

BAUER DOUGLAS F.  
Form 4  
May 30, 2018

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BAUER DOUGLAS F.

2. Issuer Name and Ticker or Trading Symbol  
TRI Pointe Group, Inc. [TPH]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chief Executive Officer

(Last) (First) (Middle)  
C/O TRI POINTE GROUP,  
INC., 19540 JAMBOREE ROAD,  
SUITE 300  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
05/25/2018

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

IRVINE, CA 92612

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				(A) or (D)	Price				
Common Stock	05/25/2018		S <sup>(1)</sup>	3,900	D	\$ 17.75	830,081	I	See Note <u>(2)</u>
Common Stock	05/29/2018		S <sup>(3)</sup>	54,856	D	\$ 17.65	775,225	I	See Note <u>(2)</u>
Common Stock	05/30/2018		S <sup>(1)</sup>	12,826	D	\$ 17.77	762,399	I	See Note <u>(2)</u>
Common Stock							168,479	D	



## Edgar Filing: BAUER DOUGLAS F. - Form 4

The sales price is a weighted average price. The shares were sold in multiple transactions ranging from \$17.75 to \$17.86, inclusive. The (5) reporting person undertakes to provide TRI Pointe Group, Inc. or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.