

Wozniak Kurt F  
Form 4  
May 22, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Wozniak Kurt F

2. Issuer Name and Ticker or Trading Symbol  
COLUMBUS MCKINNON CORP  
[CMCO]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)  
140 JOHN JAMES AUDUBON  
PARKWAY  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
05/18/2013

\_\_\_\_ Director  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
VP-Latin America

AMHERST, NY 14228

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  |                                | (A) or (D)  | Price   |  |                                   |
| Common Stock                    |                                      |  |                                |   | 7,051   | D  |                                   |
| Common Stock                    | 05/18/2013                           |  | F                              | 126 <sup>(1)</sup>  | \$ 18.95  | D  | 6,925                             |
| Common Stock                    | 05/19/2013                           |  | F                              | 26 <sup>(2)</sup>   | \$ 18.95  | D  | 6,899                             |
| Common Stock                    | 05/20/2013                           |  | A                              | 3,218 <sup>(3)</sup>  | \$ 0  | D  | 10,117                            |
| Common Stock                    | 05/20/2013                           |  | A                              | 2,316 <sup>(4)</sup>  | \$ 0  | D  | 12,433 <sup>(5)</sup>             |

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Common Stock 1,424.0474 <sup>(6)</sup> D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
|  |  |                                      |  | Code V (A) (D)                 |   | Date Exercisable Expiration Date                         | Title   |                            |
| Non-Qualified Stock Options (Right to Buy) | \$ 28.45   |                                      |  |                                |   | 05/19/2011 05/18/2018                                    | Common Stock  | 35                         |
| Non-Qualified Stock Options (Right to Buy) | \$ 13.27   |                                      |  |                                |   | 05/18/2010 05/17/2019                                    | Common Stock  | 2,2                        |
| Non-Qualified Stock Options (Right to Buy) | \$ 18.24   |                                      |  |                                |   | 05/17/2011 05/16/2020                                    | Common Stock  | 1,7                        |
| Non-Qualified Stock Options (Right to Buy) | \$ 19.5  |                                      |  |                                |   | 05/23/2012 05/22/2021                                    | Common Stock  | 1,8                        |
| Non-Qualified Stock Options (Right to Buy) | \$ 13.43   |                                      |  |                                |   | 05/21/2013 05/20/2022                                    | Common Stock  | 4,4                        |
| Non-Qualified Stock Options (Right to Buy) | \$ 18.95   | 05/20/2013                           |  | A                              | 6,788   | <sup>(12)</sup> 05/20/2023                               | Common Stock  | 6,7 <sup>(12)</sup>        |

## Reporting Owners

Reporting Owner Name / Address

Relationships

# Edgar Filing: Wozniak Kurt F - Form 4

Director 10% Owner Officer Other

Wozniak Kurt F  
140 JOHN JAMES AUDUBON PARKWAY  
AMHERST, NY 14228

VP-Latin America

## Signatures

Kurt F. Wozniak 05/22/2013

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to satisfy tax withholding obligation upon vesting 299 restricted stock units on 5/18/2013.
- (2) Represents shares withheld to satisfy tax withholding obligation upon vesting 62 restricted stock units on 5/19/2013.  
Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010, subject to forfeiture in whole or part; units become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- (3) Represents performance shares issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010; shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of the issuer.  
Includes 9,037 shares of restricted stock issued to reporting person subject to forfeiture in whole or in part; 333 shares become fully vested and non-forfeitable 10/2/2013; 234 shares become fully vested and non-forfeitable on 5/17/2014; 719 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/23/2013, 2,217 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/21/2013; 3,218 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014, and the remaining 2,316 shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of issuer.
- (5) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (6) Exercisable 33.33% per year for three years beginning 5/19/2011, if reporting person remains an employee of issuer.
- (7) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
- (9) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (10) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.  
Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010, subject to forfeiture in whole or part; options become exercisable 25% per year for four years beginning 5/20/2014, if reporting person remains an employee of issuer.
- (12)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.