21/2017								

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Average Price

\*\*Signature of

Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.