

IMMUCELL CORP /DE/

Form 4

July 06, 2016

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
CRABB JOSEPH H

(Last) (First) (Middle)

**C/O IMMUCELL
CORPORATION, 56 EVERGREEN
DRIVE**

(Street)

PORTLAND, ME 04103

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
IMMUCELL CORP /DE/ [ICCC]

3. Date of Earliest Transaction
(Month/Day/Year)
07/01/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____ Officer (give title below) ____ Other (specify below)
Vice President and CSO

6. Individual or Joint/Group Filing(Check
Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction(A) Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock, par value \$0.10 per share	07/01/2016	07/01/2016	S		74	D	\$ 7
							115,037
							D
Common Stock, par value \$0.10 per share	07/01/2016	07/01/2016	S		1,200	D	\$ 6.9
							113,837
							D
	07/01/2016	07/01/2016	S		200	D	\$ 6.95
							113,637
							D

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Common
Stock, par
value
\$0.10 per
share

Common
Stock, par
value \$.10
per share

Common
Stock, par
value
\$0.10 per
share

Common
Stock, par
value
\$0.10 per
share

Common
Stock, par
value
\$0.10 per
share

Common
Stock, par
value
\$0.10 per
share

Common
Stock, par
value
\$0.10 per
share

Common
Stock, par
value
\$0.10 per
share

Common
Stock, par
value
\$0.10 per
share

Common
Stock, par

07/01/2016	07/01/2016	S	200	D	\$ 6.9	113,437	D
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07/01/2016	07/01/2016	S	500	D	\$ 6.93	112,937	D
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07/01/2016	07/01/2016	S	100	D	\$ 6.955	112,837	D
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07/01/2016	07/01/2016	S	100	D	\$ 6.735	112,737	D
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07/01/2016	07/01/2016	S	100	D	\$ 6.74	112,637	D
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07/01/2016	07/01/2016	S	100	D	\$ 6.785	112,537	D
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07/01/2016	07/01/2016	S	200	D	\$ 6.7	112,337	D
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07/05/2016	07/05/2016	S	1,100	D	\$ 6.6	111,237	D
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07/05/2016	07/05/2016	S	1,300	D	\$ 6.56	109,937	D
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value
\$0.10 per
share

Common
Stock, par
value
\$0.10 per
share

07/05/2016	07/05/2016	S	100	D	\$ 6.5601	109,837	D
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Common
Stock, par
value
\$0.10 per
share

07/05/2016	07/05/2016	S ⁽¹⁾	100	D	\$ 6.62	109,737	D
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
CRABB JOSEPH H C/O IMMUCELL CORPORATION 56 EVERGREEN DRIVE PORTLAND, ME 04103	Vice President and CSO

Signatures

Michael F. Brigham,
Attorney-in-fact

07/06/2016

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported herein have been effectuated pursuant to a plan implemented by the reporting person pursuant to Rule 10b5-1 under the Securities Exchange Act of 1934, as amended.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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