### Edgar Filing: DIME COMMUNITY BANCSHARES INC - Form 4

#### DIME COMMUNITY BANCSHARES INC

Form 4 May 03, 2017

### FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

5. Relationship of Reporting Person(s) to

Issuer

response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

1(b).

(Print or Type Responses)

MAHON KENNETH J

1. Name and Address of Reporting Person \*

			DIME COMMUNITY BANCSHARES INC [DCOM]					]	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)					Director Officer (gi	ve titleO	0% Owner ther (specify		
300 CADM FLOOR	•						below) PRESIDENT AND CEO					
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
BROOKLY	'N, NY 11201								Person			
(City)	(State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned											
1.Title of Security (Instr. 3)	2. Transaction E (Month/Day/Ye:	ar) Execution	emed on Date, if Day/Year)	Code (Instr.	8)	4. Security nAcquired Disposed (Instr. 3,	l (A) c l of (D	))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	05/03/2017			J <u>(1)</u>		0	A	\$0	135,852	D		
Common Stock									152,341	I	401(k) Plan	
Common Stock									135,127	I	BMP	
Common Stock									84,770	I	ESOP	
Common Stock									26,174	I	Restricted Stock	

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Award

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. TransactionNumber Code of (Instr. 8) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to buy)	\$ 16.73					05/01/2012(2)	07/31/2018	Common Stock	11,706
Stock Options (Right to buy)	\$ 15.46					05/01/2015(3)	04/29/2021	Common Stock	9,709
Stock Options (Right to buy)	\$ 12.75					05/01/2011	04/30/2020	Common Stock	3,044

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

MAHON KENNETH J 300 CADMAN PLAZA WEST, 8TH FLOOR BROOKLYN, NY 11201

PRESIDENT AND CEO

Reporting Owners 2

### **Signatures**

/s/ KENNETH J. 05/03/2017 MAHON

\*\*Signature of Reporting
Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) No transaction to report. Form 4 filed to show aggregate holdings.
- (2) Award vests in equal annual installments (adjusted for rounding) on May 1, 2009, 2010, 2011 and 2012.
- (3) Award vests in equal annual installments on May 1, 2012, 2013, 2014 and 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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